

# **Questionnaire for the report of ITALY on the implementation of the Protocol on Strategic Environmental Assessment in the period 2019–2021**

## **Information on the focal point for the Protocol**

1. Name and contact information:

Anna Maria Maggiore - [maggiore.annamaria@mite.gov.it](mailto:maggiore.annamaria@mite.gov.it)

## **Information on the point of contact for the Protocol**

2. Name and contact information (if different from above):

Italian Ministry of the Environment and Energy Security – Directorate General for Environmental Assessments

E-mail: [va-udg@mite.gov.it](mailto:va-udg@mite.gov.it)

Attention to: Ms. Anna Maria Maggiore, [maggiore.annamaria@mite.gov.it](mailto:maggiore.annamaria@mite.gov.it)

Mr. Gianluigi Nocco, [nocco.gianluigi@mite.gov.it](mailto:nocco.gianluigi@mite.gov.it)

## **Information on the person responsible for preparing the report**

3. Country: *Italy*
4. Surname: *Maggiore*
5. Forename: *Anna Maria*
6. Institution: *Ministry of the Environment and Energy Security*
7. Postal address: *via Cristoforo Colombo 44, 00147 Roma, Italia*
8. Email address: [maggiore.annamaria@mite.gov.it](mailto:maggiore.annamaria@mite.gov.it)
9. Telephone number: *+39 06 57225968*
10. Date on which report was completed: *15 December 2022*

## **Part one**

### **Current legal and administrative framework for the implementation of the Protocol**

In this part, please describe the legal, administrative and other measures taken in your country to implement the provisions of the Protocol. This part should describe the framework for your country's implementation, and not its experience in the application of, the Protocol.

Please do not reproduce the text of the legislation itself but summarize and explicitly refer to the relevant provisions transposing the text of the Protocol (for example, Strategic Environmental Assessment Law of the Republic of ..., article 5 (3) of Government Resolution No. ..., para. ... item...)

### **Article 3**

#### **General provisions**

**I.3. According to article 3 (1) of the Protocol: "Each Party shall take the necessary legislative, regulatory and other appropriate measures to implement the provisions of this Protocol within a clear, transparent framework." Please provide the main legislative, regulatory and other measures your country has adopted to implement the Protocol (art. 3, (1)) (more than one option may apply):**

(a) Law on strategic environmental assessment (please provide exact title/reference number/year/access link, if any):

- [Law n. 79/2016 of ratification and execution of the amendments to the Espoo Convention and the SEA Protocol](#)
- [Legislative Decree no. 152 of 3 April 2006, Title II](#)

(b) Strategic environmental assessment provisions are transposed into another law/other laws (please specify, providing title/reference number/year/access link, if any): [National SEA provisions have been transposed by Regions into regional laws](#)

(c) Regulation (please indicate title/reference number/year/access link, if any):

(d) Administrative rule (please indicate title/reference number/year/access link, if any):

(e) Other (please specify):

Please explain:

---

## Article 4

### Field of application concerning plans and programmes

#### I.4.1 Which plans and programmes referred to in article 4 (2)– (4) of the Protocol are set out in your country's legislation?

SEA is systematically applied to plans and programmes that may have significant impacts on the environment and cultural heritage. Namely:

- plans and programmes that are drawn up for the assessment and management of the quality of the ambient air, for the agricultural, forestry, fishing, energy, industrial, transport, waste and water management, telecommunications, tourism, spatial planning or land use sectors, and that define the framework for the approval, location area or otherwise the implementation of the projects listed in Annexes II, III and IV of the Legislative Decree n.152 of 3 April 2006;
- plans and programmes for which, in consideration of the possible impacts on the conservation purposes of the sites designated as Special Protection Areas for the conservation of wild birds and those classified as Sites of Community Importance for the protection of natural habitats and wildlife, an impact assessment is deemed necessary pursuant to Article 5 of Presidential Decree no. 357/1997 and subsequent amendments and additions.

List the types of plans and programmes that require strategic environmental assessment in your country's legislation and provide references to the relevant legislation: Article 6, para 2 of the Legislative Decree no.152 of 3 April 2006

Plans and programmes prepared for:

Agriculture

Forestry

Fisheries

Energy

Industry including mining

Transport

Regional development

Waste management

Water management

Telecommunications

Tourism

Town and country planning X

Land use X

Other (including those falling under article 4 (3)–(4)), please list

Plans prepared for assessment and management of the quality of the ambient air.

Please explain:

**I.4.2. Explain how you define whether a plan or programme “set the framework for future development consent” (art. 4 (2)):**

Article 6 para 2 of the Legislative Decree no. 152 of 3 April 2006 specifies that: “...setting the framework for the approval, development consent, location area or otherwise for the implementation of the projects 3 listed in Annexes II, III, and IV of the present decree”.

**I.4.3. Explain how the term “plans and programmes ... which determine the use of small areas at local level” (art. 4 (4)) is interpreted in your country’s legislation:**

Article 4, para 4 of the Protocol has been fully transposed in Article 6, para.3 of the Legislative Decree no. 152 of 3 April 2006. Neither the definition of “use of small areas at local level” nor that of “minor modification” has been provided in the Italian legal framework. However, art. 6 para.3 further specifies that an environmental assessment is required if the competent authority assesses that the plan/programme will have significant impacts on the environment not only based on the outcome of the screening but also “...taking into account of the different environmental sensibility of the areas of intervention”.

