



UNECE

United Nations Economic Commission for Europe

National Workshop on Transport Facilitation

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Trade Facilitation in UNECE and WTO

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Definition of Trade Facilitation

- TF - «simplification, standardization and harmonization of procedures and all necessary information required for the movement of goods from the seller to the buyer and for payment»
(UN/CEFACT)

Problem: more than 40 documents with repeated data required =>

Solution: simpler procedures and documents; less (but better) requirements for data and documents; harmonization with international standards; automation (computerization) of information and document exchange -> transparency of procedures



Cost of logistics (incl. red tape) as % GDP in 1997

- USA – 10.5 %
- Mexico - 15.3%
- Belgium – 11.4%
- France – 12.0%
- Germany 13.1%
- Greece – 12.6%
- China – 16.5%



UNECE and TF

- Over 40 years of experience in setting standards for TF in UNECE
- Further interest: World Bank, UNCTAD, UNIDO, OECD, ADB, (Columbus, Ohio, 1996)
- WTO – binding rules - 1996: four “Singapore Issues”, incl. TF, yet in 2004 the other three fell out.
- UNECE - instrumental in setting up the regional PPP network for TF in Southeastern Europe: SECIPRO, after the Dayton Accords, 1995
- Capacity building workshops and projects
- WTO rules will facilitate facilitators



TF and WTO “July Package” 2004

1. Developed vs developing countries on TF in WTO ?
2. General Council of WTO, in order to break the deadlock in the negotiations on the “Doha Development Round” agreed on the 2004 “July Package” of issues (agriculture, market access, services and TF).
3. TF in WTO: 3 GATT articles: V (transit); VIII (fees and procedures); X (publication of laws, rules and procedures)



3 GATT articles: the basis of future WTO negotiations

1. Art.V – transit – States should allow free transit, fees should not be seen as a source of revenue for States or individuals – they should only cover existing costs. UNECE – TIR Convention, Convention on the Harmonization of Border Crossing Procedures (integrated border management, IVWC).
2. Art. VIII – fees, simplification of import and export procedures and requirements for documents and data. Possible solutions: international standards for paper and electronic documents (UNLK), codes, electronic submission, Single Window tools, border agency coordination
3. Art. X – transparency of publication procedures. All countries should clearly publish their trade procedures (on a web site). Document servers in countries and regional initiatives – all documents and necessary procedures published on the web. Advance ruling.



WTO – binding rules (the “What”)

Contents (“How”)

UNECE - standards: UNLK, codes, EDIFACT, etc

Implementation of TF measures:

World Bank, ADB, EBRD, APEC – implementation projects like TTFSE in Southeastern Europe

UNCTAD: Pakistan, Nepal, technical cooperation.

UNIDO - laboratories

APEC – broad spectrum of potential projects

Regional initiatives with a TF component:

EU, SECI, TRACECA, etc.



Compilation of proposals (TN/TF/W43/Rev.4)

Issues proposed for negotiations:

- Publications of laws and border-crossing procedures, penalties, online publication + period for implementation, consultation on new rules (GATT art.X)
- Advance ruling (needs advance submission of info)
- Formalities (incl. limitation of documentary and data requirements, use of international standards, e.g. UNLK, EDIFACT, Single Windows, eDocs) (GATT art.VIII)
- Border agency coordination (harmonizing of border crossing procedures)
- Single Window (submission of all required info only once)
- Goods transit (GATT art.V), etc.
- >> technical assistance and S&D for transition economies (???)



What should be done?

