

# Convention on the Transboundary Effects of Industrial Accidents

Ninth meeting of the Conference of the Parties  
Ljubljana, 28–30 November 2016



REPUBLIC OF SLOVENIA  
MINISTRY OF THE ENVIRONMENT  
AND SPATIAL PLANNING



**UNECE**



# Item 10: United Nations Economic Commission for Europe Industrial Accident Notification System

**Claudia Kamke, UNECE secretariat**  
**Industrial Accidents Convention**

9<sup>th</sup> Conference of the Parties to the UNECE Convention  
on the Transboundary Effects of Industrial Accidents

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# Connectivity test of the UNECE Industrial Accident Notification System

- Held on 15 January 2016
- Participation of 44 countries and international organizations
  - 29 responses acknowledged receipt of the exercise report, including 25 Parties, 1 committed country (Georgia) and 1 international organization (Joint UNEP/OCHA Environment Unit)
  - 25 responses were within the recommended time limit (1 hour)
- Response rate corresponded to the one from previous exercises
- Response times were significantly better than for previous exercises



# 5<sup>th</sup> consultation of the Points of Contacts (PoC) (online)



- Participants from 10 Parties, EU Emergency Response and Coordination Centre (ERCC) and International Commission for the Protection of the Rhine
- Discussion on results from connectivity test and experience from the Republic of Moldova in testing IAN System during table-top and field exercises in 2015

## Conclusions

- PoC should participate in connectivity tests and keep contact details up to date
- Need for additional training of PoC to ensure full operability of IAN System
- IAN System training and information materials should be disseminated
- Possible improvements to IAN System should be considered
- Need for enhanced coordination and networking nationally and with neighbouring countries, countries sharing river basins and international organizations
- Need for continuous exercises on bilateral and multilateral levels and countries should jointly test IAN System during such, including within EU-funded projects
- **Future consultation of PoC could be carried out jointly with a consultation of points of contact nominated under ERCC**



# Thank you for your attention



## Item 10. IAN System

- Draft decision

- Take note of the outcome of the connectivity test of the UNECE Industrial Accident Notification System and the outcome of the consultation of the Points of Contact
- Call upon all Parties and invite other States to keep the contact details of their Points of Contact, operating 24 hours a day, 7 days a week, up to date and to carry out and participate in tests



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## Item 11. Prevention of accidental water pollution

### • Draft decision

- Take note of the information provided by the co-Chair of the Joint Ad Hoc Expert Group on Water and Industrial Accidents and the activities carried out by the Joint Expert Group
- Take note of the workplan for the Joint Expert Group for biennium 2017–2018
- Include the Joint Expert Group activities in the Convention's workplan
- *To ensure that the necessary regular budget resources are made available,* invite the Conference of the Parties to request the secretariat, in due course, and subject to later approval by CoP, to publish the expected safety guidelines or good practices for fire-water retention and other guidelines or good practices on addressing industrial accidents, disasters and water pollution, in print and electronic forms and in the three official languages of UNECE



## **Item 11. Prevention of accidental water pollution**

- **Protocol on Civil Liability**

- Sixth meeting, agreed on 3-step approach to help countries with economies in transition to ratify the Protocol
  1. To strive to better understand what national legislation was required to implement the Protocol in light of differences between the Protocol and other civil liability instruments
  2. To carry out case studies based on realistic potential accidents to understand the implications including the benefits of implementing the Protocol and/or other instruments
  3. To identify and recommend actions which would enable the Republic of Moldova and Ukraine to implement the Protocol.

## **Item 11. Prevention of accidental water pollution**

- **Protocol on Civil Liability**

- Seventh meeting

- Noted completion of Step 1 (consultant's study) and agreed to continue with step 2, subject to the availability of funding

- Eighth meeting

- Took note that no funds had been made available and no expressions of interest had been received
    - Requested an update on the situation at its next ordinary meeting.

