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World Forum for Harmonization of Vehicle Regulations**Working Party on Automated/Autonomous and Connected Vehicles****Ninth session**

Item 6 (b) of the provisional agenda

UN Regulation No. 79 (Steering equipment):**Steering equipment****Proposal for a new 04 series of amendments to
UN Regulation No. 79 (Steering equipment)****Submitted by the experts from the International Organization of Motor
Vehicle Manufacturers and the European Association of Automotive
Suppliers***

This proposal was prepared by the experts from the International Organization of Motor Vehicle Manufacturers (OICA) and the European Association of Automotive Suppliers (CLEPA). It superseded ECE/TRANS/WP.29/GRVA/2020/16 and proposes a new 04 series of amendments to UN Regulation No. 79 that would include provisions for a system that aims at bringing the vehicle to a safe stop in case of driver unavailability. ECE/TRANS/WP.29/GRVA/2020/16 proposed provisions for the same technology as in the proposal in this document, but as additional subcategory of an Emergency Steering Function. This proposal introduces the definition of a Risk Mitigation Function and the corresponding requirements. Following the feedback received from Working Party on Automated/Autonomous and Connected Vehicles (GRVA) at its fourth session, the provisions for a potential lane change during the intervention are now aligned on those suggested for an Automated Lane Keeping System in a parallel document. This document is based on informal document GRVA-07-22. The modifications of the existing Regulation are marked in bold for new or strikethrough for deleted characters.

* In accordance with the programme of work of the Inland Transport Committee for 2021 as outlined in proposed programme budget for 2021 (A/75/6 (Sect.20), para 20.51), the World Forum will develop, harmonize and update UN Regulations in order to enhance the performance of vehicles. The present document is submitted in conformity with that mandate.

I. Proposal

Insert a new Paragraph 2.3.4.4. to read:

“2.3.4.4. *“Risk Mitigation Function (RMF)”* means a function which can in case of confirmed driver unavailability automatically activate the vehicle steering system for a limited duration to steer the vehicle with the purpose of bringing the vehicle to a safe stop within a target stop area.

Paragraph 2.4.16., amend to read:

2.4.16. A *“Lane Change Procedure”* ~~in the case of ACSF of Category C~~ starts when the direction indicator lamps are activated ~~by a deliberate action of the driver~~ and ends when the direction indicator lamps are deactivated. It comprises the following operations:

- (a) Activation of the direction indicator lamps ~~by a deliberate action of the driver;~~
- (b) Lateral movement of the vehicle towards the lane boundary;
- (c) Lane Change Manoeuvre;
- (d) Resumption of the lane keeping function;
- (e) Deactivation of direction indicator lamps.

Insert a new paragraph 2.4.18., to read:

“2.4.18. *“Target stop area”* means an area (e.g. emergency lane, hard shoulder, beside the road, slowest lane of traffic, own lane of travel) where an RMF aims to stop the vehicle.

Insert a new paragraph 5.1.6.3., to read:

“5.1.6.3. Vehicles equipped with an RMF shall fulfil the following requirements.

An RMF system shall be subject to the requirements of Annex 6.

5.1.6.3.1. Any RMF shall only start an intervention in case the driver is confirmed to be unavailable to control the vehicle e.g. through driver monitoring, failed response to a request for action or warning or if it is manually activated by the driver.

In case the system provides a means for manual activation, this means shall be protected against unintentional operation.

5.1.6.3.2. Every RMF intervention shall immediately be indicated to the driver at least by an optical warning signal which is displayed as long as the intervention exists.

5.1.6.3.3. The RMF shall aim to bring the vehicle to a safe stop within the target stop area.

5.1.6.3.4. The signal to activate the hazard warning lights shall be generated with the start of the intervention.

5.1.6.3.5. It shall be possible to override the function at any time by a distinct action of the driver.

5.1.6.3.6. Additional provisions for systems with the purpose of bringing the vehicle to a safe stop outside its own lane of travel.

5.1.6.3.6.1. Lane change manoeuvres shall only be performed in an uncritical way as described in paragraph 5.1.6.3.6.6. towards the closest appropriate target stop area. In case the target stop area cannot be reached in an uncritical way the RMF shall aim to keep the vehicle within its current lane of travel while the vehicle is stopping.