1. Political Will
2. Technical assistance
3. Financing projects
4. Public-private partnerships (UNECE Rec.4 National Trade Facilitation Bodies)
5. How to match interests: various government agencies; public and private; various private companies

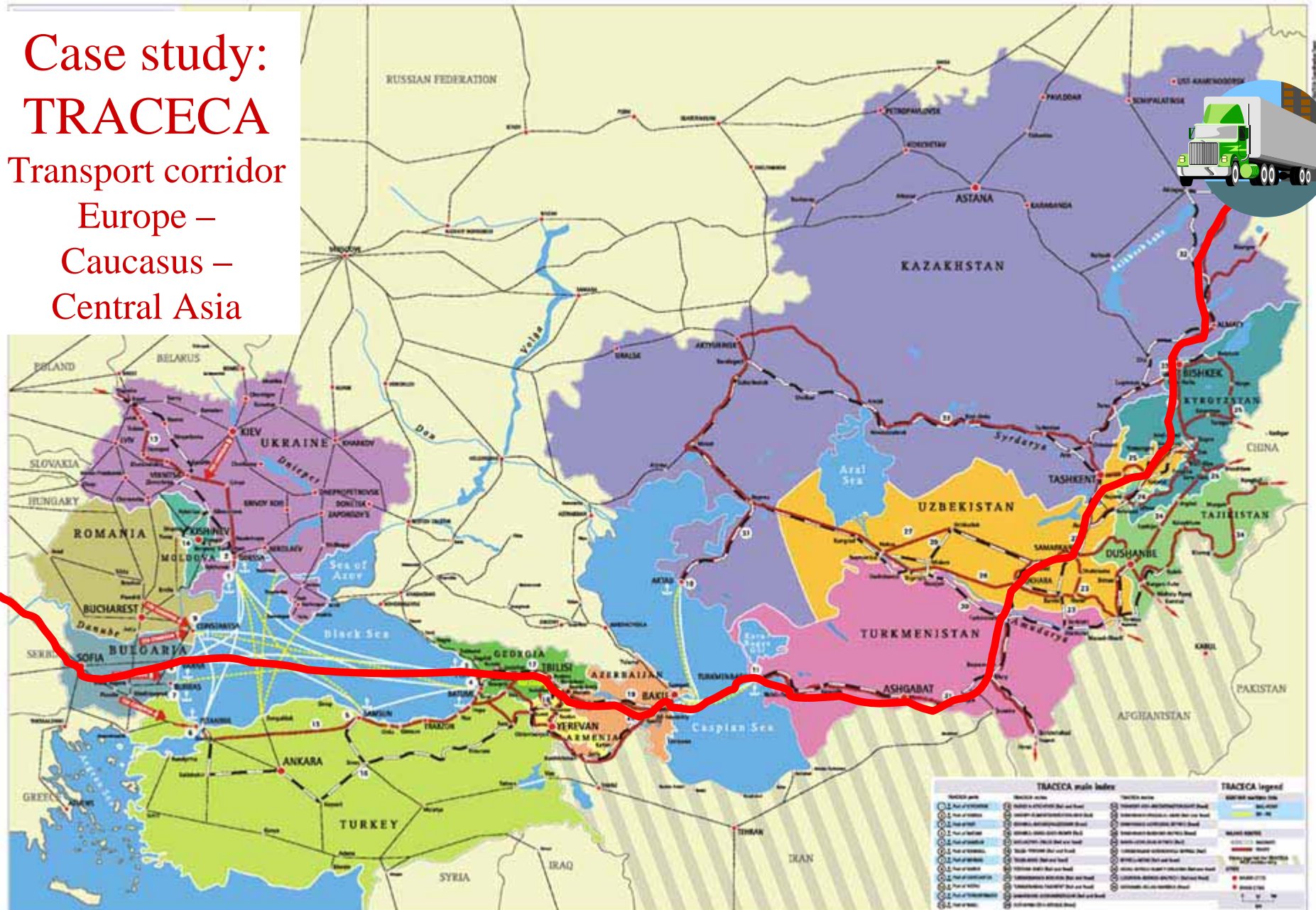


Regional Trade Facilitation

- National reforms can have significant benefits, but regional reforms can have bigger impact (e.g. EU)
- WTO can set commitments that would facilitate regional integration:
 - transit regimes
 - cross-border cooperation
 - transparency
 - standards
 - mobilizing international support, technical assistance and investment
- Regional integration and WTO rules - no panacea – broader reforms are needed.
- TRACECA, GUAM – just a beginning – go further



