

Working Party on Regulatory Cooperation and Standardization Policies

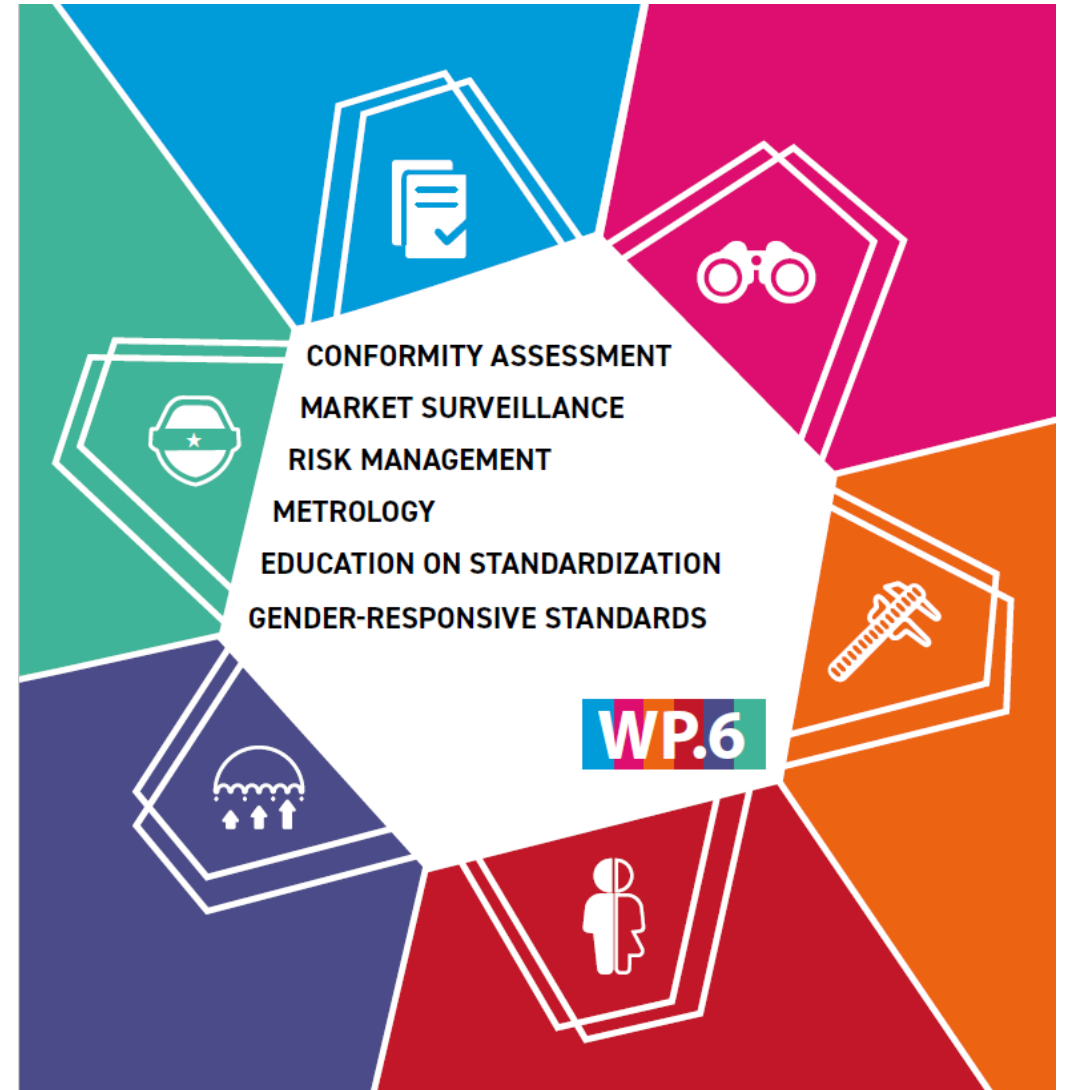
WP.6 Project

Integrated Risk Management in Single Window Systems

Lance Thompson, Secretary WP.6 & GRM
Valentin Nikonov, co-Coordinator GRM

UNECE – [Working Party 6](#)

05/11/2023, Geneva



Mission Statement

Working Party on Regulatory Cooperation and Standardization Policies



- WP.6 aims to promote regulatory cooperation, standardization policies and activities which contribute towards reducing technical barriers to trade, promoting sustainable development in all its dimensions including, for example, gender equality, climate and environmental protection, circular economy and the adaptation to new technologies.