5.1.6.3.6.2. During the intervention the system shall perform a single or multiple lane change(s) across regular lanes of traffic as well as to the hard shoulder only, if under the current traffic situation these lane changes can be

considered to minimize the risk to safety of the vehicle occupants and other road user.

- 5.1.6.3.6.3. A lane change during the intervention shall only be performed if the system has sufficient information about its surrounding to the front, side and rear (as defined in paragraph 5.1.6.3.6.13.) in order to assess the criticality of that lane change.
- 5.1.6.3.6.4. A lane change during the intervention shall not be performed towards a lane with traffic in opposite direction.
- 5.1.6.3.6.5. The intervention shall not cause a collision with another vehicle or road user in the predicted path of the vehicle during a lane change.
- 5.1.6.3.6.6. A lane change manoeuvre shall only be started if a vehicle in the target lane is not forced to unmanageably decelerate due to the lane change of the vehicle.
- 5.1.6.3.6.6.1. When there is an approaching vehicle

An approaching vehicle in the target lane shall not have to decelerate at a higher level than $A \text{ m/s}^2$, B seconds after the lane change manoeuvre has started, to ensure the distance between the two vehicles is never less than that which the lane change vehicle travels in C seconds.

With A equal to 3.7 m/s^2

With B equal to:

- (a) 0.0 s, if the lateral movement of the vehicle continued for at least 1 s while the vehicle had not yet crossed the lane marking and the direction indicator had been active for at least 3 s prior to crossing of the lane markings while a vehicle approaching from the rear was detected by the sensing system
- (b) 0.4 s, if the lateral movement of the vehicle continued for less than 1 s or the direction indicator had been active less than 3 s or a vehicle approaching from the rear was not detected by the sensing system for at least 3s prior to the start of the lane change manoeuvre

With C equal to

- (a) 0.5 s, if the lane change is performed towards a lane intended for slower traffic or towards the hard shoulder
- (b) 1.0 s if performed towards a lane intended for faster traffic.

- 5.1.6.3.6.6.2. When there is no vehicle detected

If no vehicle is detected, the minimal gap to the rear shall be calculated under the assumptions that:

- (a) An approaching vehicle on a regular lane intended for faster traffic is travelling with the allowed or the advised maximum speed whichever is lower.
- (b) An approaching vehicle on a lane intended for slower traffic (including enter-, and exit lanes and shoulders temporarily opened for regular traffic) is travelling with a maximum speed difference of 20 km/h at the start of the lane change manoeuvre while not exceeding the allowed or advised maximum speed
- (c) An approaching vehicle on a hard shoulder is travelling at a maximum speed of 80 km/h and a maximum speed difference to the RMF vehicle at the start of the lane change manoeuvre of 40 km/h.

- 5.1.6.3.6.6.3. When there is an equally fast or slower moving vehicle

A lane change manoeuvre shall only be started if the distance to a vehicle following behind in the target lane at equal or lower speed is greater than that which the following vehicle travels in 0.7 s.

- 5.1.6.3.6.7. The changing of a lane shall be aimed to be one continuous movement.
- 5.1.6.3.6.8. A lane change during the intervention shall be completed without undue delay.
- 5.1.6.3.6.9. A lane change manoeuvre shall only be started if the manoeuvre is anticipated to be completed before the vehicle comes to a standstill (i.e. in order to avoid coming to standstill while in the middle of two regular lanes due to stopped traffic ahead).
- 5.1.6.3.6.10. A lane change manoeuvre during an intervention shall be indicated in advance to other road users by activating the appropriate direction indicator lamps instead of the hazard warning lights, optionally both may flash alternately.
- 5.1.6.3.6.11. Once the lane change manoeuvre is completed the direction indicator lamps shall be deactivated in a timely manner, and the hazard warning lights shall become active again.
- 5.1.6.3.6.12. Notwithstanding paragraph 5.1.6.3.6.12. when several consecutive lane changes are performed as part of the risk mitigation function, the direction indicator may remain active throughout these lane changes while the lateral behaviour shall ensure that each lane change manoeuvre can be perceived as an individual manoeuvre by following traffic.
- 5.1.6.3.6.13. If the vehicle is equipped with the capability to perform lane changes during the RMF intervention, the manufacturer shall declare the detection ranges to the front, side and rear. The declared ranges shall be sufficient to assess that a change into a lane immediately to the left or to the right of the vehicle does not cause a critical situation with a vehicle driving beside or approaching from the rear or a vehicle or road user ahead in the target lane.