Case study: TRACECA Transport corridor Europe – Caucasus – Central Asia





Integrated trade information flow management

Project: Top-down approach to unification of documents and harmonization of documentary procedures (e.g in TRACECA)

- A. A.1. Develop a set of 15 trade and transport documents to be used in all TRACECA countries (same layout, different languages) based on UNECE (& EU) standards (UN Layout Key). See www.unedocs.org for sample documents
- A.2. Endorse the set at a Ministerial Conference (Annual TRACECA Conference)
- A.3. Implement the set
- B. Automate a document (electronic submission across borders)
- C. Automate all documents for parallel use of electronic and paper documents (see www.unece.org)



Integrated Management of International Trade Information Flows



Harmonized
(electronic)
trade
documents,
e.g. UNeDocs

+ Single Window

Advance filing
of trade information

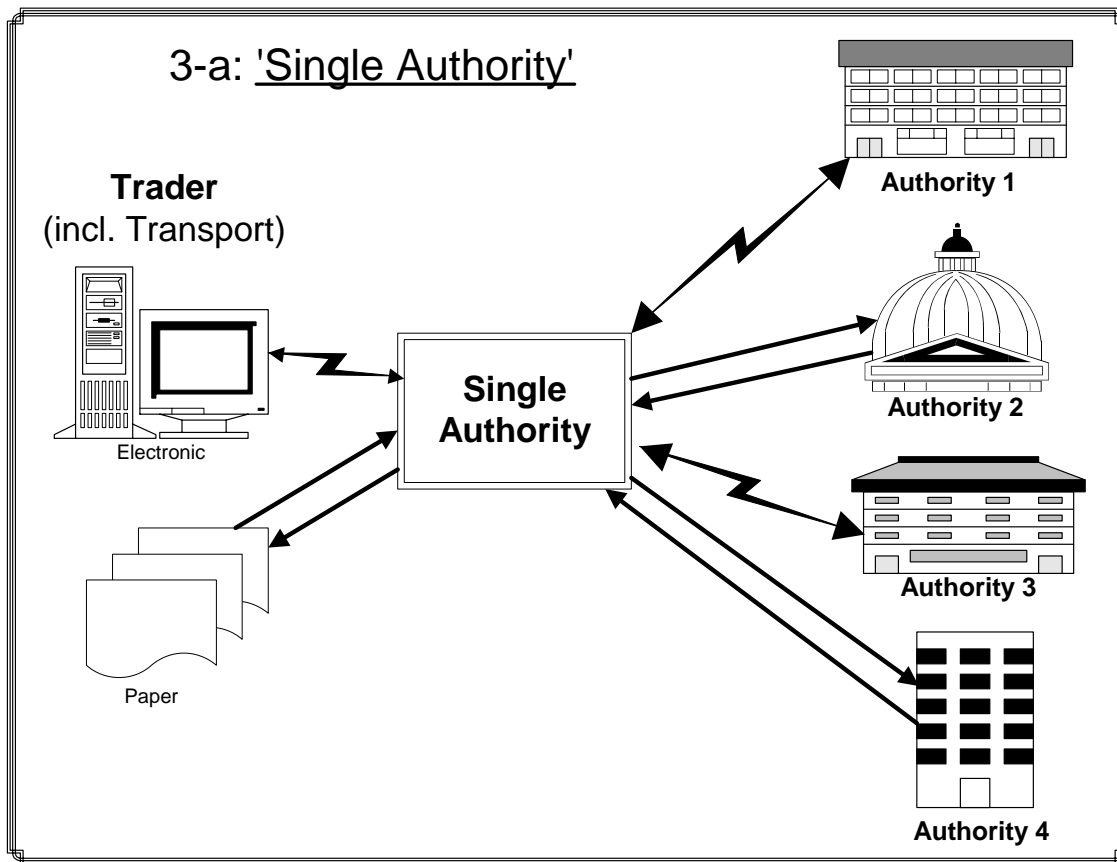
Advance exchange
of information
across borders

Results:

