

Questionnaire for the report of SWEDEN on the implementation of the Convention on Environmental Impact Assessment in a Transboundary Context in the period 2019–2021

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Part one

Current legal and administrative framework for the implementation of the Convention

In this part, please provide the information requested, or revise any information relative to the previous report. Describe the legal, administrative and other measures taken in your country to implement the provisions of the Convention. This part should describe the framework for your country's implementation, and not its experience in the application of the Convention.

Please do not reproduce the text of the legislation itself but summarize and explicitly refer to the relevant provisions transposing the text of the Convention (for example, Environmental Impact Assessment Law of the Republic of ..., article 5 (3) of Government Resolution No. ..., para. ... item...)

Article 1

Definitions

I.1.1. Is the definition of the term “impact” for the purpose of the Convention the same in your legislation as that contained in article 1 (vii)?

- (a) Yes
- (b) Yes, with some minor differences (please explain the differences): **There is a definition in Chapter 6 Section 2 of the Environmental Code which is more detailed than article 1 of the Convention. For example, the different types of possible impacts are listed (direct, indirect, positive or negative, cumulative or not cumulative effects).**
- (c) No, there are major differences (please explain the differences):
- (d) The term “impact” is not defined in the legislation

Your comments:

I.1.2. Is the definition of the term “transboundary impact” for the purpose of the Convention the same in your legislation as that contained in article 1 (viii)?

- (a) Yes, the same
- (b) Yes, with some minor differences (please explain the differences):
- (c) No, there are major differences (please explain the differences):
- (d) The term “transboundary impact” is not defined in the legislation

Your comments: **The preparatory works of the legislation state that the definition of the term impact (or environmental effect), includes transboundary impacts, i.e. the impacts that are covered by an EIA are not limited geographically.**

I.1.3. Please specify how the term “major change” is defined in your national legislation:

- (a) It is not defined
- (b) By using thresholds (Please explain:)
- (c) By using criteria (Please explain:)
- (d) On a case-by-case basis (Please explain: **The word major is not used. It is not important whether the change is major. The relevant question is instead which impacts the change can lead to.**)

Your comments:

I.1.4. How do you identify the public “of the affected Party in the areas likely to be affected”? Please specify (more than one option may apply):

- (a) Based on the geographical location of the proposed project
- (b) By making the information available to all members of the public and letting them identify themselves as the public concerned
- (c) By other means (please specify):

Your comments:

I.1.5. How do you determine the “significance” of the environmental impact of the activities falling within the scope of the Convention?¹ Please specify (more than one option may apply), providing relevant explanations:

- (a) By establishing threshold levels (please explain)
- (b) By applying criteria related to the location of proposed activities
(please explain)
- (c) By applying criteria related to the nature of proposed activities
(please explain)
- (d) By applying criteria related to the size of proposed activities
(please explain)
- (e) By applying criteria related to the effects of proposed activities
(please explain)
- (f) Other (please explain):
- (g) It is not determined (please explain:)

Your comments:

I.1.6. Please clarify whether “cumulative impacts” are taken into account in transboundary procedures under the Convention in your national legislation and, if so, how:

- (a) Yes, the legislation provides for taking into account cumulative impacts:

Please explain:

- (b) No, the legislation does not provide for taking into account cumulative impacts

Please explain:

Your comments:

Article 2

General provisions

I.2.1. Provide information on legislative, regulatory, administrative and other measures taken in your country to implement the provisions of the Convention (art. 2 (2)):

- (a) Law on environmental impact assessment (please provide exact title/reference number/year/access link, if any):

¹ Although the term “significance” is not explicitly defined in the Convention, appendix III thereto provides general criteria to assist in determining the environmental significance of activities not listed in appendix I.

(b) Environmental impact assessment provisions are transposed into another law/other laws (please specify and provide title(s) of the related legislation): **The Environmental Code (chapter 6) contains the main provisions on EIA. Several other acts have reference to Chapter 6 in the Environmental Code.**

(c) Regulation (please indicate number/year/title/related articles/access links): **The Ordinance (2017:966) on Environmental Assessments and the Ordinance (2013:251) on Environmental Examination.**

(d) Administrative (please indicate number/year/title/related articles/access links):

(e) Other (please specify):

Please explain: **There was a comprehensive change of the Swedish EIA and SEA legislation in 2018 partly due to the transposition of the revised EIA Directive. The new legislation came into force on 1 January 2018.**

I.2.2. Is the list of activities referred to in appendix I to the Convention fully transposed into your country's national legislation?

(a) Yes. There is no difference, all activities referred to in appendix I are covered by national legislation

Please elaborate, as needed: **The list of activities for which an EIA is always required consists of far more activities than the activities listed in appendix I to the Convention.**

(b) No. Activities covered by national legislation differ slightly from the activities referred to in appendix I Please explain:

(c) No, there are gaps remaining in the list of activities in the national legislation

Please explain:

I.2.3. Indicate the competent authority/authorities responsible for carrying out the environmental impact assessment procedure in your country. Please specify:

(a) There are different authorities at the national, regional and local levels

(b) Authorities are different for domestic and transboundary procedures

(c) Authorities are the same for domestic and transboundary procedures

Please name the authority/authorities and its/their responsibilities:

I.2.4. Is there an authority in your country that collects information on all the transboundary environmental impact assessment cases? If so, please name it:

(a) No

(b) Yes

Swedish Environmental Protection Agency, SEPA (Point of contact)

If "Yes", please clarify the type of information collected and provide access link, if available:

Your comments: **SEPA holds information about all transboundary environmental impact assessment cases. All governmental authorities that are informed of activities that are likely to have significant environmental effects in another country shall notify the Swedish Environmental Protection Agency.**

[Esbokventionen - om information till grannländer \(naturvardsverket.se\)](http://naturvardsverket.se)

Article 3 Notification

I.3.1. Article 3 (1) states that: “The Party of origin shall notify any Party which it considers may be an affected Party as early as possible and no later than when informing its own public about that proposed activity.” As a Party of origin, when do you notify the affected Parties?

- (a) During scoping
- (b) When the environmental impact assessment report has been prepared and the domestic procedure has started
- (c) After finishing the domestic procedure
- (d) At other times (please specify):

Your comments:): **The obligations laid down in the article and paragraph in question has been transposed in Chapter 6 Section 35 of the Environmental Code in conjunction with Section 24 of the Ordinance (2017:966) of Environmental Assessment. The notification is usually sent when the consultation (in the scoping phase) starts with citizens and organizations that are likely to be affected in Sweden. In practice, depending on the case and party concerned, we sometimes have informal contacts about the public participation, trying to use the same public meeting in the Party of origin if possible and formally invite the public in the notification letter. In general, we inform the affected party before the notification and the submission of the EIA-report to give the affected party preparedness for the public participation.**

I.3.2. Please define the format of notification used in your country:

- (a) Notification is carried out using the tabular form in table 1 of decision I/4 on the format for notification adopted by the Meeting of the Parties at its first session (ECE/MP.EIA/2, annex IV, appendix, para. 32 and table 1)
- (b) Notification is carried out in the form of a letter containing all information detailed in decision I/4 of the Meeting of the Parties (ECE/MP.EIA/2, annex IV, appendix, para. 34)
- (c) A combination of (a) and (b) above (ECE/MP.EIA/2, annex IV, appendix, para. 35)
- (d) The country has its own format (please attach a copy)
- (e) No official format used

Your comments:

I.3.3. As a Party of origin, what information do you include in the notification (art. 3 (2))? Please specify (more than one options may apply):

- (a) Information on the proposed activity, including any available information on its possible transboundary impact (art. 3 (2) (a))
- (b) The nature of the possible decision (art. 3 (2) (b))
- (c) An indication of a reasonable time within which a response is required, taking into account the nature of the proposed activity (art. 3 (2) (c))
- (d) Relevant information regarding the environmental impact assessment procedure, including an indication of the time schedule for transmittal of comments (art. 3 (5) (a))
- (e) Relevant information on the proposed activity and its possible significant adverse transboundary impact (art. 3 (5) (b))
- (f) Other (please specify):

Your comments: **The notification from Sweden as Party of origin contains brief information on the project and its consequences and information on where further information is available or could be found on a website.**

I.3.4. Article 3 (3) requires that “The affected Party shall respond to the Party of origin within the time specified in the notification”. As a Party of origin, please indicate whether your country’s legislative or regulatory framework defines a time frame for response to the notification by the affected Party/ies and, if so, how:

- (a) No, the time frame is not specified in the national legislation
- (b) Yes, the time frame is specified in the national legislation

Please indicate the time frame or its range as per your national legislation, in weeks/days:

- (c) The time frame is determined and agreed with each affected Party on a case-by-case basis

Please indicate the average time frame set on a case-by-case basis, in weeks/days:

Your comments: **The time frame is not specified any further in the national legislation. Hence it is only stated that the time frame should be reasonable. What a reasonable time frame is must be decided on a case by case basis. A time frame on 4-6 weeks is generally used. Information on the notification is given by the point of contact in the affected Party or some other relevant authority there.**

I.3.5. Please specify the consequences should a notified affected Party not comply with the time frame for responding to the notification, as required by article 3 (3), and elaborate on the possibilities of extending the deadline: In general, a time frame is set for responding to the notification. Possibilities of extending the deadline is possible if it’s needed if there is not a prominent reason not to extend the time frame. A discussion is then held by the points of contacts. Even late given response about participating in the EIA-procedure have always given the affected party right to participate in the next phase of the EIA-procedure. If no answer is received in time, we sometimes remind the notified party.

