

Meeting of the Parties to the Protocol on
Water and Health to the Convention on
the Protection and Use of Transboundary
Watercourses and International Lakes

Working Group on Water and Health

Twelfth meeting

Geneva, 14 and 15 April 2021

Item 7 of the provisional agenda

**Financial resources needed to implement
the programme of work for 2020–2022**

INFORMAL DOCUMENT

**Overview of contributions and expenditures for the period 1 July 2019 – 30 November 2020 and
resources required up to the sixth session of the Meeting of the Parties compared with funds raised**

The document was prepared by the joint secretariat for the 12th meeting of the Working Group on Water and Health and Health (Geneva, 14 and 15 April 2021). The overview provides information on contributions and expenditures for the period 1 July 2019 – 30 November 2020 for the United Nations Economic Commission for Europe (UNECE) and World Health Organization (WHO) Regional Office for Europe Protocol Trust Funds. It also presents estimated resource needs for the activities supported by the joint secretariat until end of 2022.

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I. UNECE trust fund

A. Balance on 30 June 2019 (in United States Dollars, rounded)

1. The approximate balance of funds earmarked for the Protocol on Water and Health in the UNECE Trust Fund on 30 June 2019 was US\$ 808,307.

B. Contributions from 1 July to 31 December 2019 (in United States Dollars, rounded)

<i>Source of funding</i>	<i>Date received</i>	<i>Amount^a</i>	<i>Earmarking</i>
Germany	July 2019	22,727	Programme area 1: Task Force on Target Setting and Reporting
Germany	July 2019	23,636	Implementing bodies of the Protocol 5 th session of the Meeting of the Parties
Finland	August 2019	5,593	
Switzerland	August 2019	51,440	Programme area 1: Task Force on Target Setting and Reporting
Hungary	September 2019	5,000	Programme area 6: Equitable access to water and sanitation
France	September 2019	108,802	Programme area 6: Equitable access to water and sanitation
Germany	November 2019	22,222	Implementing bodies of the Protocol 5 th session of the Meeting of the Parties
Netherlands	December 2019	22,002	Programme area 5: Safe and efficient management of water supply and sanitation systems
Total		261,423	

^a The exchange rate from the currency of the contribution into United States Dollars is calculated on the date of the transfer of funds.

C. Expenditures from 1 July to 31 December 2019 (in United States Dollars, rounded)

<i>Programme of work area</i>	<i>a</i>	<i>b</i>	<i>a + b</i>
	<i>Expenditure of funds earmarked for the Protocol</i>	<i>Expenditure of funds not earmarked for the Protocol (approximate)</i>	<i>Total expenditure</i>
Implementing bodies of the Protocol	23,470	3,227	26,697
Programme area 1: Improving governance for water and health: support for setting targets and implementing measures	5,795	0	5,795
Programme area 2: Prevention and reduction of water-related diseases	0	0	0
Programme area 3: Institutional water, sanitation and hygiene	0	0	0
Programme area 4: Small-scale water supplies and sanitation	0	0	0
Programme area 5: Safe and efficient management of water supply and sanitation systems	0	0	0
Programme area 6: Equitable access to water and sanitation	24,439	0	24,439
Programme area 7: Increasing resilience to climate change	0	0	0
Programme area 8: Assistance to support implementation at the national level	-6,577	7,947	1,370
Programme area 9: Compliance procedure	2,574	0	2,574
Total cost of activities	49,700	11,174	60,874
Total costs for staff	154,555	51,528	206,083
Programme support costs (13%)	26,553	4,389*	30,942
Grand total	230,809	67,091	297,900

* Programme support costs for funds not earmarked for the Protocol can be 0%, 7% or 13%, depending on the sources of funding which have different overheads.

2. Where objectives coincided, the National Policy Dialogues under the European Union Water Initiative provided significant financial support to promote the work under the Protocol, including co-funding of staff, consultancies, travel and meeting logistics costs, in particular with regard to the target setting and revision processes in Armenia, Azerbaijan, Belarus, Republic of Moldova and Ukraine, with funding from the European Union (EUWI+ Programme). Furthermore, UNECE staff working on the National Policy Dialogues programme contributed a share of their time to implementing in-country assistance activities.

3. UNECE also used resources from the United Nations regular budget to support the work under the Protocol, including for staff salaries (0.3 of a regular budget post at P-4 level) and travel and conference costs, including interpretation, editing and translation of official documents and publications, in particular for the fifth session of the Meeting of the Parties in Belgrade and other meetings held in the Palais des Nations, Geneva.

