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SEA of the Concept for development of fuel and energy complex of the Republic of Kazakhstan until 2030 Public consultation workshop on the scope of SEA

6 March 2018
Astana, Kazakhstan



Workshop Report

March 2018

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1. Background information

1.1. SEA in Kazakhstan

Strategic environmental assessment (SEA) is a well-established, practical and efficient planning and environmental governance tool/system set out in the UNECE Protocol on SEA to the Convention on Environmental Impact Assessment (EIA) in a Transboundary Context. It is widely recognized as a tool to ensure proper consideration of relevant environmental and health effects in the policies, plans and programmes, prepared by the governments.

Many developing countries and countries with economies in transition are attempting to introduce and/or strengthen their SEA systems to enhance openness and transparency of the decision-making processes, and to avoid or minimize potential costly mistakes that can result from improper strategic planning. SEA, in particular, enables identification of sustainable and cost-effective development alternatives for green economic growths, and can facilitate domestic and foreign investments in new areas. It also helps the countries to attain the Sustainable Development Goals and is seen as a step toward more proactive and efficient application of the EIA at the project level.

The Republic of Kazakhstan, as a Party to the UNECE Convention on Environmental Impact Assessment in a Transboundary Context (Espoo Convention) since 2001, targets to introduce internationally sound institutional and legislative frameworks on EIA and SEA. Efficient implementation of the Espoo Convention and its Protocol on SEA in the country is seen as a valuable contribution to the on-going "green" economic reforms.

Under the on-going "green" economy reforms in Kazakhstan and, specifically, recently held international specialized exhibition "Expo-2017: Future energy" in Astana, the SEA concept in the country is currently considered as an instrument to identify the most sustainable and cost-effective strategic development alternatives for green economic growth on the way towards new foreign investments and improved environmental situation in the country and the human health. It is considered as a tool, which will help to strengthen the country's environmental governance through fostering transparency and consultation with relevant stakeholders and the public prior to the approval of policies, plans and programmes. In addition, the SEA in a transboundary context within the country is suggested to be employed to promote regional cooperation on environmental matters in the Central Asian region.

1.2. SEA pilot project

Since the end of 2016, the UNECE in close co-operation with the Ministry of Energy (Ministry) of Kazakhstan implements the project 'Supporting Kazakhstan's Transition to a Green Economy Model' with the funding from the EU Commission and the OSCE Programme Office in Astana to facilitate introduction of SEA in Kazakhstan through:

- review and revision of existing legislation on SEA, EIA and related procedures;
- introduction of a pilot application of the SEA procedure to Concept for development of fuel and energy complex until 2030 (hereinafter also 'SEA pilot'). The Concept for development of fuel and energy complex until 2030 (hereinafter also 'Concept') is recently being revised by the working group established by the Ministry of Energy.

In 2017 the Ministry took a decision to revise the Concept, developed in 2014 and for that established the Working Group under its auspices, comprising from different Ministry's departments with an expertise in the energy field and private energy sector representatives. Following the country's strategic priority to shift to "green" economy path the Ministry requested the UNECE to undertake the SEA of the Concept and, therefore, confirmed its readiness to promote "green" economy reforms in the national energy sector.

Subsequently, the SEA pilot project aims to test practical application of the SEA procedure in

line with the Protocol on SEA and to demonstrate benefits and opportunities the SEA provides for environmental optimisation of the Concept for development of fuel and energy complex until 2030 (Concept). Main activities within the SEA pilot include:

- preparation of the scoping and SEA reports including all relevant analyses;
- organizing consultations with relevant stakeholders;
- communication with the Ministry of Energy and experts responsible for the amending the Concept.

The SEA pilot project was launched on 8 November 2017 in Astana at the two-day initial working meeting with participation of line ministries, team of international and national experts to demonstrate the application of international SEA principles. It introduced to a general concept of the SEA, main principles of its efficient application and provided a space for initial scoping brainstorming to the national experts. The Workshop resulted in several recommendations, aiming at further steps on environmental optimization of the Concept and conclusion about the important role of the SEA in this work in support to the initiative.

