

Priority needs for technical assistance in implementing the Espoo Convention at national and transboundary levels: Central Asia

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UNECE

Survey for Central Asia (CA) countries

***Priority needs assessment
for technical assistance
in implementing the provisions of the Espoo Convention at
national and transboundary levels***

October 2016

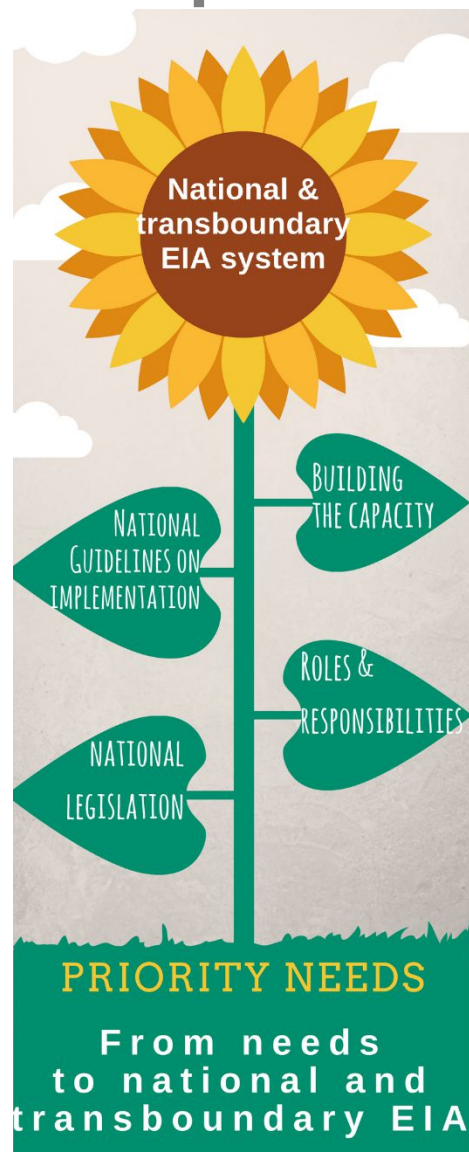
Экспресс-опросник для Центральной Азии (ЦА)

***Выявление приоритетных потребностей
стран Центральной Азии
в технической помощи для реализации положений
Конвенции Эспо
на национальном и трансграничном уровнях***

Октябрь 2016

Goal of the survey

Цель опросника



- identify and evaluate CA **needs and priorities** for technical assistance for the implementation of the Espoo Convention and the establishment of the EIA system at national and transboundary levels

- определить и оценить **потребности и приоритетные направления** для оказания технической помощи странам ЦА для осуществления Конвенции Эспо и создания системы ОВОС на национальном и трансграничном уровнях

Background

- preparations for the 7TH Meeting of the Parties (MOP) to the Espoo Convention, Minsk, 13-16 June 2017
- Switzerland to allocate *limited financial resources* to support CA countries in the development of EIA in a transboundary context

Основание

- Подготовка к седьмому Совещанию Сторон Конвенции Эспо, Минск, 13-16 июня 2017
- Швейцарская Конфедерация намерена выделить *ограниченные финансовые средства* для поддержки стран ЦА в развитии систем ОВОС в трансграничном контексте

Examples of support with EIA

1. Fostering the development of national legislation in accordance with the provisions of the Espoo Convention (and the relevant EU legislation)
 - a) an in-depth analysis of the existing legislative and institutional framework for the application of the EIA
 - b) assistance in the writing of legislation to improve the degree of compliance with the Espoo Convention (and the relevant EU legislation)

Примеры поддержки по ОВОС

1. Содействие развитию национального законодательства, в соответствии с положениями Конвенции Эспо (и соответствующему законодательству ЕС)
 - a) углубленный анализ существующих законодательных и институциональных рамок для применения ОВОС
 - b) помощь в написании законодательства для усовершенствования степени его соответствия Конвенции Эспо (и соответствующему законодательству ЕС)

Examples of support with EIA

2. Support for the distribution of roles and responsibilities between the developers, practitioners, environmental authorities and the public in the performance of EIA procedures
 - a) measures to improve the degree of awareness among officials of departments of environmental assessment
 - b) development of regulations, guidelines and instructions on EIA

Примеры поддержки по ОВОС

2. Поддержка в распределении ролей и ответственности между разработчиками, практиками, органами охраны окружающей среды и общественностью в исполнении процедур ОВОС
 - a) мероприятия по повышению степени осведомленности должностных лиц ведомств относительно ОВОС
 - b) разработка подзаконных актов, методических рекомендаций и инструкций по ОВОС

