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## Economic Commission for Europe

Meeting of the Parties to the Convention on  
Access to Information, Public Participation  
in Decision-making and Access to Justice  
in Environmental Matters

### Working Group of the Parties

#### Twenty-fifth meeting

Geneva, 3 May and 7 and 8 June 2021

Item 7 (j) of the provisional agenda

**Preparations for the seventh session of the Meeting of the Parties:  
financial arrangements**

## Draft decision on financial arrangements under the Convention\*

### Prepared by the Bureau

#### *Summary*

At its sixth session (Budva, Montenegro, 11–14 September 2017), the Meeting of the Parties to the Convention on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters (Aarhus Convention) mandated the Bureau and the Working Group of the Parties to explore options for more predictable, stable and equitably shared funding, and requested them to make the appropriate proposals for the consideration of the Meeting of the Parties at its seventh session (ECE/MP.PP/2017/2/Add.1, decision VI/6, operative para. 14).

The present document was prepared pursuant to decision VI/6. The document includes information on the approach to drafting the future decision on financial arrangements and an indicative timeline for its preparation. A suggested draft decision on future financial arrangements is contained in annex I to the present document. The major issues for consideration by the Working Group will include: (a) whether the scheme of financial arrangements should be mandatory, recommendatory or voluntary; and (b) whether the United Nations scale of assessments should apply to contributions.

The Working Group considered the document at its twenty-fourth meeting (Geneva, 1–3 July 2020 (online) and 28–29 October 2020 (hybrid)) and requested the Bureau to prepare a draft decision on financial arrangements in the light of the comments by the Working Group for its submission to the twenty-fifth meeting of the Working Group for

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\* This document was scheduled for publication after the standard publication date owing to circumstances beyond the submitter's control.



consideration and approval and subsequent submission to the Meeting of the Parties for consideration. The draft decision was circulated to Parties and stakeholders for comments prior to its finalization for the twenty-fifth meeting of the Working Group. No comments were received on the draft. The present document is therefore submitted to the twenty-fifth meeting with editorial revisions only.

## Introduction

1. The present note has been prepared pursuant to decision VI/6 of the Meeting of the Parties (ECE/MP.PP/2017/2/Add.1), adopted at its sixth session (Budva, Montenegro, 11–14 September 2017). The note includes information on the approach to drafting the future decision on financial arrangements and an indicative timeline for its preparation. A suggested draft decision on future financial arrangement is contained in annex I to the present document.

2. The Bureau notes that the current financial scheme, based on voluntary contributions, provides no guidance regarding their amount. The level of contributions fluctuates and makes the funding of extrabudgetary activities and posts unpredictable and far from secure. This has an impact on the secretariat's ability to carry out long-term planning. A mandatory contribution scheme, aligned with the United Nations scale of assessments, would enhance the financial predictability, and thus the sustainability, of the work of the secretariat. Moreover, contributions in accordance with the United Nations scale of assessments would lead to a fairer distribution of the financial burden among the Parties.

## I. Approach to the drafting of the decision on future financial arrangements

3. Considering that there has, to date, been no consensus regarding the scheme of contributions (for example, mandatory or voluntary) and the introduction of a scheme of assessment of contributions, it is proposed that the draft decision on financial arrangements reflect the different options under consideration and the contents of the draft decision be updated, as appropriate. Thus, the draft decision contained in annex I below is based on the current adopted decision VI/6 on financial arrangements under the Convention, with new elements related to a mandatory scheme of contributions and to the United Nations scale of assessments derived from draft decision VI/6 on the financial arrangements submitted to the sixth session of the Meeting of the Parties to the Convention (ECE/MP.PP/2017/13). A new option involving a recommendatory scheme was also added as a possible approach to strengthening the current voluntary scheme. Furthermore, the required editorial changes were made and one new paragraph added. To facilitate deliberations by the Working Group, revisions to the current adopted decision VI/6 are shown in track changes in an accompanying document (AC/WGP-24/Inf.10). The draft decision therefore contains three options: the current financial scheme based on voluntary contributions; a recommendatory scheme; and a mandatory scheme of contributions aligned with the United Nations scale of assessments. For greater clarity regarding the different options under consideration for a financial scheme, text in bold in the draft decision indicates which option or options are applicable for the suggested text. Option A relates to a financial scheme with voluntary contributions. Option B is for a financial scheme with recommendatory contributions. Option C is for a financial scheme with mandatory contributions. The major issues for consideration by the Working Group will include: (a) whether the scheme of financial arrangements should be mandatory, recommendatory or voluntary; and (b) whether the United Nations scale of assessments should apply to contributions.