**I.4.4. Explain how you identify in your legislation a “minor modification” to a plan or programme (art. 4 (4)): Please, refer to the answer provided above**

## **Article 5 Screening**

**I.5.1 According to article 5 (1): “Each Party shall determine whether plans and programmes referred to in article 4, paragraphs 3 and 4, are likely to have significant environmental, including health, effects either through a case-by-case examination or by specifying types of plans and programmes or by combining both approaches.”**

How do you determine which plans and programmes referred to in article 4 (3)–(4) should be subject to a strategic environmental assessment? Please specify:

- (a) On a case-by-case basis
- (b) By specifying types of plans and programmes
- (c) By using a combination of (a) and (b) above
- (d) Other (please specify):

Please explain:

**I.5.2. According to article 5 (2), each Party shall ensure that the environmental and health authorities are consulted during screening.**

Please explain whether your legislation provides for consultation with environmental and health authorities at the screening stage and, if so, how.

- (a) On a case-by-case basis:
- (b) As defined in the national legislation:
- (c) Other (please specify)

Please explain: Article 12, para 2 of the Legislative Decree no. 152 of 3 April 2006 states that authorities to be consulted in the screening stage are to be assessed on mutual agreement between the submitting party and the competent authority.

**I.5.3. According to article 5 (3): “To the extent appropriate, each Party shall endeavour to provide opportunities for the participation of the public concerned in the screening of plans and programmes under this article.”**

Please indicate whether you provide opportunities for the public concerned to participate in screening of plans and programmes in your legislation and, if so, how.

---

No

Yes

Please specify (more than one option may apply):

- (a) By sending written comments to the relevant authority
- (b) By completing a questionnaire
- (c) By taking part in a public hearing
- (d) Other (please specify):

Please explain:

## Article 6 Scoping

### **I.6.1 According to article 6 (1): “Each Party shall establish arrangements for the determination of the relevant information to be included in the environmental report in accordance with article 7, paragraph 2.”**

Please explain how you determine the relevant information to be included in the environmental report.

The proceeding authority shall draft a ‘preliminary environmental report’ on the potential significant environmental impacts deriving from the implementation of the plan/programme as well as a list of relevant environmental authorities to be involved. Once this list is approved, a consultation phase is launched with the competent authority and the Submitting Party to define the goals and the level of detail of the information to be included in the environmental report (art. 13, para 1 of the Legislative Decree no. 152 of 3 April 2006).

The environmental report shall contain such information, which are specified in Annex VI of the Environmental Code (art.13, para.4 of the Legislative Decree no. 152 of 3 April 2006),

The information to be provided with the environmental reports that must accompany proposals for plans and programmes subject to strategic environmental assessment are:

- (a) an outline of the contents, the main objectives of the plan or programme and the relationship with other relevant plans or programmes;
- (b) relevant aspects of the current state of the environment and its likely evolution without the implementation of the plan or programme;
- (c) environmental, cultural and landscape characteristics of the areas likely to be significantly affected;
- d) any existing environmental problems relevant to the plan or programme, including in particular those relating to areas of particular environmental, cultural and landscape significance, such as areas designated as special protection areas for the conservation of wild birds and those classified as sites of Community importance for the protection of natural habitats and of wild fauna and flora, as well as territories with agricultural production of particular quality and typicality, as referred to in Article 21 of Legislative Decree No 228 of 18 May 2001;
- (e) environmental protection objectives established at international, Community or Member State level relevant to the plan or programme and the way in which these objectives and any environmental considerations have been taken into consideration during its preparation;
- (f) possible significant impacts on the environment, including aspects such as biodiversity, population, human health, flora and fauna, soil, water, air, climatic factors, material assets, cultural heritage, including architectural and archaeological heritage, landscape and the interrelationship between the above factors. All significant impacts, including secondary, cumulative, synergistic, short-, medium- and long-term, permanent and temporary, positive and negative impacts shall be considered;

(g) measures envisaged to prevent, reduce and offset as fully as possible any significant adverse environmental impacts of implementing the plan or programme;

(h) a summary of the reasons for the choice of the alternatives identified and a description of how the assessment was carried out, as well as any difficulties encountered (e.g. technical deficiencies or difficulties arising from the novelty of the problems and techniques for solving them) in gathering the required information;

(i) a description of the measures envisaged regarding the monitoring and control of the significant environmental impacts arising from the implementation of the proposed plan or programme defining, in particular, how the data will be collected and the indicators developed to assess the impacts, how regularly a report will be produced showing the results of the impact assessment and the corrective measures to be taken;

(j) non-technical summary of the information referred to in the preceding points.

**I.6.2. According to article 6 (2), each Party shall ensure that the environmental and health authorities are consulted during scoping.**

Please explain whether your country's legislation provides for consultation with environmental and health authorities at the scoping stage and, if so, how.

- (a) On a case-by-case basis:
- (b) As defined in the national legislation:
- (c) Other (please specify)

Please explain: According to Article 13, para 1 the competent authority, in collaboration with the proceeding authority, identifies and selects the concerned Parties to be consulted and sends them the preliminary report in order to acquire contributions. The contributions shall be sent to the competent authority and the proceeding authority within 30 days from the start of the consultation.

**I.6.3 According to article 6 (3): "To the extent appropriate, each Party shall endeavour to provide opportunities for the participation of the public concerned when determining the relevant information to be included in the environmental report."**

Please indicate whether your country's legislation provides opportunities for the public concerned to participate in scoping of plans and programmes and, if so, how.