Your comments:

I.3.6. Article 3 (8) states that: “The concerned Parties shall ensure that the public of the affected Party in the areas likely to be affected be informed of ... the proposed activity”. Which of the following approaches do you use to achieve this goal? Please specify:

- (a) Informing the point of contact for the Convention listed on the Convention website²
- (b) Other (please specify):

Your comments: **Information on the notification in the affected Party is given by the authority of the point of contact in the affected Party or some other relevant authority there. The obligations laid down in the article and paragraph in question has been transposed in Chapter 6 Section 35 of the Environmental Code in conjunction with Section 24 of the Ordinance (2017:966) of Environmental Assessment. In practice, depending on the case and party concerned, we sometimes have informal contacts about the public participation, trying to use the same public meeting in the Party of origin if possible and formally invite the public in the notification letter. In general, we inform the affected party before the notification and the submission of the EIA-report to give the affected party preparedness for the public participation.**

² List available at <https://unece.org/environment-policy/environmental-assessment/points-contact-regarding-notification>.

I.3.7. On what basis does your country, as an affected Party, make the decision to participate (or not) in the transboundary environmental impact assessment procedure? Please specify:

- (a) Notified ministry/authority of the affected Party responsible for environmental impact assessment decides on its own based on the documentation provided by the Party of origin
- (b) Based on the opinion of the competent authorities of the affected Party
- (c) Based on the opinion of the competent authorities and of the public of the affected Party
- (d) Other (please specify):

Your comments: The competent authority, the Swedish Environmental Protection Agency (SEPA), makes the decision if Sweden will participate or not. In most cases the decision is made based on the result of the circulation of the notification to authorities, municipalities and NGO:s and the result of the public participation. In some cases SEPA decides on its own or if necessary, consults expert authorities or the county administrative board before deciding if Sweden would participate or not.

I.3.8. Article 3 (5) (a) states that the Party of origin must provide affected Parties with “relevant information regarding the environmental impact assessment procedure, including an indication of the time schedule for transmittal of comments”.

How does your country, as a Party of origin, determine the time schedule referred to in article 3 (5) (a)? Please specify:

- (a) Following the rules and procedures of the Party of origin
- (b) Following the rules and procedures of the affected Party/ies
- (c) A combination of (a) and (b) above. Please specify the rules and procedures of the Party of origin and the affected Party/ies that are applied in the combination:
- (d) Other (please specify):

Your comments:

Articles 2 (6), 3 (8) and 4 (2) Public participation

I.3.9. Article 2 (6) of the Convention states that: “The Party of origin shall provide an opportunity to the public in the areas likely to be affected to participate in relevant environmental impact assessment procedures and shall ensure that the opportunity provided to the public of the affected Party is equivalent to that provided to the public of the Party of origin.”

How does your country’s environmental impact assessment legislation ensure that equivalent opportunities and rights to participate in the procedure are provided for the public of the affected Party?

- (a) As an affected Party:
 - (i) Not specified in the national legislation, but it is determined and agreed with the Party of origin on a case-by-case basis at the beginning of the transboundary procedure
 - (ii) Specified in the national legislation as follows:

Your comments:

- (b) As a Party of origin:

- (i) Not specified in the national legislation; such equivalent opportunities and rights cannot be ensured in the procedure

Please explain:

- (ii) Not specified in the national legislation, but it is determined and agreed with the affected Party on a case-by-case basis at the beginning of the transboundary procedure

- (iii) Specified in the national legislation as follows:

Your comments:

I.3.10. How can the public of your country, as an affected Party, express its opinion on the environmental impact assessment documentation of the proposed project further to articles 2 (6), 3 (8) and 4 (2)? Please specify (more than one option may apply):

- (a) By sending comments in writing directly to the competent authority of the Party of origin, or to the ministry responsible for environmental affairs/focal point in the affected Party

- (b) In a territory of the affected Party: by taking part in consultations [on the basis of the environmental impact assessment documentation] or a special event, where the opinions of the public are officially registered

- (c) In a territory of the Party of origin: by taking part in consultations or a special event, where the opinions of the public are officially registered

- (d) Other (please specify): By sending comments to the competent authority/focal point.

Your comments: **Public hearing is not always arranged but information is made public by SEPA the competent authority/focal point and written comments can be sent to SEPA.**

Article 4

Preparation of the environmental impact assessment documentation

I.4.1. How do you determine the relevant information to be included in the environmental impact assessment documentation in accordance with article 4 (1)? Please specify (more than one option may apply):

- (a) By using appendix II
- (b) By using the comments received from the authorities concerned during the scoping phase, if applicable
- (c) By using the comments received from members of the public during the scoping phase, if applicable
- (d) As determined by the proponent based on its own expertise
- (e) By using other means (please specify): Requirements of the EIA-report is

Your comments: **National legislation specify required content of an EIA (Chapter 6, Section 35 and 37 of the Environmental Code and Section 19-19 in the ordinance (2017:966) of Environmental Assessments. Due to comments made by authorities and the public the level of the required information on different aspects is decided in the specific case.**

I.4.2. Article 4 states that: “The environmental impact assessment documentation... shall contain, as a minimum, the information described in appendix II.” What quality control measures are provided for in the legislative or administrative framework of your country, as a Party of origin, to ensure sufficient quality of the documentation? Please specify:

- (a) The competent authority checks the information provided and ensures that it includes all information required under appendix II as a minimum before making it available for comments
- (b) By using quality checklists based on the requirements listed in appendix II
- (c) There are no specific procedures or mechanisms
- (d) Other (please specify): **Due to requirements in Chapter 6, Section 39 and 40 the permitting authority shall determine whether the requirements on information in the EIA are met before making it available to the public.**

Your comments:

I.4.3. How do you determine “reasonable alternatives” in accordance with appendix II (b)?

- (a) On a case-by-case basis
- (b) As defined in the national legislation (please specify): X
- (c) Other (please specify):

Your comments: **National legislation specify requirements on the description of alternatives in Chapter 6, Section 35 and 37 of the Environmental Code and Section 16-19 in the ordinance (2017:966) of Environmental Assessments. The EIA shall contain a description of the reasonable alternatives on design and the reasons for the chosen design in regard of the effects on the environment, possible locations an indication of the main reasons for the option chosen, taking into account the different effects of the project on the environment between the chosen location and the alternatives, examined reasonable alternatives regarding technic, size, mitigation measures etc and a description on alternatives to reach the same object if the Country Administrative Board has demanded that the EIA should include such description.**

Article 5 Consultations on the basis of the environmental impact assessment documentation

I.5. Does your national environmental impact assessment legislation provide for organizing transboundary consultations between the authorities of the Parties concerned?

- (a) Yes, it is obligatory
- (b) Yes, it is optional (please specify):
- (c) No, it does not have any provision on that

Your comments: **The organizing of transboundary consultations between the authorities of the Parties concerned does not follow directly by the legislation. SEPA is designated competent authority according to article 1 of the Convention. SEPA is responsible for fulfilling the obligations in article 2 p 4-6, article 3 p 1-3 and 5-8, article 4 p 2 and 5-7 of the Convention, Section 24 in the ordinance (2017:966) of Environmental Assessments. Organization of the transboundary consultations between the authorities of the Parties concerned is decided on a case-by-case basis.**

As SEPA is notified of a proposed activity from a part of origin SEPA considers if Sweden would be affected by significant adverse transboundary impact and notifies the party of origin.

