#### Strategic approach for the Assistance Programme for the EECCA and SEEcountries





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### Background

- The Convention on the Transboundary Effects of Industrial Accidents requirements are complex and require coordinated efforts of national, regional and local authorities and the industry, strong public information and involvement and bi- and multilateral cross-border cooperation with neighbouring countries
- Since the Assistance Programme and the Beneficiary countries have limited resources, a structured and consistent approach is needed to plan and deliver assistance activities and to measure the progress towards the full implementation of the Convention



#### Background

The fourth meeting of the Conference of the Parties (Rome, 15–17 November 2006) requested the Bureau and the Working Group on Implementation:

Task: elaborate the action plan for the implementation phase of the Assistance Programme

Goal: achieve a continous improvments and sustainability of the implementation of the Convention by EECCA and SEE taking into account the complexity of this instrument



### Modus operandi

- Task force established (joint meeting of the Bureau and WGI, Geneva 15-16 February 2006)
- Members: Chris Dijkens (The Netherlands), Nicolai Savov (Bulgaria), Elisabetta Scialanca and Cristiano Piacente (Italy), Tobias Biermann (EC), support: Mr. Bernard Gay (Switzerland) and secretariat
- Working approach
  - Draft prepared and discussed by e-mail
  - Two meetings (Geneve, 2007, The Hague 2008)
  - Submitted for approval to the Buro and WGI (Karlstad, 2008) and final approval of the Bureau (Rome, 2008)



### **Objectives**

Main objective:

To accomplish a continuous improvement and sustainability of the implementation of the Convention on the Transboundary Effects of Industrial Incidents by the EECCA and SEE countries



### **Objectives**

- Specific objectives (1):
- To bring, complementary to the Assistance Programme, continuity in the implementation of the Convention.
- Robust and 'longer-term' approach which allows for flexible and tailored funding of the execution of the activities under the Assistance Programme
- To achieve institutional sustainability and commitment of the EECCA and SEE countries regarding the implementation of the Convention.



### **Objectives**

- Specific objectives (2):
- To provide the EECCA and SEE countries with an instrument to measure the progress of the implementation of the Convention by periodical reviews.
- To provide the Working Group of Implementation, the Bureau and the Conference of the Parties with an instrument, containing measurable indicators, which makes it possible to measure, monitor and steer the progress of the implementation of the Convention.



### **Strategic Approach**

- The approach
- Cyclic mechanism
- Financial planning and fundraising
- Benefits of the strategic approach
- Role of the Bureau and the Working Group on Implementation

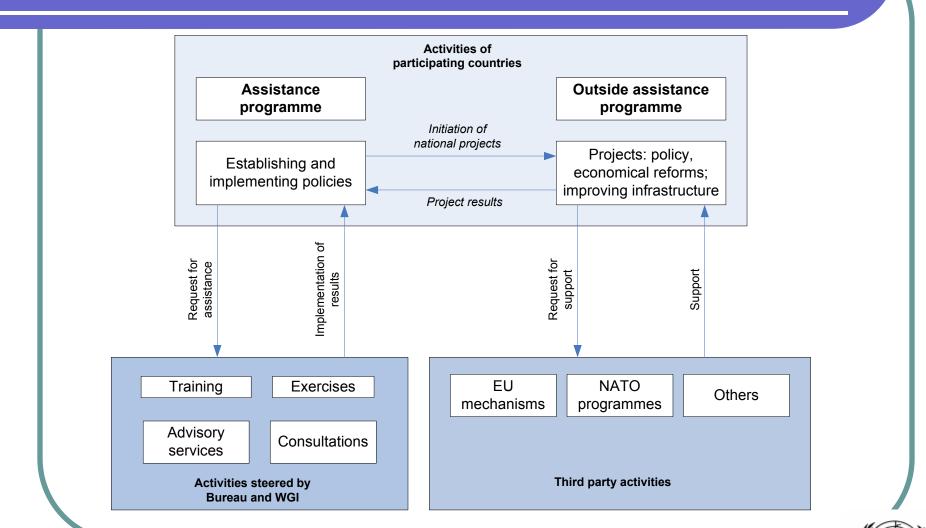


### The approach

Six working areas

- 1. Identification of hazardous activities
- 2. Notification of hazardous activities
- 3. Prevention
- 4. Preparedness
- 5. Response and mutual assistance
- 6. Information to and public participation
- The six areas are linked to three cross-cutting areas:
  - Legislation
  - Institution
    - Implementation practise