D. Balance on 31 December 2019 (in United States Dollars, rounded)

<i>Description</i>	<i>Amount</i>
Approximate balance of earmarked funds on 30 June 2019	808,307
Contributions received by UNECE from 1 July to 31 December 2019	+261,423
Expenditures by UNECE from 1 July to 31 December 2019	-230,809
Approximate balance of earmarked funds in UNECE trust fund on 31 December 2019	838,922

Note: The balance at the end of the year has to be sufficient to allow setting aside of funds to cover staff costs and other expected expenditures for the activities in the following year plus the programme support costs and an operating reserve.

E. Contributions from 1 January to 30 November 2020 (in United States Dollars, rounded)

<i>Source of funding</i>	<i>Date received</i>	<i>Amount^a</i>	<i>Earmarking</i>
Norway	January 2020	29,985	Implementing bodies of the Protocol: Working Group on Water and Health
Luxembourg	February 2020	6,615	
Hungary	May 2020	8,000	Programme area 6: Equitable access to water and sanitation
Slovakia	June 2020	10,861	
Germany	April 2020	16,376	Programme area 9: Compliance Committee
Switzerland	June 2020	51,653	Programme area 1: Task Force on Target Setting and Reporting
Estonia	July 2020	840	
Germany	October 2020	14,671	Programme area 1: Task Force on Target Setting and Reporting
Finland	October 2020	5,869	
Total		144,869	

^a The exchange rate from the currency of the contribution into United States Dollars is calculated on the date of the transfer of funds.

F. Expenditures from 1 January to 30 November 2020 (in United States Dollars, rounded)

<i>Programme of work area</i>	<i>a</i>	<i>b</i>	<i>a + b</i>
	<i>Expenditure of funds earmarked for the Protocol</i>	<i>Expenditure of funds not earmarked for the Protocol (approximate)</i>	<i>Total expenditure</i>
Implementing bodies of the Protocol	2,801	0	2,801
Programme area 1: Improving governance for water and health: support for setting targets and implementing measures	10,062	0	10,062
Programme area 2: Prevention and reduction of water-related diseases	0	0	0
Programme area 3: Institutional water, sanitation and hygiene	0	0	0
Programme area 4: Small-scale water supplies and sanitation	0	0	0

<i>Programme of work area</i>	<i>a</i>	<i>b</i>	<i>a + b</i>
	<i>Expenditure of funds earmarked for the Protocol</i>	<i>Expenditure of funds not earmarked for the Protocol (approximate)</i>	<i>Total expenditure</i>
Programme area 5: Safe and efficient management of water supply and sanitation systems	1,532	0	1,532
Programme area 6: Equitable access to water and sanitation	17,765	0	17,765
Programme area 7: Increasing resilience to climate change	0	4,000	4,000
Programme area 8: Assistance to support implementation at the national level	-5,952	10,585	4,633
Programme area 9: Compliance procedure	5,583	0	5,583
Total cost of activities	31,791	14,585	46,376
Total costs for staff	119,877	143,162	263,039
Programme support costs (13%)	19,717	13,522*	33,239
Grand total	171,385	171,269	342,654

* Programme support costs for funds not earmarked for the Protocol can be 0%, 7% or 13%, depending on the sources of funding which have different overheads.

4. The United Nations Development Account has financed a consultancy under programme area 7 “Increasing resilience to climate change.

5. Where objectives coincided, the National Policy Dialogues under the European Union Water Initiative provided significant financial support to promote the work under the Protocol, including co-funding of staff and financing consultancies, in particular with regard to the target-setting and revision processes in Armenia, Azerbaijan, Belarus, Republic of Moldova and Ukraine, with funding from the European Union (EUWI+ Programme). Furthermore, UNECE staff working on the National Policy Dialogues programme contributed a share of their time to implementing in-country assistance activities.

6. UNECE staff supporting the Protocol were also co-funded by the Convention on the Protection and Use of Transboundary Watercourses and International Lakes (Water Convention) for some joint activities and activities related to the joint promotion of the Protocol and the Water Convention.

7. UNECE also used resources from the United Nations regular budget to support the work under the Protocol, including for: staff salaries (0.3 of a regular budget post at P-4 level); conference costs, including interpretation, for virtual and hybrid meetings; editing and translation of official documents; and production of publications.

G. Balance on 30 November 2020 (in United States Dollars, rounded)

<i>Description</i>	<i>Amount</i>
Approximate balance of earmarked funds on 31 December 2019	838,922
Contributions received by UNECE from 1 January to 30 November 2020	+144,869
Expenditures by UNECE from 1 January to 30 November 2020	-171,385
Approximate balance of earmarked funds in UNECE trust fund on 30 November 2020	812,406

Note: The balance at the end of the year has to be sufficient to allow the setting aside of funds to cover staff costs and other expected expenditures for the activities in the following year plus the programme support costs and an operating reserve.

