

Economic Commission for Europe

Meeting of the Parties to the Convention
on Environmental Impact Assessment
in a Transboundary Context

Meeting of the Parties to the Convention
on Environmental Impact Assessment in
a Transboundary Context serving as the
Meeting of the Parties to the Protocol on
Strategic Environmental Assessment

Working Group on Environmental Impact Assessment and Strategic Environmental Assessment

Ninth meeting

Geneva, 24–26 August 2020

Item 6 of the provisional agenda

Compliance and implementation

Workplan implementation status

This note by the secretariat provides for information of the Working Group an informal assessment of the status of the implementation of activities in the 2017–2020 workplan of the Convention and its Protocol (adopted through decision VII/3–III/3). The activities for which funding is available or that do not require funding are listed in annex I, and the waitlisted activities in annex II to the note. As resources for the waitlisted activities become available, their implementation is monitored as part of the workplan (moved up to annex I).

Annex III to the note lists additional activities, with funding, that were not originally in the workplan but were subsequently requested by countries and relate to the workplan. To facilitate the review of the workplan and its annexes, the following colours are used to indicate their degree of implementation.

<i>Degree of implementation</i>	<i>Workplan activities/sub-activities</i>	<i>Activities awaiting funding/confirmation</i>	<i>Additional activities</i>
No arrangements made, or no funding, or cancelled.	6 (10%)		1 (5%)
To be done (in kind by Parties or the secretariat).	0		
Initial arrangements/funding identified.	17 (27%)		3 (15%)
Ongoing/Firm arrangements with funding.	14 (23%)		11 (55%)
Completed	25 (40%)		5 (25%)
Total	62	15	20

Annex I

Workplan for the implementation of the Convention and its Protocol for the period 2017–2020

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
I. Compliance with and implementation of the Convention and the Protocol			Most items included in Implementation Committee and secretariat costs. Exceptions are identified below.	
I.1. Consideration by the Implementation Committee of compliance submissions and Committee initiatives.	Implementation Committee, with the support of the secretariat.	2017–2020, presented to MOP8 and MOP/MOP4	May require funding for translation of submissions (\$10,000).	Ongoing and will be completed by MOP and MOP/MOP
I.2. Report on the Committee's activities to MOP8 and MOP/MOP4.	Implementation Committee, with the support of the secretariat.	2017–2020, presented to MOP8 and MOP/MOP4	—	Ongoing and will be completed by MOP and MOP/MOP
I.3. If necessary, review of the Committee's structure and functions and operating rules.	Implementation Committee, with the support of the secretariat.	2017–2020, presented to MOP8 and MOP/MOP4	—	Ongoing and will be completed by MOP and MOP/MOP, if necessary
I.4. Examination of the outcome of the fifth review of implementation of the Convention and the second review of implementation of the Protocol.	Implementation Committee, with the support of the secretariat.	By the end of 2017	—	Ongoing by the Implementation Committee
I.5. Distribution to Parties of the questionnaires for reporting on implementation of the Convention and the Protocol in 2016–2018.	Secretariat and Parties to the Convention and the Protocol.	Questionnaires issued by end of October 2018 Return of questionnaires by end of March 2019	—	Completed by the secretariat: both questionnaires distributed on 30 October 2018. Completed: Reporting by Parties completed.
I.6. Preparation of draft	Secretariat.	Presentation	Requires external	Draft reviews prepared and

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
reviews of implementation of the Convention and the Protocol.		of the draft reviews to the Committee and the Working Group in autumn 2019 and to MOP8 and MOP/MOP4	consultants and translation of national reports (\$25,000 is required from the core budget). ^a	discussed by the Working Group in November 2019. Will be finalized for MOP8 and MOP/MOP4 based on comments received, and after a review by the Bureau/Working Group.

Activity/sub-activities	Organizational arrangements/lead countries	Time schedule	Budget	Status
I.7. Pre-accession technical legislative advice to a country or countries wishing to join the Protocol and/or the Convention to review national legislation for implementation of the Protocol and/or the Convention and to draft primary or secondary legislation or propose amendments.	<p>Lead/requesting country:</p> <p>(a) Kazakhstan: advice on drafting legislation on SEA to promote ratification of the Protocol and on transboundary EIA to promote the implementation of the Convention</p> <p><i>(Moved from annex II)</i></p> <p>(b) Tajikistan: review of national SEA legislation; support in legal drafting; awareness-raising event to promote adoption of the amended law;</p> <p>(c) Uzbekistan: review of national SEA; two to three national seminars on application of the Protocol.</p>	To be defined by the requesting countries.	Funding from the European Union, ^b Germany, Switzerland, and OSCE.	<p>(a) Completed, with support from the secretariat. Draft recommendations on SEA and EIA procedures, including amendments to the law (Environmental Code) of Kazakhstan, draft secondary legislation on SEA discussed at four consultation meetings in Astana (16-17 November 2017; 15-16 February; 25-26 April; and 5 September 2018). Final consultation held on 14 November 2018. (Further support needed, see annex III). (Funding from EU)</p> <p>(b) Completed with support from the secretariat. Review of SEA related legislation in Tajikistan finalized in February 2019. An awareness-raising workshop held on 23 November 2018; a second workshop on the development of national SEA system held on 18 January 2019. (Funding from Germany)</p> <p>(c) Completed with support from the secretariat. SEA legislative review finalized in Uzbekistan in December 2018. At the Government's request, based on the reviews' recommendations, a concept note on SEA legislative reform, and draft amendments to legislation (Law on Ecological Expertise) developed in</p>

