

EaPGREEN
Partnership for Environment and Growth



**TRAINING WORKSHOP ON PRACTICAL APPLICATION OF
STRATEGIC ENVIRONMENTAL ASSESSMENT FOR
REPRESENTATIVES OF EXECUTIVE AUTHORITIES AND
LOCAL SELF-GOVERNMENT AUTHORITIES IN UKRAINE**

25-26 October 2016



Workshop report

November 2016



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1. Background

Strategic Environmental Assessment (SEA) effectively promotes sustainable development by mainstreaming environment into economic development at a national and local level. SEA is a well-established, practical and efficient planning and environmental governance tool/system set out in the UNECE Protocol on SEA to the Convention on Environmental Impact Assessment (EIA) in a Transboundary Context (Espoo Convention). It ensures that development plans in key sectors such as energy, water and waste management with likely significant adverse environmental impacts are efficiently developed taking into account environmental (and health) considerations. SEA, in particular, will allow identification of the most sustainable and cost-effective strategic development alternatives in Moldova for attracting new investments and for improving its environment. SEA also helps strengthen the country's environmental governance through fostering transparency and consultation with relevant stakeholders and the public prior to the approval of plans and programmes. SEA in a transboundary context can also greatly facilitate regional cooperation on environmental matters.

Since 2004 the UNECE secretariat has provided technical assistance and capacity building support to foster ratification of, and accession to, the Espoo Convention and its Protocol on SEA in the countries of Eastern Europe, Caucasus and Central Asia. The secretariat has a broad experience in assisting the countries in improving their legislative and institutional frameworks for the implementation of both treaties. The tailor made training and pilot projects provided by the UNECE have proven to be efficient in building the necessary institutional and human capacities in countries for the effective application of EIA and SEA to their development projects, plans and programmes.

The Protocol on Strategic Environmental Assessment (Protocol on SEA) to the UNECE Espoo Convention entered into force in Ukraine on 1 March 2016, after its ratification by the country on 2 December 2015. In the meantime, since June 2013, the UNECE Secretariat to the Espoo Convention has assisted the Ministry of Ecology and Natural Resources of Ukraine in building capacities for institutionalization of a national environmental assessment system in line with the Espoo Convention and its Protocol on Strategic Environmental Assessment (Protocol on SEA). The activities are implemented within the framework of the EU funded programme 'Greening Economies in the Eastern Neighborhood' (EaP-GREEN).

2. Workshop objectives

The training workshop on practical application of strategic environmental assessment (SEA) in Ukraine was organized by the Ministry of Ecology and Natural Resources of Ukraine in cooperation with the United Nations Economic Commission for Europe (UNECE) and the project "Partnership for Local Economic Development and Democratic Governance"



(PLEDDG¹) within the framework of the EU-funded Programme ‘Greening the Economies in the Eastern Neighborhood’ (EaP-GREEN). The logistical support was provided by Law-Making and Information Technologies Institute NGO (Ukraine).

The objectives of the workshop were:

- to raise awareness and build capacities of regional environmental and health authorities, and regional and local planning authorities from four Oblasts of Ukraine involved in the PLEDDG’s SEA component, on the SEA procedure as per the Law of Ukraine on SEA;
- to raise awareness and build capacities of regional environmental and health authorities and regional and local planning authorities from four Oblasts of Ukraine involved in the PLEDDG’s SEA component, on the SEA process under the Protocol on SEA to the Convention of Environmental Impact Assessment in a Transboundary Context (Espoo Convention);
- to discuss the content of the Draft SEA Guidelines prepared within the framework of EaP-GREEN and to gather feedback on its feasibility within the national context; and
- to enhance the capacities of the regional environmental and health authorities and regional and local planning authorities from four Oblasts of Ukraine involved in the PLEDDG’s SEA component in undertaking the scoping as per the Law of Ukraine on SEA and Draft SEA Guidelines.

The workshop was delivered by the UNECE, the Ministry of Ecology and Natural Resources of Ukraine, UNECE SEA international consultants, national environmental experts from PLEDDG’s, and Law-Making and Information Technologies Institute NGO (Ukraine).

The Agenda of the Seminar is attached to this report in Appendix 1.