- more efficient trade: less bureaucracy (the goal of trade facilitation) -> lower cost
- more security through better supply chain management inside and among countries -> better risk analysis
- integration with Europe [e.g. EU's Single Administrative Document (SAD) based on the UN Layout Key in use in SEE, TRACECA]



Most general Single Window Model





Single Window

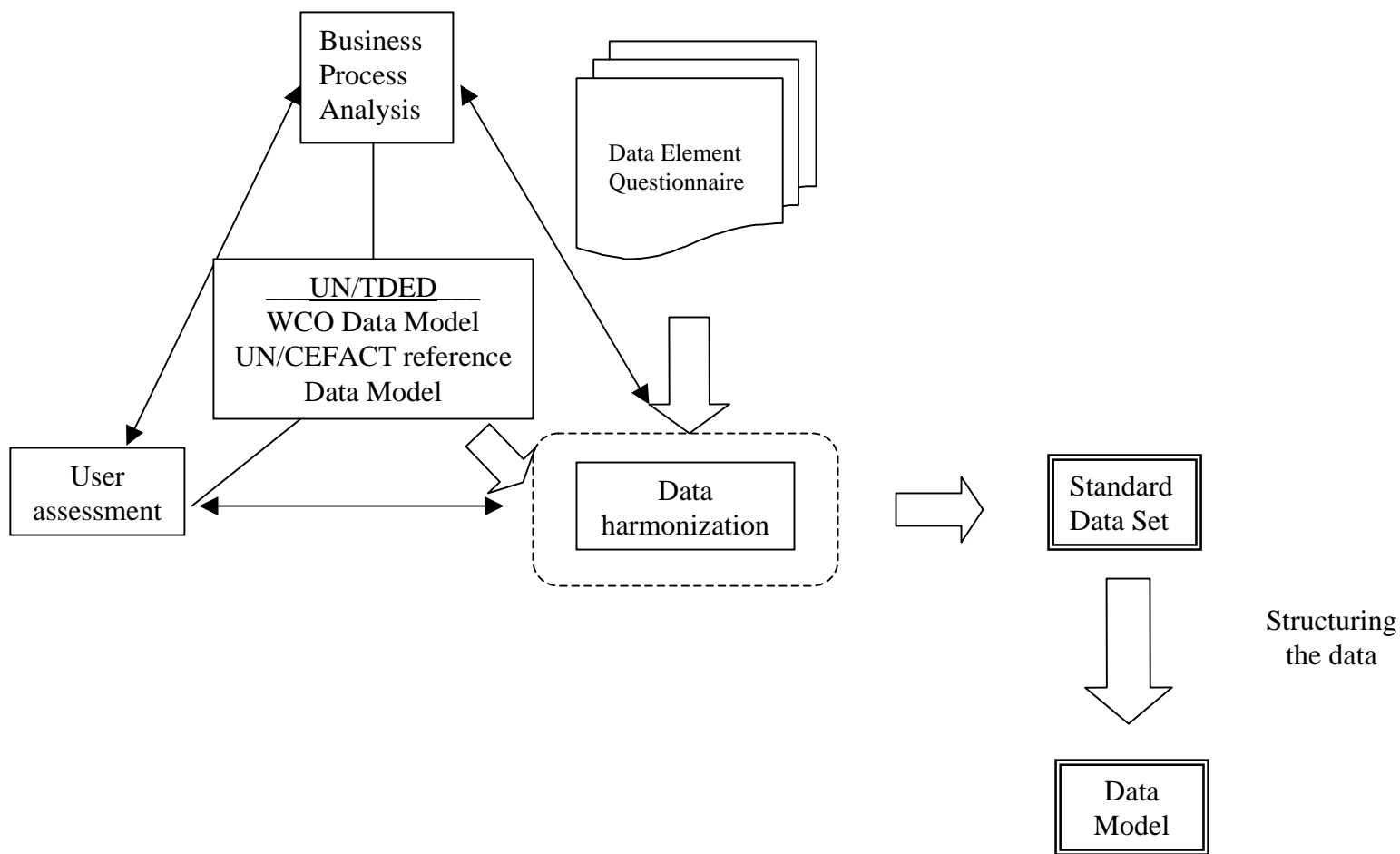
- Definition: a system that allows all participants in trade and transport file requested information in only one place, in a standard format, in order to carry out import, export and transit operations.