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
				December 2018. Activity supported by several events: an awareness raising workshop (Tashkent, 27 August 2018), a meeting of a working group on legal drafting (Tashkent, 24-25 October 2018), a capacity building workshop (Tashkent, 23 January 2019). [Further legal drafting support on EIA/ SEA requested (see annex III for details).]
	(d) Kyrgyzstan (tbc): review of national SEA legislation; two awareness-raising events to promote SEA.			(d) Cancelled. Kyrgyzstan has not confirmed its request/commitment.
I.8. Collection of findings and opinions from the Committee regarding the Convention and the Protocol, posted on the website.	Secretariat.	Annual updates	—	Ongoing.
I.9. Draft terms of reference for possible guidance on addressing the applicability of the Convention with regard to decisions on the lifetime extension of nuclear power plants, involving a workshop to discuss and recommend their adoption by the Working Group on EIA and SEA.	Lead countries: Germany and the United Kingdom of Great Britain and Northern Ireland The terms of reference developed by an ad hoc working group including, among others, the following other States Parties (list updated after IS-MOP): Armenia, Austria, Belarus, Belgium, Bulgaria, Canada, Czechia, France, Finland, Greece, Italy,	First meeting of the ad hoc group in the fourth quarter of 2017, to be followed by at least one other meeting prior to the seventh meeting of the Working Group on EIA and SEA (date to be specified). Terms of reference and	—	Terms of reference prepared and adopted by the Working Group in May 2018 - work ongoing for drafting guidance (see annex III below). To prepare the ToR, the ad hoc group met twice (Luxembourg, 27-28 December 2017 and 20-21 February 2018) and also organized a workshop during WG7. The Netherlands funded additional Russian interpretation for the workshop.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
	<p>Luxembourg, the Netherlands, Norway, Poland, Portugal, Slovenia, Slovakia, Spain, Sweden, Switzerland, Ukraine, and the European Commission, serving as a secretariat to the group. (The ad hoc group might subsequently be extended to include international organizations and NGOs, as decided by the Working Group on EIA and SEA).</p> <p>The workshop organized by the ad hoc working group, with the participation, among others, of the Implementation Committee, civil society and, possibly, IAEA and OECD/NEA.</p>	the possible extended scope of the ad hoc working group to be agreed by the Working Group on EIA and SEA in May 2018.		
I.10 Finalization of the development and update of the Guidelines on EIA in a Transboundary Context for Central Asian Countries:	Central Asian countries with the support of external consultants and the secretariat.	By 2020	Funding from Switzerland.	<p>Completed. The Revised Guidelines prepared and amended further to comments by Working Group in May 2018. Endorsed by IS-MOP by decision IS/3 in February 2019.</p> <p>Published in English and Russian in October 2019.</p>
(a) One subregional meeting for Central Asian Countries (to be organized back to back with the subregional conference in Ukraine				Completed. Reported under item II.C (b)) on subregional activities.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
(b) Reviews of national legislation with regard to compliance with the provisions of the Convention and the development of recommendations (to enhance part III of the draft Guidelines).	(i) Kazakhstan	By summer 2018	Costs: Approximately \$7,000–\$10,000 per country for consultancy costs.	(i) Completed. EIA review and recommendations prepared for Kazakhstan (March 2018);
	(ii) Uzbekistan			(ii) Completed. EIA review and recommendations prepared (December 2018); a concept note on EIA legislative reform, and first draft amendments to primary legislation (Law on Ecological Expertise) developed further to the review results in December 2018
	(iii) Tajikistan			(iii) Completed. EIA review for Tajikistan finalized in February 2019);
	(iv) Turkmenistan			(iv) To be confirmed for Turkmenistan (idem for the funding)
(c) National awareness-raising events to support the updating of the Guidelines on EIA in a Transboundary Context for Central Asian Countries and to promote its application. (Moved up from annex II)	Lead/target countries: Undertaken by external consultant(s), with the support of a national coordinator and the secretariat	2017–2020	Requires donor funding (\$7,000 per event). Event in Kazakhstan funded by EU, events in Tajikistan and Uzbekistan by Switzerland	(i) Completed for Kazakhstan (Astana, 15-16 February 2018) back to back with the working group meeting on legal drafting.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
	(ii) Tajikistan,			(ii) Completed for Tajikistan (Dushanbe, 22 November 2018).
	(iii) Uzbekistan.			(iii) Completed for Uzbekistan (Tashkent, 26 August 2018)
	(iv) Turkmenistan			(iv) No funding yet
I.11. Technical legislative advice to Parties to the Convention and/or the Protocol requesting assistance (review of primary or secondary legislation; proposals for amendments). <i>(Moved up from annex II)</i>	Lead/requesting country: a) Azerbaijan: drafting of secondary legislation; b) Belarus: review of current primary and secondary legislation on SEA and EIA; c) Republic of Moldova: review of primary and secondary legislation and proposals for amendments.		2019-2020	With funding from EU4Environment: (a) support for finalizing the secondary legislation is being provided with a roundtable on secondary legislation planned in 2020 (date tbc) in Baku (late 2019 – summer 2020) (b) support for reviewing primary and secondary legislation is being planned (late 2019-2020) (c) support for reviewing legislation initiated in October 2019 and planned to be finalized in May 2020; for developing proposals for amendments planned for 2020.
I.12. After the adoption of national law by Georgia, pilot on the application of transboundary EIA between Armenia and Georgia to test their respective laws and improve transboundary procedures. <i>(Moved up from annex II)</i>	Lead/requesting country: Armenia, in cooperation with Georgia. Undertaken by external consultant(s), with the support of the secretariat.		Funding obtained. (Requires around \$100,000).	Transboundary EIA pilot no longer planned: Armenia and Georgia chose national SEA pilots under EU4Environment.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
I.4. Elaborate a long-term strategy and action plan for the future of the Convention and the Protocol (see draft decision VII/7–III/6). <i>(Moved up from annex II)</i>	To be carried out by lead countries and organizations, with support from a consultant and the secretariat. To be adopted by the Meetings of the Parties.	2017–2020	Requires in-kind contributions or a consultant for drafting (approximately \$15,000).	Ongoing. To be completed by MOP8-MOP/MOP4. 3 informal meetings of volunteering Parties held to draft a strategy with support from the secretariat. Based on meetings co-chaired by Austria and the Netherlands (London, 1 October 2018 and Rotterdam, 7 October 2019), a draft strategy submitted to WG8 in Nov 2019. A revised draft prepared based on a meeting co-chaired by the Netherlands and Poland (Warsaw, 23-24 January 2020) for consideration by the Bureau and further by WG9 and MOP8-MOP/MOP4.
II. Subregional cooperation and capacity-building to strengthen contacts between the Parties and others, including States outside the ECE region				
II.A. Baltic Sea subregion				
Two meetings on topics to be identified by the lead countries.	Lead countries: Denmark, Finland and Sweden.	(a) Autumn 2018 (possibly on a ship between Finland and Sweden); (b) Autumn/winter 2019 in Denmark.	In kind.	The two events were merged into one subregional cooperation workshop for the Baltic Sea, to be held in Copenhagen on 27-28 October 2020. Organization on-going by Denmark, in cooperation with Finland and Sweden.
II.B. South-Eastern Europe subregion				
A dedicated session on implementation of the Convention, the	Lead countries/organization s: Croatia, Romania	14 September 2017, in Vodice,	In kind (but funding required for travel of	Completed in September 2017.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
Protocol and the Bucharest Agreement in the subregion.	and Slovenia and the secretariat, held under the auspices of a regional conference on EIA hosted by Croatia.	Croatia	additional experts/participants)	
II.C. Eastern Europe, the Caucasus and Central Asia, and beyond	Lead/host countries, with support from the secretariat and from a local counterpart/NGO for logistical arrangements.			
Subregional coordination and experience-sharing events to share successes, challenges, solutions and experiences as well as the results of capacity-building activities on SEA and EIA, followed by the dissemination of seminar results in all countries of Eastern Europe, the Caucasus and Central Asia, including to the public and NGOs:				
(a) Subregional workshop building on the updated Guidelines on EIA in a Transboundary Context for Central Asian Countries;	Lead/host country: Kyrgyzstan. Participation by all countries in Central Asia. Could be extended to countries outside ECE, e.g., in South-East Asia. (Organized back to back to the subregional coordination and experience-sharing event scheduled to take place in Ukraine in late 2017).	Autumn 2017	Partial funding available (reallocation of funds Switzerland provided in 2011 for legislative support to Uzbekistan); if extended beyond ECE, further donor funding required.	Completed. Held in Kyiv, 2 and 3 November 2017. (see item I.10 (a))
(b) Seminar on lessons learned from capacity-building activities in	Lead/host country: Ukraine. Participation by all countries in	October–November 2017 (exact	Funding from the European Union (EaP-GREEN). ^d	Completed. Held in Kyiv, 31 October–2 November 2017).

