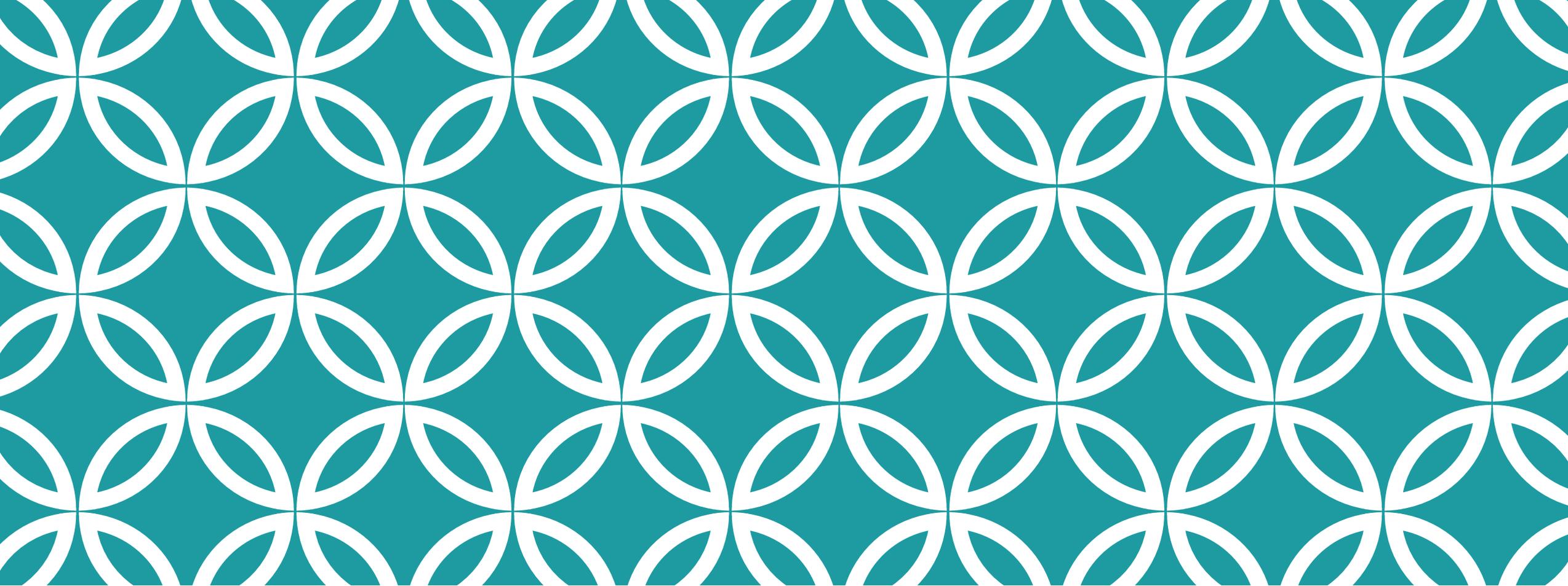


**HARMONIZING DATA COLLECTION FOR INDICATORS
1.4.2 AND 5.A.1**

OUTLINE

1. Why harmonize SDG land Indicators 1.4.2 & 5.a.1?
2. Data collection strategies for the joint module
3. The joint module for collecting data for indicators 1.4.2 & 5.a.1
4. Discussion & Conclusions



1. WHY HARMONIZE INDICATORS 1.4.2 & 5.A.1?



1. WHY HARMONIZE? (1/5)

GOAL 5 TARGET 5.a

aims to “undertake reforms to give women equal rights to economic resources, as well as access to ownership and control over land and other forms of property, financial services, inheritance and natural resources, in accordance with national laws”

Indicator 5.a.1

“ (a) Percentage of people with ownership or secure rights over agricultural land (out of total agricultural population), by sex; and (b) share of women among owners or rights-bearers of agricultural land, by type of tenure”

1. WHY HARMONIZE? (2/5)

HARMONIZATION WITH SDG INDICATOR 1.4.2

While indicator 5.a.1 focuses on gender parity in ownership and tenure rights over agricultural land, other SDG indicators recognize the importance of strengthening secure tenure rights for all.

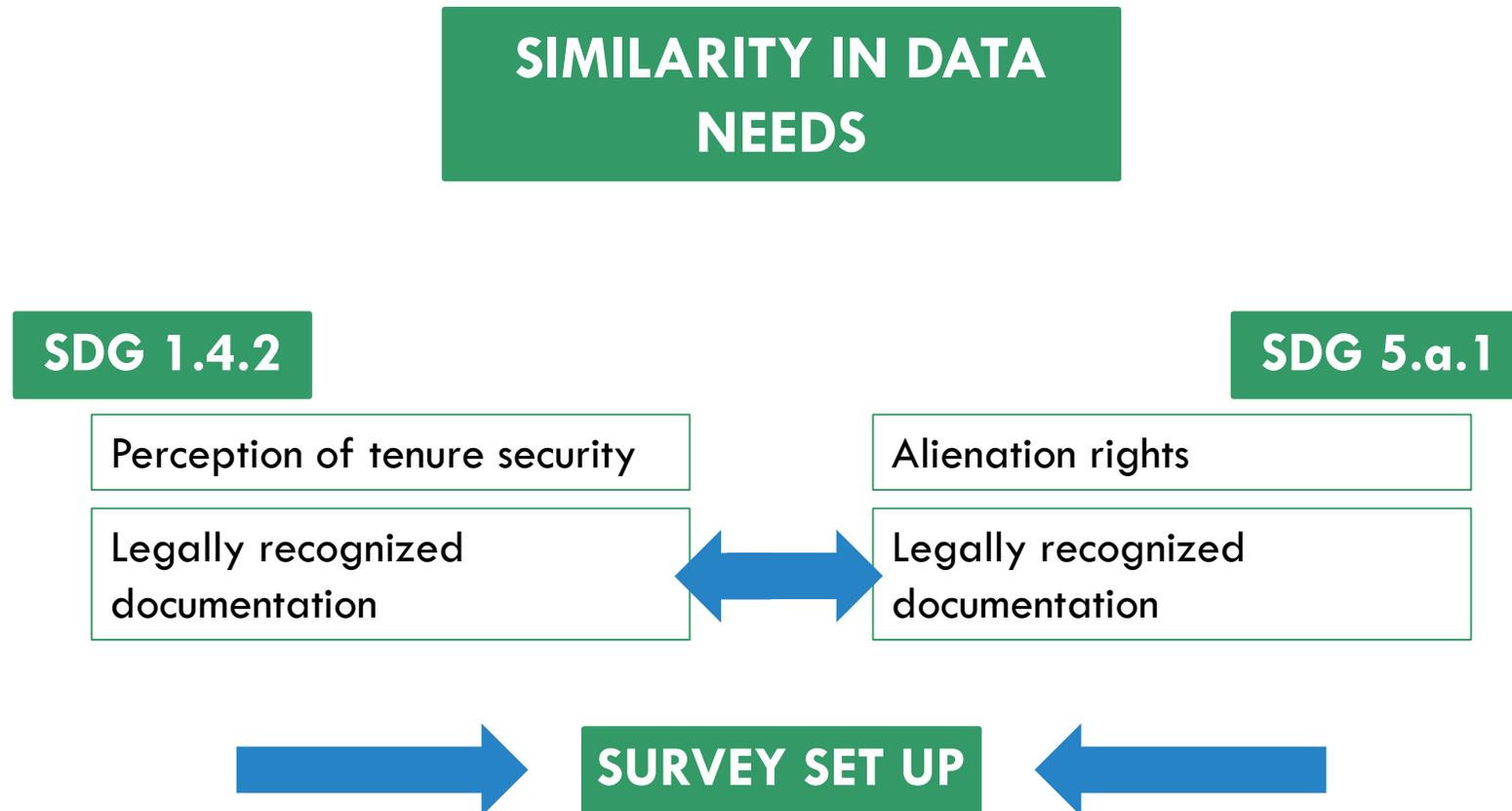
GOAL 1 TARGET 1.4

aims to ensure that all men and women, in particular the poor and the vulnerable, have equal rights to economic resources, as well as access to basic services, ownership and control over land and other forms of property, inheritance, natural resources, appropriate new technology and financial services, including microfinance.