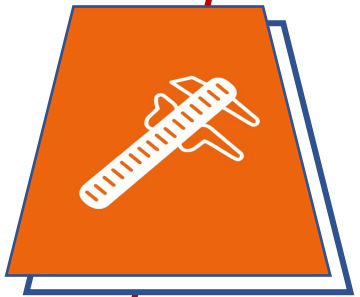
Quality Infrastructure



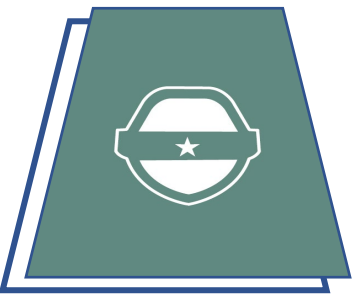
National Regulatory Framework on QI



International Standardization



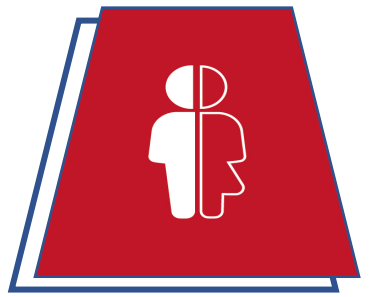
Metrology



National Technical Regulations



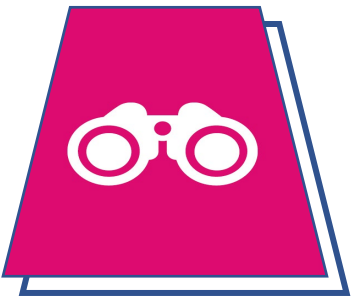
Accreditation



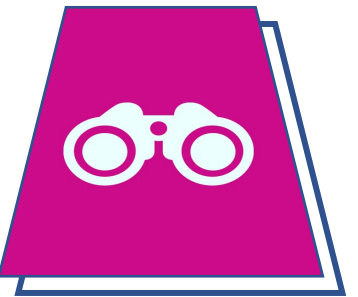
Gender Responsive Standards



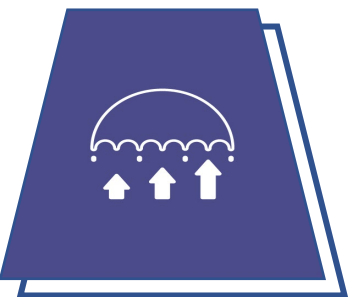
International Regulatory Cooperation



Conformity Assessment



Market Surveillance



Risk Management



Education on Standardization

Quality Infrastructure: Risk Management



- Risk management aims to safeguard against hazards that stem from the quality of products and services – or lack thereof – that have the potential to cause harm or damage the health and safety of consumers and the environment.
- Standards and technical regulations are – among other things – risk mitigation tools and they should be proportionate to risks they were set out to address.
- Development and enforcement of regulations require application of the state-of-the-art risk management tools.
- The GRM aims at an improved management of hazards that have the potential to affect the quality of products and services, and/or cause harm or damage to people, the environment, property and immaterial assets
- **Developing and sharing best practice as regards the application of risk management tools in regulatory activities and international trade**