¹ The PLEDDG (2015-2020) aims to strengthen Ukraine’s municipal sector by increasing capacities of Ukrainian cities to advance local democracy and economic development via enabling environment for entrepreneurship and local economic development, by facilitating decentralization of authorities and by supporting integrated development planning at the local, regional and national level (including SEA application). The PLEDDG is implemented by the Federation of Canadian Municipalities (FCM) and funded by Global Affairs Canada.



3. Approach and methodology

The workshop utilised a highly interactive design. Each theoretical block was followed by practical individual or group exercises and discussion blocks (see Annex 1).

4. Summary of the Workshop outcomes

The two-day workshop was held in Congress-hotel “Puscha”, Puscha-Vodytsia, 20 M. Yunkerova str., Kyiv, Ukraine. It was attended by 35 representatives of regional (oblast) and local (city/town) planning authorities of four Oblasts of Ukraine: Vinnytska, Zaporizhska, Ivano-Frankivska, and Poltavaska Oblasts, that are part of the PLEDDG. Other workshop participants were the representatives of Ecology and Natural Resources Departments, Health divisions of regional state administrations of these four Oblasts, the Ministry of Ecology and Natural Resources of Ukraine (MENR), the State Environmental Academy of Postgraduate Education and Management, and public organizations (see photos below).



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Following key topics were addressed and discussed:

Ms. Lesya Karnaukh, head of Department of Strategic Environmental Policy MENR opened the Seminar with a welcoming speech. Welcome remarks were also made by Ms. Elena Santer, UNECE Espoo Secretariat (via Skype).

Mr. Vladimir Buchko, head of Legal Department MENR, informed the participants about the continuous work on the Development of the Protocol on SEA and the Law of Ukraine On Strategic Environmental Assessment.

Mr. Alexander Kucherenko, Director of the PLEDDG project, presented the project and its key players in his welcoming speech.

Then the participants introduced themselves and shared their expectations from the Seminar, which was recorded by Dr. Galina Sierova, the Project Coordinator.

During Day 1, Ms. Elena Santer introduced the Eastern Partnership EaP GREEN Programme, the Protocol on SEA and SEA in international practice, comments on the improvement of SEA and EIA procedures (via Skype).

Dr. Maia Gachechiladze-Bozhesku presented the Agenda of the Seminar and its objectives.

Ms. Natalia Trofimenko has emphasized that at present over 300 programs and program documents are being implemented in the regions; she presented the participants basic provisions of the new Law of Ukraine “On Strategic Environmental Assessment,” clarified the definition of SEA, objects, stages, and relationship between SEA, EIA and ecological expertise.

Then the practical exercise was launched on two topics:

Exercise 1. “Is your draft public planning document subject to SEA? and

Exercise 2. "What projects and strategic planning documents require SEA and EIA?"

The exercises were conducted in four groups (region-wise) (see photos below). The groups actively participated in debates and prepared their presentations. Assisted by the experts, the groups presented their group work results that in each case were followed by a general discussion.

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After that, in their presentations Ms. Trofimenko and Mr. Tarasenko elucidated the process of strategic planning, as well as SEA integration in the strategic planning process; also constructive ideas on national requirements for SEA implementation were presented.

In her presentation Dr. Gachechiladze-Bozhesku clarified the scoping concept - defining the SEA scope, focusing attention on national requirements and international practice concerning the role which authorities play in planning and delivering consultations. Then she delivered a presentation on how to prepare the terms of reference for SEA. In the course of discussion, the issues on practical implementation of SEA were considered: in-house, external, mixed, etc. The representatives of different cities/towns commented on possible approaches to how to implement the SEA.

Thus, the participants were informed on the legal basis of SEA implementation in Ukraine, international experience and national practice, as well as on legislation-based further requirements for introduction into the strategic planning process.

The participants showed high interest and active participation in the workshop; every speech was followed by a variety of issues raised by the participants. In particular, these included: the funding sources for carrying out SEA if the client is a state body; whether methodological recommendations on how to apply the norms of law on SEA will be prepared by the Ministry of Ecology and Natural Resources; who can be responsible for the risk assessment of the implementation of the state planning document, and others.

Day 2 started with the summary on the key issues of the previous day delivered by Ms. Natalia Trofimenko and Dr. Gachechiladze Maia-Bozhesku.

Then, Dr. Gennady Marushevsky made a presentation on the methodology of the SEA implementation on the basis of the Ukrainian experience (under the Canadian project).