Indicator 1.4.2

“Proportion of total adult population with secure tenure rights to land, with (1) legally recognized documentation and (2) who perceive their rights to land as secure, by sex and by type of tenure”

1. WHY HARMONIZE? (3/5)



1. WHY HARMONIZE? (4/5)

The two indicators present some **similarities as well as differences**. Both of them deal with land and individual rights and they promote sex-disaggregated data. However, the **differences** between the two are non-negligible:

The two indicators look at different **types of land**

Indicator 1.4.2

all types of land (i.e. residential, business, etc.)

Indicator 5.a.1

agricultural land

The two indicators look at different **populations**

Indicator 1.4.2

whole adult population in the country

Indicator 5.a.1

agricultural population

The two indicators differ in the span of land tenure rights definition

Indicator 1.4.2

looks at *legally recognized* documents and the *perceptions* on tenure security

Indicator 5.a.1

looks at the 'de facto' tenure rights by considering both *legal documentation* and *alienation rights*. A holder is an individual presenting **at least one** of the proxies

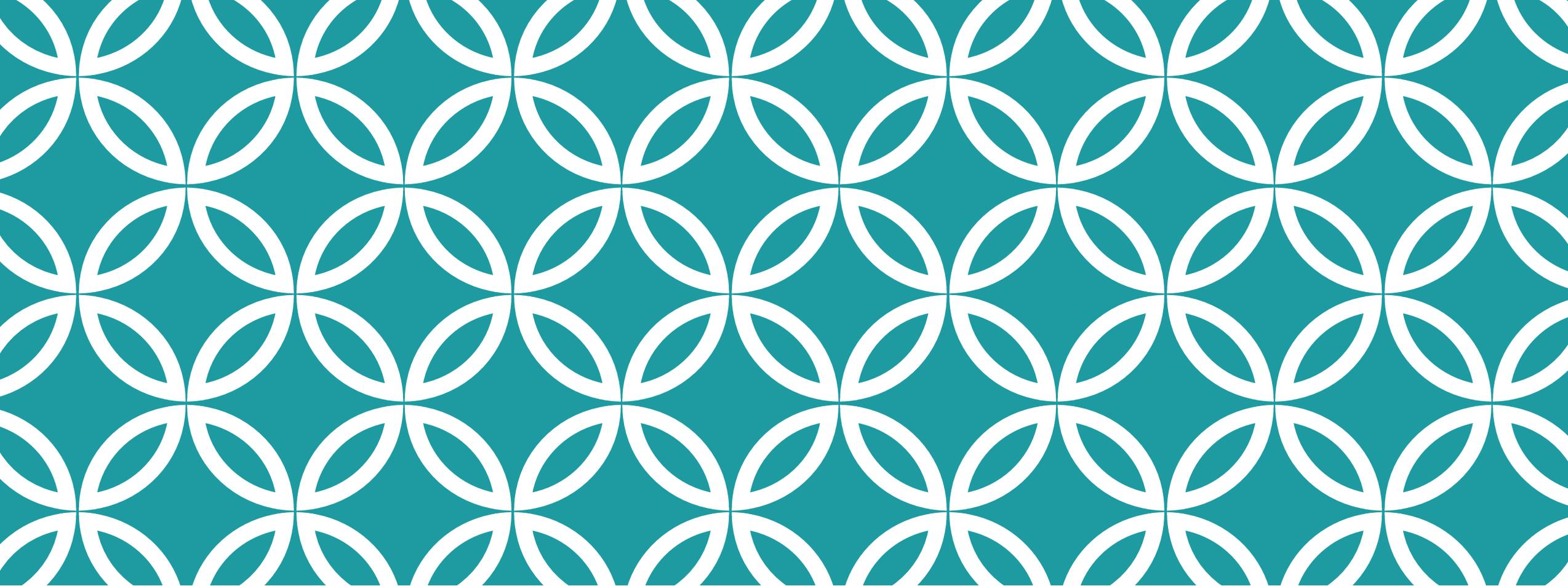
1. WHY HARMONIZE? (5/5)

Indicator 5.a.1 and 1.4.2 together provide the unique opportunity to disentangle the whole range of land tenure rights

In Summary, while **5.a.1** combines legal documentation with de facto alienation rights thus providing a global measure of tenure rights over agricultural land, **1.4.2** specifically monitors penetration of legal documentation and perception of security to land rights from a broader perspective, as it looks at all types of land and at the whole adult population.



FAO, UN-HABITAT and the World Bank are collaborating to **align concepts**, definitions and data collection tools, to facilitate countries in the collection and generation of these indicators. In particular, a **common 'land tenure module'** has been developed with the aim of generating the data for calculating both indicator 5.a.1 and 1.4.2.



2. DATA COLLECTION STRATEGIES FOR THE JOINT MODULE

2. DATA COLLECTION STRATEGIES FOR THE JOINT MODULE (1/10)

DATA SOURCES

Designed for **integration** in existing

National Household Surveys

Agricultural Surveys?

Population Censuses?

2. DATA COLLECTION STRATEGIES FOR THE JOINT MODULE (2/10)

DECISION POINTS



2. DATA COLLECTION STRATEGIES (3/10)

Respondent selection

two key decisions to be made in selecting who to interview within the selected household:

Who should report this information?

On how many individuals should information be collected?

There are **three different strategies** that could be adopted:

One proxy respondent

Normally the most knowledgeable household member, is interviewed to collect information on all the household members

Self-respondent approach applied to all members

Each adult member of the household is interviewed on his/her ownership/tenure rights over agricultural land

Self-respondent approach applied to one (or more) member(s)

One or more randomly selected adult household members are interviewed on his/her ownership /tenure rights over agricultural land

2. DATA COLLECTION STRATEGIES (4/10)

The **EDGE project field tests** helped to understand which of these strategies is more effective and more relevant to the purpose of examining rights over land from a gender perspective

In particular, it was found that:



Proxy-reported data decrease estimates of both reported and documented ownership of agricultural land.

The Ugandan field test found that **underestimation is greater for men than for women:**

- For reported ownership -15% for men, -10% for women
- For documented ownership -7% for men and -2% for women

2. DATA COLLECTION STRATEGIES (5/10)

Considering these findings, it is **recommended**:

TO EMPLOY SELF-RESPONDENT APPROACH
Interview household members about **their own status**

Due to budget constraints and time limitations, it may be possible to interview only **one adult member per household**.

However, if a country wants to study intra-household dynamics of the 5.a.1 and 1.4.2 estimates, it may decide to collect information about each household member or a subset or randomly selected adult members

2. DATA COLLECTION STRATEGIES (6/10)

Self-Respondent: Selecting individuals within a household

Once a household has been selected, either all individuals or one randomly selected individual should be interviewed about their own status.

The second case requires a **procedure that randomly identifies a subject within the household** in a way that he or she is representative of the target population.