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# Item 12: Priority areas of the Long-term Strategy for the Convention – Involvement of Parties and other stakeholders

**Claudia Kamke, UNECE secretariat**  
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# Involvement of Parties and other stakeholders

## Participation in events

- Environmental Emergencies Forum by Joint UNEP/OCHA Environment Unit (Oslo, 1–3 June 2015)
- Response exercise “HarbourEx15” (Oslo, 27–29 April 2015)
- Seminar on Lessons Learned from Industrial Accidents of EU Network for Implementation & Enforcement of Environmental Law (IMPEL) (Lille, France, 2–3 June 2015)
- 13<sup>th</sup> & 14<sup>th</sup> International Forum on Industrial Safety (St. Petersburg, Russian Federation, June 2015 and May 2016)
- Global Summit for Chemical Safety and Security (Kielce, Poland, April 2016)

## Working visits

- Meetings with the Inter-State Council on Industrial Safety of the Commonwealth of Independent States by the secretariat

→ Secretariat has observer status

- Meetings with different directorate-generals of the European Commission in January 2016 to raise awareness of the Convention and its linkages with relevant EU policies

# Use of targeted communications

- 2 press releases:
  - Table-top exercise within the Danube Delta Project (Apr 2015)
  - Observer status in CIS Inter-state Council on Industrial Safety of the Commonwealth of Independent (Sep 2016)
- Elyx – Digital Ambassador of the United Nations attended the trilateral field exercise in the Danube Delta (Sep 2015)
- Promotion of the UNECE/UNEP/OCHA online training on industrial accidents
- Op-ed on the UNECE Industrial Accidents Convention (Nov 2016) published in Columbia, Italy, Slovenia, Ukraine...





# Thank you for your attention



# Item 12: Priority areas of the Long-term Strategy for the Convention – Promotional and training materials

**Claudia Kamke, UNECE secretariat**  
**Industrial Accidents Convention**

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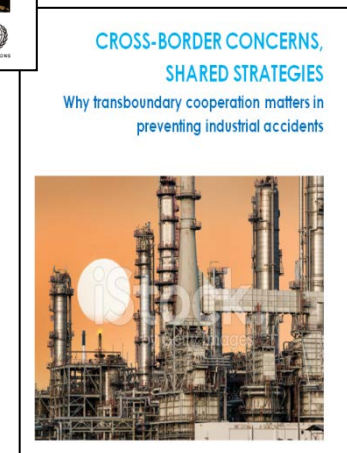
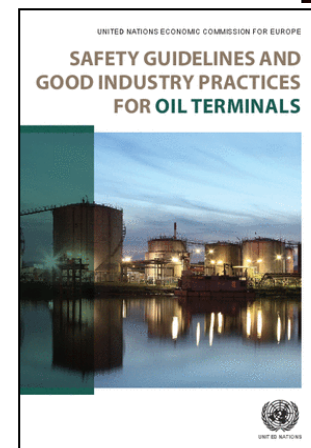
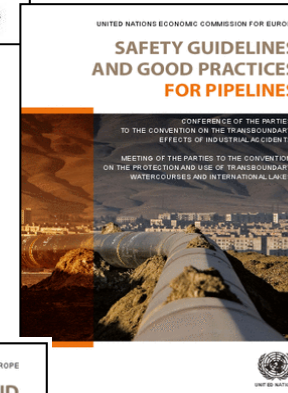
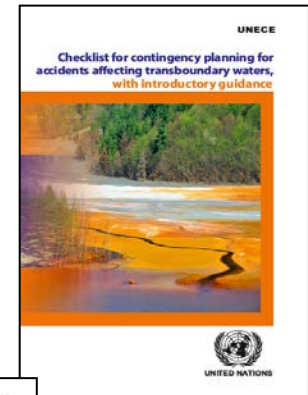
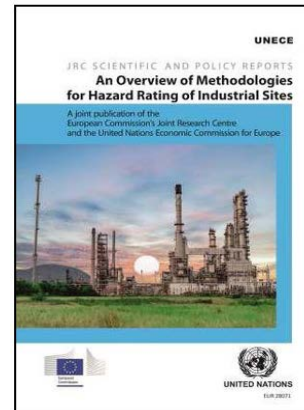


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# Promotional and training materials (1/2)