The Technical Service shall assess the correspondence of declared detection ranges and lane change strategy and shall verify that the vehicle's sensing system detects vehicles during the relevant test in Annex 8. These ranges shall be equal or greater than the declared ranges.

5.1.6.3.7. System information data

The following data shall be provided, together with the documentation package required in Annex 6 of this Regulation, to the Technical Service at the time of type approval:

- (a) Information on how the system confirms that the driver is no longer available;
- (b) Description of the means to detect the driving environment;
- (c) Information/specification on which road types (e.g. motorway, country roads, urban areas, etc.) the system is designed to intervene and how this is ensured;
- (d) Means to override the function by a distinct action.

Insert a new paragraph 12.3., to read:

12.3. Transitional Provisions applicable to the 04 series of amendments:

- 12.3.1. As from the official date of entry into force of the 04 series of amendments, no Contracting Party applying this Regulation shall refuse to grant or refuse to accept UN type approvals under this Regulation as amended by the 04 series of amendments.

- 12.3.2. As from 1 September [2023], Contracting Parties applying this Regulation shall not be obliged to accept UN type approvals to the preceding series of amendments, first issued after 1 September [2023].
- 12.3.3. Until 1 September [2025], Contracting Parties applying this Regulation shall continue to accept UN type approvals to the preceding series of amendments to this Regulation, first issued before 1 September [2023].
- 12.3.4. As from 1 September [2025], Contracting Parties applying this Regulation shall not be obliged to accept type approvals issued to the preceding series of amendments to this Regulation.
- 12.3.5. Notwithstanding paragraph 12.3.2. and 12.3.4., Contracting Parties applying this Regulation shall continue to accept UN type approvals issued according to a preceding series of amendments to this Regulation, for vehicles which are not affected by the provisions of paragraph 5.1.6.3.6. introduced with the 04 series of amendments.

Paragraphs 12.3 and 12.3.1., re-number as 13.4. and 13.4.1.

Insert a new paragraph 3.6. in Annex 8, to read:

3.6. Tests for RMF

The vehicle shall be driven with an activated RMF on a road with all relevant lane markings in a good visible shape.

The test conditions and the vehicle speeds shall be within the operating range of the system as declared by the manufacturer.

Specific details of the mandatory tests described below shall be discussed and agreed between the vehicle manufacturer and the Technical Service to adapt the required testing to the declared use case(s) for which the RMF is designed to operate.

In addition, the manufacturer shall demonstrate to the satisfaction of the Technical Service that the requirements defined in paragraph 5.1.6.3. are fulfilled in the whole range of the RMF operation (specified by the vehicle manufacturer in the system information data). This may be achieved on the basis of appropriate documentation appended to the test report.

3.6.1. Tests for an RMF, with the purpose of bringing the vehicle to a safe stop inside its own lane of travel:

The vehicle shall be driven in a way that an intervention is initiated.

The test requirements are fulfilled if:

- (a) The ongoing intervention is indicated to the driver by at least an optical signal.
- (b) The signal to activate the hazard warning lights is generated with the start of the intervention.

3.6.2. Tests for an RMF, with the purpose of bringing the vehicle to a safe stop outside its own lane of travel:

3.6.2.1. Scenario A:

A Lane Change Manoeuvre is possible according to the provisions of paragraph 5.1.6.3.6.

The vehicle shall be driven in a way that an RMF intervention is initiated while a target stop area outside the current lane of travel is available. In case there is another vehicle in the target lane this shall be positioned in a way not preventing a lane change of the RMF vehicle to the target lane.

The test requirements are fulfilled if:

- (a) The ongoing intervention is indicated to the driver by at least an optical signal.

(b) The signal to activate the hazard warning lights is generated with the start of the intervention.

(c) The lane change manoeuvre is indicated in advance to other road users.