- No
- Yes

Please specify (more than one option may apply):

- (a) By sending written comments to the relevant authority
- (b) By completing a questionnaire
- (c) By taking part in a public hearing
- (d) Other (please specify):

Please explain:

## **Article 7 Environmental report**

**I.7.1. According to article 7 (2): "The environmental report shall, in accordance with the determination under article 6, identify, describe and evaluate the likely significant environmental, including health, effects of implementing the plan or programme and its reasonable alternatives."**

How do you determine "reasonable alternatives"? Please specify (more than one option may apply):

- 
- (a) On a case-by-case basis
  - (b) As defined in the national legislation (please specify):
  - (c) By using a combination of (a) and (b) above
  - (d) Other (please specify):

Please explain: According to Art.13 para.4 of Legislative Decree 152/2006, the environmental report must identify, describe and assess the significant impacts that the implementation of the plan or programme could have on the environment and cultural heritage, as well as the **reasonable alternatives** that may be adopted considering the objectives and territorial scope of the plan or programme itself. Annex VI to this Decree sets out the information to be provided in the environmental report, among which a summary of the reasons for the choice of the identified alternatives and a description of how the assessment was carried out, as well as any difficulties encountered (e.g. technical deficiencies or difficulties arising from the novelty of the problems and solve them) in gathering the required information.

**I.7.2. According to article 7 (3): “Each Party shall ensure that environmental reports are of sufficient quality to meet the requirements of this Protocol.”**

How do you ensure that quality of the reports is sufficient? Please specify:

- (a) The competent authority checks the information provided and ensures that it includes all information required under annex IV as a minimum before making it available for comments
- (b) By using quality checklists
- (c) There are no specific procedures or mechanisms
- (d) Other (please specify):

Please explain:

## **Article 8 Public participation**

**I.8.1. According to article 8 (2): “Each Party, using electronic media or other appropriate means, shall ensure the timely public availability of the draft plan or programme and the environmental report.”**

How do you notify the public and make the draft plans and programmes and the environmental report available? Please specify (more than one option may apply):

- (a) Through public notices in printed media
- (b) Through electronic media
- (c) Placing copies in public offices for the public
- (d) Through other means:

Please explain: Draft plan or programmes, the environmental report and the public notices are published on the websites of SEA competent authority and the proceeding authority.

**I.8.2. According to article 8 (3): “Each Party shall ensure that the public concerned, including relevant non-governmental organizations, is identified for the purposes of paragraphs 1 and 4.”**

How do you identify the public concerned? Please specify (more than one option may apply):

- (a) Based on the geographical location of the plans and programmes
- (b) Based on the environmental effects (significance, extent, accumulation, etc.) of the plans and programmes

(c) By making the information available to all members of the public and letting them identify themselves as the public concerned

(d) By other means:

Please explain: The definition of “public concerned” is provided for in art. 5 para. 1.v) of the Legislative Decree no. 152 of 3 April 2006. The “relevant non-governmental organisations” are included in the definition of “public concerned”; national non-governmental organizations promoting environmental protection are defined by the Law n.349 of 1986.

**I.8.3. According to article 8 (4): “Each Party shall ensure that the public referred to in paragraph 3 has the opportunity to express its opinion on the draft plan or programme and the environmental report within a reasonable time frame.”**

How can the public concerned express its opinion on the draft plan and programme and the environmental report? Please specify (more than one option may apply):

(a) By sending comments to the relevant authority/focal point

(b) By completing a questionnaire

(c) By taking part in a public hearing

(d) Other (please specify):

Please explain:

**I.8.4. According to article 8 (4): “The public [concerned, including relevant non-governmental organizations] has the opportunity to express its opinion ... within a reasonable time frame.”**

Do you have a definition (for example, a number of days) in your legislation of the term “within a reasonable time frame”? Please specify:

(a) No, it is defined on a case-by-case basis

(b) Yes (please provide the definition):

(c) Other (please specify):

Please explain your selection: Timeframe for the public consultation is 45 days as outlined in article 14, para 2 of the Legislative Decree no. 152 of 3 April 2006.

## Article 9

### Consultation with environmental and health authorities

**I.9.1. According to article 9 (1): “Each Party shall designate the authorities to be consulted which, by reason of their specific environmental or health responsibilities, are likely to be concerned by the environmental, including health, effects of the implementation of the plan or programme.”**

How are the environmental and health authorities identified? Please specify:

(a) On a case-by-case basis:

(b) As defined in the national legislation:

(c) Other (please specify)

Please explain: The competent authority, in collaboration with the proceeding authority, identifies the environmental stakeholders to be consulted.



---

**I.9.2. According to article 9 (4): “Each Party shall determine the detailed arrangements for informing and consulting the environmental and health authorities referred to in paragraph 1.”**

How are the arrangements for informing and consulting the environmental and health authorities determined? Please specify:

- (a) On a case-by-case basis:
- (b) As defined in the national legislation:
- (c) Other (please specify):

Please explain:

**I.9.3. According to article 9 (3): “Each Party shall ensure that the authorities referred to in paragraph 1 are given, in an early, timely and effective manner, the opportunity to express their opinion on the draft plan or programme and the environmental report.”**

Does your national legislation call for consultations with environmental and health authorities?

- (a) Yes (please refer to specific provisions and provide citations in order to clarify the issue)
- (b) No

Please explain: The competent authority, in collaboration with the proceeding authority, identifies the environmental stakeholders to be consulted.