As SEPA is notified of a proposed activity from a part of origin SEPA considers if Sweden would be affected by significant adverse transboundary impact and also if there is certain part of the public, normally in a specific geographic region, which is subject to direct impact.

When there is certain part of the public affected by a proposed activity information about a notification on a proposed activity with significant adverse transboundary effect is announced in local news. The public is informed of where information about the project can be found, information is also given about the procedure and how to make comments. Organizations representing the public are also informed.

When transboundary impact is likely to affect more in general, information is announced in national media. Organizations representing the public are also being informed directly.

There is not an obligation in the legislation of always arranging a public hearing in Sweden when Sweden is an affected party. Though SEPA is always discussing the practicalities around ensuring the public of the affected party the possibilities of receiving information, making comments and objections with local authorities and the party of origin. If and how public hearing is being arranged is decided case by case often in cooperation with the country of origin.

A public hearing is always arranged when there is a certain part of the public likely to be affected. SEPA is often coordinating public hearing with the country of origin. In some cases it has been found suitable and sufficient, when a public hearing is arranged in the party of origin and the geographical distance is short, inviting the Swedish public to the public hearing of the party of origin. Interpretation is then being arranged. Public hearing is also being arranged in Sweden when found the most suitable alternative.

Article 6 Final decision

I.6.1. Please select from the list below the information that your country, as a Party of origin, in accordance with its legislative and administrative framework, should take due account of in the final decision on the proposed activity (art. 6 (1)):

- (a) Conclusions of the environmental impact assessment documentation
- (b) Comments received in accordance with articles 3 (8) and 4 (2)
- (c) Outcome of the consultations as referred to in article 5
- (d) Outcomes of the transboundary consultations
- (e) Comments received from the affected Party/ies
- (f) Mitigation measures
- (g) Other (please specify):

Your comments: **There are a number of other points that should be covered in the final decision, these are listed in Chapter 22 section 25 of the Environmental Code and include e.g. the environmental conditions.**

I.6.2. Are the comments of the authorities and the public of the affected Party and the outcome of the consultations taken into consideration in the same way as the comments from the authorities and the public in your country (art. 6 (1))?:

- (a) Yes
- (b) No Please explain the differences

Your comments:

I.6.3. According to article 6 (3): If additional information on the significant transboundary impact of a proposed activity, which was not available at the time a decision was made with respect to that activity and which could have materially affected the decision, becomes available to a concerned Party before work on that activity commences, that Party shall immediately inform the other concerned Party or Parties. If one of the concerned Parties so requests, consultations shall be held as to whether the decision needs to be revised.

Is there any regulation in your country that ensures the implementation of the provisions of article 6 (3)?

- (a) No
- (b) Yes, by legislation (please specify):
- (c) Yes, by other means (please specify): **See Section 24 in the Ordinance (2017:966) of Environmental Assessments.**

Your comments:

I.6.4. Do all activities listed in appendix I (items 1–22) and major changes thereto require a final decision to authorize or undertake such an activity in your legislative framework?

- (a) Yes
- (b) No

If “No”, please list those activities listed in appendix I or major changes thereto for which there is no requirement for a final decision. Please explain why those activities/major changes do not require a final decision in your national legislation.

Article 7

Post-project analysis

I.7. Is there any provision regarding implementation of post-project analysis in your national environmental impact assessment legislation (art. 7 (1))?

- (a) No
- (b) Yes Please specify:

Your comments: **See Section 24 in the Ordinance (2017:966) of Environmental Assessments. Moreover, Chapter 26 of the Environmental Code includes provisions on supervision. The supervisory authority shall, on its own initiative or upon notification, to the extent necessary, supervise compliance with the provisions of the Environmental Code and provisions, judgements and other decisions adopted in pursuance thereof and take any measures necessary to ensure that faults are corrected. In the case of environmentally hazardous activities or water operations which require a permit the supervisory authority shall also continuously assess if the conditions are sufficient.**

Article 8

Bilateral and multilateral cooperation

I.8.1. According to article 8: “The Parties may continue existing or enter into new bilateral or multilateral agreements or other arrangements in order to implement their obligations under this Convention and under any of its protocols to which they are a Party. Such agreements or other arrangements may be based on the elements listed in appendix VI.”

Has your country established any bilateral or multilateral agreements to implement the Convention?

- (a) No
- (b) Yes Please specify with which countries:

If publicly available, please also attach the texts of any such bilateral and multilateral agreements, preferably in English, French or Russian.

Your comments:

I.8.2. What issues do these bilateral agreements cover (appendix VI)? (More than one option may apply):

- (a) Specific conditions of the subregion concerned
- (b) Institutional, administrative and other arrangements
- (c) Harmonization of the Parties’ policies and measures
- (d) Developing, improving and/or harmonizing methods for the identification, measurement, prediction and assessment of impacts, and for post-project analysis
- (e) Developing and/or improving methods and programmes for the collection, analysis, storage and timely dissemination of comparable data regarding environmental quality in order to provide input into the environmental impact assessment
- (f) Establishment of threshold levels and more specified criteria for defining the significance of transboundary impacts related to the location, nature or size of proposed activities
- (g) Undertaking joint environmental impact assessment, development of joint monitoring programmes, intercalibration of monitoring devices and harmonization of methodologies
- (h) Other, please specify:

Your comments:

Complimentary information related to legal implementation of the Convention

I.9.1. Please describe how the steps required under your national legislation for carrying out a transboundary procedure are interlinked with a domestic environmental impact assessment procedure in the lead-up to the final decision.

In doing so, please also describe differences between the steps (i.e. screening/scoping, preparation of the environmental impact assessment, consultation, public participation, making a final decision) of a domestic procedure and a transboundary procedure, if any:

Alternatively, this question can be answered or supported by a schematic flow chart showing these steps.

Your comments:

I.9.2. Does your country have special provisions or informal arrangements concerning transboundary environmental impact assessment procedures for joint cross-border projects, the construction of nuclear power plants and/or their lifetime extension?

| <i>Joint cross-border projects</i> | <i>Construction of nuclear power plants</i> | <i>Lifetime extension of nuclear power plants</i> |
|--|---|---|
| (a) No <input checked="" type="checkbox"/> | (a) No <input checked="" type="checkbox"/> | (a) No <input checked="" type="checkbox"/> |
| (b) Yes <input type="checkbox"/> | (b) Yes <input type="checkbox"/> | (b) Yes <input type="checkbox"/> |
| (i) Special provisions: | (i) Special provisions: | (i) Special provisions: |
| (ii) Informal arrangements: | (ii) Informal arrangements: | (ii) Informal arrangements: |
| Please explain: | Please explain: | Please explain: |

Your comments:

Part two

Practical application during the period 2019–2021³

Please report on your country's practical experiences in applying the Convention (not your country's procedures, as described in part one), whether as a Party of origin or as an affected Party. The focus here is on identifying good practices as well as difficulties Parties have encountered in applying the Convention in practice. The goal is to enable Parties to share solutions. Please therefore provide appropriate examples highlighting application of the Convention and innovative approaches to improving its application.

Part two also focuses on general issues arising from the sixth review of implementation of the Convention (2016–2018)^a and issues that have been identified as priorities by Parties in the 2021–2023 workplan.^b It also addresses the goals of the Long-term strategy and the action plan for the Convention and the Protocol that requires that the reviews of implementation be adapted, in particular, “to maximize their usefulness as a source of information, highlight progress achieved, draw attention to areas that need improvement, disseminate best practice ...”.^c

^a United Nations publication, ECE/MP.EIA/32.

^b ECE/MP.EIA/30/Add.1–ECE/MP.EIA/SEA/13/Add.1, decision VIII/2–IV/2.

^c ECE/MP.EIA/30/Add.1–ECE/MP.EIA/SEA/13/Add.1, decision VIII/3–IV/3, annex, item II.A.9.

A. List of transboundary procedures initiated during the period 2019–2021

II.1. Please indicate, in the table provided in annex I to the present questionnaire, the total number of transboundary environmental impact assessment procedures initiated in the reporting period in relation to activities listed in appendix I to the Convention and in which your country was a Party of origin or affected Party. Please also indicate the number and types of transboundary procedures related to activities not listed in appendix I that your country implemented in the reporting period as a Party of origin or as an affected Party, if any.

