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UNECE

Transboundary Water Cooperation Reporting Palais des Nations 8-14 avenue de la Paix 1211 Geneva 10, Switzerland

Att: SDG 6.5.2 team at UNECE

# TRANSMITTAL LETTER- NATIONAL MONITORING REPORT ON SDG INDICATOR 6.5.2 ON TRANSBOUNDARY WATER COOPERATION FOR BOTSWANA

The subject matters refers.

This communique serves to submit the SDG indicator 6.5.2 National Monitoring Report-2020 on Transboundary Water Cooperation for Republic of Botswana.

The SDG 6.5.2 survey was conducted through the following process;

- 1. **Kick-start meeting** for key stakeholder sensitisation
- 2. **Data collection;** all technical personnel assigned to River Basin Organisations were given the survey tool to complete.
- 3. **Data Analysis**; The focal point for SDG 6.5.2 led a team of four (4) personnel, analyzed the submitted data and compiled the Draft survey Report.
- 4. **Data Validation**; The draft survey report –sections II was sent to the concerned Transboundary Basin Commission Secretariats to validate the data and subsequently the comments were incorporated.

Thank you.

Yours faithfully

Bogadi T. Mathangwane Botswana Focal point Director of Water and Sanitation

# Reporting on global SDG indicator 6.5.2

# TEMPLATE of the second cycle for reporting

### **Content of the template**

The template is divided into four parts:

- Section I Calculation of SDG indicator 6.5.2
- Section II Information on each transboundary basin or group of basins
- Section III General information on transboundary water management at the national level
- Section IV Final questions

Country name: [Botswana]

#### I. Calculation of Sustainable Development Goal indicator 6.5.2

#### Methodology

- 1. Using the information gathered in section II, the information gathered in this section allows for the calculation of Sustainable Development Goal global indicator 6.5.2, which is defined as the proportion of transboundary basin area with an operational arrangement for water cooperation.
- 2. The step-by-step monitoring methodology for indicator 6.5.2, developed by UNECE and UNESCO in the framework of UN-Water, should be referred to for details on the necessary data, the definitions and the calculation.<sup>a</sup>
- 3. The value of the indicator at the national level is derived by adding up the surface area in a country of those transboundary basins (river and lake basins and aquifers) that are covered by an operational arrangement and dividing the area obtained by the aggregate total area in a country of all transboundary basins (both river and lake basins, and aquifers).
- 4. Transboundary basins are basins of transboundary waters, that is, of any surface waters (notably rivers, lakes) or groundwaters which mark, cross or are located on boundaries between by two or more States. For the purpose of the calculation of this indicator, for a transboundary river or lake, the basin area is determined by the extent of its catchment. For groundwater, the area to be considered is the extent of the aquifer.
- 5. An "arrangement for water cooperation" is a bilateral or multilateral treaty, convention, agreement or other formal arrangement among riparian countries that provides a framework for cooperation on transboundary water management.
- 6. For an arrangement to be considered "operational" all the following criteria need to be in place in practice:
- (a) There is a joint body, joint mechanism or commission (e.g., a river basin organization) for transboundary cooperation (criterion 1);
- (b) There are regular (at least once per year) formal communications between riparian countries in form of meetings (either at the political or technical level) (criterion 2);
- (c) Joint objectives, a common strategy, a joint or coordinated management plan, or an action plan have been agreed upon by the riparian countries (criterion 3);
- (d) There is a regular (at least once per year) exchange of data and information (criterion 4).

#### Calculation of indicator 6.5.2

- 7. Please list in the tables below the transboundary basins (rivers and lakes and aquifers) in your country's territory and provide the following information for each of them:
  - (a) The country/ies with which the basin is shared;
- (b) The surface area of the basin (the catchment of rivers or lakes and the aquifer in the case of groundwater) within the territory of your country (in square kilometres (km<sup>2</sup>));
- (c) Whether a map and/or a geographical information system (GIS) shapefile of the basin has been provided;
  - (d) Whether there is an arrangement in force for the basin;
  - (e) The verification of each of the four criteria to assess operationality;

<sup>&</sup>lt;sup>a</sup> Available from the UN-Water website: https://www.sdg6monitoring.org/indicators/target-65/indicators652/ (updated version "2020").

- (f) The surface area of the basin within the territory of your country which is covered by a cooperation arrangement that is operational according to the above criteria.
- 8. In case an operational arrangement is in place only for a sub-basin or a portion of a basin, please list this sub-basin just after the transboundary basin it is part of. In case there is an operational arrangement for the whole basin, do not list sub-basins in the table below.

Table 1
Transboundary river or lake basin (please add rows as needed)

Name of transboundary river or lake basin/sub- basin	It is a basin or a sub- basin? <sup>b</sup>	Countries shared with	Surface area of the basin/ sub- basin (in km²) within the territory of the country	Map and/or GIS shapefile provided (yes/no)	Covered by an arrangeme nt (entirely, partly, no) (Ref. to questions in sect. II)	Criterion I applied (yes/no) (Ref. to questions in sect. II)	Criterion 2 applied (yes/no) (Ref. to questions in sect. II)	Criterion 3 applied (yes/no) (Ref. to questions in sect. II)	Criterion 4 applied (yes/no) (Ref. to questions in sect. II)	Surface area of the basin/sub-basin (in km²) covered by an operational arrangement within the territory of the country
The Permanent Okavango Basin Water Commission (OKACOM)	Basin	Angola Botswana Namibia	62,032.5	No	Entirely	yes	yes	yes	yes	62,032.5
Orange-Senqu River Commission (ORASECOM)	Basin	Namibia Botswana, Lesotho, South Africa	129,000	No	Entirely	Yes	yes	yes	Yes	129,000
Limpopo Watercourse Commission (LIMCOM)	Basin	Botswana, South Africa Zimbabwe, Mozambique	79,040	No	Entirely	Yes	Yes	Yes	Yes	79,040
Zambezi Watercourse Commission (ZAMCOM)	Basin	Angola, Botswana, Namibia, Malawi, Mozambique , Tanzania, Zambia, Zimbabwe	19,100	No	Entirely	Yes	Yes	Yes	Yes	19,100
(A)Total surface area of transboundary basins/sub-basins of rivers and lakes covered by operational arrangements within the territory of the country (in km²) (do not double count sub-basins)									289,172.5	
(B)Total surface area of transboundary basins of rivers and lakes within the territory of the country (in km²) (do not double count sub-basins)			289,172.5							

Table 2

<sup>&</sup>lt;sup>b</sup> List sub-basins after the basin they belong to.

#### Transboundary aquifers (please add rows as needed)

Name of the transboundary aquifer	Countries shared with	Surface area of the aquifer <sup>c</sup> (in km²) within the territory of the country	Map and/ or GIS shapefile provided (yes/no)	Covered by an aquifer specific arrangement (entirely, partly, no) (Ref. to questions in sect. II)	Covered within an arrangement not specific to the aquifer (entirely, partly, no) (Ref. to questions in sect. II)	Criterion 1 applied (yes/no) (Ref. to questions in sect. II)	Criterion 2 applied (yes/no) (Ref. to questions in sect. II)	Criterion 3 applied (yes/no) (Ref. to questions in sect. II)	Criterion 4 applied (yes/no) (Ref. to questions in sect. II)	Surface area of the aquifer (in km²) covered by an opera-tional arrange-ment within the territory of the country
Stampriet aquifer - ORASECOM	Namibia Botswana, South Africa	17200	yes		entirely	Yes	Yes	Yes	Yes	17,200
Khakhea/Bray Dolomite- ORASECOM	Botswana, South Africa	20 000	Yes		entirely,	Yes	Yes	Yes	Yes	20,000
Ncojane Basin- ORASECOM	Botswana, Namibia	5400	Yes		entirely,	Yes	Yes	Yes	Yes	5,400
Ramotswa aquifer- LIMCOM	Botswana, South Africa	94	Yes		entirely,	Yes	Yes	Yes	Yes	94
Tuli Karoo Sub- Basin-LIMCOM	Botswana, South Africa, Zimbabwe	5 000	Yes		entirely,	Yes	Yes	Yes	Yes	5,000
Eastern Kalahari Karoo Basin- OKACOM	Botswana, Zimbabwe	16,000	Yes		entirely,	Yes	Yes	Yes	Yes	16,000
Northern Kalahari / Karoo Basin / Eiseb	Botswana, Namibia	4 000	Yes		entirely	Yes	Yes	Yes	Yes	4,000

<sup>&</sup>lt;sup>c</sup> For a transboundary aquifer, the extent is derived from the aquifer system delineation which is commonly done relying on information of the subsurface (notably the extent of geological formations). As a general rule, the delineation of aquifer systems is based on the delineation of the extent of the hydraulically connected water-bearing geological formations. Aquifer systems are three-dimensional objects and the aquifer area taken into account is the projection on the land surface of the system. Ideally, when different aquifer systems not hydraulically connected are vertically superposed, the different relevant projected areas are to be considered separately, unless the different aquifer systems are managed conjunctively.

<sup>&</sup>lt;sup>d</sup> In the text of the agreement or arrangement or in the practice.

Name of the transboundary aquifer  Graben Aquifer-	Countries shared with	of the aquifer <sup>c</sup> (in km²) within	Map and/ or GIS shapefile provided (yes/no)	Covered by an aquifer specific arrangement (entirely, partly, no) (Ref. to questions in sect. II)	Covered within an arrangement not specific to the aquifer <sup>d</sup> (entirely, partly, no) (Ref. to questions in sect. II)	Criterion 1 applied (yes/no) (Ref. to questions in sect. II)	Criterion 2 applied (yes/no) (Ref. to questions in sect. II)	Criterion 3 applied (yes/no) (Ref. to questions in sect. II)	Criterion 4 applied (yes/no) (Ref. to questions in sect. II)	Surface area of the aquifer (in km²) covered by an opera-tional arrange-ment within the territory of the country
OKACOM  Nata Karoo Sub- basin / Caprivi deep- seated Aquifer- ZAMCOM	Angola, Botswana, Namibia, Zambia, Zimbabwe	22 000	Yes		entirely,	Yes	Yes	Yes	Yes	22,000
(C) Sub-total: surface area of transboundary aquifers covered by operational arrangements (in km²)										89,494
(D) Total surface area of aquifers (in km²)	89,494									

#### Indicator value for the country

#### **Surface waters:**

Percentage of surface area of transboundary basins of rivers and lakes covered by an operational arrangement:

A/B x 100 = 289172.5/289172.5 x 100 = 100%

#### **Aquifers:**

Percentage of surface area of transboundary aquifers covered by an operational arrangement:

 $C/D \times 100 = 89494/89494 \times 100 = 100\%$ 

#### Sustainable Development Goal indicator 6.5.2:

Percentage of surface area of transboundary basins covered by an operational arrangement:

 $((A + C)/(B + D)) \times 100 = ((289172.5 + 89494)/(289172.5 + 89494)) \times 100 = 100\%$ 

#### **Spatial information**

If a map (or maps) of the transboundary surface water catchments and transboundary aquifers (i.e., "transboundary basins") is available, please consider attaching them. Ideally, shapefiles of the basin and aquifer delineations that can be viewed in GIS should be sent.

#### **Additional information**

If the respondent has comments that clarify assumptions or interpretations made for the calculation, or the level of certainty of the spatial information, please write them here:

	management of transboundary waters (i.e., rivers, lakes or groundwater), whether bilateral or multilateral?
	Yes ⊠/No □
	If yes, list the bilateral and multilateral agreements or arrangements (listing for each of the countries concerned):
	1. <b>ZAMCOM Agreement</b> ; Angola, Botswana, Namibia, Malawi, Mozambique, Tanzania,
	Zambia, Zimbabwe
	2. LIMCOM Agreement; Botswana, South Africa Mozambique, Zimbabwe
	3. OKACOM Agreement; Angola, Botswana, Namibia
	4. ORASECOM Agreement; Botswana, Lesotho, Namibia, South Africa
ZAMBEZI	WATERCOURSE COMMISSION (ZAMCOM)
II.Questions	s for each transboundary basin, sub-basin, part of a basin, or group of basins (river, lake or aquifer)
	Please complete this second section for each transboundary basin (river or lake basin, or aquifer), sub-basin, part of a basin or a group of basins covered by the same agreement or arrangement where conditions are similar. In some instances, you may provide information on both a basin and one or more of its sub-basins or parts thereof, for example, where you have agreements or arrangements on both the basin and its sub-basin. You may coordinate your responses with other States with which your country shares transboundary waters, or even prepare a joint report. General information on transboundary water management at the national level should be provided in section III and not repeated here.
	Please reproduce this whole section with its questions for each transboundary basin, sub-basin, part of a basin or group of basins for which you will provide a reply.
	Name of the transboundary basin,-sub-basin, part of a basin or group of basins: [The Zambezi Watercourse Commission (ZAMCOM)]
List of the zimbabwe,]	riparian States: [Angola, Botswana, Malawi, Mozambique, Namibia, Tanzania Zambia,
	In the case of an aquifer, what is the nature of the aquifer and its relation with the river or lake basin:
	Unconfined aquifer connected to a river or lake
	Unconfined aquifer with no or limited relation with surface water
	Confined aquifer connected to surface water

<sup>&</sup>lt;sup>1</sup> In principle, section II should be submitted for every transboundary basin, river, lake or aquifer, in the country, but States may decide to group basins in which their share is small or leave out basins in which their share is very minor, e.g., below 1 per cent.

<sup>&</sup>lt;sup>2</sup> In section II, "agreement" covers all kinds of treaties, conventions and agreements ensuring cooperation in the field of transboundary waters. Section II can also be completed for other types of arrangements, such as memorandums of understanding.