**I.9.4. How can the environmental and health authorities express their opinion?**

- (a) By sending comments
- (b) By completing a questionnaire
- (c) In a meeting
- (d) By other means (please specify)

Please explain:

## **Article 10**

### **Transboundary consultations**

**I.10.1. According to article 10 (1): “Where a Party of origin considers that the implementation of a plan or programme is likely to have significant transboundary environmental, including health, effects or where a Party likely to be significantly affected so requests, the Party of origin shall as early as possible before the adoption of the plan or programme notify the affected Party.”**

As a Party of origin, when do you notify the affected Party?

- (a) During scoping
- (b) When the draft plan or programme and the environmental report have been prepared
- (c) At other times (please specify):

Please explain:

**I.10.2. According to article 10 (2): “[The] notification shall contain, inter alia:**

- (a) **The draft plan or programme and the environmental report including information on its possible transboundary environmental, including health, effects; and**  
(b) **Information regarding the decision-making procedure, including an indication of a reasonable time schedule for the transmission of comments.”**

As a Party of origin, what information do you include in the notification? Please specify:

- (a) The information required by article 10 (2)   
(b) The information required by article 10 (2), plus additional information (please specify):

Please explain: [The notification form available in the UNECE website is used.](#)

**I.10.3. According to article 10 (2): “The notification shall contain, inter alia: ... an indication of a reasonable time schedule for the transmission of comments.”**

As a Party of origin, does your legislation indicate a reasonable time schedule (in days, weeks, months) for the affected Party? Please specify:

- (a) No   
(b) Yes (please indicate how long):

[According to article 32, para.2 of the Legislative Decree no. 152 of 3 April 2006, where an interest in participating in the procedure is expressed, the consulted States shall transmit the opinions and observations of the public authorities and the public to the competent authority within 90 days of the communication of the declaration of interest in participating in the procedure or in a manner and within a timeframe agreed upon by the Member States concerned, in such a way as to allow the public authorities and the public of the consulted States to be informed and to have an opportunity to express their views within a reasonable time.](#)

If “Yes”, please explain whether that schedule contains individual time frames for a response to the notification and for provision of comments and specify those in days, weeks, months, as relevant: [Please refer to the answer above.](#)

**I.10.4. According to article 10 (3)–(4), when the affected Party expresses its wish to enter into consultations before the adoption of the plan or programme, the Parties concerned shall enter into consultations further to detailed arrangements agreed by them with a view to ensuring that the public concerned and the authorities in the affected Party are informed and given an opportunity to forward their opinion within a reasonable time frame.**

How do the Parties agree on detailed arrangements?

- (a) Following those of the Party of origin   
(b) Following those of the affected Party   
(c) On a case-by-case basis   
(d) In accordance with existing arrangements (for example, bilateral agreement)   
(e) Other (please specify): [No further detailed arrangements than those outlined in the above-mentioned Article 32, para 2 of Legislative Decree no. 152 of 3 April 2006 are provided.](#)

Please explain:

---

## Article 11

### Decision

**I.11.1. According to article 11 (1): “Each Party shall ensure that when a plan or programme is adopted due account is taken of: (a) [t]he conclusions of the environmental report; (b) [t]he measures to prevent, reduce or mitigate the adverse effects identified in the environmental report; and (c) [t]he comments received in accordance with articles 8 to 10.”**

Please specify how your country ensures that due account is taken of:

- (a) The conclusions of the environmental report
- (b) Mitigation measures
- (c) Comments received in accordance with articles 8–10

Please explain:

**I.11.2. According to article 11 (2): “Each Party shall ensure that, when a plan or programme is adopted, the public, the authorities ... and the Parties consulted ... are informed, and that the plan or programme is made available to them together with a statement summarizing how the environmental, including health, considerations have been integrated into it, how the comments received ... have been taken into account and the reasons for adopting it in the light of the reasonable alternatives considered.”**

How and when do you inform your own public and authorities?

- (a) Pursuant to national legislation (please refer to specific provisions and provide citations in order to clarify the procedure followed):
- (b) Other (please specify):

Please explain: [The final decision is published on the website of the concerned authorities. Furthermore, in line with article 17, para 1, lett. b, the Party of origin shall prepare a statement summarizing how the environmental considerations have been integrated into the plan or programme and how the environmental report and the results of the consultations have been considered, as well as the reasons why the adopted plan or programme was chosen in the light of the possible alternatives that had been identified.](#)

**I.11.3. Does the information provided to the public and authorities include?**

- (a) Plan or programme:
- (b) Statement summarizing how the environmental, including health, considerations have been integrated into the plan or programme, and how the comments received have been taken into account:
- (c) The reasons for adopting the plan or programme in the light of the reasonable alternatives considered:

**I.11.4. How do you inform the Parties consulted (art. 11 (2))?**

- (a) By informing the point of contact
- (b) By informing the contact person of the ministry responsible for strategic environmental assessment, who then follows the national procedure and informs his/her own authorities and public
- (c) By informing all the authorities involved in the assessment and letting them inform their own public
- (d) Other (please specify): [Please refer to answer provided for question I.11.2.](#)

Your comments:

## Article 12

### Monitoring

According to article 12 (1)–(2): “1. Each Party shall monitor the significant environmental, including health, effects of the implementation of the plans and programmes.

...

2. The results of the monitoring ... shall be made available ... to the authorities ... and to the public.”

#### **I.12. Describe the legal requirements for monitoring the significant environmental, including health, effects of the implementation of the plans and programmes:**

Monitoring ensures the control of the significant impacts on the environment deriving from the implementation of the approved plans and programmes and the verification of the achievement of the pre-set sustainability objectives, so as to promptly identify unforeseen negative impacts and adopt appropriate corrective measures.