Your comments:

II.2. Please list transboundary environmental impact assessment procedures referred to in question II.1. above using table 1 of annex II to the present questionnaire for procedures in which your country was a Party of origin and table (2) for procedures in which your country was an affected Party.

Your comments:

II.3. According to paragraph 10 of decision VIII/5 of the Meeting of the Parties the project lists referred to in question II.2 above and annex II to the present questionnaire are to be posted on the ECE website. Should your country object to this, however, please indicate “Yes” and explain, as relevant:

- (a) Yes (my country has an objection the compilation and posting of this information)

Please explain:

- (b) No (no objection)

³ Part II of this questionnaire is not considered to be a reporting obligation according to the Convention. Parties are encouraged to share examples of good practice, subject to their capabilities and the availability of relevant data.

Your comments:

B. Experience in the transboundary environmental impact assessment procedure during the period 2019–2021

Please share with other Parties your country's experience of using the Convention in practice. When responding to each of the questions below, please provide one or two practical examples and/or describe your country's general experience. You might also include examples of lessons learned in order to help others.

II.4. Please provide at least one example of the implementation of the Convention for an activity listed in appendix I to the Convention based on the template contained in annex III to the present questionnaire.

Your comments:

II.5. The Convention does not refer to the translation of environmental impact assessment documentation and to other language-related issues as important prerequisites for providing effective public participation of potentially affected Parties in a transboundary procedure. As an affected Party, please specify in which language(s) the environmental impact assessment documentation is made available for your own public to ensure effective public participation in transboundary procedures. (You may select several options, as needed):

- (a) In the official language(s) of the country Please specify: **Swedish**
- (b) Information in English is acceptable
- (c) Please list other languages that fulfil the requirements of effective public participation in your country, if applicable:

Your comments:

II.6. What difficulties has your country experienced with regard to translation of environmental impact assessment documentation and interpretation during consultation meetings with authorities, or during events organized within the public participation procedure, if applicable, and what solutions has it found?

- (a) Experience with regard to translation of the environmental impact assessment documentation
 - (i) As a Party of origin: **Comprehensive materials in large projects, problem choosing relevant parts for translation etc.**
 - (ii) As an affected Party: **None**
- (b) Experience with regard to interpretation during consultation meetings with authorities
 - (i) As a Party of origin: **None as English is acceptable**
 - (ii) As an affected Party: **None as English is acceptable**
- (c) Experience with regard to interpretation during public participation-related events
 - (i) As a Party of origin: **No public participation events that has needed interpretation as the Nordic countries, except Finland, communicates in their own language.**
 - (ii) As an affected Party: **There has been public participation meetings with Finland via Teams, due to the covid-19 pandemic, where Finland as party of origin has provided interpreter for the meeting. This was well executed by the Finnish part and no problems was reported.**

Your comments:

II.7. Which Party covers the cost of translation of environmental impact assessment documentation?

- (a) As a Party of origin: **Party of origin**
- (b) As an affected Party: **Party of origin**
- (c) Other, please specify:

Your comments: **As party of origin, the developer almost everytime covers the cost of translations.**

II.8. What parts of the environmental impact assessment documentation does your country usually translate/require to be translated?

- (a) As a Party of origin: **Decision regarding what parts of the EIA that usually translates is done case by case. For smaller projects, usually the entire EIA documentation is being translated, in large project where the EIA documentation is comprehensive, a non-technical summary, table of content and specific parts relevant to transboundary issues.**
- (b) As an affected Party: **Sweden never translate EIA documentation as affected party as the Nordic countries own languages are accepted, except Finnish. Projects outside of the Nordics and Finland always comes translated into English and or Swedish which both are accepted.**

Your comments:

II.9. Has the issue of translation been addressed in bilateral agreements between your country and other Parties?

- (a) Yes Please explain how it has been addressed:
- (b) No

Your comments:

II.10. As a Party of origin, how and in which language do you usually provide environmental impact assessment documentation to the affected Party?

- (a) A full set of environmental impact assessment documentation is translated into English
- (b) Selected parts of the documentation are translated in English
Please specify which parts are translated and how they are selected: **In large project where the EIA documentation is comprehensive, a non-technical summary, table of content and specific parts relevant to transboundary issues are translated. The selection of what parts to be translated differs between projects, sometimes relevant parts is selected in cooperation with the developer and sometimes in cooperation with the affected party.**
- (c) A full set of environmental impact assessment documentation is translated into the affected Party's language **(when relevant)**
- (d) Selected parts of environmental impact assessment documentation are translated into the language of the affected Party
Please specify which parts are translated and how they are selected **Same procedure as described in (b)**
- (e) Other (please specify)

Your comments:

II. 11. Please indicate how the costs of interpretation during the events organized within the public participation procedure are covered:

- (a) By the developer: Please explain:
- (b) By the Party of origin alone: Please explain

- (c) By the affected Party alone: Please explain
- (d) Shared by both Parties concerned upon an agreement:
- (e) Please provide details of related agreements and considerations based on which the Parties concerned agreed to share the translation costs:
- (f) Other (please specify)

Your comments:

II.12. Please describe any difficulties that your country has encountered during public participation procedures and consultations referred to in articles 2 (5), 3 (8), 4 (2) and 5 with regard to issues not covered above; for example, with regard to time frames for the duration of public participation and the consultation period and the need for additional information:

- (a) As a Party of origin:
 - (i) Experience with public participation **None**
 - (ii) Experience with consultations under article 5 **None**
- (b) As an affected Party:
 - (i) Experience with public participation **None**
 - (ii) Experience with consultations under article 5 **None**

Your comments:

II.13. Has your country carried out post-project analyses in the period 2019–2021:

- (a) No
- (b) Yes

Please list the projects for which post-project analysis was carried out, describing challenges and lessons learned, if any:

Your comments:

II.14. Does your country have successful examples of organizing transboundary environmental impact assessment procedures in any of the categories of projects indicated below?

| <i>Joint cross-border projects</i> | <i>Construction of nuclear power plants</i> | <i>Lifetime extension of nuclear power plants</i> |
|---|---|---|
| (a) No <input type="checkbox"/> | (a) No <input checked="" type="checkbox"/> | (a) No <input checked="" type="checkbox"/> |
| (b) Yes <input checked="" type="checkbox"/> | (b) Yes <input type="checkbox"/> | (b) Yes <input type="checkbox"/> |

If “Yes”, please describe what, in your opinion, made it successful, for example, means of cooperation (for example, contact points, joint bodies, bilateral agreements, special and common provisions, etc.), institutional arrangements, and how practical matters are dealt with (for example, translation, interpretation, transmission of documents, etc.):

- (a) For joint cross-border projects: Sweden have only carried out one joint cross-border project with Finland during the period. Due to differences in national legislation there was some problems regarding to timing of the public consultations in both countries. But due to good cooperation’s with PoC in Finland, and between the Finnish and Swedish developers, the legislative obstacles could be minimized, and useful consultations could be carried out.
- (b) For construction of nuclear power plants:
- (c) For lifetime extension of nuclear power plants:

You may also wish to describe the example using annex III to the present questionnaire.

Your comments:

II.15. Please provide examples from your experience during the reporting period (either complete cases or elements such as notification, consultation and public participation) for the information of Parties that, in your view, constitute good practice or lessons learned on various topics:

Your comments:

II.16. The Long-term strategy and the action plan for the Convention and the Protocol⁴ recommends enhancing the use of the networks of national focal points for administrative matters⁵ and points of contact for notification⁶ published on the website of the Convention. Does your country use the networks for contacting the affected Parties' authorities?

(a) Yes, my country makes use of the networks

(b) My country is aware of the networks but is not using them

Please explain why, and describe the alternative ways used by your country to identify which competent authority of the affected Party to contact:

Your comments:

II.17. Please specify what quality control measures your country, as a Party of origin, applies to ensure sufficient quality of environmental impact assessment documentation and, in particular, that said documentation contains, as a minimum, information described in appendix II to the Convention.

Your comments: **The permitting authority is responsible to ensure that the EIA report meets the legal requirements and thus have a sufficient quality before making it available to the public (publish a notice). Sweden sends the EIA report, or an adequate summary, on transboundary consultation after permitting authority have published the notice.**

II.18. Please specify whether alternatives are assessed in the environmental impact assessment documentation and, if so, how. If possible, provide at least one example of such assessment, either as a Party of origin or as an affected Party, or both: Yes, alternatives are assessed in the environmental impact assessment.