Furthermore, the first draft pilot project work plan and draft scoping report were developed in January 2018. The national SEA team was established back in November 2017, which together with the UNECE international consultants carry out all necessary SEA steps. Close co-ordination of the SEA process with the Ministry's Working Group on the Concept's amendments is considered as an important element of the process to:

- (a) ensure communication on interim SEA results with the energy planners responsible for the preparation of the amendments to the Concept in order to integrate SEA inputs already in the draft amendments;
- (b) facilitate participation of the Working Group and Ministry's experts in working meetings, training workshops and consultations with national stakeholders organized during the pilot SEA; and
- (c) enable to integrate the feedback and inputs from the Working group in the draft scoping and SEA reports.

The second working group meeting took place on 5 March 2018 and helped present and discuss the methods and tools to be employed to further elaborate initial baseline analysis and assess likely impacts under the preparation of the Environmental report.

2. Public consultation workshop on the scope of SEA

The public consultation workshop on the scope of SEA was organized within the pilot SEA and took place on 6 March 2018 in Astana, Kazakhstan in the premises of Grand Park Esil hotel.

The rationale of the public consultations workshop was to provide an opportunity to relevant stakeholders to get familiar with and comment on the findings and results of the scoping stage of the SEA pilot, specifically on the proposed scope of further assessment and initial recommendations regarding the Concept. The consultations, *inter alia*, are also seen as an additional source of information and a tool for verifying the Scoping report findings.

The Workshop conclusions are to be taken into consideration for further analysis under the next steps of the SEA pilot project. It helped to enhance the understanding and knowledge of the participants on further SEA analyses.

The event was organized with support of the UNECE and funding from the EU and the OSCE Programme Office in Astana under the joint EU/UNDP/UNECE project "Support to Kazakhstan's transition to a green economy model".

For more information, please visit:

<http://www.unece.org/env/eia/welcome.html>

<http://www.unece.org/environmental-policy/conventions/water/areas-of-work-of-the-convention/envwatercentralasia/supporting-kazakhstans-transition-towards-green-economy-model.html>

2.1 Objectives of the working meeting

The objectives of the working meeting were:

- to present and disseminate findings and results of the scoping stage of SEA pilot;
- to get a feedback on the proposed scope of SEA and initial recommendations regarding the Concept;
- to inform participants on further steps and actions within the SEA pilot including further opportunities for their participation.

2.2 Participants

The event gathered some 39 participants, representatives of the governmental agencies including the Parliament of Kazakhstan, the Ministries of Energy, Health Protection and Agriculture, experts, academia, and non-governmental organization.

Out of 39 participants 17 (43.6%) were represented by men and 22 (56.4%) – by women. 9 (23%) were from the national authorities, 2 (5%) – from associations and enterprises, 7 (18%) – from international organizations, 14 (36%) – from non-governmental organizations and 7 (18%) were represented by the pilot project international and national consultants.

The workshop also facilitated the participation of at least 5 non-governmental organizations from the industrial regions of Kazakhstan, with the most polluted environment and affected human health, namely from the cities of Atyrau, Karaganda and Pavlodar.

Apart from environmental civil society organizations the event also attracted attention and brought in representatives from the human right sector, which showed an interest in the issues of SEA as such and likely impacts of the energy and fuel sector on the environment and health of

Kazakhstan.

2.3 International trainers

The workshop was facilitated by Mr. Martin Smutny and Ms. Marina Khotuleva, UNECE consultants, who provided an international expertise regarding the application of SEA in accordance with the SEA Protocol to the Convention on Environmental Impact Assessment (EIA) in a Transboundary Context and in particular suggested approach for a pilot application of the SEA procedure to the Concept on development of fuel and energy complex till 2030.