Monitoring is carried out by the proceeding Authority in collaboration with the competent Authority often in consultation with the system of Environmental Agencies and the Superior Institute for Environmental Protection and Research (ISPRA).

The plan or programme identifies the responsibilities and resources necessary for the implementation and management of monitoring.

(Article 18, para 2 of the Legislative Decree no. 152 of 3 April 2006)

## Article 13

### Policies and legislation

According to article 13 (1): “Each Party shall endeavour to ensure that environmental, including health, concerns are considered and integrated to the extent appropriate in the preparation of its proposals for policies and legislation that are likely to have significant effects on the environment, including health.”

#### **I.13. Does your country have national legislation on the application of principles and elements of the Protocol as regards policies and legislation? Please specify.**

(a) Yes (please specify which articles of the Protocol apply):

(b) No

Please explain:

---

## Part two

### Practical application during the period 2019–2021<sup>1</sup>

Please report on your country's practical experiences in applying the Protocol (not your country's procedures, as described in part one). The focus here is on identifying good practices as well as difficulties Parties have encountered in applying the Protocol in practice. The goal is to enable Parties to share solutions. Please therefore provide appropriate examples highlighting application of the Protocol and innovative approaches to improving its application. Parties' reporting also provides useful information to other countries within and beyond the United Nations Economic Commission for Europe (ECE) region that facilitates their efforts to implement and accede to the Protocol.

Part two also focuses on issues identified in the third review of implementation<sup>a</sup> by Parties and those issues that have been identified as priorities by Parties in the 2021–2023 workplan.<sup>b</sup> It also addresses the objectives of the Long-term strategy and the action plan for the Convention and the Protocol related to: "Adapting the reviews [of implementation] to maximize their usefulness as a source of information, highlight progress achieved, draw attention to areas that need improvement, disseminate best practice..."<sup>c</sup>

---

<sup>a</sup> United Nations publication, ECE/MP.EIA/SEA/14.

<sup>b</sup> ECE/MP.EIA/30/Add.1–ECE/MP.EIA/SEA/13/Add.1, decision VIII/2–IV/2.

<sup>c</sup> Ibid., decision VIII/3–IV/3, annex, item II.A. 9.

#### A. Some specific questions related to domestic and transboundary implementation in the period 2019–2021

**II.1. Does your country's strategic environmental assessment documentation always include specific information on health effects? Please specify:**

- (a) Yes
- (b) No, only when potential health effects are identified

**II.2. Does your country's strategic environmental assessment documentation always include specific information on potential transboundary environmental, including health, effects? Please specify:**

- (a) Yes
- (b) No, only when potential transboundary effects are identified

---

<sup>1</sup> Part II of this questionnaire is not considered to be a reporting obligation according to the Protocol. Parties are encouraged to share examples of good practice, subject to their capabilities and the availability of relevant data.

**B. Example of application of the Protocol in your country during the period 2019–2021**

**II.3. Please provide, using the table provided in annex I to this questionnaire, the (approximate) number of domestic strategic environmental assessment procedures initiated during the 2019–2021 period, list them grouped by the sectors listed in article 4 (2), and indicate their average duration and costs. (Please refer to list provided in annex I)**

**II.4. Please provide the (approximate) number of transboundary consultations referred to in article 10 of the Protocol that your country, during the reporting period, initiated, as a Party of origin, and participated in, as an affected Party. Please use the table in annex II to this questionnaire listing the transboundary procedures grouped by the sectors listed in article 4 (2), indicating their average duration. (Please refer to list provided in annex II)**

**II.5. Do you have a register of the domestic and transboundary strategic environmental assessment procedures (cases) that can be accessible for other Parties to consult, as needed?**

<i>Domestic procedures</i>	<i>Transboundary procedures</i>
(a) Yes <input checked="" type="checkbox"/>	(a) Yes <input checked="" type="checkbox"/>
(b) No <input type="checkbox"/>	(b) No <input type="checkbox"/>
If so, please provide the access link to the register: <a href="#">Ongoing Procedures - Environmental Assessments and Authorizations - SEA - EIA - IPPC Permit (mite.gov.it)</a>	If so, please provide the access link to the register: <a href="#">Transboundary consultations - Environmental Assessments and Authorizations - SEA - EIA - IPPC Permit (mite.gov.it)</a>

**II.6. According to paragraph 10 of decision IV/5 on reporting and review of implementation of the Protocol,<sup>2</sup> the lists of domestic and transboundary strategic environmental assessment procedures included in the responses to questions II.3 and II.4 of the questionnaire are to be posted on the ECE website. Should your country object to this, however, please indicate “Yes” in the table below and explain, as relevant:**

<i>Domestic procedures (list provided under question II.3 and link to register referred in question II.5, if provided)</i>	<i>Transboundary procedures (list provided under question II.4 and link to register referred in question II.5, if provided)</i>
(a) Yes (my country has an objection to the compilation and posting of this information) <input type="checkbox"/> Please explain: (b) No (no objection) <input checked="" type="checkbox"/>	(a) Yes (my country has an objection to the compilation and posting of this information) <input type="checkbox"/> Please explain: (b) No (no objection) <input checked="" type="checkbox"/>

<sup>2</sup> ECE/MP.EIA/30/Add.3–ECE/MP.EIA/SEA/13/Add.3.