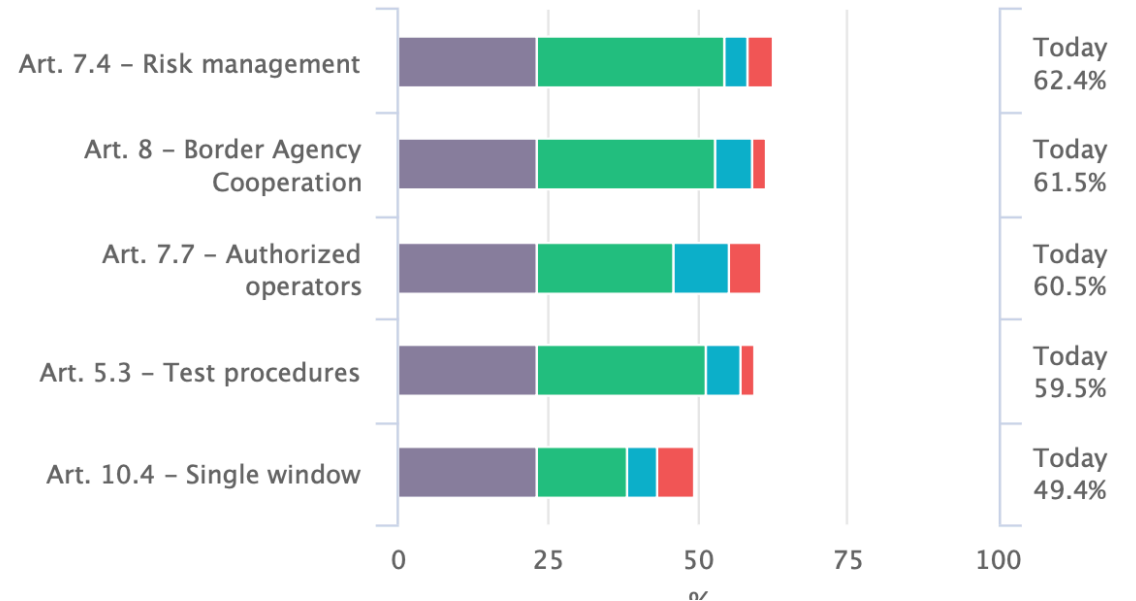
Risk management implementation rate



- **WTO TFA:** ‘Each Member shall concentrate customs control and, to the extent possible other relevant border controls, on **high-risk consignments** and expedite the release of **low-risk consignments**’ (article 4.3)
- **WTO TBT:** ‘technical regulations shall not be more trade-restrictive than necessary to fulfil a legitimate objective, taking account of the risks non-fulfilment would create’
- **WTO TBT:** regulatory authorities to design compliance procedures so they are not ‘stricter ... than is necessary to give ... the adequate confidence that products conform with the applicable technical regulations’

Bottom 5 measures with lowest implementation rate

Based on implementation commitments by all WTO Members



GRM: Risk management at WP.6

Recommendations on Risk Management



2011
2020

Recommendation P on
Crisis Management in Regulatory Systems:
when risks occur

Planning ahead of a crisis in order to ensure contingency plans and capacity.

2011

Recommendation R on
Managing Risk in Regulatory Frameworks

Risk-based technical regulations
→ Harmonized
→ Proportionate

2016

Recommendation S on
Applying Predictive Risk Management
Tools for Targeted Market Surveillance

How dangerous is a non-compliant product?
Probability to find a non-compliant product?