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
2014–2017, including SEA pilot projects in Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine; and a subregional training-of-trainers event on SEA.	Eastern Europe and the Caucasus.	dates tbc)		
II.C.4. Promotion of principles of the Convention and the Protocol in Central Asia and beyond in the context of the recent economic developments in Asia:	Lead/target countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan and other countries.			
Subregional seminar to promote the application of the Guidelines on transboundary EIA for Central Asian countries (among high-level officials) at the Central Asian International Environmental Forum in Uzbekistan, possibly in the context of the “one belt one road initiative”.	To organized by CAREC and Uzbekistan.	Tentatively in summer 2018.	Requires funding: (\$7,000-10,000)	Completed. Held in Tashkent on 4 June 2018 with the funding from Switzerland, EU and UN regular programme for technical cooperation.
<i>(Moved from annex II)</i>				
II.C.5. Subregional conference with training of trainers on the application of SEA to climate change mitigation, to be followed by national training sessions and supplemented by the preparation of guidance. <i>(Moved from annex II)</i>	To be hosted by a lead country and contributed to by all participants. (Could be extended to all Central Asian countries (and beyond)). To be carried out by external consultants, with support from a local counterpart and the secretariat.	May/June 2020 (tbc)	Costs: Subregional conference for up to 50 participants: \$40,000–\$80,000 (depending on the duration). Staff costs for project management: P-3, \$15,000 per month and G-4, \$8,500 per month. Requires donor funding. Funding is obtained.	A subregional conference for six Eastern Europe and the Caucasus countries, to be hosted by Georgia and funded through EU4Environment is preliminarily scheduled for October 2020. The topic of the conference to be confirmed with beneficiary countries for EU4Environment.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
III. Exchange of good practices Workshops or half-day seminars within meetings of the Working Group or the Meetings of the Parties on:	To be organized by a lead country(ies) with support from the secretariat.	2017–2020	Costs (e.g., for speakers, provision and translation of materials) to be covered in kind by lead countries, to the extent possible (approximately \$10,000 per seminar). (Travel costs for countries eligible for financial support and non-ECE countries should be covered from the budget.)	
(a) Seminar on improving intersectoral cooperation and institutional arrangements for the application of the Protocol on SEA and the Convention;	Secretariat with inputs from volunteering delegates and with the possible participation of WHO. To be held in the framework of a meeting of the Working Group on EIA and SEA.	2019	In kind.	Completed. The secretariat organized a workshop on the assessment of health impacts and health sector involvement in SEA during the Working Group's 8 th meeting in November 2019, in cooperation with WHO and EIB, and with support from consultants. Selected good practice was presented by Czechia, Estonia, the Netherlands, and Wales (UK) (and reflected in the draft guidance on health submitted to WG9).
(b) Synergies between transboundary EIA and SEA and UNCLOS, arts. 204-206 <i>(moved from annex II)</i>	Lead organization: European Commission.	2019-2020	In kind.	Cancelled by the European Commission.
(c) Other workshops (tbc)				
IV. Promoting ratification and application of the Protocol on SEA and/or the		2017–2020		