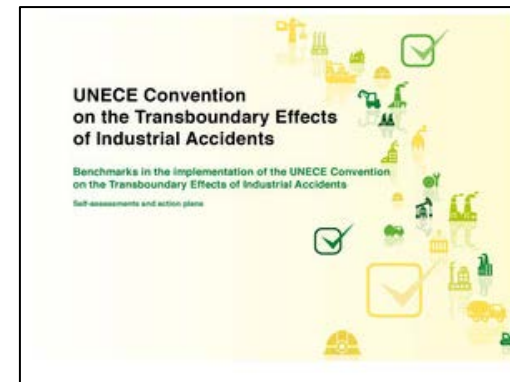
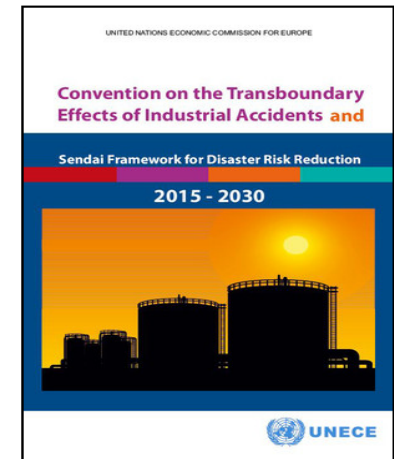
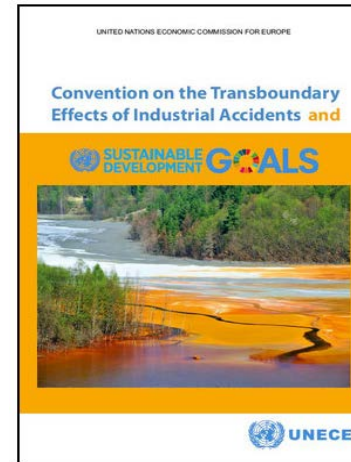
- Publishing of the following:
  - Overview of hazard rating methodologies, jointly with Joint Research Centre
  - JEG checklist for contingency planning for accidents affecting transboundary waters
  - Safety guidelines and good industry practices for oil terminals
  - Safety guidelines and good practices for pipelines
  - Promotional brochure on the Industrial Accidents Convention





# Promotional and training materials (2/2)

- Preparation of post cards on the linkages with the Convention with SDGs and Sendai Framework on Disaster Risk Reduction
- Finalization of the film on the Convention (in Russian)
- Promotion of user-friendly version of the indicators and criteria in the Benchmarks document



# Thank you for your attention



## **Item 12. Priority areas**

- **Strategic partnerships**

- **Inter-agency Coordination Meetings on Industrial Accidents**

- 3<sup>rd</sup> hosted by OECD (Paris, 7 May 2015)
    - 4<sup>th</sup> hosted by the Joint UNEP/OCHA Environment Unit (Geneva, 15 April 2016)
    - Joint side event during 4<sup>th</sup> International Conference on Chemicals Management (Geneva, 28 September–2 October 2015)
    - Joint brochure by the organizations involved on their chemical accident prevention, preparedness and response work



Prepared in the framework of the  
Inter-Agency Coordination Group for  
Industrial and Chemical Accidents

# International efforts for industrial and chemical accidents prevention, preparedness and response

## Item 12. Priority areas

- Strategic partnerships

- Bureau support for strengthened partnerships with industry associations
  - could act as multipliers of information and products
- Bureau recommendation to take part in capacity-building activities organized under programmes of other organizations
  - importance of coordination in beneficiary countries was noted
- In context of Danube Delta project
  - workshop addressing risk of complacency (Chisinau, 27 March 2015)
  - testing of checklist for harmonized contingency planning
- Development of guidance on safety and land-use planning

## Item 12. Priority areas

- Strategic partnerships

- Side event “UNECE tools & good practices for preventing industrial accidents and their transboundary consequences” in framework of Conferences of the Parties to Basel, Rotterdam and Stockholm Conventions (Geneva, 12 May 2015)
- UNISDR
  - *Words into Action Guide on Man-made / Technological Hazards in Disaster Risk Reduction* – an implementation guide for the Sendai Framework
- Inter-Organization Programme for the Sound Management of Chemicals (IOMC)
  - Inter-agency Meeting on Sound Chemicals Management (Vienna, 18 October 2016)