## II. Indicative timeline

4. The below indicative timeline for preparing the future decision on financial arrangements takes into consideration the need to: (a) provide Governments, non-governmental organizations (NGOs), the general public and other interested stakeholders with an appropriate time frame for consultations within their respective constituencies, organizations and networks; and (b) ensure that an advance draft of the document is ready for approval by the Working Group of the Parties at its twenty-fifth meeting in 2021, prior to the seventh session of the Meeting of the Parties (scheduled for October 2021). This approach is based on previous experience and was welcomed by both Governments and stakeholders, including NGOs, which had the possibility to provide inputs and negotiate text well in advance of its formal adoption.

5. The indicative timeline is as follows:

(a) A proposal regarding the preparation of the draft decision on financial arrangements was submitted to the twenty-fourth meeting of the Working Group of the Parties (Geneva, 1–3 July 2020 (online) and 28–29 October 2020 (hybrid)) for consideration;

(b) The revised draft decision on financial arrangements would be prepared, taking into account the comments received at the twenty-fourth meeting of the Working Group of the Parties, and made available online to Parties, NGOs, the general public and other interested stakeholders for comments;

(c) Taking into account the comments received, the revised draft decision on financial arrangements would be prepared by the Bureau, made available online for comments and submitted to the twenty-fifth meeting of the Working Group of the Parties for further discussion and approval, with a view to submitting it for adoption by the Meeting of the Parties at its seventh session.

## Annex I

### *The Meeting of the Parties,*

*Recalling* article 10, paragraph 3, of the Convention on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters (Aarhus Convention), which states that the Meeting of the Parties to the Convention may, as necessary, consider establishing financial arrangements on a consensus basis,

*Recalling also* its decisions I/13,<sup>1</sup> II/6,<sup>2</sup> III/7,<sup>3</sup> IV/7,<sup>4</sup> V/7<sup>5</sup> and VI/6,<sup>6</sup> through which an interim voluntary scheme of contributions, open to contributions from Parties, Signatories and other States having opted to participate therein, has been established and maintained,

*Having considered* the outcomes of the assessment of the current interim scheme of contributions (ECE/MP.PP/WG.1/2013/9), and recalling discussions and decisions at each of the previous sessions of the Meeting of the Parties on financial arrangements under the Convention [about the need to establish financial arrangements based on the goals of stability, predictability and an equitable sharing of the burden],

*Recognizing* the need to:

- (a) Ensure that sufficient resources are available to implement the Convention's work programme for 2022–2025, adopted through decision VII/...,
- (b) Ensure a scheme of financial contributions that is transparent and accessible to all Parties, Signatories and other States and organizations wishing to contribute,
- (c) Establish financial arrangements under the Convention based on the principles of an equitable sharing of the burden, stable and predictable sources of funding, accountability and sound financial management,

[*Expressing its serious concern* that the financial burden has not been evenly distributed during the current intersessional period, with several Parties and Signatories not contributing at all,]<sup>7</sup>

*Believing* that alternative options to the financial arrangements in place under the Convention should be considered by the Meeting of the Parties at its next session to ensure that the arrangements meet the goals of stability, predictability and an equitable sharing of the burden,

1. **Option A for voluntary contributions:** [*Agrees* to continue to use the existing interim scheme of contributions as reflected in decision VI/6 of the Meeting of the Parties to the Convention (ECE/MP.PP/2017/2/Add.1)] **Option B for recommendatory contributions:** [*Establishes* a recommendatory scheme of contributions] **Option C for mandatory contributions:** [*Establishes* a mandatory scheme of contributions] aimed at covering the costs of activities under the work programme that are not covered by the United Nations regular budget, based on the following principles:

- (a) The Parties should collectively ensure that the costs of the activities of the work programme that are not covered by the United Nations regular budget are covered through the financial scheme;

**Relevant for Option C only:** [(b) The burden of covering the costs of the activities shall be distributed among the Parties and Signatories to the Convention in proportion to

<sup>1</sup> See ECE/MP.PP/2/Add.14.

<sup>2</sup> See ECE/MP.PP/2005/2/Add.10.

<sup>3</sup> See ECE/MP.PP/2008/2/Add.15.

<sup>4</sup> See ECE/MP.PP/2011/2/Add.1.

<sup>5</sup> See ECE/MP.PP/2014/2/Add.1.

<sup>6</sup> See ECE/MP.PP/2017/2/Add.1.