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
Convention	Lead countries with the support of the secretariat and external consultant(s) and national counterparts			
IV.1. Workshops, including training, on the application of the Protocol for countries in the ECE region and other Parties to the Protocol, in particular countries of Eastern and South-Eastern Europe, the Caucasus and Central Asia:				
One national training event	Kazakhstan.	2015–2017	European Union funding for Kazakhstan. ^b with co-funding from OSCE	Completed. Training workshops in Astana within SEA pilot (see IV.4 below) Part 1: on scoping and baseline analysis (8–9 November 2017); Part 2. on impact assessment and SEA report (5 March 2018). Final event on 14 November 2018.
IV.2. National guidance documents on SEA.	Lead country: Kazakhstan	2017–2018	Funding from the European Union for Kazakhstan. ^b	Cancelled - funds reallocated for more extensive legal assistance requested by Kazakhstan. (see activity I.7. (a) above).
IV.3. Preparation of two-page informal pamphlets or “FasTips” on key issues in SEA practice on topics to be suggested (e.g., SEA for water management plans or the waste management sector; analytical methods used in SEA; and monitoring) and on the Convention and the Protocol.	Lead organization: IAIA, with the support of WHO, SEA and health experts, and from the secretariat for the dedicated “FasTips” on the Convention and the Protocol.	Ongoing	In kind. Translation of (some five) relevant “FasTips” into Russian funded by WWF Russia.	Ongoing. Secretariat prepared draft Convention and Protocol “FasTips” for Working Group’s consideration in November 2019 as official documents. Will be revised based on comments + reviewed by IAIA (in Eng, Fr and Ru) and resubmitted to Working Group in August. Work by WWF/Russia ongoing to translate several “FasTips” Completed: Six “FasTips” translated into Russian with EU (EaP GREEN) funding