II. WHO Regional Office for Europe voluntary fund

A. Balance on 30 June 2019

8. The approximate balance of funds earmarked for the Protocol on Water and Health in the WHO Regional Office for Europe Voluntary Fund on 30 June 2019 was US\$ 274,339.

B. Contributions from 1 July to 31 December 2019 (in United States Dollars, rounded)

<i>Source of funding</i>	<i>Date received</i>	<i>Amount^a</i>	<i>Earmarking</i>
Hungary	August 2019	5,593	
Netherlands	October 2019	32,823	Programme area 5: Safe and efficient management of water supply and sanitation systems
Total		38,416	

^a The exchange rate from the currency of the contribution into United States Dollars is calculated on the date of the transfer of funds.

C. Expenditures from 1 July to 31 December 2019 (in United States Dollars, rounded)

<i>Programme of work area</i>	<i>a</i>	<i>b</i>	<i>a+b</i>
	<i>Expenditure of funds earmarked for the Protocol</i>	<i>Expenditure of funds not earmarked for the Protocol</i>	<i>Total expenditure</i>
Implementing bodies of the Protocol	19,007	15,301	34,308
Programme area 1: Improving governance for water and health: support for setting targets and implementing measures	0	0	0
Programme area 2: Prevention and reduction of water-related diseases	51,991	79	52,070
Programme area 3: Institutional water, sanitation and hygiene	39,509	72,776	112,285
Programme area 4: Small-scale water supplies and sanitation	0	0	0
Programme area 5: Safe and efficient management of water supply and sanitation systems	7,467	7,852	15,319
Programme area 6: Equitable access to water and sanitation	0	0	0
Programme area 7: Increasing resilience to climate change	0	0	0
Programme area 8: Assistance to support implementation at the national level	0	0	0
Programme area 9: Compliance procedure	0	0	0
Total cost for activities	117,974	96,008	213,982
Total costs for staff (pro rata estimate)	0	182,633	182,633
Programme support costs (13%)	15,337	36,223	51,560
Grand total	133,311	314,864	448,175

9. In addition to funds earmarked for the Protocol activities, the WHO Regional Office for Europe mobilized funds from other sources to ensure continuity of and support to implementation of the programme of work for 2017-2019. In the reporting period, other sources included voluntary funds from Germany, funds from WHO headquarters and WHO assessed contributions to cover activities, staff salaries and travel.

D. Balance on 31 December 2019 (in United States Dollars, rounded)

<i>Description</i>	<i>Amount</i>
Approximate balance of earmarked funds on 30 June 2019	274,339
Contributions received by WHO/Europe from 1 July to 31 December 2019	+38,416
Expenditures by WHO/Europe from 1 July to 31 December 2019	-133,311
Approximate balance of earmarked funds in WHO/Europe voluntary fund on 31 December 2019	179,444

E. Contributions from 1 January to 30 November 2020 (in United States Dollars, rounded)

<i>Source of funding</i>	<i>Date received</i>	<i>Amount^a</i>	<i>Earmarking</i>
Estonia	January 2020	1,618	Reimbursement of costs
Norway	March 2020	40,000	
Germany	April 2020	3,821	Reimbursement of costs
Finland	May 2020	21,834	
Netherlands	October 2020	71,685	Programme area 5: Safe and efficient management of water supply and sanitation systems
Total		138,958	

^a The exchange rate from the currency of the contribution into United States Dollars is calculated on the date of the transfer of funds.

F. Expenditures from 1 January to 30 November 2020 (in United States Dollars, rounded)

<i>Programme of work area</i>	<i>a</i>	<i>b</i>	<i>a+b</i>
	<i>Expenditure of funds earmarked for the Protocol</i>	<i>Expenditure of funds not earmarked for the Protocol</i>	<i>Total expenditure</i>
Programme area 1: Improving governance for water and health: support for setting targets and implementing measures	0	0	0
Programme area 2: Prevention and reduction of water-related diseases	0	0	0
Programme area 3: Institutional water, sanitation and hygiene	4,426	915	5,341
Programme area 4: Small-scale water supplies and sanitation	32,426	19,032	51,458
Programme area 5: Safe and efficient management of water supply and sanitation systems	1,488	0	1,488
Programme area 6: Equitable access to water and sanitation	507	0	507
Programme area 7: Increasing resilience to climate change	0	0	0
Programme area 8: Assistance to support implementation at the national level	0	0	0
Programme area 9: Compliance procedure	0	0	0
Programme area 1: Improving governance for water and health: support for setting targets and implementing measures	0	0	0
Total cost for activities	38,847	19,947	58,794
Total costs for staff (pro rata estimate)	2,973	272,393	275,367
Programme support costs (13%)	5,437	38,004	43,441
Grand total	47,257	330,344	377,602