Following this, a practical exercise focused on the application of various methodologies in SEA implementation was conducted. The work was organized in 4 groups on 4 individual tasks that related to various development stages of the “Strategic development plan of Energodar city until 2027”. Assisted by the experts, the groups actively participated in internal discussions, preparing presentations and discussing group work results.

The exercise was followed by the presentation by Dr. Vyacheslav Potapenko, the lead author of the Methodological Recommendations on SEA: National requirements and practical considerations on the SEA report preparation. The participants showed their interest, asked questions about the content of the report and whether the guidelines will include a possible structure of an SEA report.



The following issues were also discussed:

- Who should be responsible for the SEA Report;
- Lack of environmental information at the city level;
- Who can be considered as an expert (performer) for health issues at the regional level;
- Who determines what data are needed and the way it is collected, methods;
- Who should sign the Report, etc.

Mr. Sergey Vyhrest, EU project legal expert, presented his comments: authorities need SEA to make verified (based on the true information) management decisions. It is an inclusive process that should not be regarded as a trouble. Monitoring is an integral part of the SEA, the results of which are made public by the customer once a year.

Further, Mr. Alexander Tarasenko made a presentation on the participation of competent authorities, public and key players in the SEA. This was followed by an exercise on stakeholder participation conducted in 4 groups. Supported by the experts, each group actively discussed the results of their group work.

Then Mr. Vyacheslav Potapenko in his presentation highlighted the issue of considering SEA results in strategic documents (in Ukraine: state planning documents): national requirements and practical considerations. It was followed by the last practical exercise devoted to SEA integration into the strategic planning process. The discussion in the groups was centered on the issues of possible difficulties and obstacles in the process of integration.

In conclusion, Ms. Galyna Sierova and Dr. Maia Gachechiladze-Bozhesku summarized the key issues discussed during the workshop and expressed gratitude to all the participants.

The trainers distributed the certificates of participation.

Ms. Trofimenko and Mr. Tarasenko closed the workshop.

5. Conclusions

The workshop was carried out as planned and, following the prepared agenda, it fulfilled its objectives (as reflected also in evaluation by the participants – see section 6). Thus, as a result of the workshop, the participants got familiar with:

- the SEA procedure as required by the Law of Ukraine on SEA and the Protocol on SEA;
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- the roles and responsibilities envisioned by the Law on SEA as well as recommended by the SEA Guidelines following the best international practice;
- selected methodological aspects of SEA; and
- the SEA scoping procedure and approaches to the preparation of the SEA scoping reports.

During the workshop, the participants also discussed the draft SEA Guidelines for Ukraine and thus contributed to its improvement.

The trainers and organizers agreed that 1) the organizers of the event would send the links to the workshop materials to all the participants and 2) the participants would deliver the presentations about the workshop in their host departments / divisions to spread further the knowledge about SEA.

Following the results of the Workshop, key tasks were defined in order to progress with SEA development in Ukraine:

- Significant attention should be paid to the issues of interaction with the public;
- Guidance should be provided in relation to the access to data and environmental information, assessment of likely impacts and risks;
- Responsibilities of the competent bodies should be made clear to all the actors;
- Special attention should be paid to additional training sessions for regional representatives;
- Pilot projects on SEA should be implemented.
- Further awareness-raising and capacity-building events should be conducted, in particular, the SEA component could be included in the training programs aimed at improving qualification of civil servants of different levels, as well as in the educational programs for e.g., Master degree.

6. Workshop evaluation

Workshop participants have been provided with evaluation forms to present their feedback to the organizers. In total 20 out of 25 distributed questionnaires have been recovered and analyzed. The summary of the results is presented below (for the complete overview of results see Appendix 2).

The overall participants' evaluation was very positive, the participants reported that:



- The topic was important (the average grade was 2,55 from 3 as maximum);
- The delivery of information clear (the average grade was 2,7 from 3 as maximum);
- The most useful for the participants were (i) Practical exercises and consideration of reality-based situations; (ii) Practical application of the provisions of the Law of Ukraine on SEA; and (iii) Information about objects of SEA, its stages, scope and methodology of conducting SEA and the practical application of the provided materials / knowledge.

The participants found the “scoping exercise” aiming at identification of key issues to be addressed by the SEA as useful and made proposals about how to improve the practice of SEA scoping in Ukraine. In particular, they suggested to use scoping in the pilot SEAs, to have a clear regulation on scoping as part of the Law on SEA or other by-laws, and to ensure greater engagement of the public and authorities in scoping.