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
				(see: http://www.iaia.org/translated-documents.php .)
IV.4. Pilot SEA in Kazakhstan, involving the following activities: a) Two workshops for scoping and the analysis of the baseline, impact assessment, development of mitigation measures and development of recommendations; (b) Two public consultation events; (c) Preparation of the SEA report.	Lead country: Kazakhstan as the lead/target country, with the support of an external consultant, national experts and the secretariat, and in collaboration with partner organizations, as relevant.		Likely funding from the European Union ^b Costs: for the pilots (\$80,000-\$100,000) and for staff costs of a project manager and support personnel, P-3 (\$15,000 per month) and G-4 (\$8,500 per month).	Completed. Pilot launched in November 2017 (see item IV.1. for related training events). The scoping report finalized and submitted to the Ministry of Energy at the end of March 2018. The environmental report finalised in November 2018, discussed with the national stakeholders on 14 November 2018 and submitted to the Ministry of Energy in December 2018.
IV.5. Preparation of fact sheets on the application of the Protocol.	Lead countries: Germany and other Parties. (All Parties invited to propose fact sheets. The Party preparing the fact sheet will be responsible for its layout.)	2017–2020	In kind.	Issued by Germany in January 2018 and presented at the meeting of the Working Group in May 2018. Ongoing for other Parties.
IV.6. Development of country- and sector-specific guidance for the assessment of potential health impacts of plans and programmes and for the involvement of health authorities, building on the chapter on health in the SEA Resource Manual. (Could be linked with a possible subregional workshop.) (<i>Moved from annex II</i>)	Undertaken by external consultant(s), with the support of the secretariat and WHO.		EIB funding. (Beneficiary countries to reconfirm their needs.)	Draft prepared by two consultants in consultation with the secretariat, WHO and EIB as official document for comments by Working Group in November 2019. Draft revised based on comments by EU, a task force (Austria, Finland, Ireland, Slovenia) and the Bureau – submitted for agreement by the Working Group (August 2020) prior to submission to MOP/MOP4
IV.3. Workshops for national and local	To be carried out in cooperation with the	2017–2020	Requires donor funding (\$10,000	One training workshop (SEA for municipal spatial plans)

Activity/sub-activities	Organizational arrangements/lead countries	Time schedule	Budget	Status
<p>sectoral authorities, as well as environmental and health authorities, including training, on the application of the Protocol for countries in the ECE region and beyond and the application of SEA for integrating climate change adaptation and mitigation measures into plans or programmes. (Moved from annex II)</p>	<p>lead/target countries, e.g., Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine, with support from an external consultant and the secretariat.</p>	<p>2019-2020 (2021-2022)</p>	<p>for two-day workshop) and in-kind contributions. Funding is obtained.</p>	<p>held in Georgia with funding from Czechia and EU4Environment on 16-17 May 2019</p> <p>The second training workshop in Georgia (SEA for municipal spatial plans) organized on 19-20 September 2019 with funding from Czechia and EU4Environment.</p> <p>One training workshop in Ukraine (SEA procedures) is preliminarily planned for 2020 with EU4Environment funding</p> <p>One training workshop in Moldova (quality of SEA and EIA documentation) is planned for 2020 with EU4Environment funding</p> <p>One training workshop in Georgia (theme tbc) is planned for 2020 with EU4Environment funding.</p> <p>Other national awareness raising/capacity building events to be implemented in Armenia, Azerbaijan, Belarus, the Republic of Moldova and Ukraine with EU4Environment funding:</p> <p>One awareness raising workshop on SEA application completed in Moldova on 1 November 2019 with EU4Environment funding.</p> <p>One awareness raising workshop on SEA planned in Belarus for 2020 with EU4Environment funding.</p> <p>One awareness raising workshop on SEA for local</p>

