

UNECE People-first PPP Evaluation Methodology for the SDGs
Testing Protocol

SECTION: Contact Information and Project Details

This section contains a number of questions about you, your organisational affiliation, and about the project on which you're testing the UNECE People-first PPP Evaluation Methodology for the SDGs (thereinafter the Evaluation Methodology). (If you have more than one project that you would like to test, please complete this questionnaire for each project.)

1. Please provide your name *
[Text field]
2. Please provide your email address *
[Text field]
3. Please indicate the name of your organisation *
[Text field]
4. Please indicate your country *
[Text field]
5. Please provide the name/title of the PPP project *
[Text field]
6. Please specify your involvement with / relationship to the project *
[Text field]
7. Please specify the sector/industry of your project.
Sectors include but are not limited to the following: Communication (i.e., broadband and IT); Community Services; Disaster Resilience and Recovery; Education; Energy; Environmental; Food; Healthcare; Industrial (i.e., manufacturing); Land/environmental (i.e., area regeneration); Solid Waste; Water and Sanitation. *
[Text field]
8. Please specify the location of the project *
[Text field]
9. Please specify the stage of the project
For the purposes of this questionnaire, the stages to choose from are: Identification stage (concept stage of the project until pre-feasibility studies are conducted); Development stage (detailed shaping of the project through full feasibility, tendering, technical design, legal and financial structuring up until contract signing and final financial close); Implementation stage (construction, operations and contract management, ongoing monitoring) *
[Drop down menu]
10. Please specify the estimated CapEx in \$USD for the project *
[Text field]

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

11. To what degree do you expect help with accessing financing for your project as a result of it/them being designed in line with the Evaluation Methodology and the Sustainable Development Goals (SDGs)? *

Very low expectations			Very high expectations	
1	2	3	4	5

12. Provide a brief description of the project (maximum 100 words) *

[Text box]

SECTION: General Feedback on the Evaluation Methodology

This section includes questions pertaining to the overall quality and relevance of the Evaluation Methodology. It also contains questions about some of the factors that might motivate the use of the Evaluation Methodology on future projects.

13. Below are a number of statements pertaining to the overall quality and relevance of the Evaluation Methodology. Please read each one carefully and indicate to what extent you agree or disagree with each statement. *

	Strongly Disagree	Disagree	No Opinion	Agree	Strongly Agree
The Self-assessment Tool (the Excel tool) is easy to use and understand					
The accompanying users' guide is helpful and easy to understand					
The Evaluation Methodology is relevant to my project					
The three performance levels (good, better and best practices), that constitute the basis for the scoring system is easy to understand					
The weighting of the People-first outcomes is appropriate					
The Evaluation Methodology aligns with the SDGs					
The Evaluation Methodology fills a critical need for PPP and infrastructure development					
The Evaluation Methodology is a high-quality, useful product					

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

	Strongly Disagree	Disagree	No Opinion	Agree	Strongly Agree
The mix of quantitative and qualitative indicators is appropriate					
The number of mandatory indicators is appropriate					
The Evaluation Methodology is applicable to all countries, irrespective of their development (e.g., developing countries, developed countries)					

14. In the space provided, please feel free to elaborate on any or all of your responses to the statements above.

[Text box]

15. In your view, is the Evaluation Methodology too simplistic, too complex or, about right?

*

[Drop-down list/single choice]

- Too simplistic
- Too complex
- About right (neither too simplistic, nor too complex)

16. Please feel free to elaborate on your response to the question above.

[Text box]

17. In your view, can the Evaluation Methodology be used for evaluating different stages of the project life-cycle, that is, project identification (concept stage), project development (up to financial close) and project implementation (construction, operations and contract management)? *

[Text box]

18. Below are a number of statements pertaining to factors which may motivate projects to use the Evaluation Methodology. Please read each one carefully and indicate to what extent you agree or disagree with each statement. *

	Strongly Disagree	Disagree	No Opinion	Agree	Strongly Agree
Better access to financing for your PPP and infrastructure projects as a result of the project being designed in line with the Evaluation Methodology and the SDGs					
Having an independent evaluation of a project based on the Evaluation Methodology (i.e. obtaining a certificate that attests that a project meets the People-first PPP standard) would be of interest					

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

	Strongly Disagree	Disagree	No Opinion	Agree	Strongly Agree
Using the Evaluation Methodology is simply the right thing to do					
Capacity building and/or receiving training on the use of the Evaluation Methodology would motivate projects to use it					

19. In the space provided, please feel free to elaborate on any or all of your responses to the statements above.

[Text box]

20. Below are a number of statements pertaining to the benefits associated with using the Evaluation Methodology. Please read each one carefully and indicate to what extent you agree or disagree with each statement. *