<sup>7</sup> This paragraph is to be verified closer to the adoption of the decision.

the United Nations scale of assessments,<sup>8</sup> with the indicative contribution of each Party for 2021 being as shown in the annex hereto;

(c) The scale of assessments shall be adjusted so that no Party or Signatory is required to contribute more than 22 per cent<sup>9</sup> of the estimated costs to be covered by the scheme;

(d) Each Party or Signatory shall contribute each year, as a minimum, the amount derived from applying the adjusted scale of assessments referred to in subparagraph ... [above/below] to the total estimated costs of the activities, but each contribution should not be less than stated in subparagraph ... [above/below];]

**Relevant for Options A, B and C:**

[(b)] No Party or signatory is expected to contribute less than [500] [1,000] United States dollars for the Convention's work programme in its contribution for a given calendar year;

[(c)] Contributions shall be made in cash and shall not be earmarked for a particular activity;

[(d)] Additional contributions may be made in cash or in kind and may be earmarked for a particular activity;

[(e)] Contributions in cash shall be made through the United Nations Economic Commission for Europe Trust Fund for Local Technical Cooperation (Aarhus Convention project);

[(f)] If allowed by the domestic budgetary procedures of the Parties, contributions for a given calendar year should be made by 1 October of the preceding year, or where this is not an option, it is recommended that contributions be made in the first six months of the calendar year, so as to secure payment of staff costs for the smooth functioning of the secretariat, as a priority, and the timely and effective implementation of the priority activities of the respective programme of work;

[(g)] *New para added:* To keep administrative costs related to fund management to a minimum, insofar as possible and subject to the internal budgetary procedures of the Parties, contributions should preferably be paid net, with possible charges at the expense of donors, concentrated as much as possible within the intersessional period, by, for example, making multiannual or annual contributions continuously (for every calendar year) and by merging contributions for the Aarhus Convention and the Protocol into one transfer, if appropriate;

[(h)] Parties pledge, where possible, prior to the adoption of a work programme by the Meeting of the Parties, their expected annual or multi-annual financial and in-kind contributions. Signatories, other interested States and organizations may also wish to indicate their expected contributions;

2. *Requests* Parties to contribute each year or to make multi-annual contributions towards the costs of activities under the work programme, in accordance with the scheme referred to in paragraph 1 above;

3. *Invites* Signatories, other interested States and public entities, as well as the private sector, in accordance with the 2015 Guidelines on a Principle-based Approach to the

<sup>8</sup> The United Nations scale of assessments is adopted by the General Assembly for a three-year period. It provides the basis for calculating the contributions of Member States to the United Nations regular budget. In December 2018, the General Assembly adopted resolution 73/271 on a scale of assessments for the apportionment of the expenses of the United Nations for the period 2019–2021. As reaffirmed in that resolution, the fundamental principle for calculating Member States' contributions is that "the expenses of the Organization shall be apportioned broadly according to capacity to pay".

<sup>9</sup> In accordance with General Assembly resolution 73/271, which refers to 22 per cent for the period 2019–2021.

Cooperation between the United Nations and the Business Sector,<sup>10</sup> to contribute, in cash or in kind, towards covering the costs of the work programme;

4. *Calls upon* countries with economies in transition to finance, to the extent possible, their own participation in the activities;

5. *Calls upon* international organizations working in countries with economies in transition to support the participation of representatives of these countries and non-governmental organizations in the meetings and other activities;

6. *Encourages* Parties that have historically contributed generously to maintain, or return to, their previous levels of contribution;

7. *Requests* all Parties to ensure the equitable distribution of the financial responsibility for implementation of the work programme, and requests the Bureau to liaise with Parties where appropriate concerning the achievement of this goal;

8. *Requests* the secretariat, in accordance with the financial rules of the United Nations, to allocate in the Convention trust fund, by 1 October of each year, the sum required for the extension of the contracts of extrabudgetary staff of the secretariat for the upcoming year, as a priority, and also costs needed for implementation of activities in the first quarter of the upcoming year;

9. *Also requests* the secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the funds and to prepare annual reports specifically indicating contributions, and reflecting any changes to:

(a) The estimated costs of activities for the next calendar year;

(b) The composition of the Parties, for review by the Working Group of the Parties, in order to strive to ensure that the level of contributions matches the level of funding needed for the implementation of the work programme;

10. *Requests* the Bureau, with the assistance of the secretariat, to provide an estimation of the operational costs needed for the effective functioning of the Convention, which should be clearly distinct from the cost of other activities which are subject to the availability of resources;

11. *Requests* the Working Group of the Parties to consider, in the light of these annual reports, whether changes would be required in the content or time frame of the work programme, in the event that the level of actual and/or pledged contributions does not match the level of funding needed;

12. *Requests* the secretariat to prepare a comprehensive report on financial matters for each session of the Meeting of the Parties, including information on how much Parties and other participating States and organizations have contributed to the budget of the Convention in cash and in kind, and how the contributions were spent;

13. *Agrees* to review the operation of the scheme of financial arrangements at its eighth session;

14. *Mandates* the Bureau and the Working Group of the Parties to explore, in the next intersessional period, options for more predictable, stable and equitably shared funding, and requests them to make the appropriate proposals for consideration by the Meeting of the Parties at its eighth session;

15. *Requests* the United Nations Economic Commission for Europe to allocate more resources to support the work under the Convention, noting in this connection the positive evaluation of the Environment subprogramme during the 2013 review of the 2005 reform of the Commission,<sup>11</sup> and considering, inter alia, the balance in the use of regular budgetary resources in the different subprogrammes.