---

**C. Experience with the strategic impact assessment procedure in 2019–2021**

**II.7. Please list the benefits of strategic environmental assessment that are identified by your country:**

- (a) Cost effectiveness
- (b) More focused and informed planning
- (c) Coordination with other sectors/i.e. avoiding overlaps or discrepancies
- (d) Environmental and health benefits
- (e) Other

Please provide your comments:

**II.8. Has your country experienced substantial difficulties in interpreting particular terms contained in (or particular articles of) the Protocol?**

- (a) No
- (b) Yes  (please indicate which ones):
  - Definition/interpretation of concepts such as: "small areas at local level" and, "minor modifications" (article 4 para.4 of SEA Protocol).
  - Monitoring ways and tools (article 12 of SEA Protocol)

**II.9. Please indicate how your country overcomes these difficulties, if any. Please provide examples that may include, among other things, working with other Parties to find solutions or using existing guidelines or fact sheets:**

**II.10. With regard your country's experience with domestic and/or transboundary procedures:**

- (a) Please describe your country's procedures for ensuring that the health aspects are properly incorporated into the environmental report and that the health authorities are consulted as provided for in article 3.

Health aspects and authorities are respectively incorporated and consulted on a case-by-case basis.

- (b) To contribute to the sharing of knowledge and experience on themes outlined in the workplan for 2021–2023, please provide at least one example of your country's application of strategic environmental assessment in one or several of the following areas:

Biodiversity

Circular economy

Energy transition

Development cooperation

Smart and sustainable cities

Sustainable infrastructure

Maritime spatial planning

- (c) Please indicate whether strategic environmental assessments implemented in your country can be considered to have contributed to the implementation of Sustainable Development Goals and their specific targets:

Yes, (certain) strategic environmental assessments significantly contributed to the attainment of Sustainable Development Goals

Yes, (certain) strategic environmental assessments somewhat contributed to the attainment of Sustainable Development Goals

No, there is no evidence that strategic environmental assessment contributes to the attainment of Sustainable Development Goals in practice

If “Yes”, please list the most relevant Sustainable Development Goals<sup>3</sup> (and their targets) and provide an example(s) of how strategic environmental assessment has contributed to their achievement

The implementation of SEA procedure in Italy during the reporting period mostly contributed to the following SDGs and related targets: SDG 3 (targets 3.9 and 3.d); SDG 6 (targets 6.3 and 6.b), SDG 11 (targets 11.3, 11.4, 11.6), SDG 12 (targets 12.2 and 12.4–12.5), SDG 13 (targets 13.1 and 13.3), SDG 14 and SDG 15.

#### **II.11. Please indicate whether your country has been carrying out monitoring according to article 12.**

(a) No

(b) Yes :

If so, please specify types of plans or programmes subject to the monitoring according to article 12, citing good practice examples or elements of good practice (for example, consultation or public participation), if available

---

<sup>3</sup> In 2017, the Meetings of the Parties acknowledged that the Convention and, in particular, the Protocol, contribute to the achievement of the Sustainable Development Goals (ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7/Add.1, decision VII/7–III/6, Minsk Declaration, para. 7). Selected examples of targets of the Sustainable Development Goals that strategic environmental assessment could help to implement include the following (see informal document to the fifth meeting of the Working Group (Geneva, 11–15 April 2016):

- (a) Sustainable Development Goal 3 – Ensure healthy lives and promote well-being for all at all ages (targets 3.9 and 3.d);
- (b) Sustainable Development Goal 6 – Ensure availability and sustainable management of water and sanitation for all (targets 6.3, 6.5–6.6 and 6.a–6.b);
- (c) Sustainable Development Goal 7 – Ensure access to affordable, reliable, sustainable and modern energy for all (targets 7.2 and 7.a);
- (d) Sustainable Development Goal 8 – Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all (target 8.4)
- (e) Sustainable Development Goal 9 – Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation (targets 9.1 and 9.4);
- (f) Sustainable Development Goal 11 – Make cities and human settlements inclusive, safe, resilient and sustainable (targets 11.3–11.4, 11.6 and 11.a–11.b);
- (g) Sustainable Development Goal 12 – Ensure sustainable consumption and production patterns (targets 12.2 and 12.4–12.5);
- (h) Sustainable Development Goal 13 – Take urgent action to combat climate change and its impacts (targets 13.1–13.3);
- (i) Sustainable Development Goal 14 – Conserve and sustainably use the oceans, seas and marine resources for sustainable development (target 14.1);
- (j) Sustainable Development Goal 15 – Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss (targets 15.1 and 15.4);
- (k) Sustainable Development Goal 16 – Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels (targets 16.6–16.7 and 16.10);
- (l) Sustainable Development Goal 17 – Strengthen the means of implementation and revitalize the global partnership for sustainable development (targets 17.13 and 17.16–17.17).

For more details see informal document ECE/MP.EIA/WG.2/2016/5/INF.16, available at [http://staging2.unece.org/net4all.ch/fileadmin/DAM/env/eia/documents/WG2.5\\_April2016/Informal\\_document\\_16\\_ece.mp.eia.wg.2.2016.INF.16\\_\\_SDG\\_Mapping.pdf](http://staging2.unece.org/net4all.ch/fileadmin/DAM/env/eia/documents/WG2.5_April2016/Informal_document_16_ece.mp.eia.wg.2.2016.INF.16__SDG_Mapping.pdf).



