

Agenda item 15: **Use of financial and in-kind resources in 2021-2022**

Twelfth meeting of the Conference of the Parties

Geneva, Switzerland and online, 29 November-1 December 2022

USE OF FINANCIAL AND IN-KIND RESOURCES IN 2021-2022

Franziska Hirsch, Secretary, Industrial Accidents Convention

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CONTRIBUTIONS FOR
2021
(MAIN TRUST FUND)

Donor	Contribution date and purpose (unless unearmarked)	Received amount (USD)
Austria	20/05/2021	9,685
Bulgaria	11/05/2021	2,100
Cyprus	05/11/2021	3,500
Croatia	27/12/2021	2,980
Czechia	09/12/2020	13,000
European Union	17/08/2021	41,617
Finland	14/05/2021	12,107
France	05/07/2021	119,332
Germany	11/12/2020 CoP-II follow-up	31,406
	07/05/2021 Co-financing Beirut follow-up seminar	18,160
	29/11/2021 Co-financing JEG teleconference	17,993
	02/12/2021 Co-financing technical mission Montenegro	22,523
Hungary	25/06/2021	10,000
Italy	14/09/2021	58,962
Netherlands	16/07/2021	35,800
Norway	28/05/2021	39,985
Poland	27/12/2021	10,000
Romania	16/02/2022	11,390
Serbia	26/11/2021	2,500
Slovenia	28/12/2020	9,558
Slovakia	22/10/2021	5,814
Switzerland	05/10/2021	75,188
	05/11/2021 Project "Strengthening TMF Safety in Uzbekistan and beyond in Central Asia"	109,290
Interest income		5464
Total received in 2021		662,888

CONTRIBUTIONS FOR
2022
(MAIN TRUST FUND)

Donor	Contribution date and purpose (unless unearmarked)	Received amount (USD)
Austria	14/03/2022	8,989
Bulgaria	24/06/2022	2,100
Czechia	04/11/2021	13,000
European Union	18/03/2022	38,336
Finland	21/03/2022	10,953
France	02/10/2022	97,371
Germany	06/10/2022 CoP-12 EECCA and SEE participation 30/09/2022 JEG seminar inc. travel of JEG members from EECCA and SEE	14,534 27,156
Hungary	01/03/2022	10,000
Italy	16/11/2022	51,440
Lithuania	28/02/2022	5,636
Netherlands	14/04/2022	32,258
Norway	01/04/2022 31/05/2022 additional contribution CoP-12 incl. EECCA/SEE participation	40,000 53,892
Serbia	12/10/2022	2,500
Slovenia	18/04/2022	8,989
Slovakia	14/07/2022	5,269
Switzerland	18/07/2022 for work on RA and assistance incl. CoP-12 CA and Az. Participation	71,356
Total received in 2022		494,432
Total received in 2021 and 2022		1,162,785

**ADDITIONAL FUNDING
FROM RUSSIAN FEDERATION
PROJECT
“NATIONAL POLICY
DIALOGUES IN CENTRAL
ASIA: PHASE I (LAUNCH)”**

- USD 250,000 awarded in mid-2020
- Initial implementation period: mid-2020 - 2021
- Extended until and completed in 2022, as agreed with the donor

Year and activity	Expenditures (USD)
2020: Project planning and preparation; nomination of project experts from Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan, Uzbekistan, development of project flyer, communications and liaison	25,396
2021: Institutional contractor selected and started the conduct of review of national policies and legislation of the 5 beneficiary countries, in cooperation with national experts	62,844
2022: Finalization of country reports with analysis of national legislation & recommendations on aligning laws to the Convention and DRR policies for all 5 countries, communication of recommendations at high-level, facilitating uptake Awareness-raising of benefits of integrated policy makings and inter-institutional coordination mechanisms, such as NPDs	161,760
Total expenditures	250,000