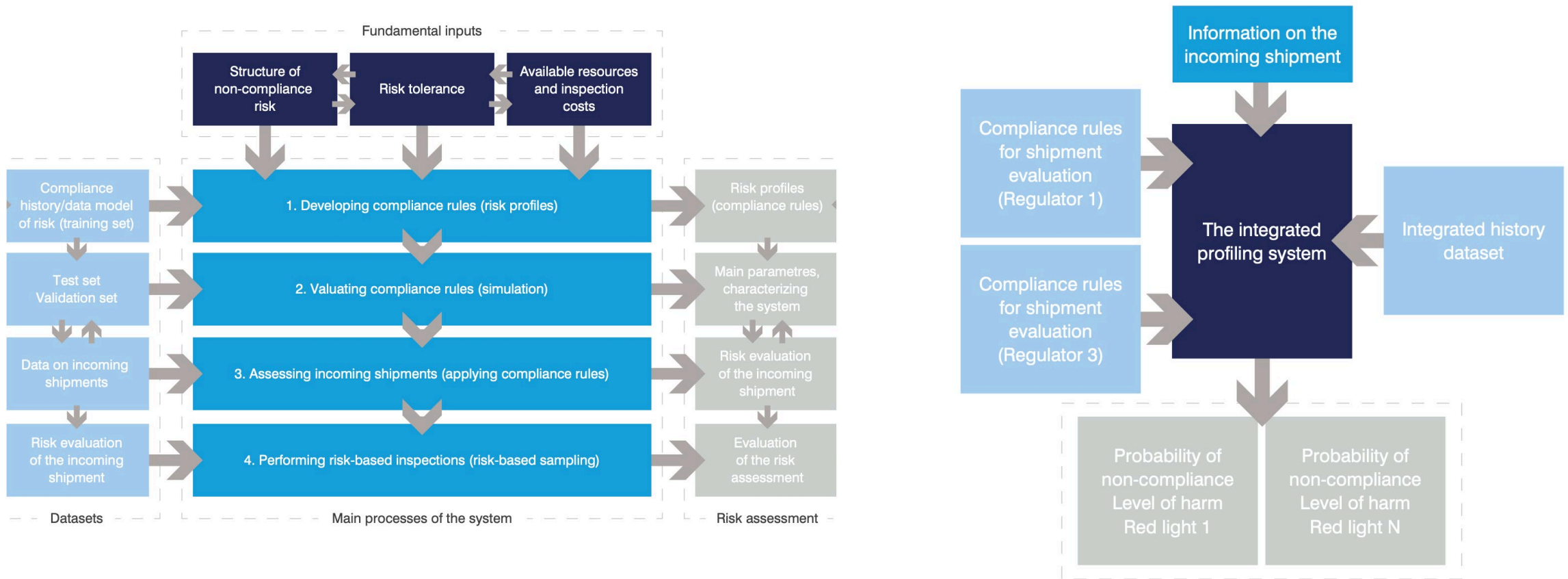
2021

Recommendation V on
Addressing Product Non-Compliance Risk
in International Trade

Establishing an integrated risk management strategy
Harmonized cross-agency criteria for evaluating risk
Strengthening rôle of import compliance in market surveillance

GRM: Risk management at WP.6

Integrated risk management at the border



GRM: Risk management at WP.6

Key publications



2012



2022

GRM project on integrated risk management in Single Window systems



- Recommendation V on Addressing Product Non-Compliance Risks in International Trade proposes to optimize border compliance time and costs while maintaining regulatory requirements through an integrated risk management of product regulators and customs.
 - These requirements support safety measures, sanitary-phytosanitary measures, public health measures, or economic safeguarding measures, for example.
 - This would be in contrast to each individual agency establishing their own risk management system.
- In either of the two models proposed by UN/CEFACT Recommendation 33 (integrated or interfaced), it is important to apply the principles of WP.6-GRM Recommendation V to ensure that there is not unnecessary delays caused by uncoordinated risk management.
- Developing a White Paper guideline to describe the best practices of coordinated risk management among multiple government agencies through a Single Window
 - A practical example of applying the principles of WP.6 GRM Recommendation V (and preceding recommendations)