---

**II.12. With regards to your country's experience with transboundary procedures, in response to each of the questions below, please either provide one or two practical examples or describe your country's general experience. You might also want to include examples of lessons learned in order to help others. Please detail:**

- (a) What difficulties has your country experienced and what solutions has it found?
- (i) Translation and interpretation [Kindly refer to the answer provided in lett. b below.](#)
  - (ii) Other issues
- (b) What elements of the environmental report and other documentation does your country usually translate as a Party of origin? [Italy usually translates the environmental report into English language or in any other language requested by the Affected Party and the Non-Technical Summary into the language of the affected Party.](#)
- (c) As an affected Party, please specify whether and how your country has ensured the participation of the public concerned and the authorities pursuant to article 10 (4):
- (i) No
  - (ii) Yes  (please indicate how): [Kindly refer to answers provided in sections I.10.1, I.10.2, I.10.3, I.10.4.](#)
- (d) What has your country's experience been of the effectiveness of public participation? [The availability of information on SEA procedures and of the related documentation \(Plan/Programme, Environmental Report\) on the website of SEA competent authority increases the quantity and quality of comments submitted as well as the awareness of the public in the decision-making process](#)
- (e) Does your country have examples of organizing transboundary strategic environmental assessment procedures for joint cross-border plans and programmes?
- (i) No
  - (ii) Yes  (please describe):

## **D. Experience regarding guidance in 2019–2021**

**II.13. Has your country used in practice the following documents:**

---

*Good Practice Recommendations on Public Participation in Strategic Environmental Assessment (ECE/MP.EIA/SEA/2014/2)*

---

*Resource Manual to Support Application of the Protocol on Strategic Environmental Assessment (ECE/MP.EIA/17)*

---

Yes

No

Please specify reasons for not using the Good Practice Recommendations:

(i) Lack of awareness about the document

(ii) The document is not relevant

(iii) The document is outdated and needs revision

Yes

No

Please specify reasons for not using the Manual:

(i) Lack of awareness about the document

(ii) The Manual is not relevant

(iii) The Manual is outdated and needs revision

Your comments and/or suggestions for improving

---

or supplementing the Good Practice Recommendations:

Your comments and/or suggestions for improving or supplementing the Resource Manual:

## E. Contributions to the funding of the workplans

**II.14. Please indicate whether the information regarding contributions to the trust fund was already provided by your country in the responses to the questionnaire concerning the Convention and covered both the Convention and the Protocol:**

- (i) Yes   
(ii) No

If your response is “No”, please provide the information regarding the contributions to the trust fund below.

Your comments: *In recent years, Italy's commitment to the Convention's work has grown both through its participation in the ad hoc working groups and through an increased financial contribution, which increased in 2020 from EUR 10 000 to EUR 20 000 per year.*

**II.15. Through paragraph 4 of decision VII/4–III/4 on budget, financial arrangements and financial assistance,<sup>4</sup> applicable for the period 2017–2020, the Meetings of the Parties to the Convention and the Protocol jointly “Urge[d] all Parties to contribute to ensuring sustainable funding of activities and an equitable and proportionate sharing of the financial burden among the Parties.” For the period 2021–2023, by paragraph 1 of decision VIII/1–IV/1<sup>5</sup>, regarding funding of the adopted workplans, the Meeting of the Parties decided that: “All the Parties have a duty to contribute to the sharing of the costs that are not covered by the United Nations regular budget.”**

(a) Please indicate whether your Government contributed to the funding of the workplans during the reporting period, indicating also the currency and the amount of the contribution:

- (i) My Government made a multi-year contribution for the period 2017–2020

Please indicate when the contribution was provided (year), amount and currency:

- (ii) Individual contribution in 2019

Yes  Amount and currency:

No  Please explain the reason:

- (iii) Individual contribution in 2020:

Yes  Amount and currency:

No  Please explain the reason:

- (iv) Individual contribution in 2021:

Yes  Amount and currency: EUR 74 000

No  Please explain the reason:

- (v) Please indicate any plans of your country to contribute for the period 2021–2023

<sup>4</sup> ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7/Add.1.

<sup>5</sup> ECE/MP.EIA/30/Add.1–ECE/MP.EIA/SEA/13/Add.1.

---

EUR 180 000 (or EUR 60 000 per year to be paid in 2021, 2022 and 2023) was made available as a contribution to the implementation of two of the activities envisaged in the work plan: EUR 120 000 (or EUR 40 000 per year) for sub-regional cooperation activities in marine regions; and EUR 60 000 (or EUR 20 000 per year) initially earmarked for a thematic seminar in the framework of the MOP 2023. In 2021, Italy contributed with an additional EUR 14 000 to the funding of the work plan activities.

(b) Did your country make in-kind contributions in the reporting period?

Yes  Please describe how: Ms Anna Maria Maggiore serves as vice-chair of the Working Group on EIA and SEA and, as such, member of the Bureau

No  Please explain the reason

## **F. Suggested improvements to the report**

**II.16. Please provide suggestions for how this report could be improved:**

**Annex I****List and number of domestic strategic environmental assessment procedures initiated in the reporting period**

<i>Sector</i>	<i>Total number or an estimate*</i>	<i>Number of local-level procedures</i>	<i>Number of national-level procedures</i>	<i>Estimated average duration of the procedure,** months, if available</i>	<i>Average costs (also as percentage of the total costs for preparation of a plan/programme), euros and (percentage), if available</i>
Agriculture:					
Forestry:					
Fisheries:	1		1	12	
Energy:	4		4	18	
Industry including mining:					
Transport:					
Regional development:					
Waste management:	1		1	6	
Water management:	1		1	12	
Telecommunication:					
Tourism:					
Town and country planning:					
Land use:	1		1	5	
Other, including those falling under article 4 (3)–(4):	1		1	12	