## Item 12. Priority areas

- Strategic partnerships
  - See <http://www.unece.org/environmental-policy/conventions/joint-work-and-informal-networks.html>
  - Informal meetings of representatives of the governing bodies (Geneva, 13 April 2015 and 26 October 2015)
    - Collective effort at enhancing secretariat functions of UNECE multilateral environmental agreements (MEAs) to better address environmental challenges in region, with focus on secretariat resources
    - Review of activities jointly implemented by two or more UNECE MEAs
    - Opening of MEAs to countries beyond the UNECE region – update and exchange of experience
    - Contribution to the “Environment for Europe” Ministerial Conference in Batumi in terms of a mapping of activities against SDGs

## Item 12. Priority areas

- Strategic partnerships

- Informal network of UNECE compliance & implementation bodies

- Meetings (Geneva, 29 June 2015 and 20 June 2016)
    - “A possible compliance mechanism for the Industrial Accidents Convention?”
    - Reactions and follow-up by Parties and stakeholders to findings and recommendations
    - Exploring methods for gathering and processing information for the purposes of reviewing implementation
    - Possibilities for improving the facilitative and consultative functions of UNECE MEA implementation/compliance bodies
    - Possible indicators for evaluating the effectiveness of implementation and compliance mechanisms

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# **Use of financial and in-kind resources in 2015–2016**

- Income in 2015–2016
- Comparison over time
- Expenditure in 2015–2016
- Evolution of trust fund

# Income in 2015–2016

Party	Financial (US\$)	In-kind (US\$)
Albania	793	-
Armenia	-	-
Austria	13 623	-
Azerbaijan	2 000	-
Belarus	-	-
Belgium	5 302	-
Bosnia and Herzegovina	-	-
Bulgaria	3 836	-
Croatia	6 000	-
Cyprus	-	-

# Income in 2015–2016

Party	Financial (US\$)	In-kind (US\$)
Czechia	23 200	5 000
Denmark	-	-
Estonia	-	-
Finland	5 593	-
France	85 896	-
Germany	130 511	295 400
Greece	1 099	-
Hungary	-	-
Italy	-	-
Kazakhstan	-	-