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
<p>IV.4. Pilot SEAs in selected countries, in selected sectors, involving the following activities:</p> <p>(a) Two to three workshops for scoping and the analysis of the baseline impact assessment and the development of mitigation measures and recommendations;</p> <p>(b) Two to three public consultation events;</p> <p>(c) Preparation of the SEA report and training on its quality control. <i>(Moved from annex II)</i></p>	<p>Lead/target countries and selected sectors (based on requests by the countries):</p> <p>(a) Armenia (sector tbc);</p> <p>(b) Azerbaijan (regional development, transport, agriculture tbc)</p> <p>(c) Belarus (sector tbc)</p> <p>(d) Georgia (sector tbc);</p> <p>(e) Republic of Moldova (transboundary EIA or SEA);</p> <p>(f) Ukraine (sector tbc);</p> <p>In collaboration with the support of an external consultant, national experts and the secretariat, and partner organizations, as relevant.</p>	<p>2019-2020 (2021-2022)</p>	<p>Requires funding for pilot (\$80,000-\$100,000) and for staff costs of a project manager and support personnel, P-3 (\$15,000 per month) and G-4 (\$8,500 per month).</p>	<p>authorities planned in Ukraine for 2020-2021 (tbc) with EU4Environment funding.</p> <p>Six pilot SEAs in Armenia, Azerbaijan, Belarus, Georgia, Republic of Moldova and Ukraine to be funded through EU4Environment (2019-2022) with 3 pilots planned to start in late 2020</p>
<p>IV.5. National guidance documents on the implementation of SEA. <i>(Moved from annex II)</i></p>	<p>Lead/target country to be identified.</p> <p>Armenia</p> <p>Azerbaijan</p> <p>Belarus</p> <p>Georgia</p> <p>Republic of Moldova</p> <p>Ukraine</p>	<p>2020 (2021-22)</p>	<p>Requires funding (\$20,000–\$40,000).</p>	<p>EU4Environment funding identified for Armenia, Azerbaijan, Belarus, Georgia, Republic of Moldova, Ukraine for the preparation of sector or issue specific guidelines on SEA to complement existing general guidelines on SEA procedure (preparation of guidelines in the Republic of Moldova and</p>

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
IV.6. Preparation of a video to raise awareness about and promote application of the Convention. (<i>Moved from annex II</i>)	Secretariat, consultants	2017–2020	Requires funding: (\$35,000–\$40,000).	Ukraine - planned for 2020). Preparation of guidelines in progress for Armenia and Georgia Preparation of the video on the application of the Convention to be funded through EU4Environment planned for 2020.

Abbreviations: Bucharest Agreement = Multilateral Agreement among the Countries of South-Eastern Europe for Implementation of the Espoo Convention; EIA = environmental impact assessment; IAEA = International Atomic Energy Agency; IAIA = International Association for Impact Assessment; MOP8 = the Meeting of the Parties to the Convention at its eighth session; MOP/MOP4 = the Meeting of the Parties to the Protocol at its fourth session; NGOs = non-governmental organizations; OECD/NEA = Organization for Economic Cooperation and Development Nuclear Energy Agency; SDGs = Sustainable Development Goals; SEA = strategic environmental assessment; tbc = to be confirmed; WG7 = the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment at its seventh meeting; WHO = World Health Organization; WWF = World Wide Fund for Nature.

^a The financing of the activities foreseen in the budget of the Convention and its Protocol for 2017–2020, as set out in the annex to decision VII/4/III.4, is contingent on sufficient funds being made available by Parties through their voluntary contributions to the Convention trust fund.

^b Through “Supporting Kazakhstan’s Transition to a Green Economy Model”, a European Union-funded multi-stakeholder project for 2015–2018. Funding subject to applicable procedures under the project.

^d “Greening Economies in the European Union’s Eastern Partnership” (EaP-GREEN), a European Union-funded regional multi-stakeholder project (2013-April 2018). Funding subject to applicable procedures under the project.

^e “EU4Environment” is a large EU funded Programme to follow up to the EaP GREEN Programme in the EU’s Eastern Partnership countries for the next 4-5 years. The implementing organizations include three UN organizations: UNECE, UN Environment and UNIDO. In November 2017, the European Commission adopted an “Action Document” confirming the overall funding. The project preparations are ongoing. UNECE has prepared a description of action (DoA) and a proposed budget for the component under its responsibility, with demand driven activities on SEA and transboundary EIA included or related to the Convention and the Protocol workplan. The final EU feedback on the activities and the detailed budget is still expected. The project is expected to start in late 2018/early 2019.

Annex II
List of activities awaiting funding and/or identification of lead countries or organizations for the implementation of the Convention and its Protocol for the period 2017–2020

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
<p>I. Compliance with and implementation of the Convention and the Protocol</p> <p>I.1. Reviews of legislation, procedures and practice and technical assistance in drafting legislation to strengthen Parties' implementation of and compliance with the Convention and the Protocol. Prompted by the Implementation Committee or requested by Parties themselves, as follows:</p>	<p>Undertaken by external consultant(s), with the support of the secretariat.</p> <p>Including a period in-country examining national legislation and based on earlier reviews, as available.</p>		<p>Costs: approximately \$25,000 per review, plus in-kind contributions from Parties providing experts and from target country for interpretation.</p>	
<p>(a) Technical legislative advice further to possible Committee initiatives (as decided by the Implementation Committee);</p>	<p>Supervised by members of the Committee.</p>		<p>Requires funding.</p>	<p>No requests so far.</p>
<p>(b) Technical legislative advice to Parties to the Convention and/or the Protocol requesting assistance (review of primary or secondary legislation; proposals for amendments).</p>	<p>Lead/requesting country: Kyrgyzstan: drafting of secondary legislation for the application of the Convention and an awareness-raising event to promote the adoption of the amended legal framework.</p>		<p>Funding being identified.</p>	<p>No funding identified yet</p>
<p>II. Subregional cooperation and capacity-building to strengthen contacts between the Parties and others, including States</p>	<p>To be carried out by lead countries, with support from the secretariat, as needed.</p>		<p>Participants cover their own travel and accommodation costs to the</p>	