The procedure should be:

- | Effective in selecting a representative sample of the population of interest
- | Easy to implement

There are various **methods** that could be applied to this task, but the most popular and recommended methods are:

Randomization via CAPI

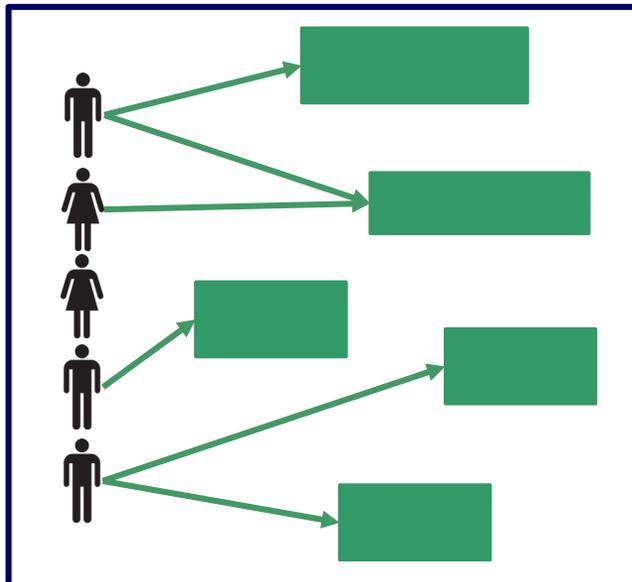
The Kish method

The birth date method

2. DATA COLLECTION STRATEGIES (7/10)

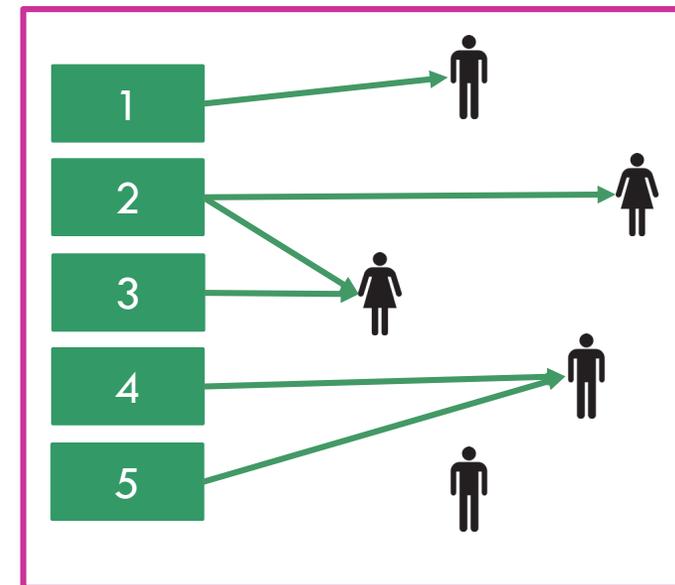
LEVEL OF DATA COLLECTION

**AT THE
INDIVIDUAL
LEVEL**



or

**AT THE
PARCEL
LEVEL**



2. DATA COLLECTION STRATEGIES (8/10)

AT THE INDIVIDUAL LEVEL

This approach is recommended if:

the survey can collect the **bare minimum** set of questions on the ownership of/or tenure rights over land

or

the inclusion of a roster of parcels goes beyond the scope of the survey

In such case, individual level questions should be asked through an individual questionnaire/module administered to a randomly selected adult household member or all household members

2. DATA COLLECTION STRATEGIES (9/10)

AT THE PARCEL LEVEL

There are **two reasons** why countries may opt to collect information at the parcel rather than the individual level:

The country implements a **nationally representative survey that already collects a roster of parcels** (e.g. the LSMS-ISA surveys) to which the questions on ownership or tenure rights can be appended

The country wants to go **beyond the data strictly needed for the computation of the indicator** and collect a broader set of information in order to carry out a comprehensive analysis of women's and men's ownership, rights and control of land. Collecting such information, including on the characteristics of land, should be done at the parcel level

2. DATA COLLECTION STRATEGIES (10/10)

Customization

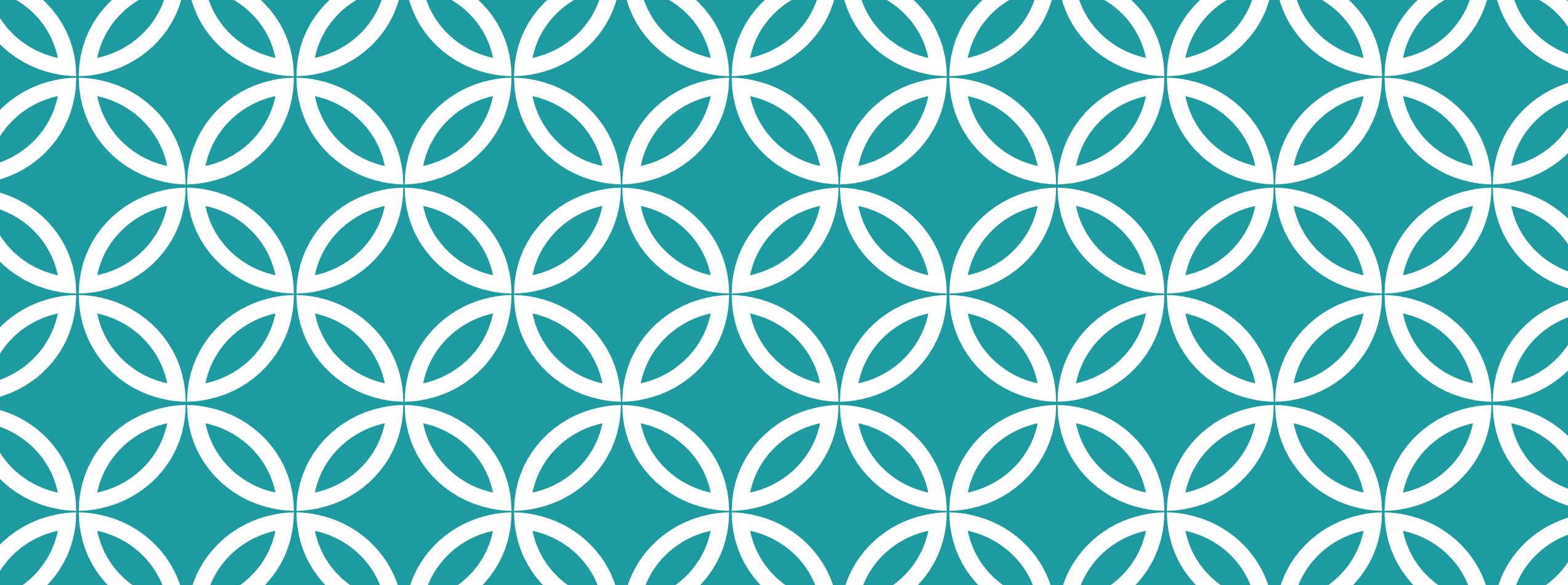
Is one of the most delicate aspects to take into account when dealing with global level indicators. There are **two key principles** that must be balanced:

Ensure **comparability** of results across countries

To achieve comparability it is fundamental to strictly comply with the indicator's protocol – ie., cover all the required data items and collect data through the appropriate means and from the correct respondents.

Adapt to the characteristics and needs of the **specific context**

- In many cases, a literal **translation** is not the best one. Particular care should be put in translating the concepts of tenure rights, right to sell and bequeath.
- The list of legally recognized **titles and certificates** that protect use rights over land is highly country specific. Often certificates have a specific name in each country, therefore it is important to map the proposed list to the documents used in the country. In some cases a document is specific to the country, therefore it has to be added to the list.



3. THE JOINT MODULE FOR COLLECTING DATA FOR INDICATORS 1.4.2 AND 5.A.1



3. THE JOINT MODULE (1/25)

Due to various survey designs in which this module could be integrated,
5 versions of the module have been designed.