Essential topics for the further trainings were suggested by participants as follows (multiple choice):

- Strategic planning (4 participants);
- Practical application of SEA (11 participants);
- Engagement of the public in the strategic planning process (including SEA) (7 participants);
- Legislation (6 participant);
- Public Health (4 participants).

As an important condition for the future development of the SEA implementation in Ukraine, the participants mentioned:

- (i) Trainings for the representatives of the local authorities
 - (ii) More practical materials and methodological recommendations
 - (iii) Creation of the national web-site on SEA
 - (iv) Consultation support of specialists
 - (v) Trainings and consultations
 - (vi) Pilot projects on SEA (with the participation of the representatives of the state bodies)
 - (vii) Technical support in developing ToR for SEA
 - (viii) Provision of examples of SEA from other countries
 - (ix) Alignment of the current legislation to the standards and practice of SEA
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- (x) Involvement of international experts from those countries where SEA is being developed, with extensive SEA experience and knowledge of development particularities of Ukraine.

Several proposals for improving the efficiency and effectiveness of further similar workshops have been suggested, for example:

- Such seminars should be provided regularly and frequently
- Involve the representatives of the Ministry of Health of Ukraine
- Provide training materials, preferably in hard copies but also in soft copies, before or at the beginning of the seminar
- To shorten presentations of general character and give more practical exercises

For further details please see Appendix 2.

7. Public visibility of the event

The information about the training event was spread via various organizations and networks to reach a wider range of the interested public:

- News page of the Ministry of Ecology and Natural Resources of Ukraine (<http://www.menr.gov.ua/press-center/news/150-news28/5400-predstavnykiv-mistsevoi-vlady-na-spetsialnomu-treninhu-vchyly-zastosovuvaty-na-praktytsi-strategichnu-ekologichnu-otsinku-na-praktytsi>),
- State Ecological Academy of Postgraduate Education and Management, Ministry of Ecology and Natural Resources of Ukraine (http://dea.gov.ua/article/vidbuvsya_trening_praktichne_zastosuvannya_strategichnoi_ekologichnoi_ocinki),
- Canada-funded PLEDDG project (<http://pleddg.org.ua/ua/2016/vidbuvsya-seminar-na-temu-praktichne-z/>); and
- Facebook page of the Aarhus Center of Ukraine (<https://www.facebook.com/OrhusCenterKyiv/posts/1639831152981183?pnref=story>).

The participants of the event disseminated the information about the SEA training, and further official news were posted on the participating authorities web-pages:



- Poltava Oblast State Administration (<http://www.adm-pl.gov.ua/news/zaproshuiemo-na-navchalniy-trening-z-pitan-praktichnogo-zastosuvannya-strategichnoyi-ekologichn>);
 - Ivano-Frankivsk City Council and Executive Committee (<http://mvk.if.ua/energef/41499>); and
 - Kremenchug City Council and Executive Committee (<https://www.kremen.gov.ua/index.php/news/main/5300>).
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Annex 1: Workshop agenda

Training workshop on practical application of strategic environmental assessment for representatives of executive authorities and local self-government authorities in Ukraine

25-26 October 2016

Venue: Congress-hotel "Puscha", Puscha-Vodytsia,

20 M. Yunkerova str., Kyiv, Ukraine

Background

The training workshop on practical application of strategic environmental assessment (SEA) in Ukraine is organized by the Ministry of Ecology and Natural Resources of Ukraine in cooperation with the United Nations Economic Commission for Europe (UNECE) and the project "Partnership for Local Economic Development and Democratic Governance" (PLEDDG²) within the framework of the EU-funded Programme 'Greening the Economies in the Eastern Neighborhood' (EaP-GREEN). The logistical support is provided by Law-Making and Information Technologies Institute NGO (Ukraine).

The two-day workshop will gather up to 35 participants and is targeted at the representatives of the regional (Oblast) and local (city) planning authorities of four Oblasts of Ukraine, i.e.,

² The PLEDDG (2015-2020) aims to strengthen Ukraine's municipal sector by increasing capacities of Ukrainian cities to advance local democracy and economic development via enabling environment for entrepreneurship and local economic development, by facilitating decentralization of authorities and by supporting integrated development planning at the local, regional and national level (including SEA application). The PLEDDG is implemented by the Federation of Canadian Municipalities (FCM) and funded by Global Affairs Canada.