ADDITIONAL FUNDING FOR THE UNECE-UNDRR PROJECT UNDER THE EU CENTRAL ASIA INITIATIVE

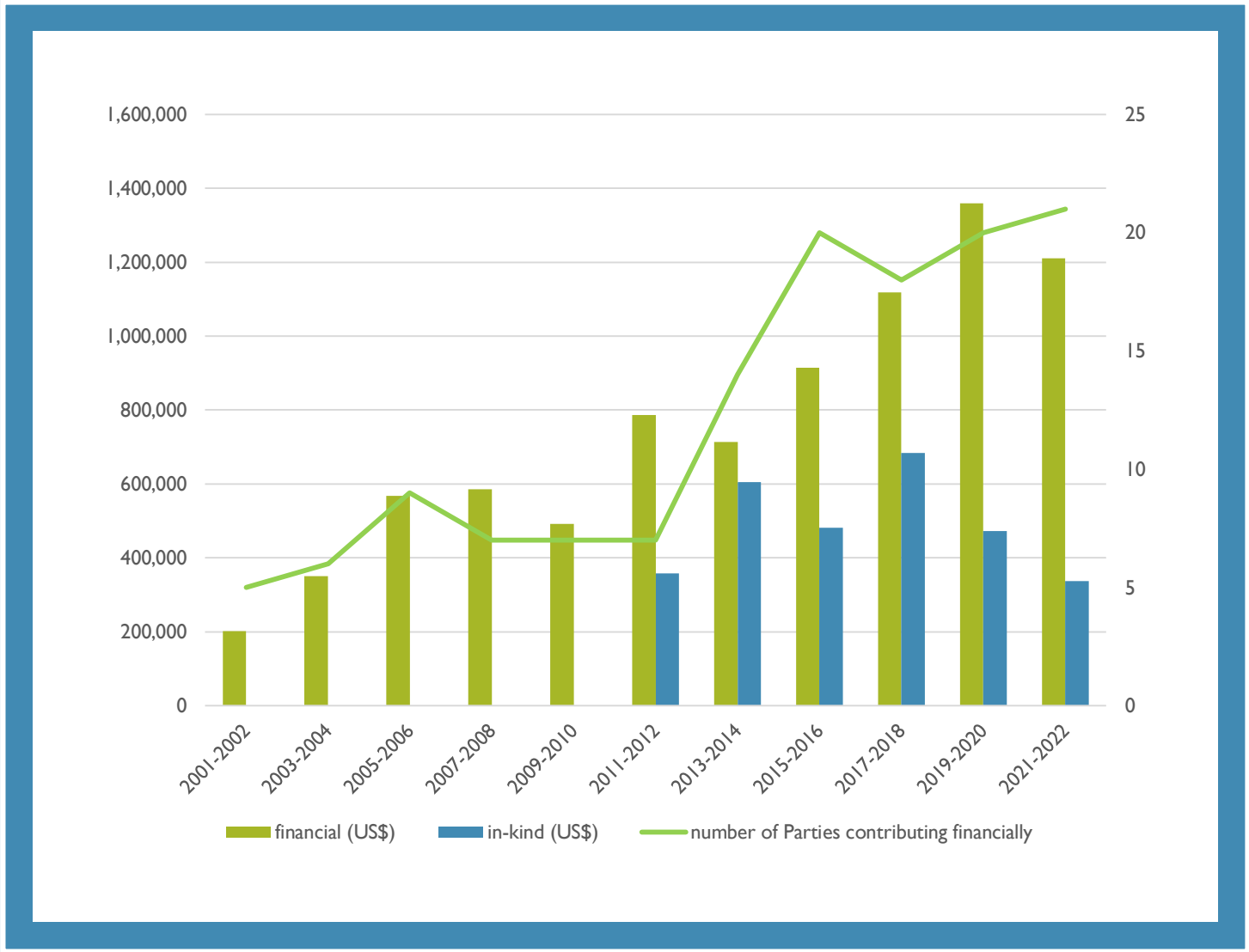
- **USD 53,000** awarded in August 2022, through an UN-UN transfer agreement
- **Project period: 15 August 2022 – 15 January 2023**
- **Objective:**
 - ✓ To support Kyrgyzstan and Tajikistan in mainstreaming technological DRR into national plans for the implementation of the DRR strategies, in relation to industrial accident risks, Natech and transboundary cooperation
- **Activities:**
 - ✓ Advisory services by the secretariat and two national consultants per countries
 - ✓ Advocacy, through the Regional Forum of National Disaster Risk Management Authorities of Central Asia (Dushanbe, 4 October 2022), bilateral meetings and in the margins of CoP-12