\* The information provided constitutes:

Statistical data

Estimates

\*\* Once the need for strategic environmental assessment is determined

Your comments:

**Annex II****List and number of transboundary strategic environmental assessments in the reporting period**

<i>Sector</i>	<i>Total number or an estimate*</i>	<i>Number of local-level procedures</i>	<i>Number of national-level procedures</i>	<i>Estimated average duration of the procedure,** months, if available</i>	<i>Average costs (also as percentage of the total costs for preparation of a plan/programme), euros and (percentage), if available</i>
Agriculture:					
Forestry:					
Fisheries:					
Energy:					
Industry including mining:					
Transport:					
Regional development:					
Waste management:					
Water management:	1			7	
Telecommunication:					
Tourism:					
Town and country planning:					
Land use:					
Other, including those falling under article 4 (3)–(4):	1			3	

## Annex III

### Template for describing a good practice example of implementing a strategic environmental assessment at the national level or in a transboundary context

#### I. General information

1. Title of plan/programme
2. Authority responsible for the plan's/programme's development
3. Nature of the related strategic environmental assessment procedure:
  - (a) Domestic
  - (b) Transboundary
4. Please indicate which stage(s)/step(s) of the strategic environmental assessment procedure is/are considered to represent good practice:

The entire procedure

Screening (art. 5)

Scoping (art. 6)

Environmental report (art. 7)

Public participation (art. 8)

Consultation with environmental and health authorities (art. 9)

Transboundary consultations (art. 10)

Decision (art. 11)

Monitoring (art. 12)

5. Please indicate to which topic(s) of the 2021–2023 workplan the example is related:

Biodiversity

Circular economy

Development cooperation

Energy transition

Smart and sustainable cities

Sustainable infrastructure

#### II. Background

Please provide a short description of the plan/programme, the context of its development and general information about the strategic environmental assessment

#### III. Procedure under the protocol on strategic environmental assessment and elements of good practice

Please describe, in more detail, the procedural step/steps that is/are considered to represent good practice and then explain why that is:

**III.1. Field of application (art. 4)****III. 2. Screening (art. 5)****III. 3. Scoping (art. 6)****III.4. Environmental report (art. 7)****III.5. Public participation (art. 8)****III.6. Consultation with environmental and health authorities (art. 9)****III.7. Transboundary consultations (art. 10)****III.8. Decision (art. 11)****III.9. Monitoring (art. 12)****IV. Lessons learned and advice to other parties:****IV.1. Please indicate:**

- (a) Challenges in carrying out the procedure, if any, and how those were tackled
- (b) Lessons learned

**IV.2. As relevant, please also refer to the contribution of the above application of strategic environmental assessment towards the attainment of Sustainable Development Goals<sup>1</sup> or climate objectives.**


---

<sup>1</sup> In 2017, the Meetings of the Parties acknowledged that the Convention and, in particular, the Protocol, contribute to the achievement of the Sustainable Development Goals (ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7/Add.1, decision VII/7–III/6, Minsk Declaration, para. 7). Selected examples of targets of the Sustainable Development Goals that strategic environmental assessment could help to implement include the following (see informal document to the fifth meeting of the Working Group (Geneva, 11–15 April 2016):

- (a) Sustainable Development Goal 3 – Ensure healthy lives and promote well-being for all at all ages (targets 3.9 and 3.d);
- (b) Sustainable Development Goal 6 – Ensure availability and sustainable management of water and sanitation for all (targets 6.3, 6.5–6.6 and 6.a–6.b);
- (c) Sustainable Development Goal 7 – Ensure access to affordable, reliable, sustainable and modern energy for all (targets 7.2 and 7.a);
- (d) Sustainable Development Goal 8 – Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all (target 8.4)
- (e) Sustainable Development Goal 9 – Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation (targets 9.1 and 9.4);
- (f) Sustainable Development Goal 11 – Make cities and human settlements inclusive, safe, resilient and sustainable (targets 11.3–11.4, 11.6 and 11.a–11.b);
- (g) Sustainable Development Goal 12 – Ensure sustainable consumption and production patterns (targets 12.2 and 12.4–12.5);
- (h) Sustainable Development Goal 13 – Take urgent action to combat climate change and its impacts (targets 13.1–13.3);
- (i) Sustainable Development Goal 14 – Conserve and sustainably use the oceans, seas and marine resources for sustainable development (target 14.1);
- (j) Sustainable Development Goal 15 – Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss (targets 15.1 and 15.4);

---

- 
- (k) Sustainable Development Goal 16 – Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels (targets 16.6–16.7 and 16.10);
  - (l) Sustainable Development Goal 17 – Strengthen the means of implementation and revitalize the global partnership for sustainable development (targets 17.13 and 17.16–17.17).  
For more details see informal document ECE/MP.EIA/WG.2/2016/5/INF.16, available at [http://staging2.unece.org.net4all.ch/fileadmin/DAM/env/eia/documents/WG2.5\\_April2016/Informal\\_document\\_16\\_ece.mp.eia.wg.2.2016.INF.16\\_\\_SDG\\_Mapping.pdf](http://staging2.unece.org.net4all.ch/fileadmin/DAM/env/eia/documents/WG2.5_April2016/Informal_document_16_ece.mp.eia.wg.2.2016.INF.16__SDG_Mapping.pdf).