Vinnitska, Zaporizhska, Ivano-Frankivska, and Poltavaska Oblasts, that are part of the PLEDDG, as well as at the environmental and health authorities from the regional administration of these four oblasts. The PLEDDG involves the preparation of city/town development plans in various sectors, and envisions undertaking SEAs for most of these plans. The EaP-GREEN endeavors to support the capacity building of the planning authorities that will be directly engaged in the implementation of the SEA component of the PLEDDG.

The objectives of the workshop are:

- to raise awareness and build capacities of regional environmental and health authorities, and regional and local planning authorities from four Oblasts of Ukraine involved in the PLEDDG's SEA component, on the SEA procedure as per the Law of Ukraine on SEA;
- to raise awareness and build capacities of regional environmental and health authorities and regional and local planning authorities from four Oblasts of Ukraine involved in the PLEDDG's SEA component, on the SEA process under the Protocol on SEA to the Convention of Environmental Impact Assessment in a Transboundary Context (Espoo Convention);
- to discuss the content of the Draft SEA Guidelines prepared within the framework of EaP-GREEN and to gather feedback on its feasibility within the national context; and
- to enhance the capacities of the regional environmental and health authorities and regional and local planning authorities from four Oblasts of Ukraine involved in the PLEDDG's SEA component in undertaking the scoping as per the Law of Ukraine on SEA and Draft SEA Guidelines.

Thus, as a result of the workshop, the participants will get familiar with:

- the SEA procedure as required by the Law of Ukraine on SEA and the Protocol on SEA;
- the roles and responsibilities envisioned by the Law on SEA as well as recommended by the SEA Guidelines following the best international practice;
- selected methodological aspects of SEA; and
- the SEA scoping procedure and approaches to the preparation of the SEA scoping reports.

During the workshop, the participants will be also invited to discuss the draft SEA Guidelines for Ukraine and thus contribute to its improvement.

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The workshop will be delivered by the UNECE, the Ministry of Ecology and Natural Resources of Ukraine, UNECE SEA international consultants, national environmental experts from PLEDDG's, and Law-Making and Information Technologies Institute NGO (Ukraine).



Day 1: Tuesday, 25 October 2016

9.30 – 10.00	Registration of participants
10.00 – 10.15	<p>Opening the Meeting and welcome of the participants</p> <p><i>Ms. Lesya Karnaukh, Head of Department of Strategic Environmental Policy, Ministry of Ecology and Natural Resources of Ukraine</i></p> <p><i>Mr. Volodymyr Buchko, Head of Legal Department, Ministry of Ecology and Natural Resources of Ukraine</i></p> <p><i>Ms. Elena Santer, EaP GREEN Project Manager, UNECE Secretariat to the Convention on Environmental Impact Assessment in a Transboundary Context and its Protocol on Strategic Environmental Assessment</i></p> <p><i>Igor Lepyoshkin, chief expert on local governance and development in PLEDDG</i></p>
10.15 – 10.25	<p>Introduction to the Protocol on SEA and EaP GREEN Programme</p> <p><i>Ms. Elena Santer, EaP GREEN Project Manager, UNECE Secretariat to the Convention on Environmental Impact Assessment in a Transboundary Context and its Protocol on Strategic Environmental Assessment</i></p>
10.25 – 10.35	<p>Introduction to PLEDDG and its SEA component</p> <p><i>Igor Lepyoshkin, chief expert on local governance and development in PLEDDG</i></p>
10.35 – 11.05	<p>Presentation of the participants and determining their expectations</p> <p><i>Moderator - Galina Sierova, Project Coordinator, NGO "Law-Making and Information Technology Institute"</i></p>
11.05 – 11.10	<p>Introduction to the workshop objectives and agenda</p> <p><i>Dr. Maia Gachechiladze-Bozhesku, National SEA Team Leader and International SEA Consultants to UNECE</i></p>
11.10 - 11.30	<p>Introduction to the Protocol on SEA and SEA internationally: use, prevalence, perception and benefits</p> <p><i>Ms. Elena Santer, EaP GREEN Project Manager, UNECE Secretariat to the Convention on Environmental Impact Assessment in a Transboundary Context and its Protocol on SEA</i></p>
11.30 - 11.50	Coffee break
11.50 – 12.20	<p>Main requirements of Law of Ukraine “On Strategic Environmental Assessment”: SEA’s definition, objects, stages, relationship between the CEO, EIA and ecological expertise</p>