PREVIOUS AVAILABLE PROJECT-FUNDING

FROM LAST BIENNIUM'S CONTRIBUTIONS A SHARE OF WHICH WAS
SPENT IN THIS BIENNIUM

- from Switzerland (\$100,401, received in November 2018) for the Project to strengthen the safety of mining operations, in particular tailings management facilities, in Tajikistan and beyond in Central Asia, (expenditures of \$36,953 in 2021)
- from Switzerland (\$250,250, received in November 2019) for the Project on supporting countries of Central Asia in strengthening the safety of mine tailings (est. expenditures of approx. 100,000 in 2021-22)
- from Switzerland (\$70,000 provided in 2019–2020) to support the work on risk assessment methodologies (spent in addition to regular Swiss contribution in 2021–2022)
- from France (\$227,272, received in November 2018) to support the Sub-regional workshop on land-use planning, industrial safety (remainder of \$62,272 spent in 2021)
- from France (\$220,022, received in November 2019), to support activities aimed at supporting the implementation of the long-term strategy for the Convention until 2030 (remainder of \$122,022 spent in 2021)

EVOLUTION OVER TIME



EST. EXPENDITURES
 1 JANUARY 2021- 31
 DECEMBER 2022
(ALL TRUST FUNDS)

Ref. No.	Object of Expenditure	Total expenditures and commitments (US\$)
1	Staff and personnel costs	968,618
2	Contractual Services	63,731
3	Operating and Other Direct Costs	233,118
4	Supplies Commodities and Materials	0
5	Equipment Vehicles and Furniture	0
6	Grants Out to end beneficiaries	45,000
7	Travel	127,746
	Net Total	1,438,213
	13% UN-PSC	191,918
	Total (est.)	1,630,130

EST. EXPENDITURES
COMPARED TO
AVAILABLE
FUNDING IN THE
BIENNIUM

Item	Amounts in main trust fund (USD)
Estimated expenditures 2021-2022	1,630,130
Overall funding available	1,840,385
Contributions – est. expenditures	210,255

IN-KIND
CONTRIBUTIONS
FOR 2021-22

Country/ Organization	In-kind contribution, provided by June 2022	USD
Armenia	Expertise for GP side event	2,000
Azerbaijan	Expertise at WGI Special session	2,000
Belarus	Expertise for EFDRR thematic session in industrial/chemical accident risk, WGI Special Session; Travel and expertise for mission to Montenegro	7,000
Belgium	Expertise and travel for sub-regional workshop on LuP for SEE	3,000
Croatia	Expertise at WGI Special session	2,000
Czechia	Expertise at WGI Special session	2,000
EU	Syr Darya I Project (through UNECE Water Convention)	13,560
EU JRC	Expertise for EFDRR thematic session in industrial/chemical accident risk (2)	4,000
EU DG ENV	Expertise for first Serbian NPD Steering Committee	2,000
EIB	Consultancy on DRR and Natech	40,698
France	Expertise and travel for sub-regional workshop on LuP; expertise for NPD Launch and NPD Steering Committee in Serbia	7,000
Germany	Expertise at WGI Special session	2,000
Hungary	Expertise at WGI Special session	2,000
Italy	Expertise for first Serbian NPD Steering Committee	2,000
Kyrgyzstan	Expertise at WGI Special session	2,000

IN-KIND
CONTRIBUTIONS
FOR 2021-22
(CONT.)