Confi	ned aquifer with no or limited relation with surface water	
Other		
Pleas	e describe: [fill in]	
Unkn	own	$\boxtimes$
	ntage of your country's territory within the basin, sub-bas of basins: [1.38%]	in, part of a basin or
1.	Is there one or more transboundary (bilateral or multilat arrangement(s) on this basin, sub-basin, part of a basin or group	
	One or more agreements or arrangements exist and are in force	e 🛛
	Agreement or arrangement developed but not in force	
	Agreement or arrangement developed, but not in force for all r	iparians
	Please insert the name of the agreement(s) or arrangement(s)	
_	otocol on Shared Watercourses nt on the Establishment of the Zambazi Watercourse Con	nmission – 13 <sup>th</sup> July
	Agreement or arrangement is under development	
	No agreement or arrangement	
	If there is no agreement or arrangement or it is not in force, why not and provide information on any plans to address the s	
trans quest	ere is no agreement or arrangement and no joint body of boundary basin, sub-basin, part of a basin or group of ion 4; if there is no agreement or arrangement, but a joint go to question 3.	basins then jump to
_	tions 2 and 3 to be completed for each bilateral or multi- agement in force in the transboundary basin, sub-basin, par- sins.	_
2.	(a) Does this agreement or arrangement specify the area su	bject to cooperation?
	Yes ⊠/No □	
	If yes, does it cover the entire basin or group of basins and all	riparian States?
	Yes ⊠/No □	
	Additional explanations? [fill in]	
	Or, if the agreement or arrangement relates to a sub-basin, does basin?	it cover the entire sub-
	Yes/No	
	Additional explanations? [N/A]	
	Which States (including your own) are bound by the agree (Please list): [Angola, Botswana, Mozambique, Malawi, Zambia, Zimbabwe]	_
	(b) If the agreement or arrangement relates to a river or la does it also cover aquifers?	ke basin or sub-basin,

Yes	⊠/No	
	s, please list the aquifers covered by the agreement or arrangement: [Na / Caprivi deep-seated Aquifer]	ta Karoo
(c)	What is the sectoral scope of the agreement or arrangement?	
	All water uses	$\boxtimes$
	A single water use or sector	
	Several water uses or sectors	
If one	e or several water uses or sectors, please list (check as appropriate):	
Wate	er uses or sectors	
	Industry	$\boxtimes$
	Agriculture	$\boxtimes$
	Transport (e.g., navigation)	
	Households	$\boxtimes$
	Energy: hydropower and other energy types	$\boxtimes$
	Fisheries	$\boxtimes$
	Tourism	$\boxtimes$
	Nature protection	$\boxtimes$
	Other (please list): [fill in]	
(d) arran	What topics or subjects of cooperation are included in the agre gement?	ement or
	Procedural and institutional issues	
	Dispute and conflict prevention and resolution	$\boxtimes$
	Institutional cooperation (joint bodies)	$\boxtimes$
	Consultation on planned measures	$\boxtimes$
	Mutual assistance	$\boxtimes$
	Topics of cooperation	
	Joint vision and management objectives	$\boxtimes$
	Joint significant water management issues	$\boxtimes$
	Navigation	$\boxtimes$
	Human health	$\boxtimes$
	Environmental protection (ecosystem)	$\boxtimes$
	Water quality	
	Water quantity or allocation	$\boxtimes$
	Cooperation in addressing floods	$\boxtimes$
	Cooperation in addressing droughts	$\boxtimes$
	Climate change adaptation	$\boxtimes$

	Monitoring and exchange	
	Joint assessments	$\boxtimes$
	Data collection and exchange	$\boxtimes$
	Joint monitoring	$\boxtimes$
	Maintenance of joint pollution inventories	
	Elaboration of joint water quality objectives	
	Common early warning and alarm procedures	$\boxtimes$
	Exchange of experience between riparian States	$\boxtimes$
	Exchange of information on planned measures	$\boxtimes$
	Joint planning and management	
	Development of joint regulations on specific topics	$\boxtimes$
	Development of international or joint river, lake or aquifer basin management or action plans	$\boxtimes$
	Management of shared infrastructure	$\boxtimes$
	Development of shared infrastructure	
	Other (please list): [n/a]	
(e) agree	What are the main difficulties and challenges that your country faces ment or arrangement and its implementation, if any?	with the
	Aligning implementation of agreement or arrangement with national laws, policies and programmes	
	Aligning implementation of agreement or arrangement with regional laws, policies and programmes	
	Lack of financial resources	$\boxtimes$
	Insufficient human capacity	$\boxtimes$
	Insufficient technical capacity	$\boxtimes$
	Tense diplomatic relations	
	Non-participation of certain riparian countries in the agreement	
	No significant difficulties	
	Other (please describe): [n/a]	
Proto	What are the main achievements in implementing the agree gement and what were the keys to achieving such success? [Data of, Zambezi Strategic Plan(investment Plan), Water Allocational ender estudy, Procedures for notification of planned measures].	Sharing

- 1.
  - (g) Please attach a copy of the agreement or arrangement or provide the web address of the document:

### http://www.zambezicommission.org/publication/zamcom-agreement

3. Is your country a member of any joint body or mechanism for this agreement or arrangement?

Yes 🗵	/No [	]	
If no, w	vhy not	? (please explain): [n/a]	
Where	there	is a joint body or mechanism	
	(a) (please	If there is a joint body or mechanism, which kind of joint body or me etick one)?	chanism
		Plenipotentiaries	
		Bilateral commission	
		Basin or similar commission	$\boxtimes$
		Expert group meeting or meeting of national focal points	
		Other (please describe): [n/a]	
	(b) basin,	Does the joint body or mechanism cover the entire transboundary bas part of a basin or group of basins?	sin, sub-
Yes 🔀	/No [	]	
		Which States (including your own) are members of the joint lenism? (Please list): [Angola, Botswana, Malawi, Mozambique, Nania Zambia, Zimbabwe]	•
	(d) mecha	Are there any riparian States that are not members of the joint nism? (please list): $[n/a]$	body or
	(e) the join	If not all riparian States are members of the joint body or mechanism hant body or mechanism cooperate with them?	ow does
		No cooperation	
		They have observer status	
		Other (please describe): [fill in]	
	(f) tick the	Does the joint body or mechanism have any of the following features to ones applicable)?	(please
		A secretariat	$\boxtimes$
		If the secretariat is a permanent one, is it a joint secretar does each country host its own secretariat? (Please describe): [A plaint Secretarient]	
		A subsidiary body or bodies	$\boxtimes$
	ttee(BA	Please list (e.g., working groups on specific topics): [Council of M Technical Committee, Basin Wide stakeholders Coord ASC),National Stakeholders coordination Commitees(NASC), 2 b-Committee and Working groups, ]	lination
		Other features (please list): [n/a]	
	(g)	What are the tasks and activities of this joint body or mechanism? <sup>3</sup>	
		Identification of pollution sources	$\boxtimes$

<sup>&</sup>lt;sup>3</sup> This may include tasks according to the agreement or tasks added by the joint body, or its subsidiaries. Both tasks which joint bodies coordinate and tasks which they implement should be included.

	Data collection and exchange	$\boxtimes$
	Joint monitoring	$\boxtimes$
	Maintenance of joint pollution inventories	
	Setting emission limits	
	Elaboration of joint water quality objectives	
	Management and prevention of flood or drought risks	$\boxtimes$
	Preparedness for extreme events, e.g., common early warning and alarm procedures	$\boxtimes$
	Surveillance and early warning of water related disease	$\boxtimes$
	Water allocation and/or flow regulation	$\boxtimes$
	Policy development	$\boxtimes$
	Control of implementation	$\boxtimes$
	Exchange of experience between riparian States	$\boxtimes$
	Exchange of information on existing and planned uses of water and related installations	$\boxtimes$
	Settling of differences and conflicts	$\boxtimes$
	Consultations on planned measures	$\boxtimes$
	Exchange of information on best available technology	$\boxtimes$
	Participation in transboundary EIA	$\boxtimes$
	Development of river, lake or aquifer basin management or action plans	$\boxtimes$
	Management of shared infrastructure	$\boxtimes$
	Addressing hydromorphological alterations	$\boxtimes$
	Climate change adaptation	$\boxtimes$
	Joint communication strategy	
	Basin-wide or joint public participation and consultation of, for example, basin management plans	$\boxtimes$
	Joint resources to support transboundary cooperation	
	Capacity-building	
	Any other tasks (please list): [fill in]	
(h) opera	What are the main difficulties and challenges that your country face tion of the joint body or mechanism, if any?	s with the
	Governance issues	
	Please describe, if any: [N/A]	
	Unexpected planning delays	
	Please describe, if any: [N/A]	
	Lack of resources	$\boxtimes$

trans	Please describe, if true: [Lack of funding to develop Chobe fer scheme to utilize water allocated to Botswana from the basin]	Zambezi water
	Lack of mechanism for implementing measures	
	Please describe, if true: [N/A]	
	Lack of effective measures	
	Please describe, if true: [N/A]	
	Unexpected extreme events	
	Please describe, if any: [Droughts, Floods ]	
	Lack of information and reliable forecasts	
	Please describe, if any: [N/A]	
	Others (please list and describe, as appropriate): [fill in]	
	(i) Does the joint body or mechanism, or its subsidiary bodies med	et regularly?
	Yes ⊠/No□	
	If yes, how frequently does it meet?	
	More than once per year	$\boxtimes$
	Once per year	
	Less than once per year	
	(j) What are the main achievements with regards to the joint body [Development of regional Investment strategy -ZSP, Water law Assessment, Development Gender mainstreaming strategy]	
	(k) Did the joint body or mechanism ever invite a non-riparian cooperate?	coastal State to
Yes [	/No ⊠	
	If yes, please give details. If no, why not, e.g. are the relevant coarriparian States and therefore already members of the joint body or me coastal States are also riparian States and therefore already members body or mechanism]	chanism? [ <b>The</b>
4.	Have joint objectives, a common strategy, a joint or coordinated mana action plan been agreed for the basin, sub-basin, part of a basin or gro	
Yes [	⊠/No□	
If yes	s, please provide further details:	
	<ul> <li>Zambezi Basin IWRM Strategy (ZAMSTRAT)</li> <li>Strategy Plan for the Zambezi Watercourse 2018-2020</li> </ul>	)
5.	How is the transboundary basin,—sub-basin, part of a basins or g protected, including the protection of ecosystems, in the context of s rational water use?	-
	Regulation of urbanization, deforestation, and sand and gravel extraction.	
	Environmental flow norms, including consideration of levels and seasonality	$\boxtimes$

		quality protection, e.g. nitrates, pesticides, faecal coliforms, metals	
	Water	r-related species and habitats protection	
		measures (please describe): [All other aspects are covered by a ment but are not yet implemented due to limited resources]	the Basin
6.	(a) States	Does your country regularly exchange information and data with other in the basin, sub-basin, part of a basin or group of basins?	er riparian
Yes 🔀	/No [		
	(b)	If yes, how often:	
		More than once per year	$\boxtimes$
		Once per year	
		Less than once per year	
	(c) meetin <b>ZAM</b> V	Please describe how information is exchanged (e.g. in connectings of joint bodies): [email, worksops, seminars and data echange pwis]	
	(d)	If yes, on what subjects are information and data exchanged?	
		Environmental conditions	
		Research activities and application of best available techniques	$\boxtimes$
		Emission monitoring data	
		Planned measures taken to prevent, control or reduce transboundary impacts	$\boxtimes$
		Point source pollution sources	
		Diffuse pollution sources	
		Existing hydromorphological alterations (dams, etc.)	$\boxtimes$
		Flows or water levels (including groundwater levels)	$\boxtimes$
		Water abstractions	
		Climatological information	$\boxtimes$
		Future planned measures with transboundary impacts, such as infrastructure development	$\boxtimes$
		Other subjects (please list): [fill in]	
		Other comments, e.g. spatial coverage of data and information exchin]	ange: [fill
	(e)	Is there a shared database or information platform?	
Yes 🔀	/No [		
	(f)	Is the database publicly available?	
Yes 🗵	/No [		
If yes, ( <b>ZAM</b>	_	provide the web address: [• Zambezi Water Resources Informatio	n System