The optimal module selection is based on the following:

| **Respondent selection:** self-respondent (recommended)/ proxy respondent

| **Level of data collection:** parcel level / individual level

| **Parcel roster presence:** a roster of parcel is already present in the survey / or not

3. THE JOINT MODULE (2/25)

Based on those, countries can choose from the following 5 versions:

VERSION 1

Parcel level data, self respondent approach, no parcel level roster elsewhere, assumes separate household member roster with sex.

VERSION 2

Parcel level data, self respondent approach, assumes parcel roster elsewhere which can be fed forward to either (a) the interview of one randomly selected individual or (b) the interviews of all adult household members, assumes separate household member roster sex.

VERSION 3

Individual level data, self respondent approach, not reported at parcel level.

VERSION 4

Parcel level data, proxy respondent acceptable, no parcel level roster elsewhere, assumes separate household member roster with sex.

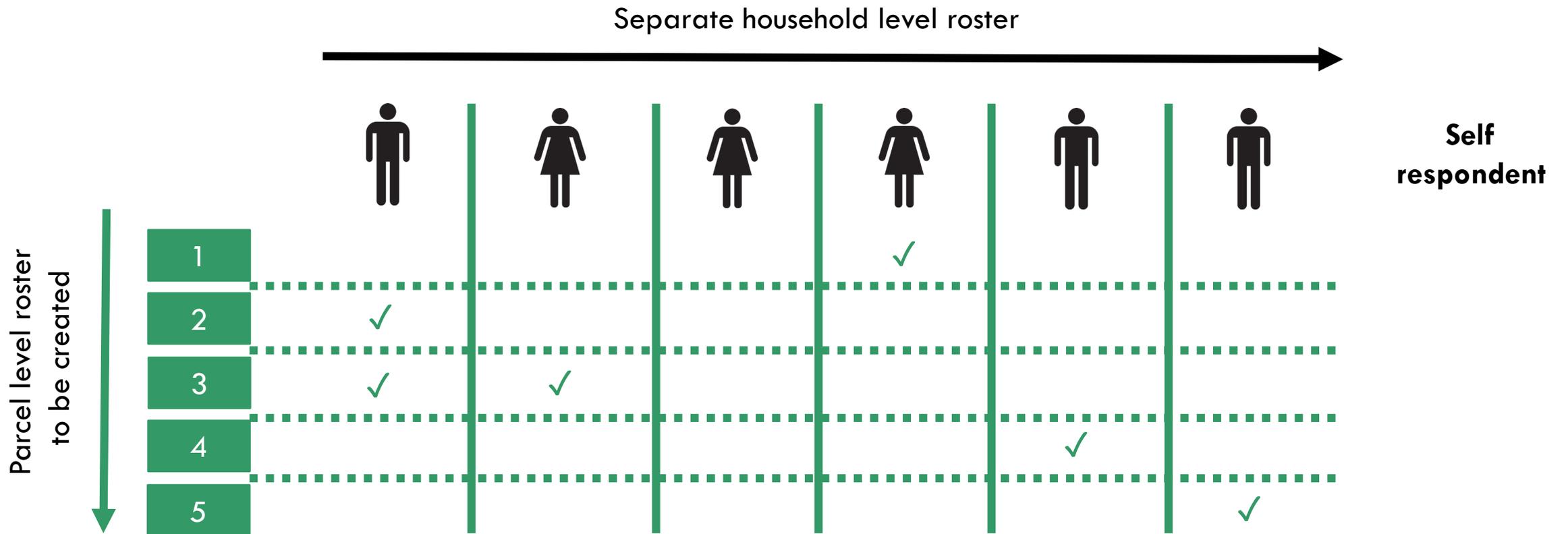
VERSION 5

Individual level data, proxy respondent approach, not reported at parcel level.

3. THE JOINT MODULE (3/25)

VERSION 1

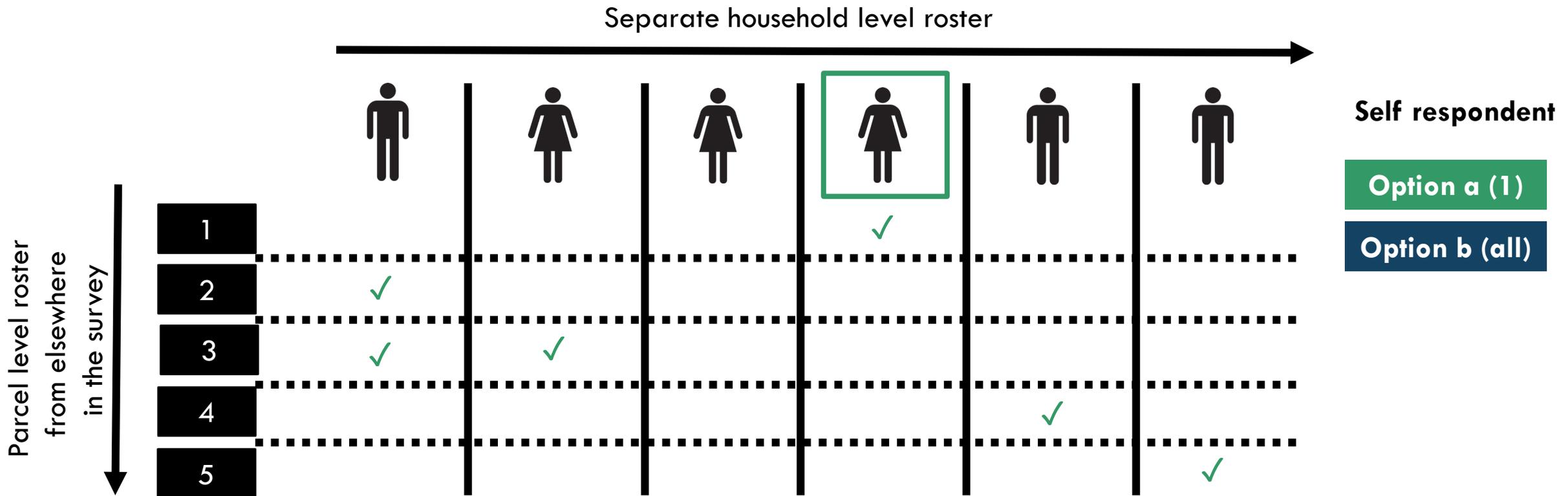
Parcel level data, self respondent approach, no parcel level roster elsewhere, assumes separate household member roster with sex.



3. THE JOINT MODULE (4/25)

VERSION 2

Parcel level data, **self respondent** approach, assumes **parcel roster elsewhere** which can be fed forward to either (a) the interview of one randomly selected individual or (b) the interviews of all adult household members, assumes **separate household member roster** with sex.