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
outside the ECE region			extent possible, while host countries cover organizational and venue costs in kind (approximately \$5,000–\$20 000 per workshop).	
II.A. South-Eastern Europe subregion			In kind contributions/do nor funding required.	
II.A.1. Workshop(s) on implementation of the Convention, the Protocol and the Bucharest Agreement in the subregion: workshop to prepare for the first Meeting of the Parties to the Bucharest Agreement.	Lead country: Romania.	tbc	In kind (tbc).	No arrangements nor funding (but Romania confirmed at IS-MOPs its wish to organize a workshop in 2020, subject to identification of funds.
II.A.2. First Meeting of the Parties to the Bucharest Agreement.	Lead country: Romania.	tbc	No funding confirmed yet.	No arrangements/funding
II.B. Eastern Europe, the Caucasus and Central Asia (and beyond)				
II.B.3. Promotion of principles of the Convention and the Protocol in Central Asia and beyond in the context of the recent economic developments in Asia:	Lead/target countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan and other countries.			
Pilot application of the Guidelines on transboundary EIA for Central Asian countries	Lead organization: CAREC (tbc)	tbc	Requires funding: (\$100,000-\$150,000).	No funding identified
III. Exchange of good practices	To be organized by a lead country(ies) with support from the secretariat.	2017–2020	Costs (e.g., for speakers, provision and translation of materials) to be	
Workshops or half-day seminars within meetings				

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
of the Working Group or the Meetings of the Parties on:			covered in kind by lead countries, to the extent possible (approximately \$10,000 per seminar). (Travel costs for countries eligible for financial support and non-ECE countries should be covered from the budget.)	
(a) Application of the Protocol on SEA to climate change adaptation and mitigation;	Lead country(ies) or organization(s) to be identified. With possible participation of the UNFCCC secretariat;	(a) Application of the Protocol on SEA to climate change adaptation and mitigation;	Lead country(ies) or organization(s) to be identified. With possible participation of the UNFCCC secretariat;	No funding/lead countries identified at this point.
IV. Promoting ratification and application of the Protocol on SEA and/or the Convention				
IV.1. Development of good practice recommendations for the integration of climate change mitigation and adaptation measures into plans and programmes through SEA (supported by good practice examples).	Undertaken by external consultant(s), with the support of the secretariat, involving a survey to gather good practice.		Requires consultant(s) for drafting and funding for translation of the survey and responses to it (approximately \$25,000).	
IV.2. Development of guidance on the application of the Protocol on SEA to urban planning.	Undertaken by external consultants, with support from the secretariat, possibly in cooperation with THE		Requires consultant(s) for drafting and funding for	

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
	PEP, the ECE Housing and Land Management Unit, WHO and the ICLEI network.		translation (approximately \$25,000).	
IV.4. Pilot SEAs in selected countries, in selected sectors, involving the following activities: (a) Two to three workshops for scoping and the analysis of the baseline impact assessment and the development of mitigation measures and recommendations; (b) Two to three public consultation events; (c) Preparation of the SEA report and training on its quality control.	Lead/target countries and selected sectors (based on requests by the countries): (a) Russian Federation; (b) Kazakhstan; (c) Tajikistan. In collaboration with the support of an external consultant, national experts and the secretariat, and partner organizations, as relevant		Requires funding for pilot (\$80,000-\$100,000) and for staff costs of a project manager and support personnel, P-3 (\$15,000 per month) and G-4 (\$8,500 per month).	

Abbreviations: Bucharest Agreement = Multilateral Agreement among the Countries of South-Eastern Europe for Implementation of the Convention on Environmental Impact Assessment in a Transboundary Context; CAREC = Regional Environmental Centre for Central Asia; EIA = environmental impact assessment; ICLEI = Local Governments for Sustainability; NGOs = non-governmental organizations; SDGs = Sustainable Development Goals; SEA = strategic environmental assessment; SEA Resource Manual = Resource Manual to Support Application of the Protocol on Strategic Environmental Assessment (ECE/MP.EIA/17); THE PEP = Transport, Health and Environment Pan-European Programme; tbc = to be confirmed; UNCLOS = United Nations Convention on the Law of the Sea; and WHO = World Health Organization.

Annex III

List of additional activities related to the workplan for the implementation of the Convention and its Protocol for the period 2017–2020

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
Preparation and servicing of an intermediary session of the Meetings of the Parties to the Convention and the Protocol (IS-MOP and IS-MOP/MOP)	Secretariat with the Bureau and the Working Group	5-7 February 2019	\$ 30,000 (travel support to eligible countries and NGOs) from the operating reserve	Completed. IS-MOP and IS-MOP/MOP held on 5-7 February 2019 in Geneva, Switzerland.