http://zamwis.zambezicommission.org/

Inadequate resources (technical and/or financial)  Other (please describe): [vandalizim of Data collection instruments by both he and wild animals ]  Additional comments: [fill in]  (h) What are the main benefits of data exchange on the basin, sub-basin, part basin or group of basins? (please describe): [Management of extreme events floods, droughts. It also build trust and enhance cooperation]  7. Do the riparian States carry out joint monitoring in the transboundary basin, sub-bpart of a basin or group of basins?  Yes \( \subseteq \lambda \) \( \subseteq \) [Aydrological \( \subseteq \) [Ecological \( \subseteq \) Chemical  Border surface waters  Surface waters in the entire basin \( \subseteq \subseteq \) [Surface waters on the main watercourse  Surface waters in part of the basin \( \subseteq \subseteq \) [In animal please describe [fill in]  Transboundary aquifer(s) (connected or unconnected)  Aquifer(s) in the territory of one riparian hydraulically connected to a transboundary river or lake  (b) If joint monitoring is carried out, how is this done?  National monitoring stations connected through a network or common stations  Please describe: [Agreed monitoring stations are connected to ZAMWI. Joint and agreed methodologies  Please describe: [fill in]	( (	g)	What are the main difficulties and	l challenges to dat	a exchange,	if applicable?				
Comparability of data and information  Limited spatial coverage  Inadequate resources (technical and/or financial)  Other (please describe): [vandalizim of Data collection instruments by both he and wild animals]  Additional comments: [fill in]  (h) What are the main benefits of data exchange on the basin, sub-basin, part basin or group of basins? (please describe): [Management of extreme events floods, droughts. It also build trust and enhance cooperation]  7. Do the riparian States carry out joint monitoring in the transboundary basin, sub-basin, sub-basin or group of basins?  Yes Ano   (a) If yes, what does the joint monitoring cover?  Hydrological Ecological Chemical Ecological Ecological Ecological Chemical Ecological Ecological Ecological Chemical Ecological Ec	F	requ	ency of exchanges							
Limited spatial coverage Inadequate resources (technical and/or financial)  Other (please describe): [vandalizim of Data collection instruments by both he and wild animals ]  Additional comments: [fill in]  (h) What are the main benefits of data exchange on the basin, sub-basin, part basin or group of basins? (please describe): [Management of extreme events floods, droughts. It also build trust and enhance cooperation]  7. Do the riparian States carry out joint monitoring in the transboundary basin, sub-b part of a basin or group of basins?  Yes  // No	T	imin	g of exchanges							
Inadequate resources (technical and/or financial)  Other (please describe): [vandalizim of Data collection instruments by both he and wild animals]  Additional comments: [fill in]  (h) What are the main benefits of data exchange on the basin, sub-basin, part basin or group of basins? (please describe): [Management of extreme events floods, droughts. It also build trust and enhance cooperation]  7. Do the riparian States carry out joint monitoring in the transboundary basin, sub-b part of a basin or group of basins?  Yes  \[ \subseteq \text{No} \]  (a) If yes, what does the joint monitoring cover?    Hydrological   Ecological   Chemical	C	Comp	arability of data and information							
Other (please describe): [vandalizim of Data collection instruments by both he and wild animals ]  Additional comments: [fill in]  (h) What are the main benefits of data exchange on the basin, sub-basin, part basin or group of basins? (please describe): [Management of extreme events floods, droughts. It also build trust and enhance cooperation]  7. Do the riparian States carry out joint monitoring in the transboundary basin, sub-b part of a basin or group of basins?  Yes \[ \begin{array}{c c c c c c c c c c c c c c c c c c c	L	Limite	ed spatial coverage			$\boxtimes$				
and wild animals ]  Additional comments: [fill in]  (h) What are the main benefits of data exchange on the basin, sub-basin, part basin or group of basins? (please describe): [Management of extreme events floods, droughts. It also build trust and enhance cooperation]  7. Do the riparian States carry out joint monitoring in the transboundary basin, sub-b part of a basin or group of basins?  Yes  \[ \subseteq \text{No} \]  (a) If yes, what does the joint monitoring cover?    Hydrological   Ecological   Chemical	Iı	nadeo	quate resources (technical and/or fi	nancial)		$\boxtimes$				
(h) What are the main benefits of data exchange on the basin, sub-basin, part basin or group of basins? (please describe): [Management of extreme events floods, droughts. It also build trust and enhance cooperation]  7. Do the riparian States carry out joint monitoring in the transboundary basin, sub-b part of a basin or group of basins?  Yes ⊠/No □  (a) If yes, what does the joint monitoring cover?    Hydrological   Ecological   Chemical			=	e): [vandalizim of Data collection instruments by both hum						
basin or group of basins? (please describe): [Management of extreme events floods, droughts. It also build trust and enhance cooperation]  7. Do the riparian States carry out joint monitoring in the transboundary basin, sub-b part of a basin or group of basins?  Yes No (a) If yes, what does the joint monitoring cover?    Hydrological   Ecological   Chemical	A	Additi	onal comments: [fill in]							
part of a basin or group of basins?  Yes No (a) If yes, what does the joint monitoring cover?    Hydrological   Ecological   Chemical	b	asin	or group of basins? (please descr	ribe): [ <b>Manageme</b>	ent of extren	_				
(a) If yes, what does the joint monitoring cover?    Hydrological   Ecological   Chemical			= -	itoring in the trans	boundary bas	sin, sub-basin,				
Border surface waters  Surface waters in the entire basin  Surface waters on the main watercourse  Surface waters in part of the basin please describe [fill in]  Transboundary aquifer(s) (connected or unconnected)  Aquifer(s) in the territory of one riparian hydraulically connected to a transboundary river or lake  (b) If joint monitoring is carried out, how is this done? National monitoring stations connected through a network or common stations  Please describe: [Agreed monitoring stations are connected to ZAMWI. Joint and agreed methodologies  Please describe: [fill in] Joint sampling Please describe: [fill in]	Yes 🔀	No [								
Border surface waters  Surface waters in the entire basin  Surface waters on the main watercourse  Surface waters in part of the basin please describe [fill in]  Transboundary aquifer(s) (connected or unconnected)  Aquifer(s) in the territory of one riparian hydraulically connected to a transboundary river or lake  (b) If joint monitoring is carried out, how is this done?  National monitoring stations connected through a network or common stations  Please describe: [Agreed monitoring stations are connected to ZAMWI Joint and agreed methodologies  Please describe: [fill in]  Joint sampling Please describe: [fill in]	(8	a)	If yes, what does the joint monitor	ring cover?						
Surface waters in the entire basin				Hydrological	Ecological	Chemical				
Surface waters on the main watercourse  Surface waters in part of the basin please describe [fill in]  Transboundary aquifer(s) (connected or unconnected)  Aquifer(s) in the territory of one riparian hydraulically connected to a transboundary river or lake  (b) If joint monitoring is carried out, how is this done?  National monitoring stations connected through a network or common stations  Please describe: [Agreed monitoring stations are connected to ZAMWI. Joint and agreed methodologies  Please describe: [fill in]  Joint sampling  Please describe: [fill in]	Border s	surfac	ce waters	$\boxtimes$	$\boxtimes$					
watercourse  Surface waters in part of the basin  please describe [fill in]  Transboundary aquifer(s) (connected or unconnected)  Aquifer(s) in the territory of one riparian hydraulically connected to a transboundary river or lake  (b) If joint monitoring is carried out, how is this done?  National monitoring stations connected through a network or common stations  Please describe: [Agreed monitoring stations are connected to ZAMWI. Joint and agreed methodologies  Please describe: [fill in]  Joint sampling  Please describe: [fill in]	Surface	wate	rs in the entire basin							
please describe [fill in]  Transboundary aquifer(s) (connected or unconnected)  Aquifer(s) in the territory of one riparian hydraulically connected to a transboundary river or lake  (b) If joint monitoring is carried out, how is this done?  National monitoring stations connected through a network or common stations  Please describe: [Agreed monitoring stations are connected to ZAMWI Joint and agreed methodologies  Please describe: [fill in]  Joint sampling  Please describe: [fill in]			rs on the main							
Transboundary aquifer(s) (connected or unconnected)  Aquifer(s) in the territory of one riparian hydraulically connected to a transboundary river or lake  (b) If joint monitoring is carried out, how is this done?  National monitoring stations connected through a network or common stations  Please describe: [Agreed monitoring stations are connected to ZAMWI Joint and agreed methodologies  Please describe: [fill in]  Joint sampling  Please describe: [fill in]	Surface	wate	rs in part of the basin	$\boxtimes$						
Aquifer(s) in the territory of one riparian hydraulically connected to a transboundary river or lake  (b) If joint monitoring is carried out, how is this done?  National monitoring stations connected through a network or common stations  Please describe: [Agreed monitoring stations are connected to ZAMWI Joint and agreed methodologies  Please describe: [fill in]  Joint sampling  Please describe: [fill in]	p	lease	describe [fill in]							
riparian hydraulically connected to a transboundary river or lake  (b) If joint monitoring is carried out, how is this done?  National monitoring stations connected through a network or common stations  Please describe: [Agreed monitoring stations are connected to ZAMWI. Joint and agreed methodologies  Please describe: [fill in]  Joint sampling  Please describe: [fill in]										
National monitoring stations connected through a network or common stations  Please describe: [Agreed monitoring stations are connected to ZAMWI Joint and agreed methodologies  Please describe: [fill in]  Joint sampling  Please describe: [fill in]	riparian	hydr	aulically connected to a							
or common stations  Please describe: [Agreed monitoring stations are connected to ZAMWI Joint and agreed methodologies  Please describe: [fill in]  Joint sampling  Please describe: [fill in]	(1	b)	If joint monitoring is carried out,	how is this done?						
Joint and agreed methodologies  Please describe: [fill in]  Joint sampling  Please describe: [fill in]				nected through a n	etwork	$\boxtimes$				
Please describe: [fill in]  Joint sampling  Please describe: [fill in]			Please describe: [Agreed monito	ring stations are c	onnected to	ZAMWIS]				
Joint sampling  Please describe: [fill in]			Joint and agreed methodologies			$\boxtimes$				
Please describe: [fill in]			Please describe: [fill in]							
_			Joint sampling			$\boxtimes$				
Common monitoring network			Please describe: [fill in]							
			Common monitoring network							

		Please describe: [fill in]	
		Common agreed parameters	$\boxtimes$
		Please describe: [Discharge and water levels]	
	(c) in]	Please describe the main achievements regarding joint monitoring, if a	ny: [fill
	(d)	Please describe any difficulties experienced with joint monitoring: [fil	1 in]
8.		e riparian States carry out joint assessment of the transboundary bas part of a basin or group of basins?	in, sub-
Yes 🛭	/No [		
	(e.g., s	please provide the date of the last or only assessment, the frequency an surface waters or groundwaters only, pollution sources, etc.) of the assessment methodology applied: [2015, Zambezi environment outlo	essment,
9.	Have	the riparian States agreed to use joint water quality standards?	
Yes 🛭	/No [		
	(pleas applie	what standards have been applied, e.g. international or regional state specify which), or have national standards of the riparian Stated? [water quality parameters are agrred upon for specific assessment nonitoring is carried out]	es been
10.		are the measures implemented to prevent or limit the transboundary in antal pollution?	npact of
		Notification and communication	$\boxtimes$
		Coordinated or joint early warning or alarm system for accidental water pollution	
		Other (please list): [fill in]	
		No measures	
		If not, why not? What difficulties does your country face in in place such measures?: [fill in]	putting
11.		are the measures implemented to prevent or limit the transboundary in ne weather events and climate change?	npact of
		Notification and communication	$\boxtimes$
		Coordinated or joint alarm system for floods	
		Coordinated or joint alarm system for droughts	
		Joint climate change adaptation strategy	
		Joint disaster risk reduction strategy	
		Other (please list): [ZAMWIS- Flow forecast ]	
		No measures	
		If not, why not? What difficulties does your country face in in place such measures?: [n/a]	putting

Are procedures in place for mutual assistance in case of a critical situation?

12.

**17** 

Yes [	/No ⊠	
If yes	, please provide a brief summary: [fill in]	
13.	Are the public or relevant stakeholders involved in transboundary water n in the basin, sub-basin, part of a basin or group of basins?	nanagement
Yes [	⊠/No	
	If yes, how? (please tick all applicable)	
	Stakeholders have observer status in a joint body or mechanism	$\boxtimes$
	Stakeholders have an advisory role in the joint body	$\boxtimes$
	Stakeholders have a decision-making role in the joint body	
	If yes, please specify the stakeholders for the joint body or mechanism: $[n/a]$	
	Intergovernmental organizations	$\boxtimes$
	Private sectors organizations or associations	
	Water user groups or associations	$\boxtimes$
	Academic or research institutions	$\boxtimes$
	Other non-governmental organizations	$\boxtimes$
	General public	$\boxtimes$
	Other (please specify): [fill in]	
	Availability of information to the public	$\boxtimes$
	Consultation on planned measures or river basin management plans <sup>4</sup>	
	Public involvement	
	Other (please specify): [fill in]	

#### LIMPOPO WATERCOURSE COMMISSION (LIMCOM)

# II. Questions for each transboundary basin, sub-basin, part of a basin, or group of basins (river, lake or aquifer)

Please complete this second section for each transboundary basin (river or lake basin, or aquifer), sub-basin, part of a basin or a group of basins covered by the same agreement or arrangement where conditions are similar.<sup>5</sup> In some instances, you may provide information on both a basin and one or more of its sub-basins or parts thereof, for example, where you

<sup>&</sup>lt;sup>4</sup> Or, where applicable, aquifer management plans.

<sup>&</sup>lt;sup>5</sup> In principle, section II should be submitted for every transboundary basin, river, lake or aquifer, in the country, but States may decide to group basins in which their share is small or leave out basins in which their share is very minor, e.g., below 1 per cent.

have agreements<sup>6</sup> or arrangements on both the basin and its sub-basin. You may coordinate your responses with other States with which your country shares transboundary waters, or even prepare a joint report. General information on transboundary water management at the national level should be provided in section III and not repeated here.

Please reproduce this whole section with its questions for each transboundary basin, sub-basin, part of a basin or group of basins for which you will provide a reply.

#### Name of the transboundary basin, sub-basin, part of a basin or group of basins:

#### [ Limpopo Watercourse Commission (LIMCOM)]

List of the riparian States: [Botswana, Mozambique, South Africa, Zimbabwe]

# In the case of an aquifer, what is the nature of the aquifer and its relation with the river or lake basin:

Unco	nfined aquifer connected to a river or lake		
Unco	nfined aquifer with no or limited relation with surface water		
Confi	ned aquifer connected to surface water		
Confi	ned aquifer with no or limited relation with surface water		$\boxtimes$
Other			
Please	e describe: [fill in]		
Unkn	own		
	ntage of your country's territory within the basin, sub-basin, of basins:[18.98%]	part of a	basin oı
1.	Is there one or more transboundary (bilateral or multilateral arrangement(s) on this basin, sub-basin, part of a basin or group of		ent(s) or
	One or more agreements or arrangements exist and are in force		$\boxtimes$
	Agreement or arrangement developed but not in force		
	Agreement or arrangement developed, but not in force for all ripa	arians	
	Please insert the name of the agreement(s) or arrangement(s) [fi	ll in]	
	Agreement or arrangement is under development		
	No agreement or arrangement		
	If there is no agreement or arrangement or it is not in force, pl why not and provide information on any plans to address the situ	-	

If there is no agreement or arrangement and no joint body or mechanism for the transboundary basin, sub-basin, part of a basin or group of basins then jump to question 4; if there is no agreement or arrangement, but a joint body or mechanism then go to question 3.

Questions 2 and 3 to be completed for each bilateral or multilateral agreement or arrangement in force in the transboundary basin, sub-basin, part of a basin or group of basins.

<sup>&</sup>lt;sup>6</sup> In section II, "agreement" covers all kinds of treaties, conventions and agreements ensuring cooperation in the field of transboundary waters. Section II can also be completed for other types of arrangements, such as memorandums of understanding.

2.	(a)	Does this agreement or arrangement specify the area subject to coope	eration?
	Yes	⊠/No	
	If yes	, does it cover the entire basin or group of basins and all riparian State	s?
	Yes	⊠/No	
	Addit	ional explanations? [fill in]	
	Or, if basin	the agreement or arrangement relates to a sub-basin, does it cover the e?	ntire sub-
	Yes	/No	
	Addit	ional explanations? [N/A]	
		h States (including your own) are bound by the agreement or arrange list): [Botswana, Mozambique, South Africa, Zimbabwe]	ngement?
	(b) does i	If the agreement or arrangement relates to a river or lake basin or s it also cover aquifers?	ub-basin,
	Yes	⊠/No	
•	•	, please list the aquifers covered by the agreement or arrangement: [R	amotswa
aquif		Wilesting the content of the comment	
	(c)	What is the sectoral scope of the agreement or arrangement?  All water uses	$\square$
		A single water use or sector	
	T.C	Several water uses or sectors	Ш
		e or several water uses or sectors, please list (check as appropriate):	
	wate	r uses or sectors	$\square$
		Industry	
		Agriculture	$\boxtimes$
		Transport (e.g., navigation)	$\boxtimes$
		Households	
		Energy: hydropower and other energy types	$\boxtimes$
		Fisheries Tourism	$\boxtimes$
		Nature protection	$\boxtimes$
		Other (please list): [fill in]	
	(4)	•	amant or
	(d) arrang	What topics or subjects of cooperation are included in the agregement?	ement or
		Procedural and institutional issues	
		Dispute and conflict prevention and resolution	$\boxtimes$
		Institutional cooperation (joint bodies)	$\boxtimes$
		Consultation on planned measures	$\boxtimes$

	Mutual assistance	
	<b>Topics of cooperation</b>	
	Joint vision and management objectives	
	Joint significant water management issues	
	Navigation	
	Human health	
	Environmental protection (ecosystem)	
	Water quality	
	Water quantity or allocation	
	Cooperation in addressing floods	
	Cooperation in addressing droughts	
	Climate change adaptation	
	Monitoring and exchange	
	Joint assessments	$\boxtimes$
	Data collection and exchange	$\boxtimes$
	Joint monitoring	$\boxtimes$
	Maintenance of joint pollution inventories	
	Elaboration of joint water quality objectives	
	Common early warning and alarm procedures	$\boxtimes$
	Exchange of experience between riparian States	
	Exchange of information on planned measures	
	Joint planning and management	
	Development of joint regulations on specific topics	
	Development of international or joint river, lake or aquifer basin management or action plans	$\boxtimes$
	Management of shared infrastructure	$\boxtimes$
	Development of shared infrastructure	
	Other (please list): [N/A]	
(e) agreei	What are the main difficulties and challenges that your country faces ment or arrangement and its implementation, if any?	with the
	Aligning implementation of agreement or arrangement with national laws, policies and programmes	
	Aligning implementation of agreement or arrangement with regional laws, policies and programmes	
	Lack of financial resources	
	Insufficient human capacity	
	Insufficient technical capacity	$\bowtie$

		Tense diplomatic relations	
		Non-participation of certain riparian countries in the agreement	
		No significant difficulties	
		Other (please describe): [N/A]	
	survey cooper	What are the main achievements in implementing the agreen gement and what were the keys to achieving such success? [Joint water as and Ramotswa Aquifer assessment and mapping, this were achieved ration between member states and financial support of donors main [SAID]	quality d due to
	applic http://	Please attach a copy of the agreement or arrangement or provide to so of the document (please attach document or insert web additable):  (www.limpopo.riverawarenesskit.org/LIMPOPORAK_COM/EN/GOVERATER_GOVERNANCE_IN_THE_LIMPOPO/AGREEMENT.HTM)	ress, if
3.	-	ar country a member of any joint body or mechanism for this agreement?	ment or
Yes 🗵	/No [		
If no, 1	why no	t? (please explain): [N/A]	
Where	e there	s is a joint body or mechanism	
	(a) (pleas	If there is a joint body or mechanism, which kind of joint body or mechanism which kind of joint body or mechanism.	chanism
		Plenipotentiaries	
		Bilateral commission	
		Basin or similar commission	$\boxtimes$
		Expert group meeting or meeting of national focal points	
		Other (please describe): [N/A]	
	(b) basin,	Does the joint body or mechanism cover the entire transboundary bas part of a basin or group of basins?	in, sub-
Yes 🗵	/No [		
	(c) mecha	Which States (including your own) are members of the joint banism? (Please list): [Botswana, Mozambique, South Africa, Zimbabw	
	(d) mecha	Are there any riparian States that are not members of the joint $t$ anism? (please list): $[N/A]$	ody or
	(e) the joi	If not all riparian States are members of the joint body or mechanism hourt body or mechanism cooperate with them?	ow does
		No cooperation	
		They have observer status	
		Other (please describe): [N/A]	
	(f) tick th	Does the joint body or mechanism have any of the following features <i>e ones applicable</i> )?	(please
		A secretariat	$\boxtimes$