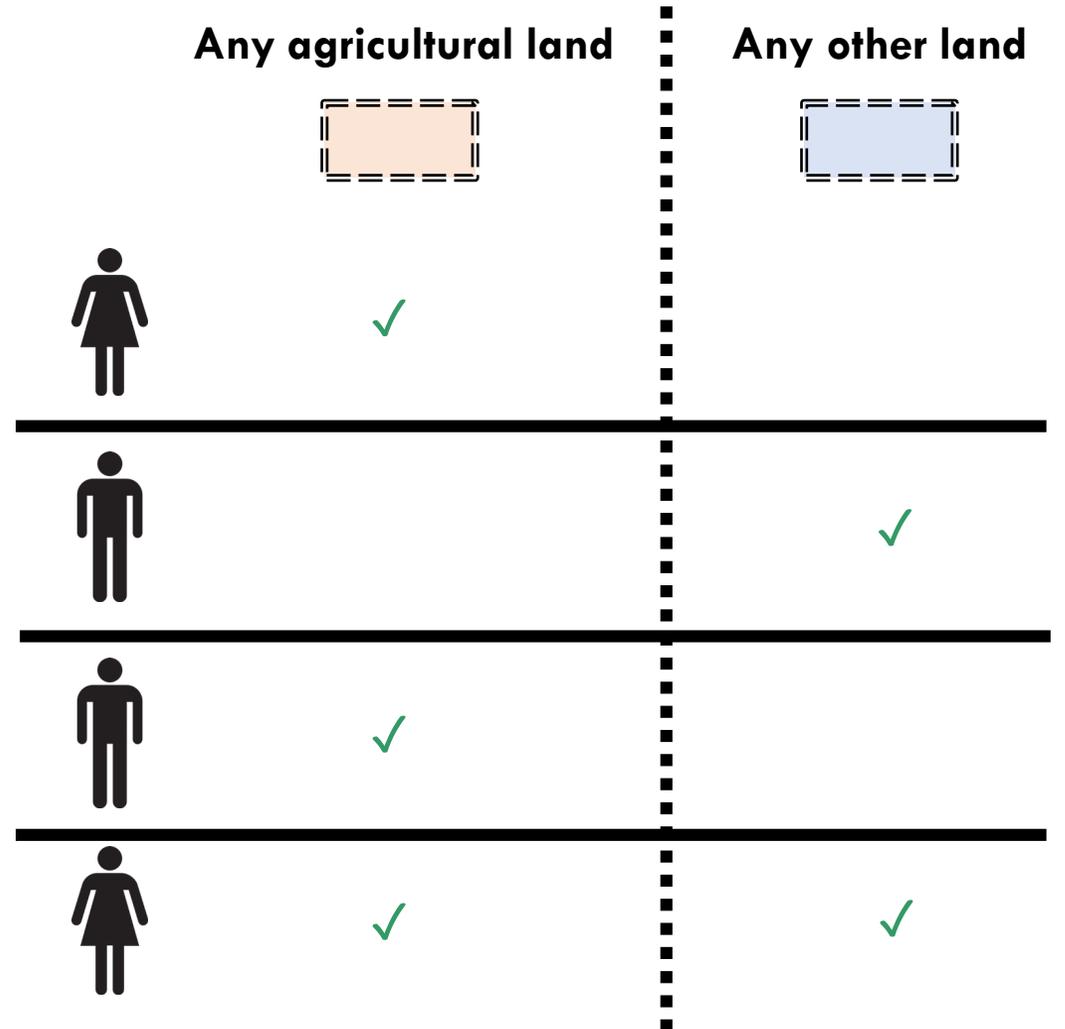


3. THE JOINT MODULE (5/25)

VERSION 3

Individual level data,
self respondent approach,
not reported at parcel level.

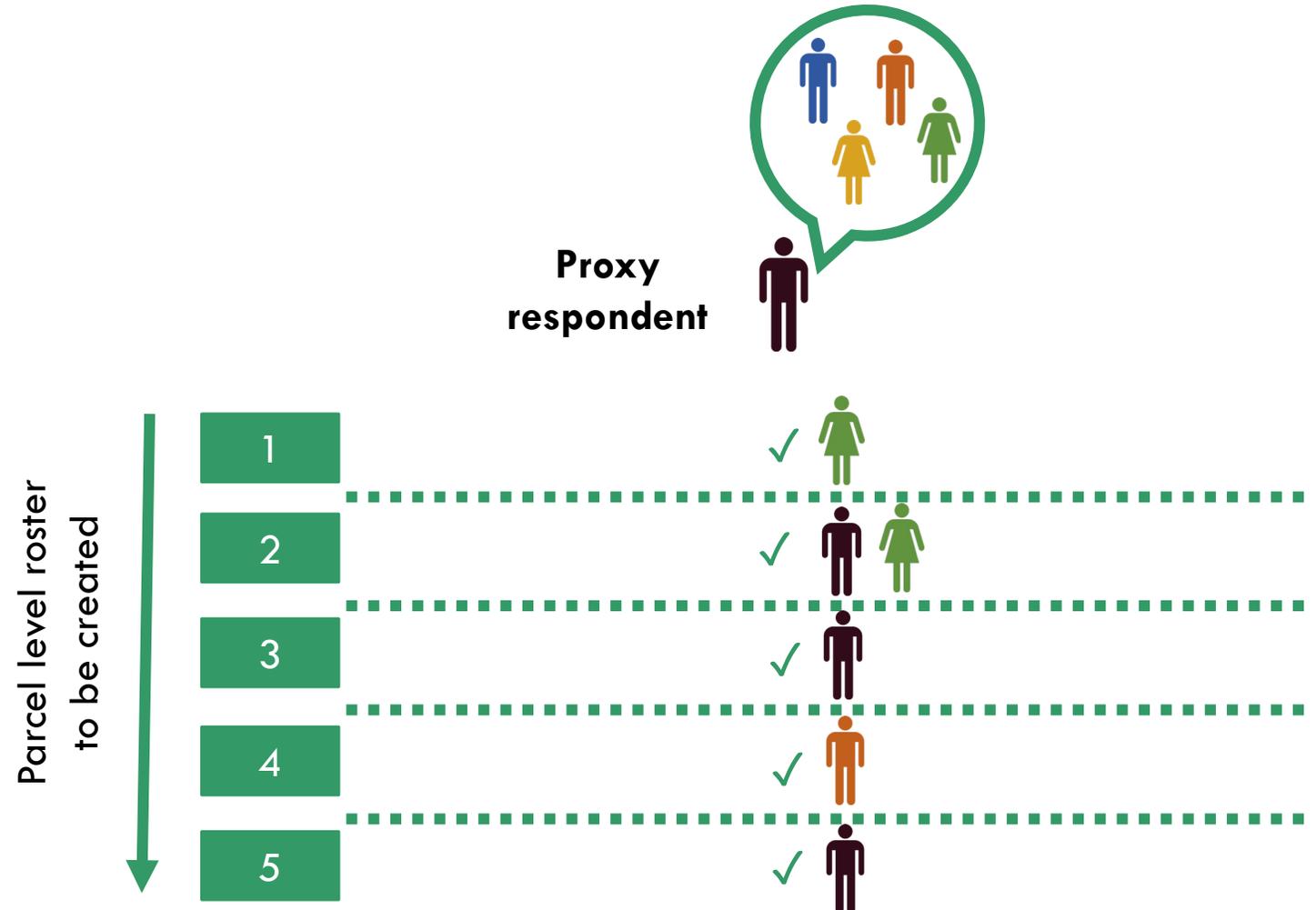
Self
respondent



3. THE JOINT MODULE (6/25)

VERSION 4

Parcel level data,
proxy respondent acceptable, no parcel
level roster elsewhere,
assumes separate household member
roster with sex.