	<p><i>Natalia Trofimenko, Ministry of Environment and Natural Resources of Ukraine</i></p> <p><i>Presentation and discussion</i></p>
12.20 – 13.00	<p>Exercise 1: Is your draft public planning document subject to SEA?</p> <ul style="list-style-type: none"> - Tasks - Work in pairs/groups - Presentation of results <p><i>Instructors: Natalia Trofimenko (lead), Olexandr Tarasenko, Gennadii Marushevsky Vyacheslav Potapenko, Maia Gachechiladze-Bozhesku</i></p>
13.00 – 14.00	Lunch break
14.00 – 14.50	<p>Exercise 2: What projects or public planning documents requires EIA or SEA?</p> <ul style="list-style-type: none"> • Objectives • Work in groups • Presentation of results <p><i>Instructors: Natalia Trofimenko (lead), Olexandr Tarasenko, Gennadii Marushevsky Vyacheslav Potapenko, Maia Gachechiladze-Bozhesku</i></p>
14.50 – 15.20	<p>The strategic planning process and the integration of SEA into the process of strategic planning: national requirements and practical considerations</p> <p><i>Natalia Trofimenko and Olexandr Tarasenko, Ministry of Environment and Natural Resources of Ukraine</i></p> <p><i>Presentation and discussion</i></p>
15.20 – 16.10	<p>Setting the scope of SEA (scoping): national requirements and international practice (role of the planning authorities, consultation): national requirements and practical considerations</p> <p><i>Dr. Maia Gachechiladze-Bozhesku, National SEA Team Leader and International SEA Consultants to UNECE</i></p> <p><i>Presentation and discussion</i></p>
16.10 – 16.20	Coffee break
16.20 – 17.20	<p>How to prepare the terms of reference for SEA: practical considerations</p> <p><i>Dr. Maia Gachechiladze-Bozhesku, National SEA Team Leader and International SEA Consultants to UNECE</i></p> <p><i>Presentation and discussion</i></p>
17.20 – 17.40	Wrap-up and agenda for Day 2



Day 2: Wednesday, 26 October 2016

09.30 – 10.00	<p>Summary of Day 1: Participants' feedback and expectations for Day 2</p> <p><i>Reflection on the results of Day 1</i></p> <p><i>facilitated by Ms. Natalia Trofimenko and Dr. Maia Gachechiladze-Bozhesku,</i></p>
10.00 – 10.50	<p>Methodology of SEA on the basis of Ukrainian experience (initial data analysis, target analysis, environmental impact assessment, identification of alternatives, the step-wise incorporation of the SEA results)</p> <p><i>Dr. Gennadii Marushevskyi, Local Environmental Consultant to PLEDDG</i></p> <p><i>Presentation and discussion</i></p>
10.50 – 11.10	<p>Coffee break</p>
11.10-12.00	<p>Exercise 3: Elements of SEO methodology</p> <ul style="list-style-type: none"> • Objectives • Work in groups • Presentation of results <p><i>Instructors: Gennadii Marushevsky (lead), Alexander Tarasenko, Natalia Trofimenko, Vyacheslav Potapenko, Maia Gachechiladze-Bozhesku</i></p>
12.00 – 12.30	<p>SEA Report: national requirements and practical considerations</p> <p><i>Dr. Vyacheslav Potapenko, Lead Author and National SEA Expert to UNECE and welcome of comments on the Guidelines</i></p> <p><i>Presentation and discussion</i></p>
12.30 – 13.10	<p>The participation of the competent authorities, the public and stakeholders in SEA</p> <p><i>Olexandr Tarasenko, Deputy Head of International Activities and European Integration Unit - Head of the Division of multilateral and bilateral agreements, international commitments, the Ministry of Ecology and Natural Resources of Ukraine</i></p> <p><i>Presentation and discussion</i></p>
13.10 – 14.10	<p>Lunch break</p>
14.10 – 15.10	<p>Exercise 4: Public participation and stakeholders in SEA</p> <ul style="list-style-type: none"> • Objectives • Work in groups • Presentation of results