Country/ Organization	In-kind contribution, provided by June 2022	USD
Montenegro	Mission to support implementation of the Strategic Approach	5,000
Netherlands	Expertise at WGI Special session	2,000
Norway	Expertise and travel for sub-regional workshop on LuP; Expertise for EFDRR thematic session in industrial/chemical accident risk and hosting of reception at CoP-12	12,000
Poland	Expertise at WGI Special session	2,000
Portugal	Expertise at WGI Special session (2 presentations)	4,000
Republic of Moldova	Expertise at WGI Special session	2,000
Romania	Expertise at WGI Special session	2,000
Serbia	Hosting of Sub-regional workshop on LuP and industrial safety and of launch and 1st SC meeting of NPDs, with various in-kind contributions; Travel and expertise for mission to Montenegro	44,000
Slovenia	Expertise for EFDRR thematic session in ind/chemical accident risk	2,000
Switzerland	Expertise for EFDRR thematic session in industrial/chemical accident risk, WGI Special Session	4,000
United Kingdom	Expertise for EFDRR thematic session in industrial/chemical accident risk and WGI Special Session	4,000
Total	In-kind contributions	176,258
Germany	Project on TMF safety in Kyrgyzstan	174,419

CONTRIBUTIONS
VS. WORKPLAN
BUDGET 2021-22
(USD)

Contributions:

Total financial contributions available for 2021-22 (main trust fund) to fund activities included in Table 1 and Table 2	1,740,385
Total in-kind contributions to support activities in workplan (Table 1)	176,258
Total	1,916,643



Workplan budget, adopted by CoP-11	2,602,600
Contributions in % of overall workplan budget	73 %

Missing contributions	685,957
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WORKPLAN ITEMS NOT IMPLEMENTED (TABLE I)

- **Assistance activities not implemented due to lack of financing:**
 - ✓ Additional country missions to support implementation of the Strategic Approach, in response to beneficiary countries' needs and concrete requests, incl. to:
 - Azerbaijan
 - Bosnia and Herzegovina
 - North Macedonia

→ *Impedes further progress in fostering the Strategic Approach and the Convention's implementation in beneficiary countries of the Assistance and Cooperation Programme*
 - ✓ Sub-regional activities, such as sub-regional workshop on land-use planning and industrial safety for Central Asia

→ *Hampering progress of further policy integration and transboundary cooperation in CA*

WORKPLAN ITEMS
NOT IMPLEMENTED
(TABLE I) (CONT.)

- **Travel to intergovernmental meetings:**
 - ✓ Due to the pandemic, no travel to Bureau or WGI meeting, after meetings in Feb 2021
 - ✓ Due to lack of financing, no travel possible for delegates for WGI special session / Seminar on good practices implemented as a hybrid, mainly online event
 - ✓ No travel to JEG meeting (so far), online teleconference
- **Outreach, awareness-raising and strategic partnerships**
 - ✓ No capacity to fully engage in strategic partnerships:
 - Coordination Group on Uranium Legacy Sites (CGULS)
 - Inter-organization programme for the sound management of chemicals (IOMC) meetings
 - Inter-agency coordination group on sound chemicals managements
 - World Resources Forum
 - Intergovernmental Forum on Mining, Minerals and Metals
 - ✓ Limited capacity to engage with partners with whom we have prioritized engagement
 - ✓ Inability to fully exploit new strategic partnerships, in response to expressions of interest

STAFF SUPPORT (TABLE 2)

- **Workplan envisages:**
 - 3.5 professional XB staff
 - 1 General Service XB staff (100%)
- **Current financing only enabled:**
 - 2 professional XB P-3 staff
 - 0.5 P-1 staff (Jan 2021-Feb 22)
 - 0.5 General Service XB staff, shared with Water Convention (since Jan 2021)
 - Consultancies and individual contractor - with limitations (24 months within 36 months, in line with UN rules and regulations)

→ *Lack of financing for adequate staff resources required to support workplan activities implement impacts sustainability and predictability of secretariat functioning, conducive to stable workplan implementation and progress towards implementation of the long-term strategy*



THANK YOU FOR YOUR ATTENTION!