10. In addition to funds earmarked for the Protocol activities, the WHO Regional Office for Europe mobilized funds from other sources to ensure continuity of and support to implementation of the programme of work for 2020-2022. In the reporting period, other sources included voluntary funds from Germany, funds from WHO headquarters and WHO assessed contributions to cover activities, staff salaries and travel.

G. Balance on 30 November 2020 (in United States Dollars, rounded)

<i>Description</i>	<i>Amount</i>
Approximate balance of earmarked funds on 31 December 2019	179,444
Contributions received by WHO/Europe from 1 January to 30 November 2020	+138,958
Expenditures by WHO/Europe from 1 January to 30 November 2020	-47,257
Approximate balance of earmarked funds in WHO/Europe voluntary fund on 30 November 2020	271,145

III. Direct and in-kind contributions by Parties to the activities in the Protocol's programme of work

11. The present section summarizes direct and in-kind contributions by Parties to the activities in the Protocol's programme of work. It is difficult for the joint secretariat to become aware and keep track of all contributions, especially Protocol-related activities at the national level. Therefore, the list below should not be considered comprehensive and exhaustive.

12. The following contributions were provided in the reporting period:

(a) Serbia provided direct contributions to organizing the fifth session of the Meeting of the Parties to the Protocol (Belgrade, 19-21 November 2019) by covering local costs related to the meeting organization and promotion;

(b) Germany provided direct financial contributions to implementation of activities under programme area 4: Small-scale water supplies and sanitation;

(c) In-kind contributions to programme areas 1 to 5, including staff time, were provided by the WHO Collaborating Centres at the Drinking Water Inspectorate and the University of Surrey (United Kingdom of Great Britain and Northern Ireland); the German Environment Agency and the University of Bonn (Germany); and the National Institute for Public Health and the Environment and the KWR Watercycle Research Institute (Netherlands);

(d) In 2017-2020, France provided support for the work under the Protocol by financing one Junior Professional Officer to the UNECE secretariat working both on the Protocol on Water and Health and the Water Convention.

IV. Estimated resource needs from 1 December 2020 to 31 December 2022 (in United States Dollars, rounded)

All costs indicated below are subject to programme support costs

Estimated activity and staff cost by programme area	Lead Party, country or organization	Estimated resources required	Estimated funding available	Estimated funding gap till 31 December 2022
ACTIVITY COST				
Implementing bodies	UNECE and WHO/Europe	210,000	30,000	180,000
Programme area 1: Improving governance for water and health: support for setting targets and implementing measures	Portugal, Romania and Switzerland	184,000	15,000	169,000
Programme area 2: Prevention and reduction of water-related diseases	Belarus and Norway	100,000	35,000	65,000
Programme area 3: Institutional water, sanitation and hygiene	Hungary, Republic of Moldova and Georgia	322,500	115,000	207,500
Programme area 4: Small scale water supply and sanitation	Germany and Serbia	67,500	0	67,500
Programme area 5: Safe and efficient management of water supply and sanitation systems	Bosnia and Herzegovina and the Netherlands	245,000	140,000	105,000
Programme area 6: Equitable access to water and sanitation	France and Hungary	203,800	66,000	137,800
Programme area 7: Increasing resilience to climate change	Italy	70,000	0	70,000
Programme area 8: Assistance to support implementation at the national level**	Secretariat, with the Bureau's support	0	0	0
Programme area 9: Compliance procedure	Compliance Committee	95,000	0	95,000
TOTAL activity cost		1,497,800	401,000	1,096,800
STAFF COSTS REQUIRED FOR IMPLEMENTING ACTIVITIES UNDER ALL PROGRAMME AREAS				
UNECE staff costs (pro rata estimate)		907,200	64,185	843,015
WHO/Europe staff costs (pro rata estimate)		625,800	0	625,800
TOTAL staff cost for the joint secretariat		1,533,000	64,185	1,468,815
GRAND TOTAL COST		3,030,800	465,185	2,565,615

** Assistance-related activities are to commence upon demand and availability of resources. Staff time under programme area 8 accounts for establishing/maintaining dialogue with partners/donors and preparing assistance-related activities.