Your comments: **The Swedish Environmental Assessment Ordinance (2017:966) states that the EIA documentation shall contain:**

- possible alternative designs and the reasons for the chosen design with regard to environmental effects,

- possible alternative sites and the reasons for the choice of site, taking into account differences in the environmental effects between the selected site and the alternatives,

- examine possible alternatives in terms of technology, size, scope, safeguards, restrictions, precautionary measures and other relevant aspects and the reasons for the choices made with regard to environmental effects, and an account of alternative ways of achieving the same purpose.

One example of such assessment is in a transboundary case where Sweden is the Party of Origin. The project is an overhead powerline where the developer assesses alternatives in the EIA. Alternatives are assessed in a separate chapter where it begins

⁴ ECE/MP.EIA/2020/3–ECE/MP.EIA/SEA/2020/3, item II.A.7.

⁵ List of Focal Points for Administrative Matters, available at <https://unece.org/environment-policy/environmental-assessment/focal-points-administrative-matters>.

⁶ List of Points of Contact regarding Notification, available at <https://unece.org/environment-policy/environmental-assessment/points-contact-regarding-notification>.

by describing the zero alternative, ie if the planned electricity connection is not built. The section then continues with a description regarding technical design and investigated technology choices, to then go into investigated location alternatives. Finally, a summary of the main alternative selected is presented.

II.19. Please specify whether the following issues are considered in the environmental impact assessment documentation:

- (a) Biodiversity Included in general
- (b) Climate change Included in general
- (c) Circular economy Rarely
- (d) Sustainable Development Goal implementation When applicable
- (e) Smart and sustainable cities No
- (f) Sustainable infrastructure No
- (g) Renewables No
- (h) Other issues not listed above (please specify):

Please provide at least one example of how one of the above-mentioned issues is addressed in the environmental impact assessment documentation: **In Chapter 6 of the Swedish Environmental code, biodiversity is included as an environmental aspect that needs to be addressed in the EIA documentation. It states "animal or plant species that are protected in accordance with Chapter 8, and biological diversity in general". One example of such assessment is in a transboundary case where Sweden is the Party of Origin. The project is an overhead powerline where the developer assesses the projects impact on climate change. The EIA contains a chapter describing the projects positive and negative effects on the climate. First by describing the projects positive effect by further enabeling for the green energy transistion. Secondly describing the projects negative effects on climate change by describing that the construction of a power line also entails a negative climate impact through the greenhouse gas emissions that occur during construction work and in the production of the building materials used. During construction work, air pollution occurs in connection with excavation work and transport. The materials that have the greatest climate impact per building kilometer are aluminum, steel and concrete. Deforestation for the main street also indirectly contributes to a negative climate impact.**

You may wish to use the template in annex III to the questionnaire.

Your comments:

II.20. Please indicate whether, in your country's practice, cumulative impacts are taken into account during the assessment under the Convention and, if so, how:

- (a) No
- (b) Yes

If "Yes", please provide at least one example of how cumulative impacts are considered. **In Chapter 6 of the Swedish Environmental code, cumulative effects are listed as an environmental aspect that should be included in the environmental assessment. This is also needed when a proposed project might have significant transboundary effect and should therefor consider other parties planned or existing projects in the area that might lead to cumulative effects. For example, when offshore wind farms are assessed, other projects in the same area should be considered to take cumulative effects into concideration.**

Your comments:

II.21. Please indicate whether health issues are taken into account in your country during the assessment under the Convention in practice and, if so, how:

- (a) No
(b) Yes

If “Yes”, please provide at least one example of how health issues are taken into account **Effects on the population and human health are included in the definition of environmental effects in Chapter 6. Section 2 of the Environmental Code. In assessing whether the activity or measures constitute a significant environmental impact, risks to human health must be taken into account. In assessing whether the activity or measures constitute a significant environmental impact, risks to human health must be taken into account. For example, the environmental assessment must assess and report, among other things, what the consequences will be of people being exposed to a certain noise level as a result of a planned activity.**

Your comments:

II.22. Please indicate whether environmental impact assessments implemented in your country can be considered to have contributed to the implementation of Sustainable Development Goals and their specific targets:

- (a) No, there is no evidence that environmental impact assessments contribute to the attainment of Sustainable Development Goals
(b) Yes, (certain) environmental impact assessments significantly contributed to the attainment of Sustainable Development Goals
(c) Yes, (certain) environmental impact assessments contributed somewhat to the attainment of Sustainable Development Goals

If “Yes”, please list the most relevant Sustainable Development Goals⁷ (and their targets) and provide [at least one] example[s] of how environmental impact assessment has contributed

⁷ In 2017, the Meetings of the Parties acknowledged that the Convention and, in particular the Protocol, contribute to the achievement of the Sustainable Development Goals (ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7/Add.1, decision VII/7–III/6, Minsk Declaration, para. 7). Selected examples of Sustainable Development Goal targets that strategic environmental assessment could help to implement include the following (see informal document to the fifth meeting of the Working Group (Geneva, 11–15 April 2016):

- (a) Sustainable Development Goal 3 – Ensure healthy lives and promote well-being for all at all ages (targets 3.9 and 3.d);
(b) Sustainable Development Goal 6 – Ensure availability and sustainable management of water and sanitation for all (targets 6.3, 6.5–6.6 and 6.a–6.b);
(c) Sustainable Development Goal 7 – Ensure access to affordable, reliable, sustainable and modern energy for all (targets 7.2 and 7.a);
(d) Sustainable Development Goal 8 – Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all (target 8.4);
(e) Sustainable Development Goal 9 – Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation (targets 9.1 and 9.4);
(f) Sustainable Development Goal 11 – Make cities and human settlements inclusive, safe, resilient and sustainable (targets 11.3–11.4, 11.6 and 11.a–11.b);
(g) Sustainable Development Goal 12 – Ensure sustainable consumption and production patterns (targets 12.2 and 12.4–12.5);
(h) Sustainable Development Goal 13 – Take urgent action to combat climate change and its impacts (targets 13.1–13.3);
(i) Sustainable Development Goal 14 – Conserve and sustainably use the oceans, seas and marine resources for sustainable development (target 14.1);
(j) Sustainable Development Goal 15 – Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss (targets 15.1 and 15.4);

to their attainment. **We do not have any systematic way of measuring how the EIAs have contributed to target the SDGs. However, we are certain that the implementation of EIA in Sweden do have contributed to the SDG targets, but do not have the possibility to show this by evidence.**

Your comments:

C. Experience in using the available guidance documents in 2019–2021

II.23. Have you used in practice the following guidance, adopted by the Meeting of the Parties and available online?

| <i>Title of guidance document</i> | <i>Use of guidance</i> | <i>Your comments and/or suggestions for improving or supplementing the guidance</i> |
|---|---|---|
| Guidance on Public Participation in Environmental Impact Assessment in a Transboundary Context (ECE/MP.EIA/7) | I use it <input type="checkbox"/> I do not use it <input checked="" type="checkbox"/> Please specify: (i) I am not aware of the guidance <input type="checkbox"/> (ii) The guidance is not relevant <input checked="" type="checkbox"/> (iii) The guidance is outdated and needs revision <input type="checkbox"/> | |
| Guidance on subregional cooperation (ECE/MP.EIA/6, annex V, appendix) | I use it <input type="checkbox"/> I do not use it <input checked="" type="checkbox"/> Please specify: (i) I am not aware of the guidance <input checked="" type="checkbox"/> (ii) The guidance is not relevant <input type="checkbox"/> (iii) The guidance is outdated and needs revision <input type="checkbox"/> | |

(k) Sustainable Development Goal 16 – Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels (targets 16.6–16.7 and 16.10);

(l) Sustainable Development Goal 17 – Strengthen the means of implementation and revitalize the global partnership for sustainable development (targets 17.13 and 17.16–17.17).