	<i>Instructors Olexandr Tarasenko (lead) Vyacheslav Potapenko Natalia Trofimenko, Maia Gachechiladze-Bozhesku, Gennadii Marushevsky</i>
15.10– 16.00	Taking into account the SEA results in strategic documents (in Ukraine: public planning documents): national requirements and practical considerations <i>Vyacheslav Potapenko, lead author of the Methodological recommendations of SEA in Ukraine and the National expert on SEO UNECE</i> <i>Presentation and discussion</i>
16.00 – 16.50	Exercise 5: Integrating SEA in strategic planning process • Objectives • Workshop - group work • Presentation of results <i>Instructors: Vyacheslav Potapenko (lead), Olexandr Tarasenko, Natalia Trofimenko, Maia Gachechiladze-Bozhesku, Gennadii Marushevsky</i>
16:50 - 17.30	Final remarks and closure of the workshop



Annex 2: Workshop evaluation

The results obtained from the participants through the questionnaires are presented below in a structure following the format of the evaluation questionnaires. In total 20 evaluation forms (out of 25 distributed) was recovered and analyzed. Figures indicate the number of participants giving particular specific answers.

1. Please, indicate how important and relevant was the workshop topic for you?

1 (not important) - 0 2 (important) - 9 3 (very important) - 11

2. Please, indicate how clear and understandable was the delivery of information for you (mark):

1 - (Absolutely not clear) 0; 2 (clear) 6 3 (absolutely clear) 14

3. What, in your view, was the most useful at the training workshop?

#	Statement	No of participants
	Information about objects of SEA, its scope and methodology of conducting SEA	2
	Practical application of the provisions of the Law of Ukraine on SEA	2
	Understanding that SEA should be part of the development of strategic documents	1
	Understanding what SEA is, what are its stages and methodological approaches, and the practical application of the provided materials / knowledge	2
	Understanding of how important SEA is and what are the methodological approaches	1
	Practical exercises and consideration of reality-based situations	5
	Provision of feedback /responses from the representatives of authorities	1
	Practical and methodological exercises that were prepared in a well-thought way allowing to learn about SEA and get interested in SEA	1
	The whole programme of the training	1
	The understanding that further trainings on SEA are needed for local planning authorities and those organizations that can be involved in SEA	1
	Possibility for the participants to provide feedback on SEA issues so that they can be further considered in the methodical recommendations on SEA	1
	Good balance/combination of the SEA theory and practice that allowed for effective learning of the provided materials	1

4. Did you find the “scoping exercise” aiming at identification of key issues to be addressed by the SEA useful? What other tools/techniques would you recommend to make SEA “scoping” more efficient?

#	Statement	No of participants



Application of practical experience of several towns	1
Useful	10
Very useful	2
It would be good to have trainings on each stage of SEA with practical exercises	1
It would be nice to have a methodology for SEA scoping	1
Greater engagement of the public and authorities	1
Clear regulation on scoping in the Law and other by-laws	1

5. Which topics you believe it is essential to conduct additional training for you and your colleagues on (please, underline as appropriate)?

Please put a number of participants which indicated that the topic is essential against each of the topics. For example,

- Strategic planning (4 participants);
- Practical application of SEA (11 participants);
- Engagement of the public in the strategic planning process (including SEA) (7 participants);
- Legislation (6 participant);
- Public Health (4 participants);
- Other (----)

6. What forms of support are required for implementation of EIA and SEA?

The following suggestions came from participants:

- (xi) Trainings for the representatives of the local authorities
- (xii) More practical materials and methodological recommendations
- (xiii) Creation of the national web-site on SEA
- (xiv) Consultation support of specialists
- (xv) Trainings and consultations
- (xvi) Pilot projects on SEA (with the participation of the representatives of the state bodies)
- (xvii) Technical support in developing ToR for SEA
- (xviii) Provision of examples of SEA from other countries
- (xix) Alignment of the current legislation to the standards and practice of SEA
- (xx) Involvement of international experts from those countries where SEA is being developed, with extensive SEA experience and knowledge of development particularities of Ukraine.

7. What are your proposals to improve delivery of similar workshops?

As options for improvement for the further workshop, participants mentioned:



- Such seminars should be provided regularly and frequently
- Provision of training materials, preferably in hard copies but also in soft copies, before or at the beginning of the seminar
- Presentations and speeches should be in Ukrainian (with the exception made for international speakers/trainers)
- Concretization of practical exercises
- To shorten presentations of general character and give more practical exercises
- Involve the representatives of the Ministry of Health of Ukraine



Annex 3: List of Participants

Training workshop on practical application of strategic environmental assessment for representatives of executive authorities and local self-government authorities in Ukraine

25-26 October 2016, Kyiv

List of Participants

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