Examples of support with EIA

3. Support with the development of national capacities in the application of the Espoo Convention procedures and the development of national guidelines on carrying out impact assessment on the environment in a transboundary context
 - a) national and regional training for EIA practitioners, governmental officials
 - b) capacity building to control the quality of the EIA documentation, screening, collection of information and decision making in the framework of the relevant EIA procedures of the Espoo Convention

Примеры поддержки по ОВОС

3. Поддержка формирования национального потенциала в области применения процедур Конвенции Эспо и разработка национального Руководства по проведению оценки воздействия на окружающую среду в трансграничном контексте
 - a) национальные и региональные тренинги для практиков ОВОС, служащих соответствующих министерств и ведомств
 - b) создание потенциала по контролю качества документации по ОВОС, скринингу, сбору информации и определению окончательного решения в рамках процедуры ОВОС соответствующей Конвенции Эспо

Survey questions Вопросы опросника

Main questions

➤ **EIA:**

1) Support needed to implement the EIA *on national and transboundary levels*

2) Areas for a training on EIA

3) National and transboundary level regional cooperation activities useful for the creation of a transboundary EIA procedure

Основные вопросы

➤ **ОВОС:**

1) Поддержка в реализации ОВОС в соответствии с положениями Конвенции Эспо *на национальном и трансграничном уровнях*

2) Обучение по ОВОС

3) Мероприятия по региональному сотрудничеству для поддержки создания трансграничной процедуры ОВОС в соответствии с положениями Конвенции Эспо *на национальном и трансграничном уровнях*

Survey questions Вопросы опросника

Additional questions

➤ SEA:

- 1) Activities (to be) undertaken for the development of a national system of SEA
- 2) Support needed in this area

Дополнительные вопросы

➤ СЭО:

- 1) Предпринимаемые / планируемые действия с целью развития национальной системы СЭО
- 2) Необходимая поддержка в этом направлении

Results: EIA needs - Kazakhstan



| | Examples of support | Need exists | Level of importance | | |
|----------|--|-------------|---------------------|-----------------|----------------------|
| | | | Extremely important | Quite important | Not at all important |
| 1 | Fostering the development of national legislation in accordance with the provisions of the Espoo Convention (and the relevant EU legislation) | + | | | |
| a | in-depth analysis of the existing legislative and institutional framework for the application of the EIA | | | + | |
| b | assistance in legislation writing to improve the degree of its' compliance with the Espoo Convention (and the relevant EU legislation) | | | + | |
| 2 | Support with the distribution of roles and responsibilities between the developers, practitioners, environmental authorities and the public in implementation of the EIA procedures | + | | | |
| a | measures to increase the awareness on EIA among governmental officials at national level and in a transboundary context, in accordance with the procedures of the Espoo Convention | | | + | |
| b | development of regulations, guidelines and instructions on EIA at the national level and in a transboundary context | | | + | |
| 3 | Support with a national capacity building in the application of the Espoo Convention procedures and the development of national guidelines on carrying out the EIA in a transboundary context, in accordance with the Espoo Convention and the relevant EU legislation | + | | | |
| a | national and regional training for practitioners of EIA, governmental officials of relevant ministries and agencies | | | + | |
| b | capacity building (trainings and development of guidelines) to control the quality of the EIA documentation, screening, collection of information and decision making in the framework of the relevant EIA procedures of the Espoo Convention. Defining the differences between this procedure and the EIA carried out in the framework of environmental impact assessment | | | + | |

Results: EIA needs - Kazakhstan



Which support does your country need in order to implement the EIA on national and transboundary levels in accordance with the Espoo Convention?

Development of legislation, trainings and pilot projects

On which topics not covered in this survey does your country need a training on EIA?

Practical training on transboundary EIA procedure application

Which regional cooperation activities would be useful to your country in the creation of a transboundary EIA procedure in accordance with the Espoo Convention on national and transboundary levels?