For more details, see ECE/MP.EIA/WG.2/2016/5/INF.16, available at http://staging2.unece.org.net4all.ch/fileadmin/DAM/env/eia/documents/WG2.5_April2016/Informal_document_16_ece.mp.eia.wg.2.2016.INF.16__Sustainable_Development_Goal_Mapping.pdf.

| Title of guidance document | Use of guidance | Your comments and/or suggestions for improving or supplementing the guidance |
|--|---|--|
| Guidance on the Practical Application of the Espoo Convention (ECE/MP.EIA/8) | I use it <input type="checkbox"/> I do not use it <input checked="" type="checkbox"/> Please specify: (i) I am not aware of the guidance <input type="checkbox"/> (ii) The guidance is not relevant <input type="checkbox"/> (iii) The guidance is outdated and needs revision <input type="checkbox"/> | |
| Guidance on the applicability of the Convention to the lifetime extension of nuclear power plants (ECE/MP.EIA/2020/9) | I use it <input type="checkbox"/> I do not use it <input checked="" type="checkbox"/> Please specify: (i) I am not aware of the guidance <input type="checkbox"/> (ii) The guidance is not relevant <input checked="" type="checkbox"/> (iii) The guidance is outdated and needs revision <input type="checkbox"/> | |
| Good Practice Recommendations on the Application of the Convention to Nuclear Energy-related Activities (ECE/MP.EIA/24) | I use it <input type="checkbox"/> I do not use it <input checked="" type="checkbox"/> Please specify: (i) I am not aware of the document <input type="checkbox"/> (ii) The document is not relevant <input checked="" type="checkbox"/> (iii) The document is outdated and needs revision <input type="checkbox"/> | |
| Revised Guidelines on Environmental Impact Assessment in a Transboundary Context for Central Asian Countries (ECE/MP.EIA/28) | I use it <input type="checkbox"/> I do not use it <input checked="" type="checkbox"/> Please specify: (i) I am not aware of the document <input type="checkbox"/> (ii) The document is not relevant <input checked="" type="checkbox"/> (iii) The document is outdated and needs revision <input type="checkbox"/> | |

| <i>Title of guidance document</i> | <i>Use of guidance</i> | <i>Your comments and/or suggestions for improving or supplementing the guidance</i> |
|--|---|---|
| Guidance on Notification according to the Espoo Convention (ECE/MP.EIA/12) | I use it <input type="checkbox"/> I do not use it <input checked="" type="checkbox"/> Please specify: (i) I am not aware of the guidance <input type="checkbox"/> (ii) The guidance is not relevant <input checked="" type="checkbox"/> (iii) The guidance is outdated and needs revision <input type="checkbox"/> | |

Your comments:

D. Clarity of the Convention

II.24. Has your country had difficulties implementing the procedures defined in the Convention, either as a Party of origin or as an affected Party, because of a lack of clarity of the provisions?

No

Yes Please indicate which provisions and how they are unclear:

Your comments:

E. Contributions to the funding of the workplans

II.25. Please indicate whether the information regarding contributions to the trust fund was already provided by your country in the responses to the questionnaire concerning the Protocol and covered both the Convention and the Protocol:

(i) Yes

(ii) No

If “No”, please provide the information regarding the contributions to the trust fund below.

Your comments: **The information about the Swedish contribution will be done before the end of this semester.**

II.26. Through paragraph 4 of decision VII/4–III/4, applicable for the period 2017–2020, the Meetings of the Parties to the Convention and the Protocol jointly “urge[d] all Parties to contribute to ensuring sustainable funding of activities and an equitable and proportionate sharing of the financial burden among the Parties”.⁸ For the period 2021–2023, by paragraph 1 of decision VIII/1–IV/1, regarding funding of the adopted workplans, the Meeting of the Parties decided that “all the Parties have a duty to contribute to the sharing of the costs that are not covered by the United Nations regular budget”.⁹

(a) Please indicate whether your Government contributed to the funding of the workplans during the reporting period, indicating also the currency and the amount of the contribution:

(i) My Government made a multi-year contribution for the period 2017–2020

⁸ ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7/Add.1.

⁹ ECE/MP.EIA/30/Add.1–ECE/MP.EIA/SEA/13/Add.1.

Please indicate when the contribution was provided (year), amount and currency:

- (ii) Individual contribution in 2019
Yes Amount and currency: 8300 USD
No Please explain the reason:
- (iii) Individual contribution in 2020:
Yes Amount and currency: 8300 USD
No Please explain the reason:
- (iv) Individual contribution in 2021:
Yes Amount and currency: 8300 USD
No Please explain the reason:
- (v) Please indicate any plans of your country to contribute for the period 2021–2023 The contribution is made annually.
- (b) Did your country make in-kind contributions in the reporting period?
Yes Please describe how:
No Please explain the reason

F. Suggested improvements to the report

II.27. Please provide further suggestions (preferably specific drafting proposals including wording suggestions) for how this report could be improved.

Annex I

Number of transboundary procedures initiated by your country, as a Party of origin, and participated in, as an affected Party, in the reporting period

| <i>Activities listed in appendix I to the Convention</i> | | <i>No. of procedures as a PoO^a</i> | <i>No. of procedures as an AP^b</i> |
|--|---|---|---|
| 1. | Crude oil refineries (excluding undertakings manufacturing only lubricants from crude oil) and installations for the gasification and liquefaction of 500 metric tons or more of coal or bituminous shale per day. | 0 | 0 |
| 2. (a) | Thermal power stations and other combustion installations with a heat output of 300 megawatts or more; | 0 | 0 |
| 2. (b) | Nuclear power stations and other nuclear reactors, including the dismantling or decommissioning of such power stations or reactors 1/ (except research installations for the production and conversion of fissionable and fertile materials, whose maximum power does not exceed 1 kilowatt continuous thermal load). | 0 | 0 |
| 3. (a) | Installations for the reprocessing of irradiated nuclear fuel; | | |
| 3. (b) | Installations designed: | 0 | 0 |
| | - For the production or enrichment of nuclear fuel; | | |
| | - For the processing of irradiated nuclear fuel or high-level radioactive waste; | 0 | 0 |
| | - For the final disposal of irradiated nuclear fuel; | 1 | 1 |
| | - Solely for the final disposal of radioactive waste; or | 0 | 0 |
| | - Solely for the storage (planned for more than 10 years) of irradiated nuclear fuels or radioactive waste in a different site than the production site. | 0 | 0 |
| 4. | Major installations for the initial smelting of cast iron and steel and for the production of non-ferrous metals. | 0 | 1 |
| 5. | Installations for the extraction of asbestos and for the processing and transformation of asbestos and products containing asbestos: for asbestos-cement products, with an annual production of more than 20,000 metric tons finished product; for friction material, with an annual production of more than 50 metric tons finished product; and for other asbestos utilization of more than 200 metric tons per year. | 0 | 0 |
| 6. | Integrated chemical installations. | 0 | 0 |

| <i>Activities listed in appendix I to the Convention</i> | | <i>No. of procedures as a PoO^a</i> | <i>No. of procedures as an AP^b</i> |
|--|--|---|---|
| 7. (a) | Construction of motorways, express roads 2/ and lines for long-distance railway traffic and of airports 3/ with a basic runway length of 2,100 metres or more; | 0 | 0 |
| 7. (b) | Construction of a new road of four or more lanes, or realignment and/or widening of an existing road of two lanes or less so as to provide four or more lanes, where such new road, or realigned and/or widened section of road, would be 10 km or more in a continuous length. | 0 | 0 |
| 8. | Large-diameter pipelines for the transport of oil, gas or chemicals. | 0 | 0 |
| 9. | Trading ports and also inland waterways and ports for inland-waterway traffic which permit the passage of vessels of over 1,350 metric tons. | 0 | 0 |
| 10.(a) | Waste-disposal installations for the incineration, chemical treatment or landfill of toxic and dangerous wastes; | 0 | 0 |
| 10.(b) | Waste-disposal installations for the incineration or chemical treatment of non-hazardous waste with a capacity exceeding 100 metric tons per day. | 0 | 0 |
| 11. | Large dams and reservoirs. | 0 | 0 |
| 12. | Groundwater abstraction activities or artificial groundwater recharge schemes where the annual volume of water to be abstracted or recharged amounts to 10 million cubic metres or more. | 0 | 0 |
| 13. | Pulp, paper and board manufacturing of 200 air-dried metric tons or more per day. | 0 | 0 |
| 14. | Major quarries, mining, on-site extraction and processing of metal ores or coal. | 4 | 2 |
| 15. | Offshore hydrocarbon production. Extraction of petroleum and natural gas for commercial purposes where the amount extracted exceeds 500 metric tons/day in the case of petroleum and 500 000 cubic metres/day in the case of gas. | 0 | 2 |
| 16. | Major storage facilities for petroleum, petrochemical and chemical products. | 1 | 0 |
| 17. | Deforestation of large areas. | 0 | 0 |
| 18.(a) | Works for the transfer of water resources between river basins where this transfer aims at preventing possible shortages of water and where the amount of water transferred exceeds 100 million cubic metres/year (transfers of piped drinking water are excluded); | 0 | 0 |
| 18.(b) | In all other cases, works for the transfer of water resources between river basins where the multi-annual average flow of the basin of abstraction exceeds 2 000 million cubic metres/year and where the amount of water transferred exceeds 5 per cent of this flow (transfers of piped drinking water are excluded). | 0 | 0 |
| 19. | Wastewater treatment plants with a capacity exceeding 150 000 population equivalent. | 1 | 0 |