(d) The RMF vehicle changed the lane(s) following the provision of paragraph 5.1.6.3.6.

3.6.2.2. Scenario B:

A Lane Change Manoeuvre is not possible according to the provisions of paragraph 5.1.6.3.6.

The vehicle shall be driven in a way that an RMF intervention is initiated while a target stop area outside the current lane of travel is available. At the start of the RMF intervention there shall be another vehicle in the target lane positioned in a way preventing a lane change manoeuvre of the RMF vehicle to the target lane.

The test requirements are fulfilled if:

(a) The ongoing intervention is indicated to the driver by at least an optical signal.

(b) The signal to activate the hazard warning lights is generated with the start of the intervention.

(c) The lane change manoeuvre is indicated in advance to other road users.

(d) The RMF vehicle does not start a lane change manoeuvre as long as the vehicle in the target lane is still positioned in a way preventing a lane change manoeuvre.

II. Justification

1. ECE/TRANS/WP.29/GRVA/2020/16 suggested the introduction of RMF as an additional subcategory of an Emergency Steering Function. As advised by GRVA in its fourth session, this proposal introduces the provisions for a potential lane change during the intervention as a separate new function and are aligned on those proposed for an ALKS in a parallel document.

2. Functions with lane change, to cope with temporary driver inability, to control the vehicle (e.g. caused by a health problem) are currently not considered in UN Regulation No. 79. The proposed RMF would warn and possibly call back the driver while automatically performing emergency lane change(s), with the aim to bring the vehicle, if possible (depending on traffic, etc.), to a standstill in an area with a low risk of collision (called “target stop area” in the proposal, e.g. hard shoulder), because it is the safest area to stop (access of emergency vehicles, low collision risk at the emergency lane). The function may be activated manually or automatically.

3. The amendment seeks to permit such a function, aimed at reducing risks in traffic, which could so far not be type-approved.

4. Uncontrolled vehicle movement could be avoided or mitigated by an RMF.

5. Other traffic participants are made aware of the criticality of the situation through the hazard warning lights and/or the appropriate direction indicator. Since it is a last resort function and the result of another car in the same situation not equipped with an RMF would be worse, shorter gaps and harsher braking of approaching vehicles are justifiable.

6. Other traffic participants are made aware of the criticality of the situation through the hazard warning lights and/or the appropriate direction indicator. Since it is a last resort function and the result of another car in the same situation not equipped with an RMF would be worse, shorter gaps and harsher braking of approaching vehicles are justifiable.

7. This is reflected in the parameters for B and C. If the emergency situation as well as the intention to change lanes has been communicated to a vehicle in the adjacent lane for a sufficiently long period of time, the other vehicle can be expected to react more quickly ($B=0s$, meaning as soon as the vehicle crosses the lane marking).

8. Additionally, when the lane change is performed to a lane with slower traffic, distances are usually smaller when travelling slower and additionally there are traffic rules prohibiting an “undercut”¹ situation, which is why a smaller remaining distance between the two vehicles ($C=0.5s$) should be permitted.

9. The distance between the two vehicles can be reduced, especially when there is a slower moving vehicle in the adjacent lane, because there is no critical situation resulting from the lane change *per se*. Even if a deceleration becomes necessary, the slower moving vehicle in the adjacent lane will have sufficient capabilities to adapt its speed accordingly due to the speed difference.

10. The speed of a vehicle on a slower lane is assumed to be at max. 20km/h faster than that of the RMF vehicle, as it is prohibited by various traffic rules to undercut on a slower lane. Where undercutting is permitted, the jurisdiction indicates that this requires careful behaviour with a max. speed difference of 20km/h.

11. The speed of an approaching vehicle on a hard shoulder is assumed to be 80km/h at maximum, but not exceeding the speed of the RMF vehicle by more than 40km/h, because most hard shoulders are not to be used for regular traffic, unless explicitly opened for it. If vehicles pass on the hard shoulder (e.g. before an exit) they have to demonstrate very careful behaviour. The proposed 40km/h speed difference already takes into account an additional 20km/h more than what is rules suitable when passing a slower moving vehicle in an undercut situation.

¹ Note by the secretariat: “undercut” is understood as overtaking the vehicle located on the other - faster - lane.