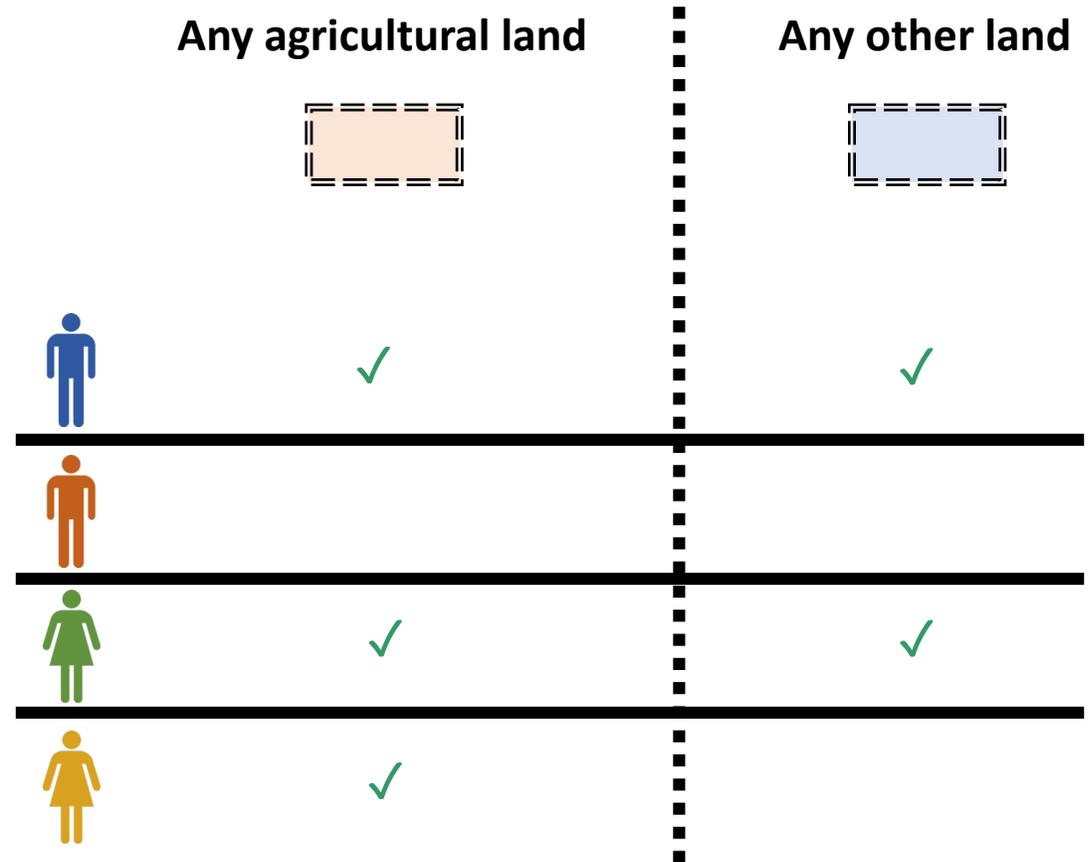
3. THE JOINT MODULE (7/25)

VERSION 5

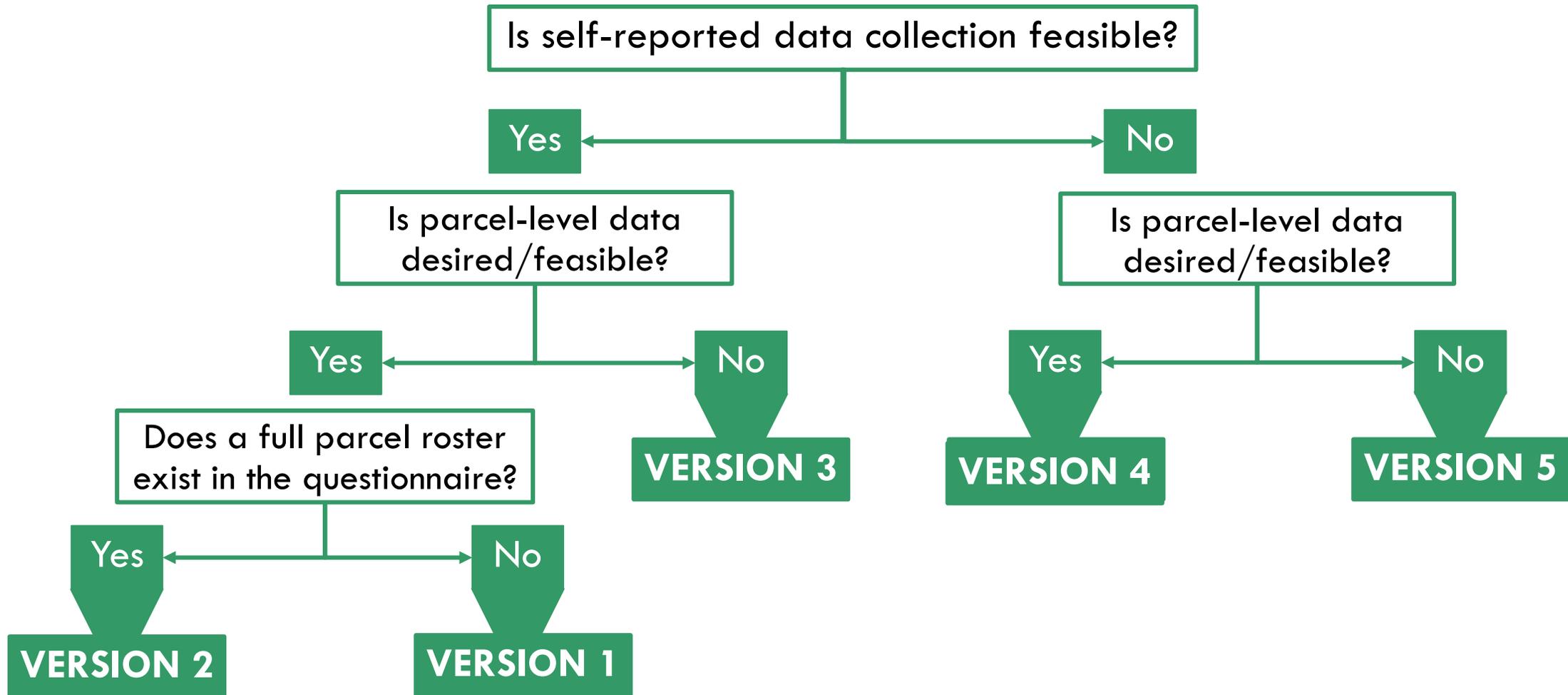
Individual level data,
proxy respondent approach,
not reported at parcel level.



Proxy
respondent



3. THE JOINT MODULE (8/25)



3. THE JOINT MODULE (9/25)

TWO EXAMPLES OF THE COMMON LAND TENURE MODULE FOR 5.A.1 AND 1.4.2

VERSION 1

- | **Parcel** level data,
- | **Self respondent** approach,
- | **No parcel level roster** elsewhere,
- | Assumes **separate household member roster** with sex.

Q0. Do you own or hold use rights to any parcel of land, either alone or jointly with someone else, irrespective of whether the parcel is used by your or another household, and irrespective of the use of the parcel (including dwelling plot, agricultural, pastoral, forest and business/commercial plots)?

YES...1
NO...2 >> END OF QUESTIONS

ENUMERATOR: AFTER CREATING THE ROSTER OF PARCELS, GO THROUGH THE ENTIRE MODULE ONE PARCEL AT A TIME.

COLOUR CODES	SDG 1.4.2	Both 1.4.2 & 5.a.1	Analytical purposes only
	SDG 5.a.1		

PARCEL ID	1, PARCEL NAME Please tell me about each parcel for which you currently own or hold use rights for, either alone or with someone else. Please describe or give me the name of each parcel, starting with the parcel you reside on, if applicable.	2, What is the area of this [PARCEL]?		3, How was this [PARCEL] acquired? GRANTED BY CUSTOMARY/ COMMUNITY AUTHORITIES....1 ALLOCATED BY GOVERNMENT.....2 ALLOCATED BY FAMILY MEMBER...3 INHERITED BY THE DEATH OF A FAMILY MEMBER..4 PURCHASED.....5 RENTED IN, SHORT-TERM (< 3 YEARS)6 >> 5 RENTED IN, LONG-TERM.....7 SHARECROPPED IN ...8 >> 5 BORROWED FOR FREE.....9 BRIDE PRICE.....10 GIFT FROM NON-HOUSEHOLD MEMBER.....11 MOVED IN WITHOUT PERMISSION....12 >> NEXT PARCEL OTHER (SPECIFY)..13	4, Under which tenure system is this [PARCEL]?	5, What is the primary current use of this [PARCEL]?
		CODES FOR UNIT:				
		ACRE.....1 HECTARE.....2 SQUARE METERS...3 OTHER (SPECIFY)..4				
		a.	b.			
FARMER ESTIMATION		GPS MEASURE				
AREA	UNIT	AREA IN ACRES				
1		_____ . _____		_____ . _____		
2		_____ . _____		_____ . _____		

Q0. Do you own or hold use rights to any parcel of land, either alone or jointly with someone else, irrespective of whether the parcel is used by your or another household, and irrespective of the use of the parcel (including dwelling plot, agricultural, pastoral, forest and business/commercial plots)?