| <i>Activities listed in appendix I to the Convention</i> | | <i>No. of procedures as a PoO^a</i> | <i>No. of procedures as an AP^b</i> |
|---|--|---|---|
| 20. | Installations for the intensive rearing of poultry or pigs with more than: - 85 000 places for broilers; - 60 000 places for hens; - 3 000 places for production pigs (over 30 kg); - 900 places for sows. | 0 | 0 |
| 21. | Construction of overhead electrical power lines with a voltage of 220 kV or more and a length of more than 15 km. | 1 | 1 |
| 22. | Major installations for the harnessing of wind power for energy production (wind farms). | 17 | 10 |
| <i>Activities not listed in appendix I for which your country implemented a transboundary environmental impact assessment procedure in the reporting period</i> | | | |
| 1. | [add the activity] | | |
| 2. | ... | | |

^a Party of origin.

^b Affected Party.

Annex II

A detailed list of the transboundary procedures in which your party participated as a Party of origin (table 1) and as an affected Party (table 2) in the reporting period

Table 1

Transboundary environmental impact assessment procedures as a Party of origin

Please find below an example of expected types of answers in each column and feel free to add additional rows as needed

| | | | | | <i>Please use as checkbox for referring to the progress and indicate the date, if available</i> | | | |
|--------------------------------|--|--------------------------------|---|---|---|---|--|--|
| <i>Project name</i> | <i>Starting date (date of the notification sent)</i> | <i>Affected Party/ Parties</i> | <i>Timing of the notification</i> | <i>Submission of the environmental report</i> | <i>Transboundary consultations between authorities concerned, if any</i> | <i>Public participation, (please also indicate the means, for example, written events organized, etc.) if any</i> | <i>Final decision (date of issue, if information is available)</i> | |
| 1. For example, Project "A" | Date | Party A Party B | State of the procedure (select as appropriate) screening/scoping/reviewing the environmental impact assessment documentation: Date | Date | Date from: to: | Date from: to: | Date | |
| 2. OWF project "Kattegatt Syd" | 24/02/2021 | DK, FI, EE, LT, LV, PL | | N/A | | | N/A | |
| 3. Land based Fish Farm | 05/11/2020 | NO | Scoping | N/A | 01/11/2021 – 16/12/2021 | 01/11/2021 – 16/12/2021 | N/A | |
| 4. OWF "Triton" | 02/11/2021 | DE, PL, GE | Scoping | N/A | N/A | N/A | N/A | |

| | | | | | | | | |
|-----|----------------------------|------------|---------------------------|---------|-----|----------------------------|----------------------------|-----|
| 5. | OWF “Aurora” | 09/11/2021 | DE, PL, GE | Scoping | N/A | N/A | N/A | N/A |
| 6. | Viscaria mining project | 11/10/2021 | FI | Scoping | N/A | N/A | N/A | N/A |
| 7. | Nunasvaara South mine | 26/08/2021 | FI | Scoping | N/A | N/A | N/A | N/A |
| 8. | OWF “Dyning” | 09/11/2021 | PL | Scoping | N/A | N/A | N/A | N/A |
| 9. | OWF “Kultje” | 09/11/2021 | PL | Scoping | N/A | N/A | N/A | N/A |
| 10. | OWF “Mareld” | 06/16/2021 | DK, NO | Scoping | N/A | N/A | N/A | N/A |
| 11. | OWF “Galatea-Galene” | 24/09/2020 | FI, DK, EE, LT, LV, PL | Scoping | N/A | N/A | N/A | N/A |
| 12. | OWF “Poseidon” | 09/06/2021 | DK, NO | Scoping | N/A | N/A | N/A | N/A |
| 13. | Mining project “Nedre Hån” | 19/05/2021 | NO | Scoping | N/A | N/A | N/A | N/A |
| 14. | OWF “Sjollen” | 06/07/2021 | DK | Scoping | N/A | N/A | N/A | N/A |
| 15. | WF “Röknölen” | 19/05/2021 | NO | Scoping | N/A | N/A | N/A | N/A |
| 16. | OWF “Eystrasalt” | 31/03/2021 | FI | Scoping | N/A | N/A | N/A | N/A |
| 17. | OWF “Skåne Havsvindpark” | 02/06/2021 | DK, PL, DE | Scoping | N/A | N/A | N/A | N/A |
| 18. | Power line “Aurora Line” | 30/03/2020 | FI | Scoping | N/A | 06/04/2022 – 03/06/2022 | 06/04/2022 – 03/06/2022 | N/A |
| 19. | Mining project “Kaunis” | 28/01/2019 | FI, NO | Scoping | N/A | 15/07/2021 – 19/11/2021 | 15/07/2021 – 19/11/2021 | N/A |
| 20. | Sewage treatment plant | 23/11/2021 | DK | Scoping | N/A | N/A | N/A | N/A |
| 21. | OWF “Vidar” | 16/11/2021 | DK, NO | Scoping | N/A | N/A | N/A | N/A |
| 22. | OWF “Västvind” | 22/11/2021 | DK, NO | Scoping | N/A | N/A | N/A | N/A |
| 23. | WF “Björntjärnsberget” | 07/12/2021 | NO | Scoping | N/A | N/A | N/A | N/A |
| 24. | OWF “Arkona” | 22/12/2021 | PL, DK, GE | Scoping | N/A | N/A | N/A | N/A |

Table 2

Transboundary environmental impact assessment procedures as an affected Party

Please find below an example of expected types of answers in each column, and feel free to add additional rows as needed

| | | | | <i>Please use as checkbox for referring to the progress and indicate the date if available</i> | | | |
|---------------------------|---|------------------------|---|--|---|---|---|
| <i>Project name</i> | <i>Starting date (When was the notification received and at which stage of the procedure?) – Date of the response to the notification</i> | <i>Party of origin</i> | <i>When were the documents on screening and scoping received, if available?</i> | <i>Comments to the environmental report (date when comments were provided)</i> | <i>Transboundary consultations between authorities concerned, if any (time frame)</i> | <i>Public participation, indicating the means: for example, written comments, events organized,) if any</i> | <i>Final decision (date when final decision was received)</i> |
| | | | | | | | |
| 1. For example, Project A | Notification received: date Response sent: date Stage of the procedure (select as appropriate) -screening -scoping -reviewing the environmental impact assessment documentation | Party A | Date | Date | Time from: to: | Yes/No Written comments: time interval Event(s) organized: date(s) | Date |
| | | ... | | | | | |

| | | | | | | | | |
|----|-------------------------------------|---|----|------------|------------|---------------------------|--|-----|
| 2. | Outokumpu steel | Notification received: 08/11/2019 Response sent: 14/01/2020 Scoping | FI | 08/11/2019 | N/A | N/A | N/A | N/A |
| 3. | Loviisa nuclear power plant | Notification received: 27/08/2020 Response sent: 03/11/2020 Scoping | | 27/08/2020 | 20/09/2021 | 22/09/2021- 01/12/2021 | Written comments: 22/09/2021- 01/12/2021 | N/A |
| 4. | WF Karhakkamaa | Notification received: 04/08/2020 Response sent: 18/09/2020 Scoping | FI | 04/08/2020 | N/A | N/A | N/A | N/A |
| 5. | Vistanvägen | Notification received: 29/05/2020 Response sent: 14/08/2020 | FI | 29/05/2020 | N/A | N/A | N/A | N/A |
| 6. | Recycling installation Crisolteq | Notification received: 29/04/2020 Response sent: 25/6/2020 | FI | 29/04/2020 | N/A | N/A | N/A | N/A |