<sup>10</sup> United Nations, Global Compact, report, 2015 (revised). Available at [www.unglobalcompact.org/library/3431](http://www.unglobalcompact.org/library/3431).

<sup>11</sup> *Official Records of the Economic and Social Council, 2013, Supplement No. 17 (E/2013/37-E/ECE/1464, annex III, paras. 7 and 8).*

**[Annex II****Indicative contributions for 2021**

<i>Column A: Countries (Parties and Signatories)</i>	<i>Column B: United Nations scale of assessments (percentage)<sup>a</sup></i>	<i>Column C: Adjusted United Nations scale of assessments (percentage)<sup>b</sup></i>	<i>Column D: Amount to be contributed for 2021 (United States dollars):</i>
Albania	0.008	0.026	
Armenia	0.007	0.023	
Austria	0.677	2.190	
Azerbaijan	0.049	0.159	
Belarus	0.049	0.159	
Belgium	0.821	2.657	
Bosnia and Herzegovina	0.012	0.039	
Bulgaria	0.046	0.149	
Croatia	0.077	0.249	
Cyprus	0.036	0.116	
Czechia	0.311	1.006	
Denmark	0.554	1.793	
Estonia	0.039	0.126	
European Union <sup>c, d</sup>	—	—	
Finland	0.421	1.362	
France	4.427	14.326	
Georgia	0.008	0.026	
Germany	6.090	19.707	
Greece	0.366	1.184	
Hungary	0.206	0.667	
Iceland	0.028	0.091	
Ireland	0.371	1.201	
Italy	3.307	10.701	
Kazakhstan	0.178	0.576	
Kyrgyzstan	0.002	0.006	
Latvia	0.047	0.152	
Liechtenstein	0.009	0.029	
Lithuania	0.071	0.230	
Luxembourg	0.067	0.217	
Malta	0.017	0.055	
Monaco	0.011	0.036	
Montenegro	0.004	0.013	
Netherlands	1.356	4.388	
North Macedonia	0.007	0.023	
Norway	0.754	2.440	
Poland	0.802	2.595	
Portugal	0.350	1.133	
Republic of Moldova	0.003	0.010	
Romania	0.198	0.641	
Serbia	0.028	0.091	



<i>Column A: Countries (Parties and Signatories)</i>	<i>Column B: United Nations scale of assessments (percentage)<sup>a</sup></i>	<i>Column C: Adjusted United Nations scale of assessments (percentage)<sup>b</sup></i>	<i>Column D: Amount to be contributed for 2021 (United States dollars):</i>
Slovakia	0.153	0.495	
Slovenia	0.076	0.246	
Spain	2.146	6.944	
Sweden	0.906	2.932	
Switzerland	1.151	3.725	
Tajikistan	0.004	0.013	
Turkmenistan	0.033	0.107	
Ukraine	0.057	0.184	
United Kingdom	4.567	14.779	
<b>Total</b>	<b>30.907</b>	<b>100.0</b>	

<sup>a</sup> Figures in column B are based on the scale of assessments contained in General Assembly resolution 73/271, adopted on 22 December 2018.

<sup>b</sup> The percentages from the United Nations scale of assessments have been adjusted for the Convention by using a multiplier of 3.236, in order to arrive at a total of 100 per cent.

<sup>c</sup> Subject to footnote d below on the contribution of the European Union, the figures in column D would be derived by multiplying the percentage value in column C by the annual estimated cost requirement of the work programme, as specified in the draft decision on the work programme 2022–2025 (ECE/MP.PP/...). The actual amounts for the contribution of each Party and Signatory between 2022 and 2025 will be calculated in due course, subject to the consideration and approval of the draft decision on the work programme for 2022–2025.

<sup>d</sup> A percentage has not been assigned to the European Union, since the European Union is not included in the United Nations scale of assessments and therefore it is not possible to calculate the level of its contribution on the same basis as with the other Parties and Signatories (i.e. on the basis of the adapted United Nations scale of assessments). Pursuant to paragraph 2 of decision I/3 of the Meeting of the Parties, the European Union contribution to activities under the work programme not covered by the United Nations regular budget would account for 2.5 per cent of the total needed for core activities. This commitment is subject to annual endorsement by the budgetary authorities of the European Union.]