YES...1
NO...2 >> END OF QUESTIONS

1

COLOUR CODES	SDG 1.4.2	Both 1.4.2 & 5.a.1	Analytical purposes only
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		a.	b.				
		FARMER ESTIMATION		GPS MEASURE			
		AREA	UNIT	AREA IN ACRES			

1	Parcel name 1	2	.	1	2	.	4	2
2			.			.		

COLOUR CODES	SDG 1.4.2	Both 1.4.2 & 5.a.1	Analytical purposes only
	SDG 5.a.1		

<p>5, What is the primary current use of this [PARCEL]?</p> <p>RESIDENTIAL...1 AGRICULTURAL...2 PASTORAL...3 FOREST...4 BUSINESS/ COMMERCIAL...5 DON'T KNOW...6 OTHER (SPECIFY)...7</p>	<p>6, Is there a document for this [PARCEL] issued by the Land Registry/Cadastral Agency, such as a title deed, certificate of ownership, certificate of hereditary acquisition, lease or rental contract?</p> <p>YES...1 NO...2 >> 8</p>	<p>7, What type of documents are there for this [PARCEL], and is your name listed on any of the documents as owner or right use holder?</p> <p>LIST UP TO 3, SHOW PHOTO AID</p> <table border="0"> <tr> <td colspan="3"><u>CODES FOR DOCUMENT TYPE:</u></td> <td colspan="3"><u>CODES FOR NAME LISTED?</u></td> </tr> <tr> <td>TITLE DEED.....1</td> <td>CERTIFICATE OF CUSTOMARY OWNERSHIP...2</td> <td>CERTIFICATE OF OCCUPANCY..3</td> <td>CERTIFICATE OF HEREDITARY ACQUISITION LISTED IN REGISTRY.....4</td> <td>SURVEY PLAN.....5</td> <td>RENTAL CONTRACT, REGISTERED.....6</td> <td>LEASE, REGISTERED.....7</td> <td>OTHER (SPECIFY).....8</td> </tr> </table> <table border="1"> <thead> <tr> <th colspan="2">DOCUMENT #1</th> <th colspan="2">DOCUMENT #2</th> <th colspan="2">DOCUMENT #3</th> </tr> <tr> <th>DOC. TYPE</th> <th>NAME LISTED?</th> <th>DOC. TYPE</th> <th>NAME LISTED?</th> <th>DOC. TYPE</th> <th>NAME LISTED?</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>						<u>CODES FOR DOCUMENT TYPE:</u>			<u>CODES FOR NAME LISTED?</u>			TITLE DEED.....1	CERTIFICATE OF CUSTOMARY OWNERSHIP...2	CERTIFICATE OF OCCUPANCY..3	CERTIFICATE OF HEREDITARY ACQUISITION LISTED IN REGISTRY.....4	SURVEY PLAN.....5	RENTAL CONTRACT, REGISTERED.....6	LEASE, REGISTERED.....7	OTHER (SPECIFY).....8	DOCUMENT #1		DOCUMENT #2		DOCUMENT #3		DOC. TYPE	NAME LISTED?	DOC. TYPE	NAME LISTED?	DOC. TYPE	NAME LISTED?							<p>8, Do you have the right to sell this [PARCEL], either alone or jointly with someone else?</p> <p>YES.....1 NO.....2 DONT'KNOW..98 REFUSAL....99</p>	<p>9, Do you have the right to bequeath this [PARCEL], either alone or jointly with someone else?</p> <p>YES.....1 NO.....2 DONT'KNOW..98 REFUSAL....99</p>	<p>10, On a scale from 1 to 5, where 1 is not at all likely and 5 is extremely likely, how likely are you to involuntarily lose ownership or use rights to this [PARCEL] in the next 5 years?</p> <p>NOT AT ALL LIKELY..1 SLIGHTLY LIKELY...2 MODERATELY LIKELY..3 VERY LIKELY.....4 EXTREMELY LIKELY...5</p>
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1	1	4	1	1	1	2
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	SDG 5.a.1		

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		a.		b.				
		FARMER ESTIMATION		GPS MEASURE				
		AREA	UNIT	AREA IN ACRES				
1	Parcel name 1	2	.	1	2	.	4	2
2	Parcel name 2	3	.	1	3	.	5	2

COLOUR CODES	SDG 1.4.2	Both 1.4.2 & 5.a.1	Analytical purposes only
	SDG 5.a.1		

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1	1	4	1	1	1	1	1	2
2	1	1	1	1	1	1	1	3

3. THE JOINT MODULE (17/25)

TWO EXAMPLES OF THE COMMON LAND TENURE MODULE FOR 5.A.1 AND 1.4.2

VERSION 3

- | **Individual** level data
- | **Self respondent** approach
- | **Not reported at parcel level**

Q0. Do you or does any member of your household own or hold use rights for any parcel of land, either alone or jointly with someone else, irrespective of whether the parcel is used by your or another household, and irrespective of the use of the parcel (including dwelling plot, agricultural, pastoral, forest and business/commercial plots)?

YES...1 >> ASK FOLLOWING QUESTIONS TO EACH ADULT HOUSEHOLD MEMBER (OR RANDOMLY SELECTED MEMBER)
 NO....2 >> END OF QUESTIONS