If the secretariat is a permanent one, is it a joint secretariat or does each country host its own secretariat? (Please describe): [Joint secretariat] A subsidiary body or bodies  $\boxtimes$ Please list (e.g., working groups on specific topics): [Commissioners, Technical Task Team] Other features (*please list*): [N/A] What are the tasks and activities of this joint body or mechanism?<sup>7</sup> (g) Identification of pollution sources  $\boxtimes$  $\boxtimes$ Data collection and exchange Joint monitoring X X Maintenance of joint pollution inventories Setting emission limits X Elaboration of joint water quality objectives  $\boxtimes$ X Management and prevention of flood or drought risks Preparedness for extreme events, e.g., common early warning  $\boxtimes$ and alarm procedures Surveillance and early warning of water related disease X X Water allocation and/or flow regulation Policy development Control of implementation X X Exchange of experience between riparian States Exchange of information on existing and planned uses of water and related installations  $\boxtimes$ X Settling of differences and conflicts X Consultations on planned measures  $\boxtimes$ Exchange of information on best available technology Participation in transboundary EIA X Development of river, lake or aquifer basin management or X action plans X Management of shared infrastructure  $\boxtimes$ Addressing hydromorphological alterations Climate change adaptation  $\boxtimes$ Joint communication strategy  $\boxtimes$ Basin-wide or joint public participation and consultation of,

This may include tasks according to the agreement or tasks added by the joint body, or its subsidiaries. Both tasks which joint bodies coordinate and tasks which they implement should be included.

	for example, basin management plans	$\boxtimes$
	Joint resources to support transboundary cooperation	$\boxtimes$
	Capacity-building	$\boxtimes$
	Any other tasks (please list): [N/A]	
	(h) What are the main difficulties and challenges that your country faces operation of the joint body or mechanism, if any?	with the
	Governance issues	
	Please describe, if any: [fill in]	
	Unexpected planning delays	
	Please describe, if any: [fill in]	
	Lack of resources	$\boxtimes$
	Please describe, if true: [fill in]	
	Lack of mechanism for implementing measures	
	Please describe, if true: [fill in]	
	Lack of effective measures	
	Please describe, if true: [fill in]	
	Unexpected extreme events	$\boxtimes$
	Please describe, if any: [Droughts and floods]	
	Lack of information and reliable forecasts	$\boxtimes$
	Please describe, if any: [Drought early warning and flash flood fore	casting]
	Others (please list and describe, as appropriate): [N/A]	
	(i) Does the joint body or mechanism, or its subsidiary bodies meet regu	larly?
	Yes ⊠/No□	
	If yes, how frequently does it meet?	
	More than once per year	$\boxtimes$
	Once per year	
	Less than once per year	
	(j) What are the main achievements with regards to the joint body or mec [Sharing of data and information and joint studies and monitoring activities]	chanism?
	(k) Did the joint body or mechanism ever invite a non-riparian coastal cooperate?	State to
Yes [	]/No ⊠	
	If yes, please give details. If no, why not, e.g. are the relevant coastal Steriparian States and therefore already members of the joint body or mechanin]	
4.	Have joint objectives, a common strategy, a joint or coordinated management action plan been agreed for the basin, sub-basin, part of a basin or group of basin.	_
Yes 🛭	☑/No□	

If yes, please provide further details: [IWRM Plan, Limcom Strategic Plan]

5.	prote	is the transboundary basin,—sub-basin, part of a basins or group ected, including the protection of ecosystems, in the context of susta- nal water use?	
	_	ulation of urbanization, deforestation, and sand and el extraction.	
		ronmental flow norms, including consideration of levels and onality	
		er quality protection, e.g. nitrates, pesticides, faecal coliforms, y metals	$\boxtimes$
	Wate	er-related species and habitats protection	
	Othe	r measures (please describe): [N/A]	
6.	(a) State	Does your country regularly exchange information and data with other in the basin, sub-basin, part of a basin or group of basins?	her riparian
Yes	⊠/No		
	(b)	If yes, how often:	
		More than once per year	$\boxtimes$
		Once per year	
		Less than once per year	
	(c) meet	Please describe how information is exchanged (e.g. in conneings of joint bodies): [ <i>Meetings and via emails</i> ]	ection with
	(d)	If yes, on what subjects are information and data exchanged?	
		Environmental conditions	$\boxtimes$
		Research activities and application of best available techniques	
		Emission monitoring data	$\boxtimes$
		Planned measures taken to prevent, control or reduce transboundary impacts	$\boxtimes$
		Point source pollution sources	
		Diffuse pollution sources	
		Existing hydromorphological alterations (dams, etc.)	$\boxtimes$
		Flows or water levels (including groundwater levels)	$\boxtimes$
		Water abstractions	$\boxtimes$
		Climatological information	$\boxtimes$
		Future planned measures with transboundary impacts, such as infrastructure development	$\boxtimes$
		Other subjects (please list): [N/A]	
		Other comments, e.g. spatial coverage of data and information exc in]	hange: [fill
	(e)	Is there a shared database or information platform?	

Yes \[ \scalendright \] /No				
(f)	Is the database publicly available:	?		
Yes /No				
If yes, plea	ase provide the web address: [fill in]			
(g)	What are the main difficulties and	l challenges to dat	a exchange,	if applicable?
Fre	quency of exchanges			
Tin	ning of exchanges			
Cor	mparability of data and information			
Lin	nited spatial coverage			
Ina	dequate resources (no database, techi	nical and/or finar	ıcial)	
Oth	ner (please describe): [N/A]			
Ade	ditional comments: [N/A]			
	What are the main benefits of data in or group of basins? (please describe nning and management]	-		_
	the riparian States carry out joint montous tof a basin or group of basins?	itoring in the trans	boundary bas	sin, sub-basin,
Yes ⊠/No	o 🗌			
(a)	If yes, what does the joint monitor	ring cover?		
		Hydrological	Ecological	Chemical
Border sur	face waters	$\boxtimes$	$\boxtimes$	$\boxtimes$
Surface wa	aters in the entire basin	$\boxtimes$	$\bowtie$	$\bowtie$
Surface wa	aters on the main	$\boxtimes$	$\boxtimes$	$\boxtimes$
Surface wa	aters in part of the basin	$\boxtimes$		
	ase describe [Botswana ica]			
Transboun or unconne	ndary aquifer(s) (connected ected)	$\boxtimes$		$\boxtimes$
riparian hy	in the territory of one ydraulically connected to a dary river or lake			
(b)	If joint monitoring is carried out,	how is this done?		
	National monitoring stations connor common stations	nected through a n	etwork	
	Please describe: [fill in]			
	Joint and agreed methodologies			$\boxtimes$

	Please describe: [fill in]	
	Joint sampling	$\boxtimes$
	Please describe: [fill in]	
	Common monitoring network	$\boxtimes$
	Please describe: [fill in]	
	Common agreed parameters	$\boxtimes \Box$
levels	Please describe: [water quality parameters, precipitation, flows a	nd water
iereis	(c) Please describe the main achievements regarding joint monit any: [joint water quality monitoring for controlling envasive aquatic weed]	_
resou	(d) Please describe any difficulties experienced with joint monitoring: [arces to do more intense monitoring inclusive of water quantity]	financial
8.	Do the riparian States carry out joint assessment of the transboundary basin, part of a basin or group of basins?	asin, sub-
Yes [	⊠/No	
	If yes, please provide the date of the last or only assessment, the frequency of (e.g., surface waters or groundwaters only, pollution sources, etc.) of the as and assessment methodology applied: [Surface water joint assessment was in February 2019 on the Tuli block and Oliphants drift by Botswana as Africa]	sessment, <b>last done</b>
9.	Have the riparian States agreed to use joint water quality standards?	
Yes [	/No ⊠	
	If yes, what standards have been applied, e.g. international or regional supplied (please specify which), or have national standards of the riparian Standards [fill in]	
10.	What are the measures implemented to prevent or limit the transboundary accidental pollution?	impact of
	Notification and communication	$\boxtimes$
	Coordinated or joint early warning or alarm system for accidental water pollution	
	Other (please list): [fill in]	
	No measures	
	If not, why not? What difficulties does your country face in in place such measures?: [fill in]	n putting
11.	What are the measures implemented to prevent or limit the transboundary extreme weather events and climate change?	impact of
	Notification and communication	$\boxtimes$
	Coordinated or joint alarm system for floods	
	Coordinated or joint alarm system for droughts	
	Joint climate change adaptation strategy	
	Joint disaster risk reduction strategy	$\boxtimes$

	Other (please list): [fill in]	
	No measures	
	If not, why not? What difficulties does your country face in in place such measures?: [fill in]	putting
12.	Are procedures in place for mutual assistance in case of a critical situation?	
Yes [	]/No ⊠	
If yes,	please provide a brief summary: [fill in]	
13.	Are the public or relevant stakeholders involved in transboundary water manain the basin, sub-basin, part of a basin or group of basins?	ngement
Yes [	☑/No □	
	If yes, how? (please tick all applicable)	
	Stakeholders have observer status in a joint body or mechanism	
	Stakeholders have an advisory role in the joint body	
	Stakeholders have a decision-making role in the joint body	
	If yes, please specify the stakeholders for the joint body or mechanism: [Stakeholders were engaged in the vision process]	
	Intergovernmental organizations	$\boxtimes$
	Private sectors organizations or associations	
	Water user groups or associations	
	Academic or research institutions	
	Other non-governmental organizations	$\boxtimes$
	General public	
	Other (please specify): [fill in]	
	Availability of information to the public	
	Consultation on planned measures or river basin management plans <sup>8</sup>	
	Public involvement	
	Other (please specify): [fill in]	

#### THE PERMANENT OKAVANGO BASIN WATER COMMISSION (OKACOM)

# II. Questions for each transboundary basin, sub-basin, part of a basin, or group of basins (river, lake or aquifer)

Please complete this second section for each transboundary basin (river or lake basin, or aquifer), sub-basin, part of a basin or a group of basins covered by the same agreement or

<sup>&</sup>lt;sup>8</sup> Or, where applicable, aquifer management plans.

arrangement where conditions are similar. In some instances, you may provide information on both a basin and one or more of its sub-basins or parts thereof, for example, where you have agreements or arrangements on both the basin and its sub-basin. You may coordinate your responses with other States with which your country shares transboundary waters, or even prepare a joint report. General information on transboundary water management at the national level should be provided in section III and not repeated here.

Please reproduce this whole section with its questions for each transboundary basin, sub-basin, part of a basin or group of basins for which you will provide a reply.

Name of the transboundary basin,-sub-basin, part of a basin or group of basins: [The Permanent Okavango Basin Water Commission (OKACOM)]

List of the riparian States: [Angola, Botswana, Namibia]

SA

In the case of an aquifer, what is the nature of the aquifer and its relation with or lake basin:	the river
Unconfined aquifer connected to a river or lake	
Unconfined aquifer with no or limited relation with surface water	
Confined aquifer connected to surface water	
Confined aquifer with no or limited relation with surface water	
Other	
Please describe: [n/a]	
Unknown	
Percentage of your country's territory within the basin, sub-basin, part of a group of basins: [fill in]	basin or
1. Is there one or more transboundary (bilateral or multilateral) agreem arrangement(s) on this basin, sub-basin, part of a basin or group of basins?	ent(s) or
One or more agreements or arrangements exist and are in force	$\boxtimes$
Agreement or arrangement developed but not in force	
Agreement or arrangement developed, but not in force for all riparians	
Please insert the name of the agreement(s) or arrangement(s)	
DC Protocol on Shared Watercourses	
Agreement between the Governments of the Republic of Angola, the of Botswana and the Republic of Namibia on the Establishment of a Pool (Okavango River Basin Water Commission (OKACOM) Windhoek	-
Agreement or arrangement is under development	
No agreement or arrangement	

<sup>&</sup>lt;sup>9</sup> In principle, section II should be submitted for every transboundary basin, river, lake or aquifer, in the country, but States may decide to group basins in which their share is small or leave out basins in which their share is very minor, e.g., below 1 per cent.

In section II, "agreement" covers all kinds of treaties, conventions and agreements ensuring cooperation in the field of transboundary waters. Section II can also be completed for other types of arrangements, such as memorandums of understanding.

If there is no agreement or arrangement or it is not in force, please explain briefly why not and provide information on any plans to address the situation: [fill in]

If there is no agreement or arrangement and no joint body or mechanism for the transboundary basin, sub-basin, part of a basin or group of basins then jump to question 4; if there is no agreement or arrangement, but a joint body or mechanism then go to question 3.

Questions 2 and 3 to be completed for each bilateral or multilateral agreement or arrangement in force in the transboundary basin, sub-basin, part of a basin or group of basins.

2.	(a)	Does this agreement or arrangement specify the area subject to coope	eration?		
	Yes	⊠/No			
	If yes	s, does it cover the entire basin or group of basins and all riparian State	s?		
	Yes	⊠/No			
	Addi	tional explanations? [fill in]			
		Or, if the agreement or arrangement relates to a sub-basin, does it cover the entire sub-basin?			
	Yes [	/No			
	Addi	Additional explanations? [fill in]			
		Which States (including your own) are bound by the agreement or arrangement? (Please list): [Angola,Botswana,Namibia]			
	(b) does	If the agreement or arrangement relates to a river or lake basin or s it also cover aquifers?	sub-basin,		
	Yes	⊠/No			
Kala		s, please list the aquifers covered by the agreement or arrangement: Xaroo Basin, Northern Kalahari / Karoo Basin / Eiseb Graben A			
	(c)	What is the sectoral scope of the agreement or arrangement?			
		All water uses	$\boxtimes$		
		A single water use or sector			
		Several water uses or sectors			
	If one	e or several water uses or sectors, please list (check as appropriate):			
	Wate	er uses or sectors			
		Industry	$\boxtimes$		
		Agriculture	$\boxtimes$		
		Transport (e.g., navigation)	$\boxtimes$		
		Households	$\boxtimes$		
		Energy: hydropower and other energy types	$\boxtimes$		
		Fisheries	$\boxtimes$		
		Tourism	$\boxtimes$		
		Nature protection	$\boxtimes$		

Other (please list): [fill in]

(d) What topics or subjects of cooperation are included in the agreement or arrangement?

