

Working Meeting of the UNECE
Group of Experts on Risk
Management in Regulatory
Systems

14 September 2023

Update on project on Integrated Risk Management in Single Window Systems

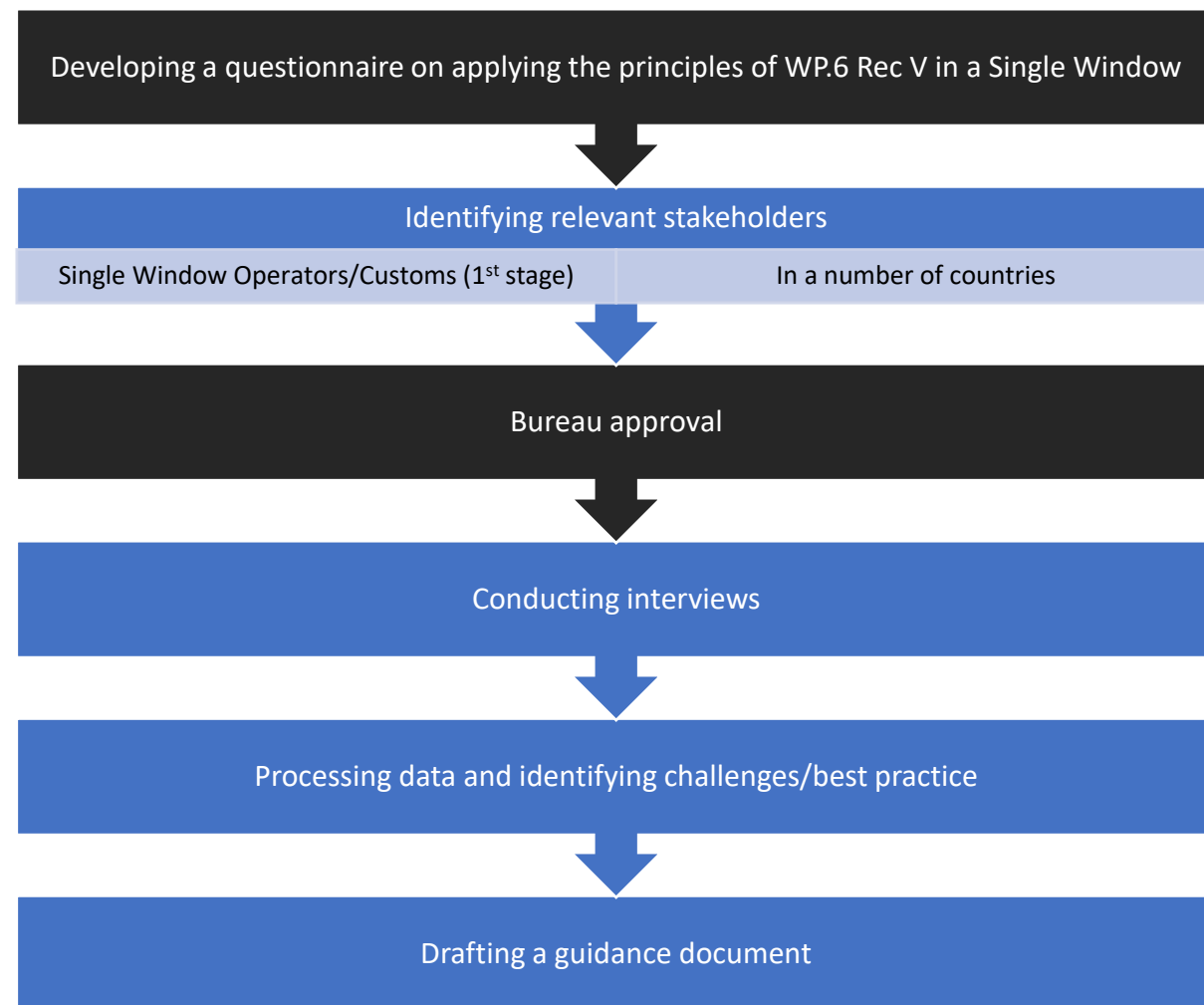
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Objectives of the project

- Developing a White Paper guideline to describe the best practices of coordinated risk management among multiple government agencies through a Single Window
 - A practical example of applying the principles of WP.6 GRM Recommendation V (and preceding recommendations)
 - To the principles of the UN/CEFACT developed Recommendation 33 (and subsequent SW recommendations).
- Planned to be developed in close collaboration with the experts of the UN/CEFACT Single Window domain

Project Meetings-Progress/Plan of work

- Project launch meeting - 6 March 2023 - Georgia, Singapore
- GRM Annual Session - 25 May 2023:
 - Customs - experience of Georgia, Nigeria, Mexico
 - Product regulators – experience of New Zealand, Greece, NAPPO
- Development of a concept paper and a draft questionnaire
- Project Meeting – 2 August 2023 (around 10 people)
- Comments on the draft
- Preparation of the final version of the questionnaire



Setting the context

- WP.6 Recommendation V recommends that governments “develop harmonized, cross-agency criteria for the evaluation of non-compliance risk and apply overall border compliance time and costs as evaluation metrics.”
- The result of this cooperation is an integrated risk management (IRM) system.



- UN/CEFACT Recommendation 33 on Establishing a Single Window defines a single window (SW)
- “A facility (...) that allows parties involved in trade and transport to lodge standardized information and documents with a single entry point to fulfil all import, export and transit-related regulatory requirements.”

- A cross-section between the two concepts aiming to harmonize all procedures at the border
 - for risk management purposes
 - for import/export/transit procedures.

- A **SW** can assist in bringing together all government agencies and their **risk management** needs
- **Risk management** can be enhanced through a **SW** facility and an integral part of clearance procedures.

Objectives of the survey

Identify how main elements of an IRM system described in Recommendation V are already applied in SW systems

Identify best practice and challenges of implementing IRM in SW systems

Compare perspectives of various stakeholders involved in IRM and SW

Survey methodology

- Responses will not be individually published
- Different questionnaires for SW operators and other regulatory authorities involved in border control
- A set of countries in which the survey will be performed based on availability of countries to respond and their state of advancement of IRM and SW
 - The survey will be first sent to the SW operator, so that the overall picture could be understood;
 - SW operator will be asked to identify other regulatory agencies involved in border control that could be appropriate to contact for the survey purposes;
- The questions may be adapted to needs assessment purposes for building an IRM system

Questionnaire to SW operators (32 questions): subjects covered

Strategy, SW and IRM, PGA involvement

RM cooperation among RA, common risk criteria, and the SW

Historic data and integrated database, overall border compliance time as metric

Providing data/assistance for building profiling system by regulatory agencies

Testing risk criteria

Integrating compliance rules of product regulators into a single system

Getting data on incoming shipments

Assessment of incoming shipments

Shipment clearance

Next steps

- Building a list of (potential) relevant respondents
- Sending out the survey (offering help)
- Conducting interviews (if needed)
- Processing data
- Identifying best practice and drafting a guideline paper