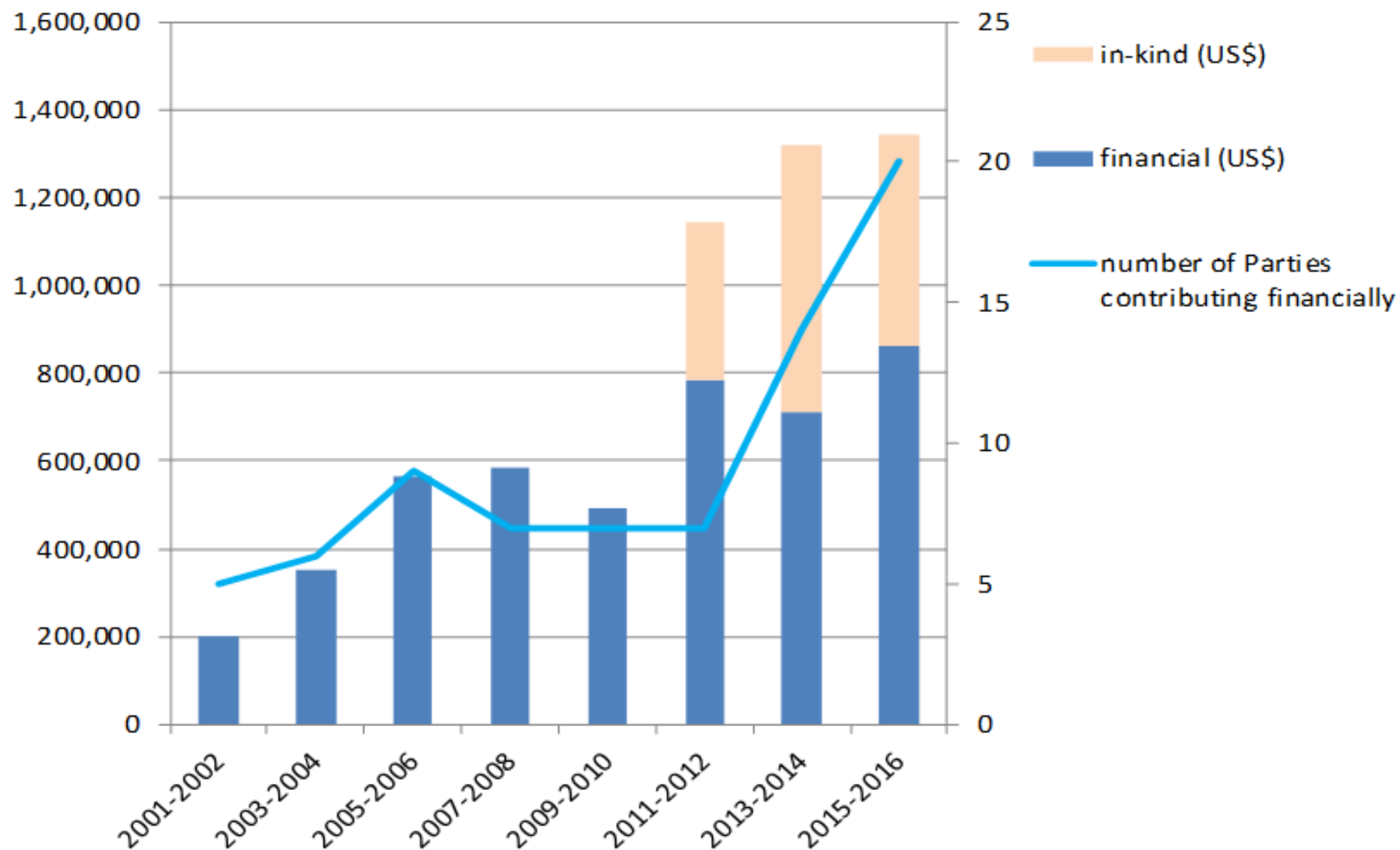


# Income in 2015–2016

Party	Financial (US\$)	In-kind (US\$)
Latvia	-	-
Lithuania	3 988	-
Luxembourg	-	-
Monaco	-	-
Montenegro	-	-
Netherlands	80 237	3 000
Norway	179 322	-
Poland	20 000	2 000
Portugal	-	-
Republic of Moldova	-	12 000

# Income in 2015–2016

Party	Financial (US\$)	In-kind (US\$)
Romania	5 464	-
Russian Federation	*	-
Serbia	2 700	-
Slovakia	-	-
Slovenia	65 517	69 000
Spain	-	-
Sweden	-	22 500
Switzerland	143 972	3 000
The former Yugoslav Republic of Macedonia	-	-
United Kingdom	-	42 700
European Union	78 964	30 000





# Summary comparison of planned and actual expenditure (US\$)

Area of work	Planned budget	Actual and projected expenditures	Estimated value of in-kind contributions	Expenditures 2015	Expenditures 2016
Core activities	600 000	175 435	270 200	60 603	114 832
Assistance activities	1 326 500	156 143	211 400	156 143	—
Staff expenditures	1 276 328	805 654	—	526 035	279 619
<b>Total</b>	<b>3 202 828</b>	<b>1 137 231</b>	<b>481 600</b>	<b>742 781</b>	<b>394 451</b>

# Balance of the Convention trust funds and projections (as at 31 August 2016)

Period	Total contributions	Total expenditures	Balance
Balance 31 December 2014	—	—	899 672
January–December 2015	460 563	742 781	617 455
January–August 2016	300 929	224 755	693 628
Projections, September–end December 2016	103 602	169 695	627 535

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## **Item 13. Use of financial and in-kind resources**