Procedural and institutional issues	
Dispute and conflict prevention and resolution	$\boxtimes$
Institutional cooperation (joint bodies)	$\boxtimes$
Consultation on planned measures	$\boxtimes$
Mutual assistance	$\boxtimes$
Topics of cooperation	
Joint vision and management objectives	$\boxtimes$
Joint significant water management issues	$\boxtimes$
Navigation	
Human health	$\boxtimes$
Environmental protection (ecosystem)	$\boxtimes$
Water quality	$\boxtimes$
Water quantity or allocation	$\boxtimes$
Cooperation in addressing floods	$\boxtimes$
Cooperation in addressing droughts	$\boxtimes$
Climate change adaptation	$\boxtimes$
Monitoring and exchange	
Joint assessments	$\boxtimes$
Data collection and exchange	$\boxtimes$
Joint monitoring	$\boxtimes$
Maintenance of joint pollution inventories	$\boxtimes$
Elaboration of joint water quality objectives	$\boxtimes$
Common early warning and alarm procedures	$\boxtimes$
Exchange of experience between riparian States	$\boxtimes$
Exchange of information on planned measures	$\boxtimes$
Joint planning and management	
Development of joint regulations on specific topics	$\boxtimes$
Development of international or joint river, lake or aquifer basin management or action plans	$\boxtimes$
Management of shared infrastructure	
Development of shared infrastructure	
Other (please list): [fill in]	

(e) What are the main difficulties and challenges that your country faces with the agreement or arrangement and its implementation, if any?

		Aligning implementation of agreement or arrangement with national laws, policies and programmes	
		Aligning implementation of agreement or arrangement with regional laws, policies and programmes	
		Lack of financial resources	$\boxtimes$
		Insufficient human capacity	
		Insufficient technical capacity	$\boxtimes$
		Tense diplomatic relations	
		Non-participation of certain riparian countries in the agreement	
		No significant difficulties	
		Other (please describe): [fill in]	
		What are the main achievements in implementing the agreer gement and what were the keys to achieving such success? [Data of the control of th	Sharing
		Please attach a copy of the agreement or arrangement or provide as of the document (please attach document or insert web additable): Copy Attached	
3.		or country a member of any joint body or mechanism for this agree gement?	ment or
Yes 🛭	/No [		
If no,	why no	t? (please explain): [fill in]	
Wher	e there	e is a joint body or mechanism	
	(a) (pleas	If there is a joint body or mechanism, which kind of joint body or meter tick one)?	chanism
		Plenipotentiaries	
		Bilateral commission	
		Basin or similar commission	$\boxtimes$
		Expert group meeting or meeting of national focal points	
		Other (please describe): [fill in]	
	(b) basin,	Does the joint body or mechanism cover the entire transboundary bas part of a basin or group of basins?	sin, sub-
Yes 🛭	/No [		
	(c) mecha	Which States (including your own) are members of the joint lanism? (Please list): Angola, Botswana, Namibia	oody or
	(d) mecha	Are there any riparian States that are not members of the joint anism? (please list): $[N/A]$	body or
	(e) the join	If not all riparian States are members of the joint body or mechanism h int body or mechanism cooperate with them?	ow does
		No cooperation	
		They have observer status	

	Other (please describe): [fill in]		
(f) tick th	Does the joint body or mechanism have any of the following features <i>e ones applicable</i> )?	(please	
	A secretariat	$\boxtimes$	
	If the secretariat is a permanent one, is it a joint secreta does each country host its own secretariat? (Please describe): [A p Joint Secretarient]		
	A subsidiary body or bodies		
Please list (e.g., working groups on specific topics): [Forum of minister Council of commissioners, Okavango basin Steering Water resources Technical committee, Institutional and Policy development technical committee, Biodiversity and Environment Technical Committee, Land Management Technical Committee, Soci Economic land Management Technical Committee and working groups ]			
	Other features (please list): [fill in]		
(g)	What are the tasks and activities of this joint body or mechanism? <sup>11</sup>		
	Identification of pollution sources	$\boxtimes$	
	Data collection and exchange	$\boxtimes$	
	Joint monitoring	$\boxtimes$	
	Maintenance of joint pollution inventories	$\boxtimes$	
	Setting emission limits		
	Elaboration of joint water quality objectives	$\boxtimes$	
	Management and prevention of flood or drought risks	$\boxtimes$	
	Preparedness for extreme events, e.g., common early warning and alarm procedures	$\boxtimes$	
	Surveillance and early warning of water related disease	$\boxtimes$	
	Water allocation and/or flow regulation	$\boxtimes$	
	Policy development		
	Control of implementation		
	Exchange of experience between riparian States	$\boxtimes$	
	Exchange of information on existing and planned uses of water and related installations	$\boxtimes$	
	Settling of differences and conflicts	$\boxtimes$	
	Consultations on planned measures	$\boxtimes$	
	Exchange of information on best available technology	$\boxtimes$	
	Participation in transboundary EIA	$\boxtimes$	
	Development of river, lake or aquifer basin management or		

This may include tasks according to the agreement or tasks added by the joint body, or its subsidiaries. Both tasks which joint bodies coordinate and tasks which they implement should be included.

	action plans	$\boxtimes$	
	Management of shared infrastructure		
	Addressing hydromorphological alterations	$\boxtimes$	
	Climate change adaptation	$\boxtimes$	
	Joint communication strategy	$\boxtimes$	
	Basin-wide or joint public participation and consultation of, for example, basin management plans	$\boxtimes$	
	Joint resources to support transboundary cooperation	$\boxtimes$	
	Capacity-building	$\boxtimes$	
	Any other tasks (please list): [fill in]		
(h) operat	What are the main difficulties and challenges that your country faces ion of the joint body or mechanism, if any?	with the	
	Governance issues		
	Please describe, if any: [fill in]		
	Unexpected planning delays		
	Please describe, if any: [fill in]		
	Lack of resources	$\boxtimes$	
	Please describe, if true: [Inadequte funds to run Okacom programmes.]		
	Lack of mechanism for implementing measures		
	Please describe, if true: [fill in]		
	Lack of effective measures		
	Please describe, if true: [fill in]		
	Unexpected extreme events		
	Please describe, if any: [fill in]		
	Lack of information and reliable forecasts		
	Please describe, if any: []		
	Others (please list and describe, as appropriate): [fill in]		
(i)	Does the joint body or mechanism, or its subsidiary bodies meet regul	arly?	
Yes 🔀	]/No		
If yes, how frequently does it meet?			
	More than once per year	$\boxtimes$	
	Once per year		
	Less than once per year		
(j) [Wate	What are the main achievements with regards to the joint body or mech rallocation Strategy. ]	nanism?	
(k) Did the joint body or mechanism ever invite a non-riparian coastal State to cooperate?			

Yes [	]/No [>		
	ripario <b>coasta</b>	please give details. If no, why not, e.g. are the relevant coastal States and therefore already members of the joint body or mechanism I States are also riparian States and therefore already members of the property of the	n? [ <b>The</b>
4.		joint objectives, a common strategy, a joint or coordinated management plan been agreed for the basin, sub-basin, part of a basin or group of basin.	
Yes 🗵	/No	]	
for the state a vision	basin, and sus that	provide further details: [The member states developed Strategic Actions supported by National Action Plans (NAP) to address needs of each to tainable management of the basin in addition there is an agreed based direct the activities of the entire basin, https://www.okacom.org/ocon-programme-sap]	nember in wide
5.	How is the transboundary basin,—sub-basin, part of a basins or group of basins protected, including the protection of ecosystems, in the context of sustainable and rational water use?		
	_	ation of urbanization, deforestation, and sand and extraction.	$\boxtimes$
	Enviro	onmental flow norms, including consideration of levels and nality	$\boxtimes$
		quality protection, e.g. nitrates, pesticides, faecal coliforms, metals	$\boxtimes$
	Water	-related species and habitats protection	$\boxtimes$
	Other	measures (please describe): [fill in]	
6.	(a) States	Does your country regularly exchange information and data with other in the basin, sub-basin, part of a basin or group of basins?	riparian
Yes 🗵	]/No [		
	(b)	If yes, how often:	
		More than once per year	$\boxtimes$
		Once per year	
		Less than once per year	
	(c) Please describe how information is exchanged (e.g. in connection w meetings of joint bodies): [email, phone calls,]		
	(d)	If yes, on what subjects are information and data exchanged?	
		Environmental conditions	$\boxtimes$
		Research activities and application of best available techniques	$\boxtimes$
		Emission monitoring data	
		Planned measures taken to prevent, control or reduce transboundary impacts	$\boxtimes$
		Point source pollution sources	
		Diffuse pollution sources	

		Existing hydromorphological alteration	ons (dams, etc.)	)	
		Flows or water levels (including grou	indwater levels	)	$\boxtimes$
		Water abstractions			$\boxtimes$
		Climatological information			$\boxtimes$
		Future planned measures with transboinfrastructure development	oundary impact	s, such as	$\boxtimes$
		Other subjects (please list): [fill in]			
		Other comments, e.g. spatial coverag in]	e of data and in	nformation ex	xchange: [fill
	(e)	Is there a shared database or information	tion platform?		
Yes [	/No [				
	(f)	Is the database publicly available?			
Yes [	/No [				
If yes	, please	provide the web address: [N/A]			
	(g)	What are the main difficulties and ch	allenges to data	exchange, if	applicable?
	Frequ	ency of exchanges			
	Timin	g of exchanges			
	Comp	arability of data and information			
	Limit	ed spatial coverage			
	Inade	quate resources (technical and/or finan	cial)		$\boxtimes$
	Other	(please describe): [fill in]			
	Addit	ional comments: [fill in]			
		What are the main benefits of data exor group of basins? (please describe s, droughts, water quality. It also build	): [Managemen	nt of extrem	e events like
7.		e riparian States carry out joint monitor f a basin or group of basins?	ing in the transb	ooundary basi	n, sub-basin,
Yes [	✓/No [				
	(a)	If yes, what does the joint monitoring	g cover?		
			Hydrological	Ecological	Chemical
Border surface waters			$\boxtimes$	$\boxtimes$	$\boxtimes$
Surface waters in the entire basin					
Surface waters on the main watercourse					
Surfa	ce wate	rs in part of the basin			
	please	e describe [fill in]			

		Hydrological	Ecological	Chemical
Transbound or unconne	dary aquifer(s) (connected ected)			
riparian hy	in the territory of one draulically connected to a ary river or lake			
(b)	If joint monitoring is carried out,	how is this done?		
(6)	National monitoring stations connor common stations		etwork	$\boxtimes$
listed and o	Please describe: [the joint monito agreed upon by the three states on th	-		tions that are
	Joint and agreed methodologies			$\boxtimes$
agreed met	Please describe: [ <b>The joint wate</b> thodologies and equipment and app			
	Joint sampling			
agreed met	Please describe: [ <b>The joint wate</b> thodologies and equipment and app			
	Common monitoring network			$\boxtimes$
listed and o	Please describe: [the joint moniton agreed upon by the three states on the states of the states of the states on the states of the states on the states of the states on the states of t	-		tions that are
	Common agreed parameters			$\boxtimes$
agreed par protocol]	Please describe: [the joint mon ameters that are listed and agreed to			
Mai	Please describe the main achies [maintain and sustainance of the magement of extreme events like flow t and enhance cooperation]	e basin resource	s for equita	bile sharing,
	Please describe any difficulti te resources ]	ies experienced	with joint	monitoring:
	the riparian States carry out joint ann, part of a basin or group of basins?		transboundar	ry basin, sub-
Yes ⊠/No				
(e.g and <b>mor</b>	es, please provide the date of the last ., surface waters or groundwaters on assessment methodology applied: nitoring of water quality and quant dards where utilised.]	ly, pollution sourd [ <b>November 201</b> ]	ces, etc.) of th <b>9, Joint wa</b>	ne assessment, ter resources
9. Hav	re the riparian States agreed to use joi	int water quality s	tandards?	
Yes No	$\cdot \sqcap$			

If yes, what standards have been applied, e.g. international or regional standards (please specify which), or have national standards of the riparian States been applied? [standards under the WMO water standards]

10.	What are the measures implemented to prevent or limit the transboundary in accidental pollution?	npact of
	Notification and communication	$\boxtimes$
	Coordinated or joint early warning or alarm system for accidental water pollution	
	Other (please list): [fill in]	
	No measures	
	If not, why not? What difficulties does your country face in in place such measures?: [fill in]	putting
11.	What are the measures implemented to prevent or limit the transboundary in extreme weather events and climate change?	npact of
	Notification and communication	$\boxtimes$
	Coordinated or joint alarm system for floods	
	Coordinated or joint alarm system for droughts	
	Joint climate change adaptation strategy	
	Joint disaster risk reduction strategy	
	Other (please list): [fill in]	
	No measures	
	If not, why not? What difficulties does your country face in in place such measures?: [fill in]	putting
12.	Are procedures in place for mutual assistance in case of a critical situation?	
Yes [	]/No ⊠	
If yes,	please provide a brief summary: [fill in]	
13.	Are the public or relevant stakeholders involved in transboundary water mans in the basin, sub-basin, part of a basin or group of basins?	agement
Yes 🛭	✓/No □	
	If yes, how? (There is a subsidiary body that accommodate public opinion-In Stakeholder's coordination committee(NASC); Through support of funding or stakeholder consultation has been prioritised for every project or arrangement made within the whole basin. Intergrated water resources management pricip been comprehensively applied within the basin, the technical bodies within body agreement consists of departmental and ministireal division delegates we priority sectors for each riparian states that forms the joint body of the basin	gans the it that is les have the joint ithin the
	Stakeholders have observer status in a joint body or mechanism	
	Stakeholders have an advisory role in the joint body	$\boxtimes$
	Stakeholders have a decision-making role in the joint body	
	If yes, please specify the stakeholders for the joint body or mechanism: [fill in]	

	Intergovernmental organizations		$\boxtimes$
	Private sectors organizations or associations		
	Water user groups or associations		$\boxtimes$
	Academic or research institutions		$\boxtimes$
	Other non-governmental organizations		$\boxtimes$
	General public		$\boxtimes$
	Other (please specify): [fill in]		
	Availability of information to the public		$\boxtimes$
	Consultation on planned measures or river basin management plans <sup>12</sup>		
	Public involvement		
	Other (please specify): [fill in]		
ORANGE-S	ENQU COMMISION (ORASECOM)		
II.	Questions for each transboundary basin, sub-basin, part of a basin (river, lake or aquifer)	ı, or group of	basins
	Please complete this second section for each transboundary basin (r aquifer), sub-basin, part of a basin or a group of basins covered by the arrangement where conditions are similar. In some instances, you may on both a basin and one or more of its sub-basins or parts thereof, for have agreements or arrangements on both the basin and its sub-basin your responses with other States with which your country shares transver prepare a joint report. General information on transboundary was national level should be provided in section III and not repeated here.	he same agree y provide info r example, wh I. You may co nsboundary w	ement or ormation here you ordinate aters, or
	Please reproduce this whole section with its questions for each transbasin, part of a basin or group of basins for which you will provide a re-	•	sin, sub-
	Name of the transboundary basin,-sub-basin, part of a basin [ORANGE-SENQU COMMISION]	or group of	basins:
	List of the riparian States: [Botswana, Lesotho, Namibia, South Afric	<i>a</i> ]	
	In the case of an aquifer, what is the nature of the aquifer and its re or lake basin:	elation with t	he river
	Unconfined aquifer connected to a river or lake		
	Unconfined aquifer with no or limited relation with surface water		$\boxtimes$
	Confined aquifer connected to surface water		
	Confined aquifer with no or limited relation with surface water		
	Or, where applicable, aquifer management plans.  In principle, section II should be submitted for every transboundary basin, rive country, but States may decide to group basins in which their share is small or	_	

which their share is very minor, e.g., below 1 per cent.