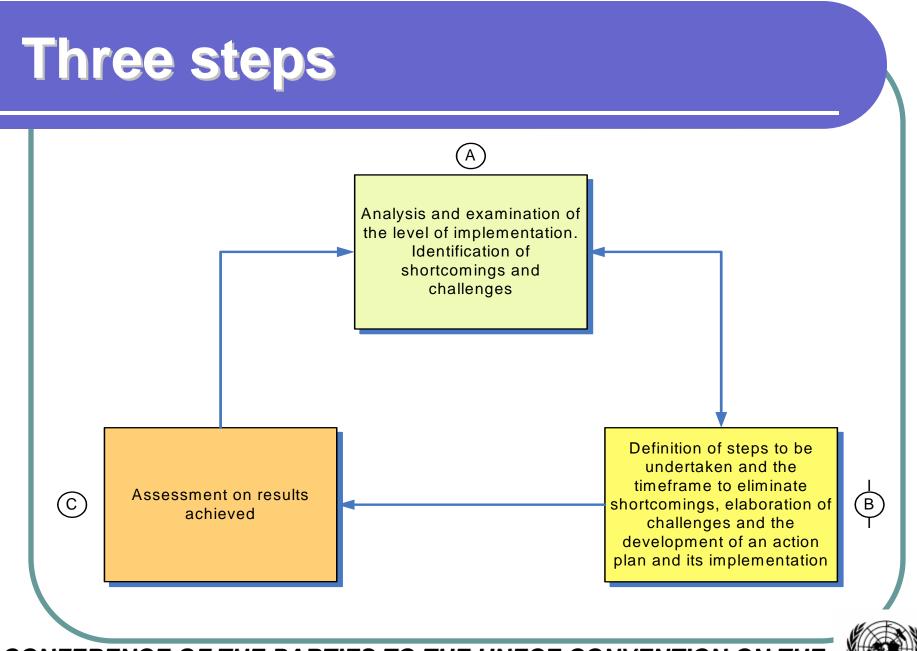




### **Cyclic mechanism**

- Mechanism which allows
  - to continuously analyse, monitor and evaluate the level of implementation per working area;
  - to identify shortcomings and challenges under each of the areas;
  - to define and take actions one by one in order to achieve the desired level of implementation of the Convention







### Step 1: analysis and examination of the level of implementation

<u>Goal</u>: analysis and examination of the progress of implementation of the Convention

#### Actions:

- a continuous analysis of the level of implementation under each working area done by the Competent Authorities
- a continuous review of the format with the identified shortcomings or challenges



### Step 2: development of an action plan and the implementation

- <u>Goal</u>: the EECCA and SEE countries define the way forward based on identified shortcomings and challenges (action plan)
- Actions:
  - definition of actions to be undertaken per authority within a specified timeframe;
  - definition of external assistance to implement a particular action;
  - communication to the secretariat of defined needs for assistance;
  - definition of project proposals for introducing/supporting the policy;
  - indication on resources needed and possible identification of international funds opportunity;
  - implementation of the actions, if required with external assistance;
  - Re-executing Step 1



### Step 3: assessment on results achieved

- <u>Goal</u>: measuring the progress of the implementation
- Actions:
  - assessment of steps taken and results achieved
  - identification of the targets not completed
  - continuous completion of the action plan



### Measurement of the progress of the implementation

- set of reference indicators and criteria, related to the specified working areas:
  - used by each country for self assessment
  - used by the WGI when evaluating the national implementation reports submitted by the Parties



## Financial planning and fundraising

- The Strategic approach allows for better and transparent planning of the financial resources available and needed
- In-kind and financial resources to EECCA and SEE countries
- Fundraising for the Assistance Programme :
  - In-kind or financial resources from donor countries
  - European Programmes for financing
  - Other mechanisms for financing
  - In-kind or financial resources from private sector



# Benefits of the strategic approach

Participating countries

- Continuous improvement and sustainability of the Convention's implementation;
- Strengthened cooperation and coordination between authorities;
- document and measure the progress made by periodical reviews;
- better planning of future actions, including the human and financial aspects of implementation;
- Straightforward reporting on the Convention's implementation.

solid foundation to express a strong and durable commitment and better possibilities to secure resources



## Benefits of the strategic approach

Bodies of the Convention

- Measure, monitor and stimulate the progress of participating countries in enhancing the implementation of the Convention;
- Plan assistance activities in the short and long term, including planning for the financial and inkind resources needed for providing needstailored assistance.



### **Role of the Bureau and WGI**

- Review national action plans
- Plan and carry out assistance activities with the secretariat's support
- Evaluate the results of the assistance activities
- Raise funds for the assistance activities with the secretariat's support
- Provide help to formulate concrete and convincing projects for assistance
- Report to the Conference of the Parties on the implementation of the Strategic approach
- Assess the success of the Strategic approach with the secretariat's support



#### Way forward

Adoption of the Strategic Approach as the tool to accomplish a continuous improvement and sustainability of the implementation of the Convention on the Transboundary Effects of Industrial Accidents by the EECCA and SEE countries