GRM project on integrated risk management in Single Window systems



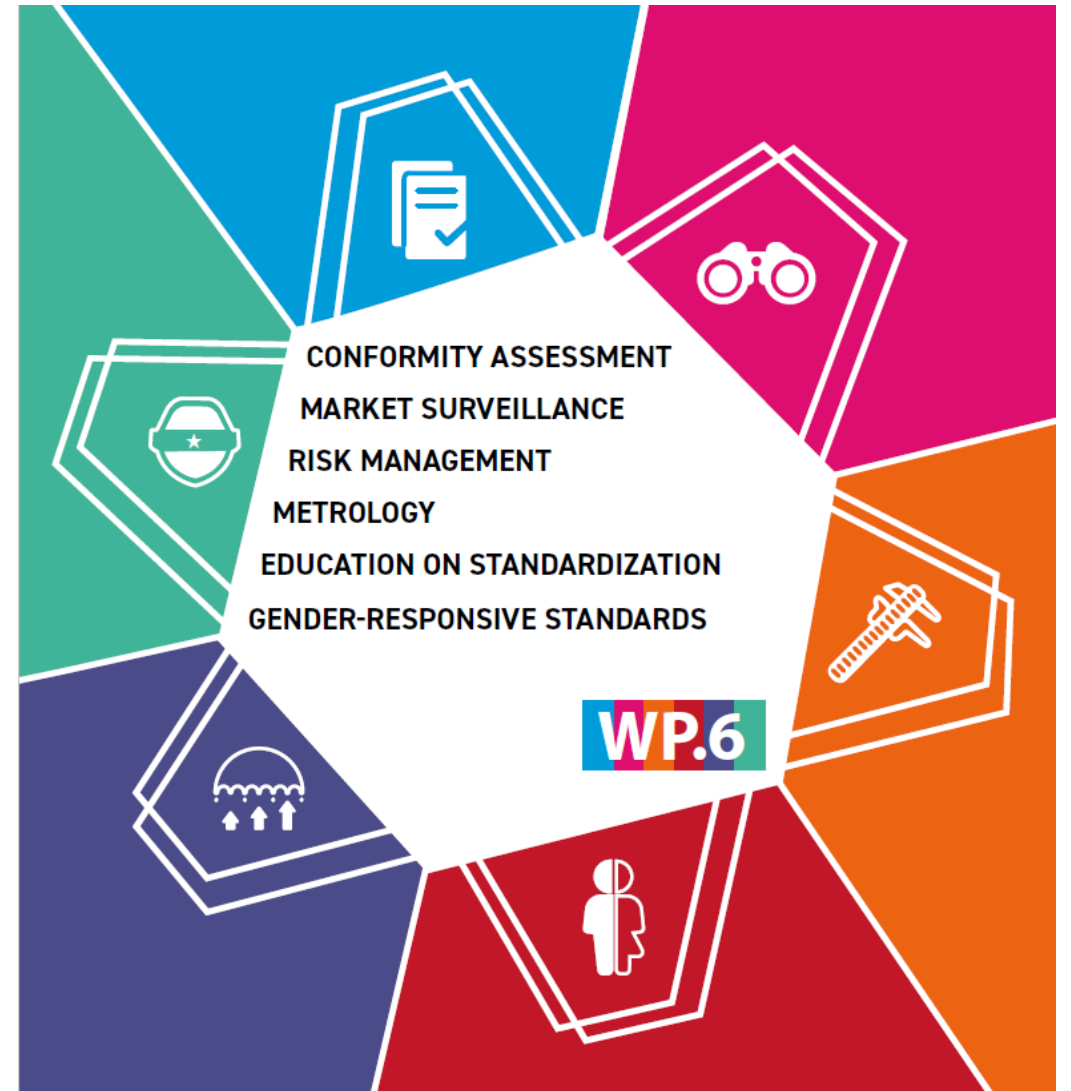
- Developing a questionnaire on applying the principles of WP.6 Rec V in a Single Window
- Identifying relevant stakeholders:
 - Trade facilitation bodies, customs, product regulators, and other
 - In a number of countries
- Conducting interviews
- Processing data and identifying challenges/best practice
- Drafting a guidance document

WP.6 for more information



- Our website: <https://unece.org/trade/wp6>
- Section on risk management: <https://unece.org/trade/wp6/risk-management>
- E-learning platform with risk management module: <https://learnqi.unece.org/>
- Secretariat:
 - Lance Thompson, Secretary WP.6, lance.thompson@un.org
 - regulatory.cooperation@un.org
- Next Meetings: WP.6 Forum 22-26 May: <https://unece.org/trade/events/wp6-second-forum>

Working Party on Regulatory Cooperation and Standardization Policies



Merci ありがとうございました Hvala Ačiū
شكرا جزيلاً Mahalo Дякую Teşekkür ederim
Рахмат Dankon ඔබට ස්තූතියි Sagbol Ευχαριστώ
Tack Çox sağ ol Dziękuję Ci Obrigado
მადლობთ תודה 감사합니다 धन्यवाद Dankon
Natur nuhun Баярлалаа Хвала вам Dankon
Tak skal du have Diolch Хвала вам
Спасибо Go raibh maith agat Faleminderit Paldies
Grazzi Dank je Рахмат сага Faafetai Rahmat
Grazie Mulțumesc Ačiū Благодаря ти Danke
Gracias 谢谢 සුභආඥා Takk skal du ha
Salamat Aitäh Dankie Þakka þér fyrir
Ви благодарам Dėkuji Köszönöm Дзякуй
धन्यवाद Gràcies Asante
Kiitos

Thank you

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Valentin Nikonov, co-Coordinator GRM

UNECE – Working Party 6

05/11/2023, Geneva