In section II, "agreement" covers all kinds of treaties, conventions and agreements ensuring cooperation in the field of transboundary waters. Section II can also be completed for other types of arrangements, such as memorandums of understanding.

	Othe	r	
	Pleas	e describe: [fill in]	
	Unkr	nown	
		entage of your country's territory within the basin, sub-basin, part of p of basins: [12.9%]	a basin or
	1.	Is there one or more transboundary (bilateral or multilateral) agree arrangement(s) on this basin, sub-basin, part of a basin or group of basins	
		One or more agreements or arrangements exist and are in force	$\boxtimes$
		Agreement or arrangement developed but not in force	
		Agreement or arrangement developed, but not in force for all riparians	
		Please insert the name of the agreement(s) or arrangement(s) [fill in]	
• ,	Agreem	ent for the Establishment of the Orange-Senqu Commission' on (currently being revised)	November
http://wwv df	v.orange	senqurak.com/UserFiles/File/ORASECOM/ORASECOM%20Agreement%	%202000.p
		Agreement or arrangement is under development	
		No agreement or arrangement	
		If there is no agreement or arrangement or it is not in force, please exp why not and provide information on any plans to address the situation: [f	
	trans ques	ere is no agreement or arrangement and no joint body or mechanisboundary basin, sub-basin, part of a basin or group of basins the tion 4; if there is no agreement or arrangement, but a joint body or 1 go to question 3.	n jump to
	_	tions 2 and 3 to be completed for each bilateral or multilateral agingement in force in the transboundary basin, sub-basin, part of a basisins.	
	2.	(a) Does this agreement or arrangement specify the area subject to coo	operation?
		Yes ⊠/No □	
		If yes, does it cover the entire basin or group of basins and all riparian Sta	ites?
		Yes ⊠/No □	
		Additional explanations? [fill in]	
		Or, if the agreement or arrangement relates to a sub-basin, does it cover the basin?	entire sub-
		Yes ⊠/No □	
		Additional explanations? [fill in]	
		Which States (including your own) are bound by the agreement or ar (Please list): [Botswana, Lesotho, Namibia, South Africa]	rangement?

	(b) does	If the agreement or arrangement relates to a river or lake basin or s it also cover aquifers?	ub-basin,
	Yes [		
aqui	-	s, please list the aquifers covered by the agreement or arrangement: [Stankhea/Bray Dolomite, Ncojane Basin]	tampriet
	(c)	What is the sectoral scope of the agreement or arrangement?	
		All water uses	$\boxtimes$
		A single water use or sector	
		Several water uses or sectors	
	If one	e or several water uses or sectors, please list (check as appropriate):	
	Wate	er uses or sectors	
		Industry	$\boxtimes$
		Agriculture	$\boxtimes$
		Transport (e.g., navigation)	
		Households	$\boxtimes$
		Energy: hydropower and other energy types	
		Fisheries	$\boxtimes$
		Tourism	$\boxtimes$
		Nature protection	$\boxtimes$
		Other (please list): [fill in]	
	(d) arran	What topics or subjects of cooperation are included in the agree gement?	ement or
		Procedural and institutional issues	
		Dispute and conflict prevention and resolution	$\boxtimes$
		Institutional cooperation (joint bodies)	$\boxtimes$
		Consultation on planned measures	
		Mutual assistance	
		Topics of cooperation	
		Joint vision and management objectives	
		Joint significant water management issues	$\boxtimes$
		Navigation	
		Human health	$\boxtimes$
		Environmental protection (ecosystem)	$\boxtimes$
		Water quality	$\boxtimes$
		Water quantity or allocation	$\boxtimes$
		Cooperation in addressing floods	
		Cooperation in addressing droughts	$\boxtimes$

Climate change adaptation	$\boxtimes$
Monitoring and exchange	
Joint assessments	$\boxtimes$
Data collection and exchange	$\boxtimes$
Joint monitoring	$\boxtimes$
Maintenance of joint pollution inventories	
Elaboration of joint water quality objectives	$\boxtimes$
Common early warning and alarm procedures	$\boxtimes$
Exchange of experience between riparian States	$\boxtimes$
Exchange of information on planned measures	$\boxtimes$
Joint planning and management	
Development of joint regulations on specific topics	$\boxtimes$
Development of international or joint river, lake or aquifer basin management or action plans	$\boxtimes$
Management of shared infrastructure	$\boxtimes$
Development of shared infrastructure	$\boxtimes$
Other (please list): [fill in]	
(e) What are the main difficulties and challenges that your country face agreement or arrangement and its implementation, if any?	es with the
Aligning implementation of agreement or arrangement with national laws, policies and programmes	
Aligning implementation of agreement or arrangement with regional laws, policies and programmes	
Lack of financial resources	$\boxtimes$
Insufficient human capacity	$\boxtimes$
Insufficient technical capacity	$\boxtimes$
Tense diplomatic relations	
Non-participation of certain riparian countries in the agreement	
No significant difficulties	
Other (please describe): [fill in]	
(f) What are the main achievements in implementing the agree arrangement and what were the keys to achieving such success? [	ement or
Sharing of water across countries; Example Water supply from south water scares are in Botswana eg Middlepits Cluster	Africa to
Management of invasive plant spicies at Stampriet aquifer area (the species plant has significant contribution on depletion of aquifer as they roots and abstract significant amount of water)	

- Joint assessments to inform decision for management of the basisn; e.g 2nd joint basin survey, education awareness, water demand management, transboundary diagnostic analysis
- Improvement livelihood of the communities in the basisn

•	Impro	vement aveanood of the communities in the basish	
•		capacity building programmes (eg. Courses on international boundary Waters, IWRM Principles, Water Resources and Yield Mod	
		Please attach a copy of the agreement or arrangement or provide to ss of the document (please attach document or insert web add cable): Copy Attcahed	
3.	-	or country a member of any joint body or mechanism for this agree gement?	ment or
Yes [	/No [		
If no,	why no	t? (please explain): [fill in]	
Wher	e there	e is a joint body or mechanism	
	(a) (pleas	If there is a joint body or mechanism, which kind of joint body or mediatick one)?	chanism
		Plenipotentiaries	
		Bilateral commission	
		Basin or similar commission	$\boxtimes$
		Expert group meeting or meeting of national focal points	
		Other (please describe): [fill in]	
	(b) basin,	Does the joint body or mechanism cover the entire transboundary bas part of a basin or group of basins?	in, sub-
Yes 🛭	/No [		
	(c) mecha	Which States (including your own) are members of the joint banism? (Please list): [Botswana, Lesotho, Namibia, South Africa]	ody or
	(d) mecha	Are there any riparian States that are not members of the joint lanism? (please list): $[N/A]$	oody or
	(e) the join	If not all riparian States are members of the joint body or mechanism he int body or mechanism cooperate with them?	ow does
		No cooperation	
		They have observer status	
		Other (please describe): [fill in]	
	(f) tick th	Does the joint body or mechanism have any of the following features are ones applicable)?	(please
		A secretariat	$\boxtimes$
		If the secretariat is a permanent one, is it a joint secretar does each country host its own secretariat? (Please describe): Secretarient]	
		A subsidiary body or bodies	

Parties(Ministers of water), Commisioners, Technical Commitees, Working groups(Groundwater hydrology, surface water, Water quality, Gender mainstreaming, Finance) Other features (*please list*): [fill in] What are the tasks and activities of this joint body or mechanism?<sup>15</sup> (g)  $\boxtimes$ Identification of pollution sources Data collection and exchange X Joint monitoring X Maintenance of joint pollution inventories Setting emission limits Elaboration of joint water quality objectives Management and prevention of flood or drought risks X Preparedness for extreme events, e.g., common early warning  $\boxtimes$ and alarm procedures Surveillance and early warning of water related disease X  $\boxtimes$ Water allocation and/or flow regulation Policy development X Control of implementation X Exchange of experience between riparian States Exchange of information on existing and planned  $\boxtimes$ uses of water and related installations Settling of differences and conflicts X X Consultations on planned measures X Exchange of information on best available technology X Participation in transboundary EIA Development of river, lake or aquifer basin management or X action plans Management of shared infrastructure  $\boxtimes$ Addressing hydromorphological alterations X X Climate change adaptation  $\boxtimes$ Joint communication strategy Basin-wide or joint public participation and consultation of, X for example, basin management plans  $\boxtimes$ Joint resources to support transboundary cooperation

Please list (e.g., working groups on specific topics): [Forum of

This may include tasks according to the agreement or tasks added by the joint body, or its subsidiaries. Both tasks which joint bodies coordinate and tasks which they implement should be included.

		Capacity-building	$\boxtimes$
		Any other tasks (please list): [fill in]	
	(h) operat	What are the main difficulties and challenges that your country faces tion of the joint body or mechanism, if any?	with the
		Governance issues	
		Please describe, if any: [fill in]	
		Unexpected planning delays	
		Please describe, if any: [fill in]	
		Lack of resources	$\boxtimes$
		Please describe, if true: [Insufficient funds]	
		Lack of mechanism for implementing measures	
		Please describe, if true: [fill in]	
		Lack of effective measures	
		Please describe, if true: [fill in]	
		Unexpected extreme events	
		Please describe, if any: [fill in]	
		Lack of information and reliable forecasts	
		Please describe, if any: [fill in]	
		Others (please list and describe, as appropriate): [fill in]	
	(i)	Does the joint body or mechanism, or its subsidiary bodies meet regula	arly?
	Yes 🛭	☑/No	
	If yes,	how frequently does it meet?	
		More than once per year	$\boxtimes$
		Once per year	
		Less than once per year	
	(j) [	What are the main achievements with regards to the joint body or mech	nanism?
•		ng of water across borders; Water supply from south Africa to Mic er in Botswana.	ddlepits
•	specie	gement of invasive plant spicies at Stampriet aquifer area ( the is plant has significant contribution on depletion of aquifer as they had and abstract significant amount of water)	
•	Lesoth	ho – Botswana water transfer scheme]	
	(k) coope	Did the joint body or mechanism ever invite a non-riparian coastal rate?	State to
Yes [	]/No [>		

If yes, please give details. If no, why not, e.g. are the relevant coastal States also riparian States and therefore already members of the joint body or mechanism? [• Other member states are costal such as Namibia and South Africa]

4.		e joint objectives, a common strategy, a joint or coordinated management plan been agreed for the basin, sub-basin, part of a basin or group of	
Yes	⊠/No[		
If yes	s, pleas	e provide further details: [	
• mem		member states develop National Action Plans (NAP) to address need te and sustainable management of the basin .	s of each
•	Integ	rated Water Resources Management Plan - 2014]	
5.	prote	is the transboundary basin,-sub-basin, part of a basins or group cted, including the protection of ecosystems, in the context of sustainal water use?	
	_	elation of urbanization, deforestation, and sand and el extraction.	
		ronmental flow norms, including consideration of levels and onality	$\boxtimes$
		or quality protection, e.g. nitrates, pesticides, faecal coliforms, y metals	$\boxtimes$
	Wate	er-related species and habitats protection	$\boxtimes$
	Othe	r measures (please describe): [fill in]	
6.		Does your country regularly exchange information and data with others in the basin, sub-basin, part of a basin or group of basins?	er riparian
Yes	⊠/No		
	(b)	If yes, how often:	
		More than once per year	
		Once per year	
		Less than once per year	
	(c) meet	Please describe how information is exchanged (e.g. in connectings of joint bodies): [email, meeting]	tion with
	(d)	If yes, on what subjects are information and data exchanged?	
		Environmental conditions	
		Research activities and application of best available techniques	$\boxtimes$
		Emission monitoring data	
		Planned measures taken to prevent, control or reduce transboundary impacts	$\boxtimes$
		Point source pollution sources	
		Diffuse pollution sources	
		Existing hydromorphological alterations (dams, etc.)	$\boxtimes$
		Flows or water levels (including groundwater levels)	$\boxtimes$

		Water abstractions					$\boxtimes$
		Climatological information					$\boxtimes$
		Future planned measures with trainfrastructure development	nsboundary i	mpacts	, such as		$\boxtimes$
		Other subjects (please list): [fill in	1]				
		Other comments, e.g. spatial cove in]	erage of data	and inf	formation e	xchai	nge: [fill
	(e)	Is there a shared database or infor	mation platfo	orm?			
Yes [	⊠/No [						
	(f)	Is the database publicly available?	?				
Yes [	⊠/No [						
	_	e provide the web address: [• Oran //wis.orasecom.org]	ge-Senqu V	Vater	informat	ion	Systems
	(g)	What are the main difficulties and	l challenges t	to data	exchange, i	f app	licable?
	Frequ	nency of exchanges					
	Timii	ng of exchanges					
	Comp	parability of data and information					
	Limit	ted spatial coverage					
	Inade	equate resources (technical and/or fir	nancial)				$\boxtimes$
	Other	r (please describe): [fill in]					
	Addi	tional comments: [fill in]					
		What are the main benefits of data or group of basins? (please describloods and droughts, build trust and	e): [• <b>Ma</b>	nageme	ent of ext		-
7.		ne riparian States carry out joint moni of a basin or group of basins?	itoring in the	transbo	oundary bas	in, su	ıb-basin,
Yes [	⊠/No [						
	(a)	If yes, what does the joint monitor	ring cover?				
			Hydrolog	ical	Ecological	Che	mical
Bord	er surfa	ace waters	$\boxtimes$		$\boxtimes$	$\boxtimes$	
Surfa	ice wate	ers in the entire basin	$\boxtimes$		$\boxtimes$	$\boxtimes$	
	nce wate	ers on the main				$\boxtimes$	
Surfa	ice wate	ers in part of the basin					
	pleas	e describe [fill in]					
	sbounda connec	ary aquifer(s) (connected ted)				$\boxtimes$	