The experts' input mainly fell into the scope of tasks, aiming at acquainting participants with the requirements of the Protocol on SEA to the Espoo Convention and provide expert opinion on the practical application of SEA of the Concept.

2.4. Public consultations workshop design

The workshop agenda was designed to familiarize the participants with the requirements and provisions of the Protocol on SEA to the Espoo Convention and share available best practices on the SEA application that can be of help to Kazakhstan in the environmental optimization of the Concept. The activity also helped to present key findings of the Scoping report and encouraged interested stakeholders to contribute to the finalization of Scoping report analysis and recommendations.

Speaking precisely, the public consultations workshop facilitated an opportunity to discuss, clarify and provide recommendations for enhancing the Scoping report for more effective planning in the fuel and energy sector and raised awareness on the SEA legal binding instrument.

All in all, the activity also promoted interagency co-operation in the SEA decision-making by involving the Parliament of Kazakhstan, various ministries and agencies in discussion along with civil society and industrial sector organizations' representatives and build their capacity in the issues of environmental assessment vis-à-vis the requirements of the UNECE Protocol on SEA.

4. Public consultation workshop summary

Public consultation workshop began with welcome speeches of Mr. Akhmetzhan Primkulov, Chair of the Committee for Environmental Regulation and Control of the Ministry of Energy of the Republic of Kazakhstan, Ambassador György Szabó, Head of the OSCE Office in Astana, Ms. Irina Unzhakova, Deputy of the Mazhilis of the Parliament of the Republic of Kazakhstan and Mr. Martin Smutny, Consultant to the Environment Division of the UNECE, Geneva.

Most of the welcoming remarks stressed the importance of the SEA towards better strategic planning, good environmental governance, transparent and efficient decision-making and was considered as a next step in transition to the “green” economy path. The representatives of the Parliament of Kazakhstan and the Ministry highlighted the need and importance of the pilot project in promoting “green” legislation and environmentally friendly fuel and energy complex. The co-operation and mutual assistance among the interested parties in this framework were noted as important prerequisites for the success of SEA introduction in Kazakhstan and possible future ratification of the UNECE Protocol on SEA.



Picture: Numerous stakeholders at the public consultation workshop

The workshop was moderated by Ms. Madina Ibrasheva, SEA pilot project Team Leader, who presented an agenda and brief information about the SEA pilot project objectives, activities and expected outcomes. Ms. Ibrasheva expressed a gratitude to the key organizers of the pilot project and called upon the interested parties to actively contribute to the discussions on the findings and recommendations of the Scoping report.

The first session was started by Mr. Martin Smutny and Ms. Marina Khotuleva, UNECE consultants with a general introduction to the SEA and detailed explanations on the SEA benefits, the role of the UNECE Protocol on SEA, the importance of scoping stage in the SEA and expected results. The experience of the Czech Republic and the Republic of Azerbaijan were presented in this regard as potential best practice examples for possible replication and lessons learnt. In addition to the presentation material the participants were shown a video about the UNECE Protocol on SEA with an explanation of its objective, role, requirements and benefits.

The presentations were followed by questions and answers, mainly clarifying the difference between the SEA and EIA and the importance of each for better strategic planning. The auditoria also noted that the SEA can't substitute the EIA and both elements of environmental assessment

should be implemented, not substituting each other, to accommodate environmental and health protection concerns.

The next session was held by SEA national team, namely by the national consultants in the areas of strategic planning, EIA, biodiversity, energy security and health protection through a joint presentation on the scoping findings and results. They spoke about the likely main impacts of the sectors of electropower, coal, oil and gas and uranium mining on the aspects of environment (air, water, soil), biodiversity and health. Initial recommendations were formulated by the national consultants for consideration of the Ministry and interested stakeholders. The participants were requested to provide comments and suggestions in written form to the attention of the Ministry at the address, indicated in the Scoping report.