1

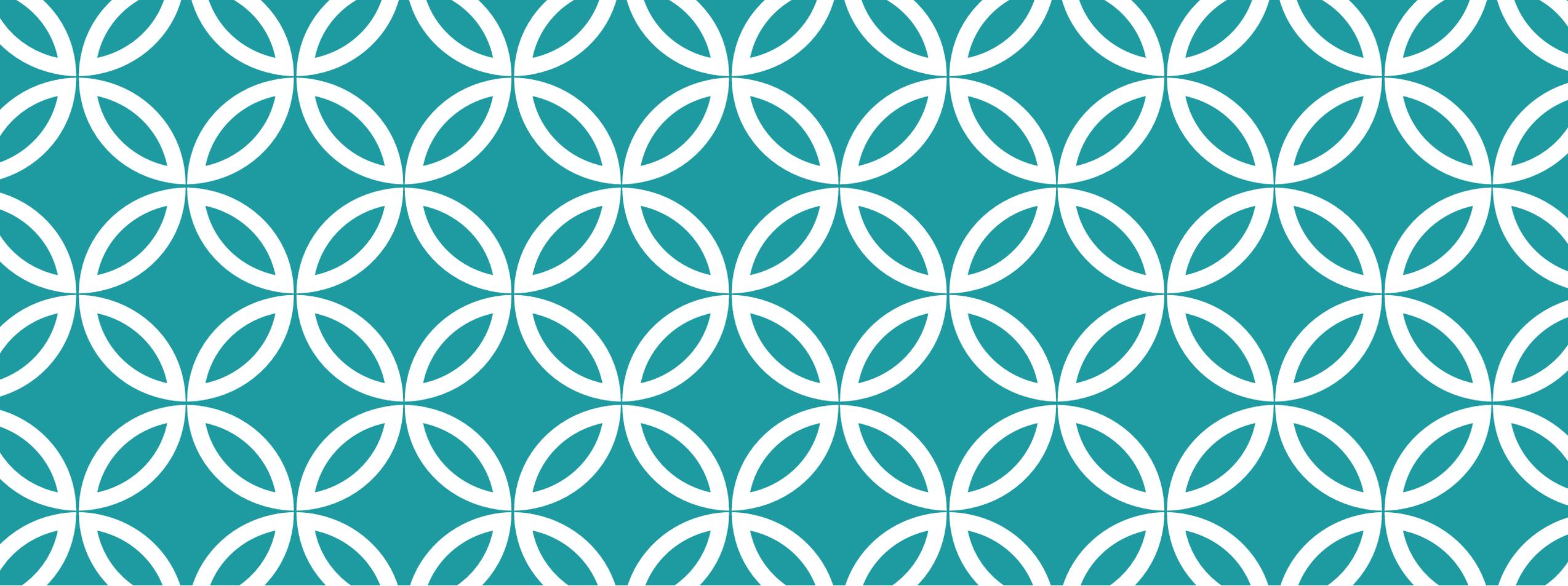
COLOUR CODES	SDG 1.4.2	Both 1.4.2 & 5.a.1	Analytical purposes only
	SDG 5.a.1		

Agricultural Land													
1, RESPONDENT ID	2, Do you currently own or hold use rights for any agricultural land (including pastoral land) , either alone or jointly with someone else? YES...1 NO....2 >> Q8	3, Is there a document for any agricultural land you own or hold use rights to that is issued by the Land Registry/Cadastral Agency, such as a title deed, certificate of ownership, certificate of hereditary acquisition, lease or rental contract? YES...1 NO....2 >> 5	4, What type of documents are there for the agricultural land you own or hold use rights to, and is your name listed on any of the documents as owner or right use holder? LIST UP TO 3, SHOW PHOTO AID <u>CODES FOR DOCUMENT TYPE:</u> TITLE DEED.....1 CERTIFICATE OF CUSTOMARY OWNERSHIP....2 CERTIFICATE OF OCCUPANCY...3 CERTIFICATE OF HEREDITARY ACQUISITION LISTED IN REGISTRY.....4 SURVEY PLAN.....5 RENTAL CONTRACT, REGISTERED.....6 LEASE, REGISTERED.....7 OTHER (SPECIFY).....8						5, Do you have the right to sell any of the agricultural land you own or hold use rights to, either alone or jointly with someone else? YES.....1 NO.....2 DONT'KNOW..98 REFUSAL...99	6, Do you have the right to bequeath any of the agricultural land you own or hold use rights to, either alone or jointly with someone else? YES.....1 NO.....2 DONT'KNOW..98 REFUSAL...99	7, On a scale from 1 to 5, where 1 is not at all likely and 5 is extremely likely, how likely are you to involuntarily lose ownership or use rights to any of the agricultural land you own or hold use rights to in the next 5 years? NOT AT ALL LIKELY SLIGHTLY LIKELY.. MODERATELY LIKELY VERY LIKELY..... EXTREMELY LIKELY..		
			<u>CODES FOR NAME LISTED?</u> YES.....1 NO.....2 DONT'KNOW..98 REFUSAL...99		DOCUMENT #1		DOCUMENT #2					DOCUMENT #3	
			DOC. TYPE	NAME LISTED?	DOC. TYPE	NAME LISTED?	DOC. TYPE	NAME LISTED?					
1	1	1	1	1					1	1			

COLOUR CODES	SDG 1.4.2	Both 1.4.2 & 5.a.1	Analytical purposes only
	SDG 5.a.1		

Non-Agricultural Land												
<p>7, On a scale from 1 to 5, where 1 is not at all likely and 5 is extremely likely, how likely are you to involuntarily lose ownership or use rights to any of the agricultural land you own or hold use rights to in the next 5 years?</p> <p>NOT AT ALL LIKELY..1 SLIGHTLY LIKELY...2 MODERATELY LIKELY..3 VERY LIKELY.....4 EXTREMELY LIKELY..5</p>	<p>8, Do you currently own or hold use rights for any non-agricultural land, such as land used for residential or commercial purposes, either alone or jointly with someone else?</p> <p>YES...1 NO....2 >> NEXT INDIVIDUAL</p>	<p>9, Is there a document for any non-agricultural land you own or hold use rights to that is issued by the Land Registry/Cadastral Agency, such as a title deed, certificate of ownership, certificate of hereditary acquisition, lease or rental contract?</p> <p>YES...1 NO....2 >> 11</p>	<p>10, What type of documents are there for the non-agricultural land you own or hold use rights to, and is your name listed on any of the documents as owner or right use holder?</p> <p>LIST UP TO 3, SHOW PHOTO AID</p> <p><u>CODES FOR DOCUMENT TYPE:</u></p> <p>TITLE DEED.....1 CERTIFICATE OF CUSTOMARY OWNERSHIP....2 CERTIFICATE OF OCCUPANCY...3 CERTIFICATE OF HEREDITARY ACQUISITION LISTED IN REGISTRY.....4 SURVEY PLAN.....5 RENTAL CONTRACT, REGISTERED.....6 LEASE, REGISTERED.....7 OTHER (SPECIFY).....8</p> <p><u>CODES FOR NAME LISTED?</u></p> <p>YES.....1 NO.....2 DONT' KNOW..98 REFUSAL....99</p>						<p>11, Do you have the right to sell any of the non-agricultural land you own or hold use rights to, either alone or jointly with someone else?</p> <p>YES.....1 NO.....2 DONT' KNOW..98 REFUSAL...99</p>	<p>12, Do you have the right to bequeath any of the non-agricultural land you own or hold use rights to, either alone or jointly with someone else?</p> <p>YES.....1 NO.....2 DONT' KNOW..98 REFUSAL...99</p>	<p>13, On a scale from 1 to 5, where 1 is not at all likely and 5 is extremely likely, how likely are you to involuntarily lose ownership or use rights to any of the non-agricultural land you own or hold use rights to in the next 5 years?</p> <p>NOT AT ALL LIKELY..1 SLIGHTLY LIKELY...2 MODERATELY LIKELY..3 VERY LIKELY.....4 EXTREMELY LIKELY..5</p>	
			DOCUMENT #1		DOCUMENT #2		DOCUMENT #3					
			DOC. TYPE	NAME LISTED?	DOC. TYPE	NAME LISTED?	DOC. TYPE	NAME LISTED?				

	2		1		2						1		1		3
			1		1		2		1				1		3



4. CONCLUSIONS



4. CONCLUSIONS

NEXT STEPS FOR THE CUSTODIANS OF SDG LAND INDICATORS UN-HABITAT, WORLD BANK AND FAO

| **Joint workshops** and events with the **1.4.2** custodians are foreseen

| Joint publication on *Measuring Individuals' Rights to Land: An Integrated Approach to Data Collection for SDG Indicators 1.4.2 and 5.a.1* is being finalized

4. CONCLUSIONS

NEXT STEPS FOR COUNTRIES



Identify the most **appropriate data collection vehicle** for collecting the required data for 1.4.2 and 5.a.1, and plan for in advance for the inclusion of the modules/questions

Request **assistance** to FAO/UN Habitat/World Bank **if needed**

Adapt the standard protocol to national specificities

To be used at the:

National level

and

Global level

THANK YOU!