			Hydrological	Ecological	Chemical
ripar	Aquifer(s) in the territory of one riparian hydraulically connected to a transboundary river or lake				
	(b)	If joint monitoring is carried out, ho	w is this done?		
		National monitoring stations connec or common stations	ted through a n	etwork	
		Please describe: [fill in]			
		Joint and agreed methodologies			$\boxtimes$
		Please describe: [fill in]			
		Joint sampling			$\boxtimes$
		Please describe: [fill in]			
		Common monitoring network			$\boxtimes$
		Please describe: [fill in]			
		Common agreed parameters			$\boxtimes$
		Please describe: [fill in]			
	(c) in]	Please describe the main achievement	nts regarding jo	int monitorii	ng, if any: [fill
	(d)	Please describe any difficulties expe	rienced with jo	int monitorin	ng: [fill in]
8.		he riparian States carry out joint assen, part of a basin or group of basins?	essment of the	transbounda	ry basin, sub-
Yes	⊠/No				
	(e.g.,	s, please provide the date of the last or , surface waters or groundwaters only, assessment methodology applied: [			
•	Onge	oing managenment of invasive plant s	pecies in the b	asin- stramp	iet aquifer
•	basiı	t assessments to inform decision for non- non-survey, education awareness, wate nostic analysis; June 2015, and it is	r demand mai	nagement, tr	ransboundary
9.	Have	e the riparian States agreed to use joint	water quality s	tandards?	
Yes	⊠/No				
	(plea appl	s, what standards have been applied, ase specify which), or have national ied? [• South African Scoring System Health (AEH)]	standards of	the riparian	ı States beer
10.		t are the measures implemented to predental pollution?	vent or limit th	e transbound	lary impact of
		Notification and communication			$\boxtimes$
		Coordinated or joint early warning of	or alarm system	for accident	al

	water pollution	$\boxtimes$
	Other (please list): [fill in]	
	No measures	
	If not, why not? What difficulties does your country face in in place such measures?: [fill in]	putting
11.	What are the measures implemented to prevent or limit the transboundary in extreme weather events and climate change?	npact of
	Notification and communication	$\boxtimes$
	Coordinated or joint alarm system for floods	
	Coordinated or joint alarm system for droughts	
	Joint climate change adaptation strategy	$\boxtimes$
	Joint disaster risk reduction strategy	$\boxtimes$
	Other (please list): [fill in]	
	No measures	
	If not, why not? What difficulties does your country face in in place such measures?: [fill in]	putting
12.	Are procedures in place for mutual assistance in case of a critical situation?	
Yes [	]/No 🔀	
If yes,	please provide a brief summary: [fill in]	
13.	Are the public or relevant stakeholders involved in transboundary water manain the basin, sub-basin, part of a basin or group of basins?	agement
Yes 🛭	☑/No □	
	If yes, how? (please tick all applicable)	
	Stakeholders have observer status in a joint body or mechanism	
	Stakeholders have an advisory role in the joint body	
	Stakeholders have a decision-making role in the joint body	
	If yes, please specify the stakeholders for the joint body or mechanism: [fill in]	
	Intergovernmental organizations	$\boxtimes$
	Private sectors organizations or associations	
	Water user groups or associations	
	Academic or research institutions	$\boxtimes$
	Other non-governmental organizations	$\boxtimes$
	General public	
	Other (please specify): [fill in]	
	Availability of information to the public	
	Consultation on planned measures or river basin	

			gement plans <sup>16</sup>		
		Other	(please specify): [fill in]		
	part o	f a bas		for each of the transboundary basins, su se also remember to attach copies of agr	
III.Water n	nanagen	nent at	the national level		
	In this section, you are requested to provide general information on water management at the national level as it relates to transboundary waters. Information on specific transboundar basins, sub-basins, part of basins and groups of basins, should be presented in section II an not repeated here.			boundary	
	1.		to measures to prevent, contr	nal legislation, policies, action plans and rol and reduce any transboundary impact?	strategies
	i es 🗠			e main national laws, policies, action p <b>WE Plan</b> ]	clans and
		(b)	Does your country's legisla	ation provide for the following principles?	1
			Precautionary principle	Yes ⊠/No □	
			Polluter pays principle	Yes  \[ \]/No  \[ \]	
			Sustainable development	Yes ⊠/No □	
			User pays principle	Yes/No	
				ribe how these principles are implement rent developments are aligned to sur- e country's vision 2036]	
			•	a national licensing or permitting sy point source pollution? (e.g., in industry magement or other sectors)?	
	Yes 🔀	 ]/No [	•		
	If yes,	for wh	ich sectors?		

	Industry		
	Mining		
	Energy		
	Municipal		
	Livestock raising		
	Aquaculture		
	Other (please list): [fill in]		
	Please briefly describe the licensing or permitting system, indicating wheth system provides for setting emission limits based on best available technology?		
	If yes, for which sectors? (please list): [Department of Mines controls of within mines and does routine checks on discard water, Industries Effluent Agreement with wastewater treatment authority (Wo Corperation)]	signs Trade	
	If not, please explain why not (giving the most important reasons information if there are plans to introduce a licensing or permitting sys	· •	
	(d) Are the authorized discharges monitored and controlled?		
Yes [	⊠/No □		
	If yes, how? (Please tick the ones applicable):		
	Monitoring of discharges		
	Monitoring of physical and chemical impacts on water		
	Monitoring of ecological impacts on water		
	Conditions on permits	$\boxtimes$	
	Inspectorate	$\boxtimes$	
	Other means (please list): [fill in]		
	If your country does not have a discharge monitoring system, please exporprovide information if there are plans to introduce a discharge monit [fill in]		
	(e) What are the main measures which your country takes to reduce diffuse s of water pollution on transboundary waters (e.g., from agriculture, transport, for aquaculture)? The measures listed below relate to agriculture, but other secto be more significant. Please be sure to include these under "others":		
	Legislative measures		
	Norm for uses of fertilizers	$\boxtimes$	
	Norms for uses of manure		
	Permitting system		
	Bans on or norms for use of pesticides		
	Others (please list): [fill in]		
	Economic and financial measures		
	Monetary incentives		
	Environmental taxes (such as fertilizer taxes)		

		Others (please list): [fill in]	
		Agricultural extension services	
		Technical measures	
		Source control measures	
		Crop rotation	$\boxtimes$
		Tillage control	$\boxtimes$
		Winter cover crops	
		Others (please list): [fill in]	
		Other measures	
		Buffer/filter strips	
		Wetland reconstruction	
		Sedimentation traps	$\boxtimes$
		Chemical measures	$\boxtimes$
		Others (please list): [fill in]	
		Other types of measures	
		If yes, please list: [fill in]	
	(f) resour	What are the main measures which your country takes to enhance allocation and use efficiency?	e water
	Please	e tick as appropriate (not all might be relevant)	
		A regulatory system regarding water abstraction	$\boxtimes$
		Monitoring and control of abstractions	$\boxtimes$
		Water rights are defined	$\boxtimes$
		Water allocation priorities are listed	$\boxtimes$
		Water-saving technologies	$\boxtimes$
		Advanced irrigation techniques	$\boxtimes$
		Demand management activities	$\boxtimes$
		Other means (please list)	
	(g)	Does your country apply the ecosystems approach?	
Yes [	]/No [>		
If yes,	please	describe how: [N/A]	
	(h) ground	Does your country take specific measures to prevent the polludwaters?	ition of
Yes 🗵	/No [		
	_	briefly describe the most important measures: [Protection zones mand and planning authorities]	aps and
2.	Do yo	our national laws require transboundary environmental impact ass	essment

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		If yes, please briefly describe the legislative basis, and any related imple procedures. [fill in]	ementing				
		If not, do other measures provide for transboundary EIA? [SADC Protocol of watercourse, Transboundary Basin Commission Treaties]	n shared				
IV.	Final	nal questions					
	1.	What are the main challenges your country faces in cooperating on transboundary waters?					
		Differences between national administrative and legal frameworks					
		Lack of relevant data and information (limited data and information	) $\boxtimes$				
		Difficulties in data and information exchange					
		Sectoral fragmentation at the national level					
		Language barrier					
		Resource constraints	$\boxtimes$				
		Environmental pressures, e.g. extreme events					
		Sovereignty concerns					
		Please list other challenges and/or provide further details: [fill in]					
	2.	What have been the main achievements in-cooperating on transboundary waters?					
		Improved water management	$\boxtimes$				
		Enhanced regional integration, i.e. beyond water	$\boxtimes$				
		Adoption of cooperative arrangements	$\boxtimes$				
		Adoption of joint plans and programmes	$\boxtimes$				
		Long-lasting and sustained cooperation	$\boxtimes$				
		Financial support for joint activities	$\boxtimes$				
		Stronger political will for transboundary water cooperation	$\boxtimes$				
		Better knowledge and understanding	$\boxtimes$				
		Dispute avoidance	$\boxtimes$				
		Stakeholder engagement	$\boxtimes$				
		Please list other achievements, keys to achieving success, and/or provide examples: [Enhance trust among countries, cooperation and ignit investment planning]					
	3.	Please indicate which institutions were consulted during the completion questionnaire	n of the				
		Joint body or mechanism	$\boxtimes$				
		Other riparian or aquifer countries					
		National water management authority	$\boxtimes$				
		Environment agency/ authority	$\boxtimes$				

Yes □/No ⊠

Basin authority (national)	$\boxtimes$
Local or provincial government	
Geological survey (national)	
Non-water specific ministries, e.g. foreign affairs, finance, forestry and energy	
Civil society organizations	
Water user associations	
Private sector	
Other (please list): [fill in]	

Other (please list): [fill in]

Please briefly describe the process by which the questionnaire was completed: [1. Kickstart meeting for key stakeholder sensitisation; 2. Data collection; all technical personnel assigned to River Basin Organisations were given the survey tool to complete. 3 Data Analysis; The focal point for SDG 6.5.2 led a team of four (4) personnel, analysed the submitted data and compiled the Draft survey Report. 5 Data Validation; The draft survey report -sections II was sent to the concerned Transboundary Basin Commission Secretariats to validate the data and subsequently the comments were incorporated]

- 4. If you have any other comments please add them here: (We noticed that the process require funds for hosting key stakhoders workshops throughtout the process; may you consider support for this in future).
- 5. Name and contact details of the person(s) who filled out the questionnaire (*please insert*):
  - [1. David Rax Molefha- Principal Hydrological Engineer; dmolefha@gov.bw / raxdave@gmail.com
  - 2. Gilbert Gwati Senior Water Enginer; ggwati@gov.bw /gwatig@gmail.com ]

Date: [26 June 2020] Signature: [David Molefha]

Thank you very much for taking the time to complete this report.



Agreement between the
Governments of the Republic
of Angola, the Republic of
Botswana and the Republic of
Namibia on the Establishment
of a Permanent Okavango
River Basin Water Commission
(OKACOM)

Windhoek, 15 September 1994







## **AGREEMENT**

#### BETWEEN

THE GOVERNMENTS OF
THE REPUBLIC OF ANGOLA,
THE REPUBLIC OF BOTSWANA AND
THE REPUBLIC OF NAMIBIA

ON THE ESTABLISHMENT OF A

Carley Co

PERMANENT OKAVANGO RIVER BASIN WATER COMMISSION (OKACOM)

#### PREAMBLE

The Government's of the Republic of Angola, the Republic of Botswana and the Republic of Namibia (hereinafter referred to as the "Contracting Parties"),

MINDFUL of the need to maintain the tradition of good neighbourliness and close cooperation between the Contracting Parties;

CONSIDERING the existing and emerging socio-economic development programmes in the Okavango River Basin and their influence on the environment;

RECOGNISING the importance and relative paucity of the water resources in the Okavango River Basin in particular and the Southern African Region in general;

ACCEPTING the concepts of environmentally sound natural resource management, sustainable development and the equitable utilization of shared watercourse systems as reflected in the relevant provisions of Agenda 21 of the United Nations Conference on the Environment and Development held at Rio de Janeiro in June 1992;

BEARING in mind the Helsinki Rules on the use of the waters of international rivers as approved at the 52nd Conference of the International Law Association in 1966;

CONSCIOUS that co-operation between the Contracting Parties with regard to the judicious development of joint projects in respect of the water resources of common interest in the Okavango River Basin will contribute towards the prosperity and welfare of their peoples; and

DESIROUS to consolidate the existing friendly relations by promoting coordinated and environmentally acceptable regional water resources development objectives;

HEREBY agrees as follows:

# ESTABLISHMENT OF A PERMANENT OKAVANGO RIVER BASIN WATER COMMISSION

- 1.1 The Contracting Parties hereby establish and undertake to maintain a Permanent Okavango River Basin Water Commission (OKACOM) (hereinafter referred to as the "Commission").
- The objective of the Commission shall be to act as technical advisor to the Contracting Parties on matters relating to the conservation, development and utilization of water resources of common interest to the Contracting Parties and shall perform such other functions pertaining to the development and utilisation of such resources as the Contracting Parties may from time to time agree to assign to the Commission.
- 1.3 In furtherance of the stated objective each Contracting Party shall, to the extent permitted by its own laws and procedures, provide such information as the Commission may require for the performance of its functions, and shall notify the Commission of any proposed development or other matter which falls within the Functions of the Commission.

#### ARTICLE 2

(APP)

#### COMPOSITION OF THE COMMISSION

- 2.1 The Commission shall consist of three delegations representing the Contracting Parties.
- 2.2 Each delegation shall consist of not more than three members to be appointed by the Contracting Party concerned.
- 2.3 One member of each delegation shall be designated by the Contracting Party concerned as leader of its delegation.

tinto 4

2.4 The leader of a delegation may coopt any number of persons as advisors to his or her delegation, but not more than three may be present at a Commission meeting, unless otherwise agreed upon by the Commission in any particular case.

#### ARTICLE 3

#### MEETINGS OF THE COMMISSION

- The Commission shall meet at least once per year, but more meetings may take place according to the need for such meetings.
- 3.2 Meetings of the Commission shall be convened as agreed upon by the three delegations. The venue of meetings shall alternate between the Contracting Parties unless the respective delegations determine otherwise for a particular meeting.
- 3.3 The leader of the delegation hosting a particular meeting of the Commission, shall in respect of that meeting be chairperson, and will be responsible for the preparation and timeous distribution of the agenda, including all supporting documentation, the recording of the minutes and making available of a suitable venue.
- 3.4 Six members of the Commission, at least two of whom shall be of each delegation, shall form a quorum.
- 3.5 All decisions of the Commission shall be taken on the basis of consensus between the delegations, but in the event that the Commission fails to reach consensus the matter under discussion shall be referred to the Contracting Parties by the respective delegations for further negotiation.
- 3.6 The Commission shall determine its own rules of procedures.



#### FUNCTIONS OF THE COMMISSION

The functions of the Commission shall be to advise the Contracting Parties on:

- 4.1 Measures and arrangements to determine the long term safe yield of the water available from all potential water resources in the Okavango River Basin;
- 4.2 The reasonable demand for water from the consumers in the Okavango River Basin;
- 4.3 The criteria to be adopted in the conservation, equitable allocation and sustainable utilization of water resources in the Okavango River Basin;
- 4.4 The investigations, separately or jointly by the Contracting Parties, related to the development of any water resources in the Okavango River Basin, including the construction, operation and maintenance of any water works in connection therewith;
- The prevention of the pollution of water resources and the control over aquatic weeds in the Okavango River Basin;
- 4.6 Measures that can be implemented by any one or all the Contracting Parties to alleviate short term difficulties resulting from water shortages in the Okavango River Basin during periods of drought, taking into consideration the availability of stored water and the water requirement within the territories of the respective Parties at that time;
- 4.7 Such other matters as may be determined by the Commission.

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### POWERS OF THE COMMISSION

- 5.1 In pursuance of the provisions of **Article 4**, the Commission shall in particular have the power to appoint consultants to assist it in the gathering and processing of information on any matter on which it is to advise the Contracting Parties and may exercise any power or make any decision relating thereto as may be agreed upon by the Contracting Parties from time to time.
- 5.2 The advice of the Commission to the Contracting Parties on any matter referred to in **Article 4** shall, if required by a Contracting Party, be contained in a report signed by the leaders of the respective delegations, who shall be responsible for the submission of the report to their respective Governments.
- 5.3 Any report prepared by the Commission on any matter referred to in Article 4 shall include estimates of the cost involved in the implementation of the advice of the Commission and may include proposals for the apportionment of such costs between the Contracting Parties.
- 5.4 The Commission shall in all its deliberations and recommendations to the Contracting Parties have regard for the interests any other State may have in any water resource of common interest between the Contracting Parties and that State.