Benefits:

- For the authorities
- More efficient distribution of resources
- Better gathering of fees and duties
- More compliance from business
- Better security (on the basis of better risk analysis)
- Less corruption, more transparency
- For private business
- Cut costs by cutting the time to prepare documents
- Faster good clearance
- Predictable and more efficient explanation and implementation of rules
- More transparency



Data harmonization







Possible first step: a Document server: e.g. Formular Server: www.zoll.de

Gesamtliste aller Vordrucke - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Bundesministerium der Finanzen

Zoll online > Vorschriften und Vordrucke > Formularcenter > Gesamtliste aller Vordrucke

Suche

Liste der Vordrucke

Vordrucknummer	Name des Vordrucks	Größe (in KB)	Stand
0060	Unbedenklichkeitsbescheinigung für die Zulassung eines Kraftfahrzeuges oder Kraftfahrzeuganhängers	103	2001
0061	Befreiung eines Wassersportfahrzeugs von der Beförderungspflicht	190	2002
0110	Erklärung über die Schiffsvorräte	173	2003
0111	Erklärung über persönliche Habe der Besatzung	390	2003
0116	Schiffsbedarfsliste für die gewerbliche Binnenschifffahrt	267	2002
0120	Erklärung für Gegenstände erzieherischen, wissenschaftlichen oder kulturellen Charakters	128	2003
0121	Erklärung für wissenschaftliche Instrumente oder Apparate	183	2003
0122	Erklärung für Gegenstände für Behinderte	226	2003
0123	Erklärung für Erprobungswaren	137	2003
0124	Erklärung für medizinische Instrumente oder Apparate	220	2003
0132	Antrag auf Beschlagnahme durch die Zollbehörden nach nationalem Recht	155	2004
0133	Zusatzanträge zum Antrag auf Tätigwerden der Zollbehörden gem. Art 5 VO (EG) Nr. 1383/2003	177	2004
0134	Bürgerschaftsurkunde gewerblicher Rechtsschutz	189	2004
0135	Antrag auf Tätigwerden der Zollbehörden gem. Art. 5 Abs. 4 VO (EG) Nr. 1383/2003	1.413	2004
0135en	APPLICATION FOR ACTION BY CUSTOMS AUTHORITIES under Article 5 (4) of Regulation (EC) No 1383/2003	1.159	2004
0136	Antrag auf Tätigwerden der Zollbehörden gem. Art. 5 Abs. 1 VO (EG) Nr. 1383/2003	380	2004
0136en	APPLICATION FOR ACTION BY CUSTOMS AUTHORITIES under Article 5	367	2004

Service
Zoll-Infocenter und regionale Zoll-Servicecenter
Dienststellenverzeichnis

Infothek
Reisefreigrenzen
EU-Osterweiterung
Umrechnungskurse
Apr: 1 EUR=1,30500 USD
Mai: 1 EUR=1,30490 USD
Mittelwerte
Ausgleichszinsen
Merkblatt Einheitspapier
Ausschreibungen
Zollnummer

Zoll interaktiv
Zoll-Auktion
Artenschutz im Urlaub
ATLAS
Internetzollanmeldung
Formularcenter
AIDA online
Zolltarif (TARIC)

FKS
Finanzkontrolle Schwarzarbeit
Entsendung von Arbeitnehmern

Local intranet



Four examples of aligned and automated documents

1. Negotiable multimodal transport document
2. Invoice
3. CMR consignment note (**second phase**)
4. Single Administrative Document (Customs declaration)



Adobe Acrobat - [Acrobat Document in 6-7 Sept. Almaty Russian]