	Strongly Disagree	Disagree	No Opinion	Agree	Strongly Agree
The Evaluation Methodology will lead to better projects					
The Evaluation Methodology provides learning opportunities					
The Evaluation Methodology promotes collaboration and teamwork					
Using the Evaluation Methodology will help accelerate achievement of the SDGs					
The Evaluation Methodology will provide better accountability and transparency					
The Evaluation Methodology is a good project management tool					

21. In the space provided, please feel free to elaborate on any or all of your responses to the statements above.

[Text box]

22. What do you like the most about the Evaluation Methodology?

[Text box]

23. What do you like the least about the Evaluation Methodology?

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

[Text box]

24. Do you have any additional comments that you would like to share that could help us improve the Evaluation Methodology?

[Text box]

SECTION: General Feedback on the five People-first Outcomes

In this section, we invite you to provide general feedback on each of the five People-first outcomes. (Please note, questions pertaining to specific benchmarks and indicators are included in later sections.)

25. The Evaluation Methodology calls for a Statement of Intent to support the People-first outcomes and the SDGs. Is this something that you would consider doing?

A statement of Intent would involve the parties (individually or jointly) to the project stating explicitly their intention to generate positive social and environmental impacts from the project, This could also be achieved by reference in the statement to the SDGs and/or the People-first PPP model. The Statement of Intent can take many forms: it could take the form of a press release, a statement on the website, an annex to a project document (e.g., the tender document) etc.

Very unlikely

Very likely

1	2	3	4	5
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SUB-SECTION: General Feedback on Access and Equity

26. In the **Access and Equity** section, do you feel there are any missing benchmarks that should be added to future versions of the Evaluation Methodology? Please be as specific and brief as possible.

[Text box]

27. In the **Access and Equity** section, do you feel there are any missing indicators for one or more benchmarks that should be added to future versions of the Evaluation Methodology? Please be as specific and brief as possible.

[Text box]

28. In the **Access and Equity** section, do you feel there are any redundancies? be as specific and brief as possible.

[Text box]

29. Do you have any other comments to make about the **Access and Equity** section of the Evaluation Methodology? (For example, were there aspects that you found particularly useful/relevant to your project? Were there aspects that you feel were not relevant or need to change?) Please be as specific and brief as possible.

[Text box]

SUB-SECTION: General Feedback on Economic Effectiveness and Fiscal Sustainability

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

30. In the **Economic Effectiveness and Fiscal Sustainability** section, do you feel there are any missing benchmarks that should be added to future versions of the Evaluation Methodology? Please be as specific and brief as possible.
[Text box]
31. In the **Economic Effectiveness and Fiscal Sustainability** section, do you feel there are any missing indicators for one or more benchmarks that should be added to future versions of the Evaluation Methodology? Please be as specific and brief as possible.
[Text box]
32. In the **Economic Effectiveness and Fiscal Sustainability** section, do you feel there are any redundancies? be as specific and brief as possible.
[Text box]
33. Do you have any other comments to make about the **Economic Effectiveness and Fiscal Sustainability** section of the Evaluation Methodology? (For example, were there aspects that you found particularly useful/relevant to your project? Were there aspects that you feel were not relevant or need to change?) Please be as specific and brief as possible.
[Text box]

SUB-SECTION: General Feedback on Environmental Sustainability and Resilience

34. In the **Environmental Sustainability and Resilience** section, do you feel there are any missing benchmarks that should be added to future versions of the Evaluation Methodology? Please be as specific and brief as possible.
[Text box]
35. In the **Environmental Sustainability and Resilience** section, do you feel there are any missing indicators for one or more benchmarks that should be added to future versions of the Evaluation Methodology? Please be as specific and brief as possible.
[Text box]
36. In the **Environmental Sustainability and Resilience** section, do you feel there are any redundancies? be as specific and brief as possible.
[Text box]
37. Do you have any other comments to make about the **Environmental Sustainability and Resilience** section of the Evaluation Methodology? (For example, were there aspects that you found particularly useful/relevant to your project? Were there aspects that you feel were not relevant or need to change?) Please be as specific and brief as possible.
[Text box]

SUB-SECTION: General Feedback on Replicability

38. In the **Replicability** section, do you feel there are any missing benchmarks that should be added to future versions of the Evaluation Methodology? Please be as specific and brief as possible.
[Text box]

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

39. In the **Replicability** section, do you feel there are any missing indicators for one or more benchmarks that should be added to future versions of the Evaluation Methodology? Please be as specific and brief as possible.