#### ARTICLE 6

#### FINANCIAL ARRANGEMENTS

6.1 Each Contracting Party shall in respect of all meetings of the Commission be responsible for all costs incurred in connection with the attendance and participation of its delegation and of any person coopted as advisor to its delegation by the leader concerned in terms of Article 2.4.

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- 6.2 The Contracting Party hosting a meeting of the Commission shall be responsible for all costs incurred in making a venue available for the meeting, the preparation and distribution of the agenda and for the recording and distribution of the minutes.
  - 6.3 All other costs incurred or liabilities accepted by the Commission in the performance of its functions and the exercise of its powers, shall be shared equally by the Contracting Parties, unless otherwise agreed by the Commission.

#### CONCLUDING PROVISIONS

- 7.1 This Agreement shall come into force on the date of the signing thereof and a Party may withdraw from this Agreement upon the expiry of six months from the date of giving a written notice to that effect to the other Contracting Parties.
- 7.2 A Contracting Party who has withdrawn from this Agreement, shall cease to enjoy all rights and benefits under this Agreement, but shall remain bound to its obligations hereunder for a period of twelve months from the date of its withdrawal coming into effect.
- 7.3 Any amendment to this Agreement shall be effected in writing by the Contracting Parties.
- 7.4 Any dispute as to the interpretation or implementation of any Article of this Agreement shall be settled by the Contracting Parties.



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IN WITNESS WHEREOF the Contracting Parties hereto, acting through their respective representatives hereunto duly authorised, have caused this Agreement to be signed in their respective names.

July Coneva Guito Jamois.

REPUBLIC OF ANGOLA,

REPUBLIC OF BOTSWANA

REPUBLIC OF NAMIBIA



# **AGREEMENT**

## BETWEEN

THE GOVERNMENTS OF

THE REPUBLIC OF BOTSWANA

THE KINGDOM OF LESOTHO

THE REPUBLIC OF NAMIBIA

AND

THE REPUBLIC OF SOUTH AFRICA

ON THE ESTABLISHMENT OF THE

**ORANGE-SENQU RIVER COMMISSION** 

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#### PREAMBLE

The Governments of the Republic of Botswana, the Kingdom of Lesotho, the Republic of Namibia and the Republic of South Africa (hereinafter jointly referred to as the "Parties" and in the singular as a "Party");

**CONSIDERING** the relative paucity of water resources in the Southern African Region and the need to provide the people in the Region with access to sufficient water supplies;

**RECOGNISING** the significance of the Orange-Senqu River System (hereinafter referred to as the "River System") as a major water resource in the Region;

CONSCIOUS that collaboration between the Parties with regard to the development of the River System as a water source of common interest could significantly contribute towards the mutual benefit, peace, security, welfare and prosperity of their people;

**COMMITTED** towards the realisation of the principle of equitable and reasonable utilisation, as well as the principle of sustainable development with regard to the River System;

**BEARING** in mind the Rules on the Non-Navigational Uses of the Waters of International Rivers, approved by the International Law Association at Helsinki in 1966;

**RECALLING** the Convention on the Law of the Non-Navigational Uses of International Watercourses, adopted by the General Assembly of the United Nations in 1997;

**INSPIRED** by the spirit of the Protocol on Shared Watercourse Systems in the Southern African Development Community Region;

**DESIRING** to extend and consolidate the existing tradition of good neighbourliness and friendly relations between the Parties by promoting close and co-ordinated co-operation in the development of all the resources of the River System;

**HEREBY AGREE** as follows:

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#### ESTABLISHMENT OF THE ORANGE-SENQU RIVER COMMISSION

- 1.1 The Parties hereby establish and undertake to maintain the Orange-Senqu River Commission (hereinafter referred to as the "Commission") in accordance with the provisions of this Agreement.
- 1.2 The Commission shall be an international organisation and shall possess international legal personality with the capacity to enter into international agreements and shall further possess legal personality within the legal systems of each of the Parties.
- 1.3 In the absence of an agreement to the contrary, nothing in this Agreement shall affect the rights and obligations of a Party arising from other agreements in force prior to the date this Agreement comes into force for such a Party.
- 1.4 Nothing in this Agreement shall affect the prerogative of any number of the Parties to establish among themselves river commissions with regard to any part of the River System. All such Commissions will be subordinate to this Commission and existing Commissions will liase with this Commission in terms of this Agreement.

#### **ARTICLE 2**

#### INSTITUTIONS OF THE COMMISSION

- 2.1 The highest body of the Commission shall be the Council.
- 2.2 The Council shall consist of one delegation per Party that ratified this Agreement.

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- 2.3 Each delegation shall consist of not more than three permanent members and such other advisers as each Party may determine: Provided that a delegation may be accompanied by not more than three advisers at any meeting of the Council unless otherwise determined by the Council for any particular meeting.
- 2.4 One of the permanent members in the delegation of each Party shall be designated by the Party concerned as the leader of its delegation and shall be a co-chairperson at Council meetings.
- 2.5 Each Party shall expeditiously notify the other Parties of the appointment of its permanent members and the leader of its delegation, as well as of the termination of such appointments.

#### MEETINGS OF THE COUNCIL

- 3.1 The Council shall meet at least once a year in ordinary session and may meet in extraordinary session at the request of any one of the Parties.
- 3.2 Unless otherwise determined by the Council, ordinary meetings shall take place on a basis of rotation in the country of one of the Parties.
- 3.3 The Council shall determine the date and time of all meetings, including the territory of the Party in which such meeting shall take place.
- 3.4 The leader of the delegation of the Party hosting a particular meeting of the Council, shall in respect of that meeting be the chairperson, and shall be responsible for making a suitable venue available for the meeting.
- 3.5 The chairperson of a meeting of the Council shall be responsible for the preparation and timeous distribution of the agenda, including all supporting documentation, as well as the recording of the minutes of the meeting and the distribution thereof to the Parties within thirty days of that meeting.

- 3.7 No decision of the Council shall be valid unless taken at a meeting attended by at least three of the delegations.
- 3.8 A decision taken at a meeting which was not attended by a delegation of one of the Parties, shall be communicated by the chairperson of that particular meeting to the leader of the delegation not represented at the meeting and shall be binding on such Party unless within thirty days of such leader being notified, the communicating chairperson is informed that such Party is not in agreement with such decision.
- 3.9 The Council shall make every effort to take decisions on the basis of consensus. If all efforts of reaching consensus on a particular matter at a meeting of the Council have been exhausted and no agreement was reached, then the matter shall be dealt with at the next meeting of the Council. In the event of failure to reach agreement at such meeting of the Council, the matter shall be made the subject of negotiations between the Parties.
- 3.10 The Council shall determine its own rules of procedure.

#### **OBJECTIVES OF THE COUNCIL**

The Council shall serve as technical advisor to the Parties on matters relating to the development, utilisation and conservation of the water resources in the River System and shall perform such other functions pertaining to the development and utilisation of water resources as the Parties may agree to assign to the Commission.

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#### **FUNCTIONS OF THE COUNCIL**

- 5.1 The Council shall take the decisions necessary to implement this Agreement.
- 5.2 The Council shall take all measures required to make recommendations, or to advise the Parties, on the following matters:
  - 5.2.1 Measures and arrangements to determine the long-term safe yield of the water sources in the River System;
  - 5.2.2 the equitable and reasonable utilisation of the water sources in the River System to support sustainable development in the territory of each Party;
  - 5.2.3 the investigations and studies conducted separately or jointly by the Parties, with regard to the development of the River System, including any project or the construction, operation and maintenance of any water works;
  - 5.2.4 the extent to which the inhabitants in the territory of each Party concerned shall participate in respect of the planning, development, utilisation, protection and conservation of the River System, as well as the harmonisation of policies in that regard and the possible impact on the social, cultural, economic and natural environment;
  - 5.2.5 the standardised form of collecting, processing and disseminating data or information with regard to all aspects of the River System;
  - 5.2.6 the prevention of the pollution of water resources and the control over aquatic weeds in the River System;
  - 5.2.7 contingency plans and measures for responding to emergency situations or harmful conditions resulting from natural causes such as droughts and floods, or from human conduct such as industrial accidents;

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- 5.2.8 the regular exchange of information and consultation on the possible effects of planned measures;
- 5.2.9 measures with a view to arriving at a settlement of a dispute between two or more of the Parties; and
- 5.2.10 such other matters as may be determined by the Parties.

#### POWERS OF THE COUNCIL

- 6.1 The Council may establish *ad hoc* or standing working groups or committees comprising of representatives of the Parties as each Party may determine.
- 6.2 The Council may appoint technical experts and consultants to provide expert opinion and advice on any matter referred to in Article 5 and may exercise such powers and make the decisions relating thereto as may be assigned to it in this regard by the Parties.
- 6.3 The Council shall ensure that advice on any matter referred to in Article 5 shall be contained in a report, signed by the leader of each delegation at the meeting of the Council at which the report is adopted, and such report shall be submitted to the Parties by the respective delegations.
- 6.4 The Council shall ensure that every report referred to in subArticle 6.3, shall include estimates of the cost involved in the implementation thereof and it may require that proposals be contained in such report for the apportionment of such costs between the Parties.

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#### **OBLIGATIONS OF THE PARTIES**

- 7.1 The Parties shall give their full co-operation and support to the implementation of this Agreement as well as the recommendations of the Council.
- 7.2 The Parties shall, in their respective territories, utilise the resources of the River System in an equitable and reasonable manner with a view to attaining optimal and sustainable utilisation thereof, and benefits therefrom, consistent with adequate protection of the River System. The term "equitable and reasonable" shall be interpreted in line with the Revised Protocol on Shared Watercourses in the Southern African Development Community (SADC) Region.
- 7.3 The Parties shall, in utilising the resources of the River System in their territories, take all appropriate measures to prevent the causing of significant harm to any other Party. The term "significant harm" shall be interpreted in line with the Revised Protocol on Shared Watercourses in the Southern African Development Community (SADC) Region.
- 7.4 The Parties shall exchange available information and data regarding the hydrological, hydrogeological, water quality, meteorological and environmental condition of the River System.
- 7.5 A Party planning any project, programme or activity with regard to the River System which may have a significant adverse effect upon any one or more of the other Parties, or which may adversely affect such River System, shall forthwith notify the Council and provide all available data and information with regard thereto.
- 7.6 Unless otherwise agreed, a Party notified as contemplated in subArticle 7.5, shall communicate its reply to the notifying Party within six months.

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- 7.7 In the event that the implementation or execution of any planned measures is of the utmost urgency in order to save life, or to protect public health and safety, or other equally important interests as a result of an emergency situation, the Party planning the measures may immediately proceed with implementation or execution: Provided that in such event a formal declaration of the urgency of the measures shall be communicated to Council.
- 7.8 If so requested by any affected Party or Parties or technical experts or consultants appointed by the Council, a Party shall provide the other Parties as well as technical experts and consultants with data and information which are available or obtainable on any planned project, programme or activity which may have a significant adverse effect upon the other Parties.
- 7.9 For the purposes of this Article, information in respect of a planned project, programme, or activity which may have a significant adverse effect upon any other Party, or which may adversely affect the River System, shall include the findings of an environmental impact assessment addressing the effects on the ecosystems of the watercourse as well as the social, cultural, economic and natural environment.
- 7.10 If a Party has reasonable grounds to believe that another Party is planning a project, programme or activity which may have a significant adverse effect upon it, such Party may request the other Party planning the project, programmes or activity to comply forthwith with the provisions of subArticle 7.5. The request shall be accompanied by a documented explanation setting forth its grounds.
- 7.11 The Parties shall employ their best efforts to collect and, where appropriate, to process data and information with regard to the River System, in a manner which facilitates its utilisation by the other Parties, technical experts or consultants who may be appointed by the Council. The Parties shall make every effort to employ the standardised form for collecting, processing and disseminating data and information, where appropriate.
- 7.12 The Parties shall individually and jointly take all measures that are necessary to protect and preserve the River System from its sources and headwaters to its common terminus.

- 7.13 The Parties shall individually and jointly prevent, reduce and control pollution of the River System that may cause significant harm to one or more of the Parties, including harm to the environment, or to human health or safety, or to the ecosystem of the River System.
- 7.14 The Parties shall, individually and jointly take all measures that are necessary to protect and preserve the estuary of the River System, including the marine environment, taking into account generally accepted international rules and standards.
- 7.15 The Parties shall take all measures necessary to prevent the introduction of species, alien or new, into the River System that may have a detrimental effect to the ecosystem of the watercourse.
- 7.16 If two or more of the Parties agree to co-operate in the planning of a project, programme or activity with regard to the use of the River System, either jointly or by way of a continuous exchange of available data and information, and communicate timeously their respective evaluations and findings on the data and information, any specific periods of notification and reply with regard to the projects, programmes or activities shall only apply to the extent agreed to by these Parties.

#### SETTLEMENT OF DISPUTES

8.1 Any dispute between the Parties arising out of the interpretation or implementation of this Agreement shall be settled amicably through consultation and/or negotiation between them.

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- 8.2 If the Parties to the dispute have not arrived at a settlement through the means provided for in subArticle 8.1, the dispute may, unless the Parties agree otherwise, be brought before the Tribunal established in terms of Article 16(1) of the Treaty of the Southern African Development Community of 1992, in accordance with the rules and procedures applicable with regard to the function of such Tribunal.
- 8.3 The Parties shall accept the decision of the Tribunal as final and binding.

#### **WITHDRAWAL**

9.1 At any time after three years from the date on which this Agreement has entered into force for such a Party, that Party may withdraw from this Agreement by giving written notice to the other Parties. Any such withdrawal shall take effect on the date specified in the notification, which date may not be less than twelve months after such notification. A Party withdrawing from this Agreement shall remain bound to contractual relationships to which it is a party and to its obligations thereunder for the duration of the notification period.

#### **ARTICLE 10**

#### FINANCIAL ARRANGEMENTS

- 10.1 Each Party shall in respect of all meetings of the Council be responsible for all costs incurred in connection with the attendance and participation of its delegation and of any person included in its delegation as an adviser.
- 10.2 The Party hosting a meeting of the Council shall be responsible for all costs incurred in making a venue available for the meeting, the preparation and distribution of the agenda for the meeting, as well as for the recording and distribution of the minutes of the meeting.

10.3 All other costs incurred or liabilities accepted by the Council in the performance of its functions and the exercise of its powers, shall be shared equally by the Parties, unless otherwise agreed by the Council.

#### **ARTICLE 11**

#### GENERAL AND FINAL PROVISIONS

- 11.1 This Agreement shall enter into force on the date on which all Parties have notified each other through the diplomatic channel of their compliance with the constitutional requirements necessary for the implementation thereof.
- 11.2 This Agreement may be amended by mutual consent of the Parties through an Exchange of Notes between the Parties through the diplomatic channel.

IN WITNESS WHEREOF the undersigned, being duly authorized by their respective Governments have signed and sealed this Agreement in quadruplicate in the English language, all texts being equally authentic.

DONE at Windhock on this 3rd day of November 2000

R THE REPUBLIC OF SOUTH AFRICA

FOR THE REPUBLIC OF BOTSWANA

R THE KINGDOM OF LESOTHO

FOR THE REPUBLIC OF NAMIBIA