| | | | | | | | | |
|-----|-------------------------------|--|----|------------|------------|----------------------------|---|------------|
| 7. | OWF “Klaipeda” | Notification received: 09/12/21 Response sent: 31/01/22 | LV | 09/12/21 | N/A | N/A | N/A | N/A |
| 8. | OWF “Saare Windfarm” | Notification received: 09/07/2020 Response sent: 07/09/2020 | EE | 09/07/2020 | 15/04/2021 | 16/04/2021 – 09/06/2021 | Written comments: 16/04/2021 – 09/06/2021 | N/A |
| 9. | OWF “Bornholm” | Notification received: 26/03/2021 Response sent: 03/05/2021 | DK | 26/03/2021 | 31/05/2021 | N/A | N/A | N/A |
| 10. | Hejre development project | Notification received: 08/06/2020 Response sent: 07/07/2020 | DK | N/A | N/A | N/A | N/A | N/A |
| 11. | Solsort West Lobe Project | Notification received: 20/07/2021 Response sent: 25/08/2021 | DK | 20/07/2021 | 10/02/2022 | 21/02/2022 – 11/04/22 | Written comments 21/02/2022 – 11/04/22 | N/A |
| 12. | Sand extraction Kriegers Flak | Notification received: 13/08/2021 Response sent: 20/09/2021 | DK | 13/08/2021 | 17/11/2021 | 19/11/2021 – 09/12/2021 | Written comments 19/11/2021 – 09/12/2021 | 07/02/2022 |

| | | | | | | | | |
|-----|-------------------------------------|--|----|------------|------------|---|---|------------|
| 13. | Lynetteholm island | Notification received: 04/10/2019 Response sent: 13/11/2019 | DK | 04/10/2019 | 25/11/2020 | 30/11/2020 – 19/02/2021 Several consultation meetings between authorities before final decision | Written comments 30/11/2020 – 19/02/2021 | 04/06/2021 |
| 14. | OWF Nordre Flint | Notification received: 17/10/2019 Response sent: 03/12/2019 | DK | 17/10/2019 | N/A | N/A | N/A | N/A |
| 15. | OWF Aflandshage | Notification received: 18/10/2019 Response sent: 03/12/2019 | DK | 18/10/2019 | 23/11/2021 | 01/12/2021 – 12/02/2022 | Written comments 01/12/2021 – 12/02/2022 Planned event 29/04/2022 | N/A |
| 16. | Upgraded Storage facility at Risø | Notification received: 19/11/2019 Response sent: 09/01/2020 | DK | 19/11/2019 | N/A | N/A | N/A | N/A |
| 17. | Valdemar Bo South and Halfdan North | Notification received: 27/11/2020 Response sent: 18/12/2020 | DK | 27/11/2020 | N/A | N/A | N/A | N/A |

| | | | | | | | | |
|-----|-------------------|--|----|------------|------------|----------------------------|--|------------|
| 18. | OWF FEW Baltic II | Notification received: 21/12/2020 Response sent: 04/05/2021 | PL | 21/12/2020 | 16/06/2021 | 16/06/2021 – 02/07/2021 | Written comments 16/06/2021 – 02/07/2021 | 18/01/2022 |
|-----|-------------------|--|----|------------|------------|----------------------------|--|------------|

Annex III

Template to describe an example of application of a transboundary procedure in accordance with the Convention in the reporting period

Please provide at least one example of application of a transboundary environmental impact assessment procedure in accordance with the Convention in the reporting period using the template and reflecting on the priorities of the 2021–2023 workplan and the long-term strategy. Should you wish to share more examples, please copy the template, as needed.

I. GENERAL INFORMATION

Please provide the following general information about the selected example:

1. Title of the transboundary procedure:
2. Party's role in the procedure and a list and roles of other Parties involved

| | |
|---|--|
| Party of origin: <input type="checkbox"/> | Affected Party: <input type="checkbox"/> |
| Affected Party/ies: (please list) | Party/ies of origin: Poland |

3. Duration and period of implementation:
4. Stage(s)/step(s) of the procedure presented in this example:
The entire procedure
Notification (art. 3):
Preparation of the environmental impact assessment documentation (art. 4):
Consultations of the basis of the environmental impact assessment documentation (art. 5 (a)–(c))
Final decision (art. 6):
Post-project analysis (art. 7, if applicable)
Other , please specify:

II. BACKGROUND

Please provide a short description of the activity, the context of its development and general information about the environmental impact assessment procedure

III. PROCEDURE UNDER THE CONVENTION AND ELEMENTS OF GOOD PRACTICE

Please describe, in more detail, using the subsections below, the procedural step(s) considered to represent good practice and then explain why that is.

1. **Notification** (art. 3):
2. **Preparation of the environmental impact assessment documentation** (art. 4):

In addition to the description above regarding the preparation of the environmental impact assessment documentation, please indicate the following:

- (a) What alternatives were assessed and presented in the environmental impact assessment documentation?

- (i) At what level of detail were the alternatives described?
 - (ii) What methods and/or assessment grids were used for the selection of the most reasonable alternative?
- (b) Whether the following issues were covered in the environmental impact assessment documentation and, if so, how:
- (i) Biodiversity
 - (ii) Climate change
 - (iii) Circular economy
 - (iv) Sustainable Development Goal implementation
 - (v) Smart and sustainable cities
 - (vi) Sustainable infrastructure
 - (vii) Renewables
 - (viii) Other issues not listed above:
- 3. Consultations on the basis of the environmental impact assessment documentation** (art. 5 (a)–(c)):
- 4. Final decision** (art. 6):
- 5. Post-project analysis** (art. 7, if applicable):

IV. LESSONS LEARNED AND ADVICE TO OTHER PARTIES:

1. Please indicate:

Challenges in carrying out the procedure, if any, and how they were tackled

Lessons learned and advice to other Parties:

2. As relevant, please also refer to the contribution of the above application of environmental impact assessment procedure towards the attainment of Sustainable Development Goals¹⁰ or climate objectives:

¹⁰ In 2017, the Meetings of the Parties acknowledged that the Convention and in particular the Protocol contribute to the achievement of the Sustainable Development Goals (ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7/Add.1, decision VII/7–III/6, Minsk Declaration, para. 7). Selected examples of Sustainable Development Goal targets that strategic environmental assessment could help to implement include the following (see informal document to the fifth meeting of the Working Group (Geneva, 11–15 April 2016)):

- (a) Sustainable Development Goal 3 – Ensure healthy lives and promote well-being for all at all ages (targets 3.9 and 3.d);
- (b) Sustainable Development Goal 6 – Ensure availability and sustainable management of water and sanitation for all (targets 6.3, 6.5–6.6 and 6.a–6.b);
- (c) Sustainable Development Goal 7 – Ensure access to affordable, reliable, sustainable and modern energy for all (targets 7.2 and 7.a);
- (d) Sustainable Development Goal 8 – Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all (target 8.4);
- (e) Sustainable Development Goal 9 – Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation (targets 9.1 and 9.4);
- (f) Sustainable Development Goal 11 – Make cities and human settlements inclusive, safe, resilient and sustainable (targets 11.3–11.4, 11.6 and 11.a–11.b);
- (g) Sustainable Development Goal 12 – Ensure sustainable consumption and production patterns (targets 12.2 and 12.4–12.5);
- (h) Sustainable Development Goal 13 – Take urgent action to combat climate change and its impacts (targets 13.1–13.3);

**V. ANY OTHER INFORMATION NOT INCLUDED ABOVE
THAT YOU MAY WISH TO SHARE:**

-
- (i) Sustainable Development Goal 14 – Conserve and sustainably use the oceans, seas and marine resources for sustainable development (target 14.1);
 - (j) Sustainable Development Goal 15 – Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss (targets 15.1 and 15.4);
 - (k) Sustainable Development Goal 16 – Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels (targets 16.6–16.7 and 16.10);
 - (l) Sustainable Development Goal 17 – Strengthen the means of implementation and revitalize the global partnership for sustainable development (targets 17.13 and 17.16–17.17).
- For more details, see ECE/MP.EIA/WG.2/2016/5/INF.16, available at http://staging2.unece.org.net4all.ch/fileadmin/DAM/env/eia/documents/WG2.5_April2016/Informal_document_16_ecc.mp.eia.wg.2.2016.INF.16__Sustainable_Development_Goal_Mapping.pdf.