[Text box]

40. In the **Replicability** section, do you feel there are any redundancies? be as specific and brief as possible.

[Text box]

41. Do you have any other comments to make about the **Replicability** section of the Evaluation Methodology? (For example, were there aspects that you found particularly useful/relevant to your project? Were there aspects that you feel were not relevant or need to change?) Please be as specific and brief as possible.

[Text box]

SUB-SECTION: General Feedback on Stakeholder Engagement

42. In the **Stakeholder Engagement** section, do you feel there are any missing benchmarks that should be added to future versions of the Evaluation Methodology? Please be as specific and brief as possible.

[Text box]

43. In the **Stakeholder Engagement** section, do you feel there are any missing indicators for one or more benchmarks that should be added to future versions of the Evaluation Methodology? Please be as specific and brief as possible.

[Text box]

44. In the **Stakeholder Engagement** section, do you feel there are any redundancies? be as specific and brief as possible.

[Text box]

45. Do you have any other comments to make about the **Stakeholder Engagement** section of the Evaluation Methodology? (For example, were there aspects that you found particularly useful/relevant to your project? Were there aspects that you feel were not relevant or need to change?) Please be as specific and brief as possible.

[Text box]

SECTION: Benchmark and Indicator Specific Questions

This section is the most detailed part of the testing protocol. In this section, you are invited to provide feedback about each of the benchmarks and indicators included in the Evaluation Methodology, and to provide information about how your project scored for each benchmark and what documentation and/or information sources were used to respond to each indicator.

46. Would you like to provide feedback on the benchmarks and indicators included in the Evaluation Methodology?

- Yes, go to the Benchmark and Indicator Specific Questions
- No, go to the Final Questions

AE1 Provide Essential Services

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

47. In the space provided, please feel free to elaborate on the benchmark **AE1 Provide Essential Services** (For example, is the benchmark relevant to your project? Are the indicators appropriate to measure the performance of my project for this benchmark? Are the benchmark and associated indicators clear/easily understood?).

[Text box]

48. Do you have any other comments to make about **AE1 Provide Essential Services**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

AE2 Advance Affordability and Universal Access

49. Do you have any other comments to make about **AE2 Advance Affordability and Universal Access**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

50. Do you have any other comments to make about **AE2 Advance Affordability and Universal Access**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

AE3 Improve Equity and Social Justice

51. Do you have any other comments to make about **AE3 Improve Equity and Social Justice**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

52. Do you have any other comments to make about **AE3 Improve Equity and Social Justice**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

AE4 Plan for Long-Term Access and Equity

53. Do you have any other comments to make about **AE4 Plan for Long-Term Access and Equity**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

54. Do you have any other comments to make about **AE4 Plan for Long-Term Access and Equity**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

EE1 Avoid Corruption and Encourage Transparent Procurement

55. Do you have any other comments to make about **EE1 Avoid Corruption and Encourage Transparent Procurement**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]
56. Do you have any other comments to make about **EE1 Avoid Corruption and Encourage Transparent Procurement**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

EE2 Maximise Economic Viability and Fiscal Sustainability

57. Do you have any other comments to make about **EE2 Maximise Economic Viability and Fiscal Sustainability**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]
58. Do you have any other comments to make about **EE2 Maximise Economic Viability and Fiscal Sustainability**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

EE3 Maximise Long-Term Financial Viability

59. Do you have any other comments to make about **EE3 Maximise Long-Term Financial Viability**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]
60. Do you have any other comments to make about **EE3 Maximise Long-Term Financial Viability**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

EE4 Enhance Employment and Economic Opportunities

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

61. Do you have any other comments to make about **EE4 Enhance Employment and Economic Opportunities**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

62. Do you have any other comments to make about **EE4 Enhance Employment and Economic Opportunities**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

ES1 Reduce GHG Emissions and Improve Energy Efficiency

63. Do you have any other comments to make about **ES1 Reduce GHG Emissions and Improve Energy Efficiency**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

64. Do you have any other comments to make about **ES1 Reduce GHG Emissions and Improve Energy Efficiency**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

ES2 Reduce Waste and Restore Degraded Land

65. Do you have any other comments to make about **ES2 Reduce Waste and Restore Degraded Land**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

66. Do you have any other comments to make about **ES2 Reduce Waste and Restore Degraded Land**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

ES3 Reduce Water Consumption and Wastewater Discharge

67. Do you have any other comments to make about **ES3 Reduce Water Consumption and Wastewater Discharge**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

68. Do you have any other comments to make about **ES3 Reduce Water Consumption and Wastewater Discharge**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

ES4 Protect Biodiversity

69. Do you have any other comments to make about **ES4 Protect Biodiversity**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

70. Do you have any other comments to make about **ES4 Protect Biodiversity**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