File Edit Document Tools View Window Help

100%

UNeDocs **IMMTADOC** **NEGOTIABLE - MULTIMODAL TRANSPORT DOCUMENT**

Consignor		MTD no. Shipment reference	
Consignee		Issued by the International Multimodal Transport Association Certified Member subject to the UNCTAD/IMC rules for Multimodal Transport documents.	
Notify party and address		Multimodal Transport Operator (Name or place of business)	
From (place of acceptance)		Consignor's declaration of interest in timely delivery of the goods (Clause 10.5) <input type="checkbox"/> Taken in charge in apparently good condition and, as far as ascertainable by reasonable means of checking as specified below, unless otherwise stated, the MTD, in accordance with the provisions contained in this MTD, undertakes to perform or to procure the performance of the multimodal transport from the place at which the goods are taken in charge, to the place designated for delivery and assumes responsibility for such transport. One of the MTDs must be surrendered, duly endorsed in exchange for the goods. In witness whereof MTD(s) has / have been signed in the number indicated below one of which being accomplished the other(s) to be void. Particulars below furnished by the Consignor.	
Date of acceptance			
Via (place of transshipment)			
By (modes / means of transport)			
To (place of delivery)			
Marks and numbers / container number	Number and kind of packages; Description of goods	Gross weight	Measurement

1 of 1 8.27 x 11.69 in

Bookmarks

Thumbnails

Comments

Signatures



ОБРАЗЕЦ счета на оплату

INVOICE

Customer

Name **SAFAK NAKLIYAT GEMI ACENTALIGI ORMAN URUNLERI
INSAAT TEKSTIL SAN. VE TIC. LTD. STI.**
Address **Camii Serif Mah.5216 Sok. Azizoglu Ish.Kat2NO:4/Mersin**
City **Mersin** State - **State -** ZIP - **ZIP -**
Phone **0-324-237-15-23** Faks: **0-324-238-11-07**

Misc

Invoice № **001**
Date **20.02.2004**
Contract № **TRN-01**
Date of cont **30.01.2004**

Number of trucks	Description	Unit Price	TOTAL
1	Cargo trucking on the route Tashkent / Uzbekistan -Istanbul / Turkey	\$ 2 000,00	\$ 2 000,00

SubTotal	\$ 2 000,00
Comissions*	\$ 4,50
TOTAL	\$ 2 004,50

Payment

Other Bank transfer

Beneficiary **20214840004289996001 UZTURK TRANS LTD**
Ben's bank **Busines Bank, Tashkent, SWIFT: BUSNUZ22**
Corr. bank **Union Bank Of California International**
SWIFT: BOFCUS33NYK
Details **PAYMENT ACCORDING TO CONTRACT
NR.TRN-01 DD 30/01/2004**

Tax Rate(s)

Stamp and signature(s)

* Charges of Union Bank of California International, USA (correspondent bank)



Adobe Acrobat - [UNINVOICE_FORM.pdf]

File Edit Document Tools View Window Help

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UNeDocs

COMMERCIAL INVOICE

Seller (name, address, tax reference)		Invoice number		
		Invoice date (yyyy-mm-dd)		Seller's reference
		Buyer's reference		Other reference
Consignee (name, address, tax reference)		Buyer (name, address, tax reference)		
Freight forwarder (name, address, tax reference)		Unique consignment reference		
		Country of origin	ISO code	Country of destination ISO code
		Terms of delivery		Relevant location
Transport mode and means	Port/airport of loading	UN/LOCODE	Terms of payment	
Port/airport of discharge	UN/LOCODE	Final place of delivery		
Shipping marks; Transport unit ID		No. and kind of packages; shipping description of goods		Commodity code
				Total gross wt(kg)
				Total cube (m3)

Bookmarks

Thumbnails

Comments

Signatures

1 of 1

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1 Exemple de l'expéditeur Copy for sender