- **Draft decisions**

- Endorse the report on the use of financial and in-kind resources
- Ask the secretariat to prepare a similar report, to the extent possible, for the next meeting
- Welcome the increase in the number of Parties contributing financially
- Encourage an even wider participation by the Parties
- Welcome also the sustained financial contributions by a number of countries to support the work under the Convention through financial contributions, while also recognizing the in-kind contributions provided by Parties
- Particularly welcome the contribution by those countries having contributed financially for the first time during the current biennium and encourage them to maintain their engagement
- Also encourage Parties not yet contributing financially in line with their economic strength, or higher, to consider such contributions, including for ensuring adequate human resources in the secretariat

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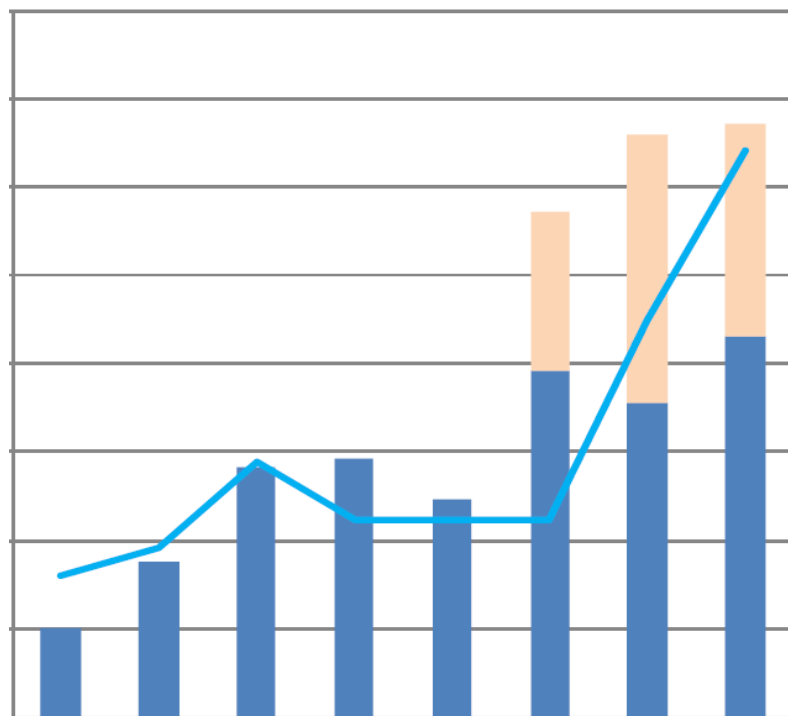
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## Item 14. Financing

- Implementation of the Sustainable Financial Mechanism
  - Letters sent to Parties in 2015 and 2016 calling for financial support for the implementation of the workplan
  - Correspondence with various potential donors, with support of Bureau members
    - to raise awareness of Convention and Assistance Programme
    - to explore possibilities for funding of activities and other forms of cooperation
  - Series of meetings with different directorate-generals of the European Commission in January 2016, by the Bureau Chair and secretariat, to raise awareness of the Convention and its linkages with relevant European Union policies



## Item 14. Financing



### Small group on financing

- Members: Ms. Ashcroft, Mr. Dijkens, Ms. Karba, Mr. Kytömaa, Ms. Stirbu and Ms. Tandberg
- Meeting on 7 July 2015 to discuss attracting new financing: potential donors and development cooperation agencies
- Brainstorming event on 11 and 14 April 2016 to discuss communication and marketing techniques

Notable increase in number of contributors but not total amount being contributed over past 4 years

## Item 14. Financing

### CROSS-BORDER CONCERNS, SHARED STRATEGIES

Why transboundary cooperation matters in  
preventing industrial accidents



- Bureau wish to develop a targeted communications strategy to facilitate ensuring robust and sustainable financing of the Convention's activities
  - Small-group discussions led to drafting of brochure by secretariat (to be printed by end of year)
- Revised long-term strategy until 2030 should further the implementation of the Sustainable Financing Mechanism
- Opening of Convention seen more as an opportunity than a risk, as could apply for additional financial resources (e.g. development cooperation)

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