ES5 Assess Risk and Resilience for Disaster Management

71. Do you have any other comments to make about **ES5 Assess Risk and Resilience for Disaster Management**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

72. Do you have any other comments to make about **ES5 Assess Risk and Resilience for Disaster Management**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

ES6 Allocate Funds for Resilience and Disaster Management

73. Do you have any other comments to make about **ES6 Allocate Funds for Resilience and Disaster Management**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

74. Do you have any other comments to make about **ES6 Allocate Funds for Resilience and Disaster Management**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

ES7 Advance Community-Driven Development

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

75. Do you have any other comments to make about **ES7 Advance Community-Driven Development**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

76. Do you have any other comments to make about **ES7 Advance Community-Driven Development**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

RE1 Encourage Replicability and Scalability

77. Do you have any other comments to make about **RE1 Encourage Replicability and Scalability**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

78. Do you have any other comments to make about **RE1 Encourage Replicability and Scalability**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

RE2 Enhance Government, Industry and Community Capacity

79. Do you have any other comments to make about **RE2 Enhance Government, Industry and Community Capacity**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

80. Do you have any other comments to make about **RE2 Enhance Government, Industry and Community Capacity**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

RE3 Support Innovation and Technology Transfer

81. Do you have any other comments to make about **RE3 Support Innovation and Technology Transfer**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

82. Do you have any other comments to make about **RE3 Support Innovation and Technology Transfer**? (For example, were there indicators you found particularly

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

SE1 Plan for Stakeholder Engagement and Public Participation

83. Do you have any other comments to make about **SE1 Plan for Stakeholder Engagement and Public Participation**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

84. Do you have any other comments to make about **SE1 Plan for Stakeholder Engagement and Public Participation**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

SE2 Maximise Stakeholder Engagement and Public Participation

85. Do you have any other comments to make about **SE2 Maximise Stakeholder Engagement and Public Participation**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

86. Do you have any other comments to make about **SE2 Maximise Stakeholder Engagement and Public Participation**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

SE3 Provide Transparent and Quality Project Information

87. Do you have any other comments to make about **SE3 Provide Transparent and Quality Project Information**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

88. Do you have any other comments to make about **SE3 Provide Transparent and Quality Project Information**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.
[Text box]

SE4 Manage Public Grievances and End User Feedback

89. Do you have any other comments to make about **SE4 Manage Public Grievances and End User Feedback**? (For example, were there indicators you found particularly

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

90. Do you have any other comments to make about **SE4 Manage Public Grievances and End User Feedback**? (For example, were there indicators you found particularly useful/relevant to your project? Were there indicators or other aspects of the benchmark that should be modified?) Please be as specific and brief as possible.

[Text box]

SECTION: Final Questions

This final section includes questions pertaining to your potential future use of the Evaluation Methodology on projects, the time it took you to assess your project, and your satisfaction with the score your project received.

91. Will you commit to using the Evaluation Methodology on future projects? *

[Y/N]

92. In the space provided, please feel free to elaborate on your response to the question above.

[Text box]

93. Approximately how much time did it take you to assess your project using the Self-assessment Tool? *

[Drop-down list/single choice]

- Less than 30 minutes
- 30 minutes to 1 hour
- Between 2 and 5 hours
- Between 5 and 10 hours
- More than 10 hours
- I did not use the Self-assessment tool

94. How satisfied are you with the score you received using the Evaluation Methodology for your project? *

Very unsatisfied

Very satisfied

1	2	3	4	5
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95. Please indicate the extent to which you agree with the following statement: The score received using the Evaluation Methodology aligns with your own perception of the project? *

Strongly disagree

Strongly Agree

1	2	3	4	5
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96. To what degree would you be interested in having an independent evaluation of the project undertaken based on the Evaluation Methodology and, if successful, obtaining a certificate that attests to the project meeting the UNECE People-first PPP standard? *

UNECE People-first PPP Evaluation Methodology for the SDGs - Testing Protocol

Extremely interested				Not at all interested
1	2	3	4	5

97. If you are interested in obtaining a certificate that attests to the project meeting the UNECE People-first PPP standard, would you be willing to pay a fee to cover the costs of an independent evaluation?

[Drop-down menu/single choice]

- Yes
- No
- Not applicable
- Other [specify]

98. In your opinion, what can be done to accelerate the widespread use of the Evaluation Methodology?

[Text box]

SECTION: CLOSING

Thank you very much for testing the Evaluation Methodology on your project. Your feedback will go a long way in helping shape future iterations of the Evaluation Methodology and helping to accelerate its use Evaluation Methodology. UNECE may be in contact with you to learn more about your project and your experience using the Evaluation Methodology.