1 Expéditeur (nom, adresse, pays) Sender (name, address, country)		LETTRE DE VOITURE INTERNATIONALE INTERNATIONAL CONSIGNMENT NOTE No 24382 	
2 Destinataire (nom, adresse, pays) Consignee (name, address, country)		16 Transporteur (nom, adresse, pays) Carrier (name, address, country)	
3 Lieu prévu pour la livraison de la marchandise (lieu, pays) Place of delivery of the goods (place, country)		17 Transporteurs successifs (nom, adresse, pays) Successive carriers (name, address, country)	
4 Lieu et date de la prise en charge de la marchandise (lieu, pays, date) Place and date of taking over the goods (place, country, date)		18 Réserves et observations du transporteur Carrier's reservations and observations	
5 Documents annexés Documents attached			
6 Marques et numéros Marks and Nos	7 Nombre des colis Number of packages	8 Mode d'emballage Method of packing	9 Nature de la marchandise Nature of the goods
10 No statistique Statistical number	11 Poids brut, kg Gross weight in kg	12 Cubage m ³ Volume in m ³	
13 Instructions de l'expéditeur Sender's instructions		19 Conventions particulières Special agreements	
14 Transcriptions d'attachements instructions de paiement pour dommage <input type="checkbox"/> Franco / Carriage paid <input type="checkbox"/> Non franco / Carriage unpaid		20 A payer par To be paid by:	
21 Établi en le on		25 Reemboursement / Cash on delivery	
22		23	
Signature et timbre de l'expéditeur Signature and stamp of the sender		Signature et timbre du transporteur Signature and stamp of the carrier	
		Signature et timbre du destinataire Signature and stamp of the consignee	

Les parties établies de façon séparée doivent être attachées au présent document. The copies issued with heavy loads must be filed in the carrier's file.

1. Отправитель (наименование, адрес, страна) Sender (name, address, country)		Многотиражная Транспортно-тарифная Платформа № 000001 This carriage is subject notwithstanding any clause in the contract. To the Convention on the contract for the international Carriage of goods by road (CMR)	
2. Получатель (наименование, адрес, страна) Consignee (name, address, country)		16. Перевозчик (наименование, адрес, страна) Carrier (name, address, country)	
3. Место загрузки груза Place of loading the goods (place, country) Место / Place Страна / Country		17. Последующий перевозчик (наименование, адрес, страна) Successive Carrier (name, address, country)	
4. Место и дата приема груза Place and date of taking over the goods (place, country, date) Место / Place Страна / Country Дата / Date		18. Резервы и замечания перевозчика Carrier's reservations and observations	
5. Присоединенные документы Documents attached			
6. Марки и номера Marks and Nos	7. Количество мест Number of packages	8. Вид упаковки Method of packing	9. Наименование груза Nature of the goods
10. Статистический номер Statistical number	11. Брутто вес, кг Gross weight in kg	12. Объем, м ³ Volume in m ³	
13. Указания отправителя (специальные и прочие требования) Sender's instructions	19. Платежи, подлежащие оплате Payments to be paid by	20. Страна Country	21. Перевозчик Carrier
Общая стоимость груза Declared value of goods Плата за перевозку груза (с учетом стоимости страхования груза по ст. 23, п. 2 Конвенции) (только в случае заключения дополнительной оговорки в пункте 14) Freight charges (including the cost of cargo insurance in accordance with Art. 23, para. 2 of the Convention) (only in case of conclusion of an additional clause in paragraph 14)		22. Прочие Other charges	23. Итого в оплате Total payable
24. Выкуп Cash on delivery		25. Возврат Reimbursement	
26. Присоединенные документы Documents attached		27. Специальные соглашения Special agreements	
28. Приезжая под погрузку Arriving for loading	29. Уезжает Leaving	30. Превозимый вес Load accepted	31. Дата Date
Подпись и штамп отправителя Stamp and signature of sender		Подпись и штамп перевозчика Stamp and signature of carrier	
32. Регистрационный номер Тягач / Trailer	33. Регистрационный номер Прицеп / Trailer	34. Марка / Тип Тягач / Прицеп / Trailer	
Signature et timbre de l'expéditeur Signature and stamp of the sender		Signature et timbre du transporteur Signature and stamp of the carrier	
		Signature et timbre du destinataire Signature and stamp of the consignee	