Picture: Non-governmental organizations discuss the findings of the Scoping report

The presentation was followed by alive discussion on various issues of industrial pollution, current environmental and health situation in the regions and about the measures of the national and regional authorities to minimize these adverse effects. With that the auditoria less posed the questions, but commented on the situation in the industrial regions, indicated the need for soon and widespread introduction of renewable energy resources to substitute the conventional fuel and energy production. Several civil society organizations recommended to find and employ the environmental Atlas of Kazakhstan, prepared earlier by the Ministry to visually depict the situation on environment degradation in the regions of industrial complex.

During the discussions special attention was given to public participation in decision-making process. The non-governmental organizations stressed the need to further enhance public involvement in the environmental impact assessment activities and post-project monitoring.

At the very end of the workshop Ms. Ibrasheva shared the information on further steps and activities, anticipated within the SEA pilot project and invited the organizations to actively participate and contribute to the SEA Environmental report preparation.

The public consultation workshop testified great interest of the community towards the issues and risks described in the Scoping report and called upon responsible and interested parties to join the efforts in promoting the introduction of SEA procedure at the national level.

5. Recommendations

In the course of the public consultation workshop several key recommendations were voiced up, targeting to:

1. further support the work of the pilot project and with the achieved results promote the introduction of SEA procedure in the Republic of Kazakhstan;
2. ensure the development of appropriate legislative base for the SEA introduction to help better strategic planning with environmental and health protection concerns;
3. promote public participation as an integral part of SEA for the efficiency of decision making for the Concept revision and consider all opinions that were not collected otherwise;
4. map potential hot-spots by employing GIS technique to better present the spatial and geographic data regarding the location of the fuel and energy industrial units and socio-environmental status of the regions of location;
5. promote inter-agency co-operation in the SEA implementation, by involving relevant national authorities (Ministry of Health and Social Protection, Ministry of Economy, Ministry of Agriculture and etc.) in the discussion of interim results on SEA of the Concept;
6. consider under the pilot project closer interaction with civil society organizations and respective interested parties from the most affected industrial regions of Kazakhstan;
7. undertake further study on the SEA of the Concept in transboundary context.

6. Evaluation

The evaluation analysis was carried out based on evaluation form (See Annex 6.3 to this report) completed by participants at the end of the workshop. In total, 11 forms have been filled out.

The summary of evaluation analysis:

Evaluation question 1: Please indicate how important and relevant the topics of the public consultations workshop are for you.

Average scoring: 5

8 (72,7%) participants replied that presented topics and undertaken discussions are of high importance, 3 (27,3%) – important and none – not important.

Evaluation question 2: Please indicate how clear and understandable the information was for you.

Average scoring: 4

4 (36,4%) respondents indicated that the information was totally clear, 7 (63,6%) – clear and none- totally unclear.

Evaluation question 3: What speeches and topic(s) of the were most useful in your opinion?

Average scoring: 4

Most of respondents indicated that delivered speeches and presented topics were useful. The following themes were highlighted as of interest:

- SEA best practices of the Czech Republic and the Republic of Azerbaijan;
- Benefits of SEA for strategic planning;
- Discussions on the likely main impacts related to the Concept and elaborated recommendations.

Evaluation question 4: Do you see practical application of the knowledge obtained useful for yourself? If yes, in which area?

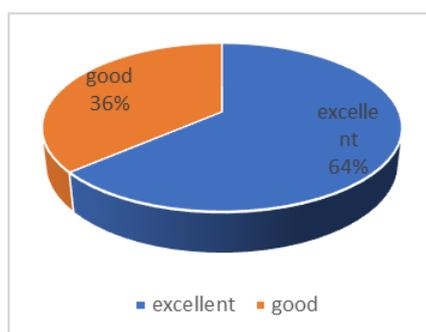
Average scoring: 4

4 (36,4%) respondents indicated that the obtained knowledge is very useful, 7 (63,6%) – useful, none- not useful.