Pilot projects with Central Asian countries (and potentially with Azerbaijan) on the Caspian Sea

Results: SEA developments - Kazakhstan

- Ongoing study (with support of UNECE / UNDP) on the potential accession of Kazakhstan to the Protocol on SEA
- Results of the study will be discussed in February 2017
- Further decisions will be made based on the results of the study
- В настоящее время (при поддержке проекта ЕЭК ООН/ПРООН) проводится Исследование возможности присоединения Казахстана к протоколу по СЭО
- Результаты исследования будут вынесены на обсуждение в феврале 2017 г.
- По результатам данного исследования будут приниматься дальнейшие решения

Results: EIA needs - Kyrgyzstan



| | Examples of support | Need exists | Level of importance | | |
|---|---|-------------|---------------------|---|----------------------|
| | | | Extremely important | Quite important | Not at all important |
| 1 | <p>Fostering the development of national legislation in accordance with the provisions of the Espoo Convention (and the relevant EU legislation)</p> <p>a in-depth analysis of the existing legislative and institutional framework for the application of the EIA</p> <p>b assistance in legislation writing to improve the degree of its' compliance with the Espoo Convention (and the relevant EU legislation)</p> | | | | |
| 2 | <p>Support with the distribution of roles and responsibilities between the developers, practitioners, environmental authorities and the public in implementation of the EIA procedures</p> <p>a measures to increase the awareness on EIA among governmental officials at national level and in a transboundary context, in accordance with the procedures of the Espoo Convention</p> <p>b development of regulations, guidelines and instructions on EIA at the national level and in a transboundary context</p> | + | | + | |
| | | | | + | |
| | | | | (need in guidelines; regulations exist already) | |
| 3 | <p>Support with a national capacity building in the application of the Espoo Convention procedures and the development of national guidelines on carrying out the EIA in a transboundary context, in accordance with the Espoo Convention and the relevant EU legislation</p> <p>a national and regional training for practitioners of EIA, governmental officials of relevant ministries and agencies</p> <p>b capacity building (trainings and development of guidelines) to control the quality of the EIA documentation, screening, collection of information and decision making in the framework of the relevant EIA procedures of the Espoo Convention. Defining the differences between this procedure and the EIA carried out in the framework of environmental impact assessment</p> | + | | + | |
| | | | | + | |

Results: EIA needs – Kyrgyzstan



Unofficial response

- One national-level seminar for approx.20 people on EIA in the national and transboundary context
- Joint project with UNECE
- Goals:
 - 1) to raise awareness and increase professional skills of national officials and the public of Kyrgyzstan regarding the application of the transboundary EIA under the Espoo Convention and the draft Law on EIA (2015)
 - 2) to obtain comments on the revised Guidelines on EIA procedure from a wide range of national stakeholders dealing with development projects with likely transboundary environmental impact
 - 3) to improve acceptance and facilitate approval of the draft EIA Law (2015) amongst the official structures within the government of Kyrgyzstan
- Target group: representatives from Kyrgyz Government

Неофициальный ответ

- Один национальный семинар по ОВОС в национальном и трансграничном контексте, на 20 участников
- В рамках проекта с ЕЭК ООН
- Цели:
 - 1) повысить уровень осведомленности и профессиональных навыков национальных должностных лиц и общественности Кыргызстана относительно применения трансграничной ОВОС в рамках Конвенции Эспо и проекте закона об ОВОС (2015 г.)
 - 2) получить комментарии широкого круга национальных заинтересованных сторон, занимающихся проектами с вероятным трансграничным воздействием на окружающую среду, о пересмотренном Руководстве по применению процедуры ОВОС
 - 3) улучшить принятие и содействовать утверждению среди официальных структур в правительстве Кыргызстана проекта закона об ОВОС (2015 г.)
- Целевая аудитория: представители Правительства Кыргызской Республики (министерства, ведомства, другие планирование и регулирующие органы)

Results: EIA needs - Kyrgyzstan



Which support does your country need in order to implement the EIA on national and transboundary levels in accordance with the Espoo Convention?

Trainings and developments of a methodology for EIA at the national level and in a transboundary context

On which topics not covered in this survey does your country need a training on EIA?

On procedural matters: consultations on the EIA documentation in a transboundary context

Which regional cooperation activities would be useful to your country in the creation of a transboundary EIA procedure in accordance with the Espoo Convention on national and transboundary levels?