ГРУЗОВАЯ ТАМОЖЕННАЯ ДЕКЛАРАЦИЯ

ТД 1

ЭКЗЕМПЛЯР ДЛЯ СТАТИСТИКИ	2 Отправитель/экспортёр	№	1 Тип декларации	A		
	Рег. №	07	3 Деб. лист	4 Отг. лист	НУСХА	
	8 Получатель/импортёр	№	5 Вспом. инв. ЭИ	6 Код-на инв.	7 Справочный номер	
	Рег. №	07	9 Лиц. ответственно за финансовое урегулирование	№		
	14 Декларант/представитель	№	10 Страна 1-го отправления	11 Торг. маркич. страна	12	13
	Рег. №	07	15 Страна отправления	16 Страна происхождения	17 Страна назначения	
	18 Транспортное средство при отправлении	19	20 Условия поставки			
	21 Транспортное средство на границе		22 Валюта и объем фактур. стоим.	23 Курс валюты	24 Коэффициент пересчета	
	25 Вид транспорта на границе	26 Вид транспорта внутри страны	27 Место погрузки/погрузки	28 Финансовый и банковский сведения		
	29 Таможня на границе	30 Место доставки товара				

31 Маркировка и количество - номера контейнеров - названия товаров	32 Типовый №	33 Код товара	34 Вид страны происхождения	35 Вес брутто (кг)	36 Прибыль, проц.	
			37 Процедура	38 Вес нетто (кг)	39 Бахта	
			40 Общие декларации/предоставляемый документ			
			41 Две декларации	42 Фактур. стоим. 1-го	43	
44 Дополнительные таможенные декларации/предоставляемый документ	45					
	46 Статистическая стоимость					
47 Итоговая таможенная декларация и сборы	Вид	Основы начисления	Ставка	Сумма	СП	
	48 Отсрочка платежей					
49 Наименование платежа						
B. Подробности подчета						
50 Доверитель						
51 Таможня страны транзита						
52 Гарантии/необходительная др.						
53 Таможня и страна назначения						
A. Таможенный контроль						
54 Место и дата						

EUROPEAN COMMUNITY

A OFFICE OF DISPATCH/EXPORT

Copy for the country of dispatch/import	1 Consignor/Exporter	№	I. DECLARATION			
	8 Consignee	№	3 Form	4 Loading list		
	10 Consignor/Representative	№	5 Items	6 Total packages	7 Reference number	
	14 Consignor/Representative	№	9 Person responsible for financial settlement	№		
	19 Identify and nationality of means of transport at departure	19 Cr.	10 Country of origin	11 Loading country	18 C.A.P.	
	21 Identify and nationality of active means of transport crossing the border		10 Country of dispatch/report	15 C. Imp./Exp. Code	17 Country of origin Code	
	25 Mode of transport of the border	26 Inland mode of transport	16 Country of origin	17 Country of destination		
	27 Place of loading		19 Delivery terms			
	28 Office of exit	28 Location of goods	21 Identify and nationality of active means of transport crossing the border	22 Currency and total amount involved	23 Exchange rate	24 Nature of transaction
	29 Financial and banking data					

31 Packages and description of goods	32 Mark and symbols - Container No(s) - Number and kind	32 Item No	33 Commodity Code			
			34 Country origin Code	35 Gross mass (kg)		
			37 PRODUCE	38 Net mass (kg)	39 Duty	
			40 Summary declaration/Previous document			
44 Additional information/Documents produced/Certificates and authorizations	41 Supplementary units					
	A.I. Code					
48 Statistical value						

47 Calculation of taxes	Type	Tax base	Rate	Amount	100	48 Deferred payment	49 Identification of warehouse
Total							
50 Prepaid						№	Signature
51 Inland offices of transit (and country)						C. OFFICE OF DEPARTURE	
52 Guarantee not valid for						Date	
B. CONTROL, BY OFFICE OF DEPARTURE						53 Office of destination (and country)	
Stamp:						54 Place and date	
Agent:						Signature and name of declarant/representative:	
Scary official: Number:							
Identity:							
Time limit: blank:							
Signature:							

ОБРАЗЕЦ
 УЗБЕКИСТОН - UZBEKISTAN



Thanks !

www.unece.org

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