Evaluation question 5: Please rate the organizational aspects of the public consultation workshop?

Average scoring: 5

7 (63,6%) – excellent, 4 (36,4%)– good, none- satisfactory, none – bad.



Thus, the following conclusions are drawn based on the scoring and provided written feedback:

1. Public consultations workshop was organized and implemented well enough;
2. The program was developed in a way that the most relevant and necessary information was included and covered the topics of auditoria's interest;
3. Targeted auditory was represented by wide range stakeholders;
4. Information, presented by international and national consultants, was delivered as clear as possible with a reference to practical cases.



**SEA of the Concept for development of fuel and energy complex of the Republic of
Kazakhstan until 2030
Public consultation workshop on the scope of SEA**

Tuesday, 6 March 2018

- 13.30 – 14.00 Registration. Welcome tea/coffee**
- 14.00 – 14.20 Public consultation workshop opening. Welcoming remarks**
Mr. Akhmetzhan Primkulov, Chair of the Committee of Ecological Regulations and Control of Energy of the Republic of Kazakhstan
Ambassador György Szab, Head of the OSCE Programme Office in Astana
Ms. Irina Unzhakova, Majilis of the Parliament of Kazakhstan
Mr. Martin Smutny, Consultant, Environment Division of the UNECE, Geneva
- 14.20 – 14.30 Introduction to SEA pilot and objectives of the public consultation workshop**
Ms. Madina Ibrasheva, SEA/EIA project Team Leader
- 14.30 – 15.00 A brief introduction to SEA, video**
- Benefits of SEA
 - Role of the UNECE Protocol on SEA
 - Importance of scoping stage in SEA and expected results
- Presentation by *Ms. Marina Khotuleva* and *Mr. Martin Smutny*, UNECE consultants
 Q@A
- 15.00 – 16.00 Scoping findings and results**
- The key issues and likely main impacts related to the Concept
 - Initial recommendations formulated by SEA
- Joint presentation of the pilot project national consultants:
Mr. Vitaliy Kuzin, national consultant/EIA
Mr. Rustem Vagapov, national consultant/ biodiversity
Mr. Mikhail Kim, national consultant/ health protection
Mr. Diyar Tokmurzin, national consultant/ energy

Mr. Adletbek Bikeev, national consultant/ policy analysis
Mr. Nurzhan Begalin key national consultant/ policy analysis
Mr. Sergey Katyshev, key national consultant / energy
Q@A, discussion

16:00 – 16:30 **Coffee-break**

16:30 – 18:00 **Continuation of discussions**

18.00 – 18.15 **Concluding session**

- Addressing any remaining questions
- Summary of the discussion
- Next steps in SEA pilots
- Closing remarks by the Ministry of Energy

Ms. Madina Ibrasheva, SEA/EIA project Team Leader

18.15 **End of the public consultation workshop**



LIST OF PARTICIPANTS

SEA of the Concept for development of fuel and energy complex of the Republic of Kazakhstan until 2030

Public consultation workshop on the scope of SEA

Start Date: Monday, March 06, 2018

End Date: March 06, 2018

Participants: 37

Female participants: 21

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EVALUATION FORM

**Public consultation workshop on the scope of SEA
6 March 2018**

1. Please indicate how important and relevant the topics of the public consultation workshop is for you?

1 (not important) 2 (important) 3 (very important)

2. Please indicate how clear and understandable the information was for you (check):

1 (totally unclear) 2 (clear) 3 (totally clear)

3. What speeches and topic(s) of the were most useful in your opinion?

4. Do you see practical application of the knowledge obtained useful for yourself? If yes, in which area?

1 (not useful) 2 (useful) 3 (very useful)

5. Please rate the organizational aspects of the public consultation workshop?

1 (excellent) 2 (good) 3 (satisfactory) 4 (bad)