Joint trainings/seminars with the participation of all CA countries (in addition to national seminars); tours with participation of representatives of various relevant agencies/Ministries (Ministry of Foreign Affairs, Ministry of economy, Administration of the Government and the Parliament)

Results: SEA developments - Kyrgyzstan

- At the request of the Government Administration, the basic provisions on SEA were included into the draft Law on SEA of Kyrgyzstan which had been developed by the Ministry of economy of Kyrgyzstan (*the glossary contains the determination of the term “SEA”; there is an Article saying that all the documents of state planning (plans, programs, concepts, strategy, etc.) are subject to SEA; in case of the adoption of this Law, an order regulating the carrying out of SEA will be developed and approved by the Government within 6 months*)
- по предложению Аппарата Правительства в проект Закона о стратегическом управлении в КР, разработанного Мин.экономики КР, включены основные положения относительно СЭО (*гlossарий содержит определение понятия СЭО; есть статья о том, что документы государственного планирования (планы, программы, концепции, стратегии и др.) подлежат СЭО; и при принятии этого законопроекта в течение 6 месяцев будет разработан порядок проведения СЭО, который будет утвержден Правительством*)

Results: EIA needs - Tajikistan

| | Examples of support | Need exists | Level of importance | | |
|----------|--|-------------|---------------------|-----------------|----------------------|
| | | | Extremely important | Quite important | Not at all important |
| 1 | Fostering the development of national legislation in accordance with the provisions of the Espoo Convention (and the relevant EU legislation) | + | | | |
| a | in-depth analysis of the existing legislative and institutional framework for the application of the EIA | | + | | |
| b | assistance in legislation writing to improve the degree of its' compliance with the Espoo Convention (and the relevant EU legislation) | | + | | |
| 2 | Support with the distribution of roles and responsibilities between the developers, practitioners, environmental authorities and the public in implementation of the EIA procedures | + | | | |
| a | measures to increase the awareness on EIA among governmental officials at national level and in a transboundary context, in accordance with the procedures of the Espoo Convention | | + | | |
| b | development of regulations, guidelines and instructions on EIA at the national level and in a transboundary context | | + | | |
| 3 | Support with a national capacity building in the application of the Espoo Convention procedures and the development of national guidelines on carrying out the EIA in a transboundary context, in accordance with the Espoo Convention and the relevant EU legislation | + | | | |
| a | national and regional training for practitioners of EIA, governmental officials of relevant ministries and agencies | | | + | |
| b | capacity building (trainings and development of guidelines) to control the quality of the EIA documentation, screening, collection of information and decision making in the framework of the relevant EIA procedures of the Espoo Convention. Defining the differences between this procedure and the EIA carried out in the framework of environmental impact assessment | | | + | |

Results: EIA needs- Turkmenistan



- No feedback
- Ответа на запрос не получено

Results: EIA needs - Uzbekistan

- The country confirms its request for 2-3 national seminars on the application of the Espoo Convention, as noted at the 5th Meeting of the Working Group in April 2016 (ECE/MPEIA/WG.2/2016/6)
- Страна подтверждает запрос на проведение 2-3 национальных семинаров по вопросам применения Конвенции Эспо, как было заявлено в ходе пятого совещания Рабочей Группы в апреле 2016 г. (ECE/MPEIA/WG.2/2016/6)

| Examples of support | Need exists | Level of importance | | |
|--|-------------|---------------------|-----------------|----------------------|
| | | Extremely important | Quite important | Not at all important |
| 1 Fostering the development of national legislation in accordance with the provisions of the Espoo Convention (and the relevant EU legislation) | + | | | |
| a in-depth analysis of the existing legislative and institutional framework for the application of the EIA | | | + | |
| b assistance in legislation writing to improve the degree of its' compliance with the Espoo Convention (and the relevant EU legislation) | | | | + |
| 2 Support with the distribution of roles and responsibilities between the developers, practitioners, environmental authorities and the public in implementation of the EIA procedures | + | | | |
| a measures to increase the awareness on EIA among governmental officials at national level and in a transboundary context, in accordance with the procedures of the Espoo Convention | | + | | |
| b development of regulations, guidelines and instructions on EIA at the national level and in a transboundary context | | | | + |
| 3 Support with a national capacity building in the application of the Espoo Convention procedures and the development of national guidelines on carrying out the EIA in a transboundary context, in accordance with the Espoo Convention and the relevant EU legislation | + | | | |
| a national and regional training for practitioners of EIA, governmental officials of relevant ministries and agencies | | | + | |
| b capacity building (trainings and development of guidelines) to control the quality of the EIA documentation, screening, collection of information and decision making in the framework of the relevant EIA procedures of the Espoo Convention. Defining the differences between this procedure and the EIA carried out in the framework of environmental impact assessment | | | | + |



Thank you

FOR YOUR ATTENTION