Compliance with and implementation of the Convention and the Protocol

<i>Activity/sub-activities)</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
Drafting of guidance on the applicability of the Convention to the lifetime extension of nuclear power plants	<p>Drafted by the ad hoc working group of volunteering Convention Parties, co-chaired by Germany and the UK, in consultation with the relevant intergovernmental and non-governmental organizations, and with support from the secretariat.</p> <p>As mandated by the Working Group, and the IS-MOP, the ad hoc group pursues its work to draft guidance based on the ToR adopted by the Working Group in May 2018</p>	<p>Interim progress report to IS-MOP in February 2019;</p> <p>Updates to the Bureau and the Working Group's 8th meeting in 2019</p> <p>Draft submitted to WG9 in 2020.</p> <p>To be submitted for adoption by MOP-8 in 2020</p>	In-kind by the contributing Parties	<p>Drafting ongoing. Progress reported to IS-MOP and WG8. Draft to be commented by WG9 in August 2020, and to be finalized for MOP-8. Ad hoc group, led by Germany and UK, met in 2018: 3rd, Berlin, 20-21 June; 4th: London, 2-3 October. In 2019: 5th: Geneva, 25-26 March; 6th: Lisbon, 3-4 June 7th: Netherlands, 8-9 October; 8th: Vienna, 3-4 December The 2020 meetings were cancelled (due to COVID-19): 9th: Rome, 2-4 March 10th: Sofia, 27-29 April; 11th (Espoo, 23-23 June) and replaced by written commenting and on-line consultations. The Co-Chairs consulted NGOs in December 2017 in August + December 2018 and in June 2019; and facilitated a stakeholder workshop (Vienna, 2 December 2019), with invited speakers from NGOs, IAEA, IAIA and Aarhus Convention.</p>
An international workshop on environmental and health impacts of lifetime extension of nuclear power plants	IAIA, in cooperation with the secretariat	5 June 2019 (Lisbon) back to back with the 6 th meeting of the ad hoc	Mainly, in kind.	Completed. Presentations and conclusions available on Convention website.

Activity/sub-activities)	Organizational arrangements/lead countries	Time schedule	Budget	Status
(to support the preparation of the guidance by the ad hoc working group)	Undertaken by external consultant(s), with the support of the secretariat.	working group.	Costs: approximately \$40,000-60,000 per drafting process	(a) Ongoing with OSCE as a lead partner. Drafts secondary legislation developed and transmitted to Kazakhstan in August 2019; Response pending; awareness raising events subject to confirmation by Kazakhstan
Technical legislative advice to Parties to the Convention and/or the Protocol requesting assistance (review of primary or secondary legislation; proposals for amendments).	(a) Kazakhstan: drafting secondary legislation on EIA linked and an awareness raising events to promote the adoption of the legislation (as a follow-up to activities under item I/7 (a) in Annex I, subject of additional conformation by the Government)			
	b) Tajikistan and Uzbekistan: (i) drafting of amendments to the primary legislation and draft secondary legislation for the application of the Convention and the Protocol; (ii) an awareness-raising event to promote the adoption of the amended legal framework (as a follow-up to activities under item I/7 (c) in Annex I, subject of additional confirmation by the Government)		Funding from Germany, Switzerland and OSCE: USD approx. 130,000	(b)(i) Legal drafting Uzbekistan: Ongoing. Fact finding mission, Tashkent 1 August 2019; Training workshop, Tashkent, 19-20 August 2019; Final consultation event Tashkent, August/September 2020 (date tbc) Legal drafting planned to be completed in April 2020 but extended to August/September (due to COVID19); Tajikistan: Completed, as follows: Training workshop, Dushanbe, 10-11 October 2019; Legal drafting initiated in November 2019 and completed in April 2020, based on the

<i>Activity/sub-activities)</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
				<p>results of two events held in Dushanbe:</p> <p>Consultations with national and international experts on the development of the National Environmental Code (6 March 2020)</p> <p>Presentation of the project results to the national stakeholders (15 - 16 April 2020)</p>
	(c) Uzbekistan: preparing a Road Map for introducing EIA system in line with the Convention			(c) Completed with funding from Switzerland
	Belarus, and Georgia (including organizing awareness raising events to promote adoption of the legislation)	2019-2020 (2021)		Funding identified (EU4Environment (2019-2022)): one event planned in Georgia in 2020 and one event in Belarus in 2020

Promoting ratification and application of the Protocol on SEA and the Convention

Final publication on lessons learned from EaP GREEN funded technical assistance to support establishing modern environmental assessment systems in selected countries of Eastern Europe and the Caucasus	Undertaken by external consultant(s), with the support of the secretariat	2018	Funded by EaP GREEN	A draft publication prepared in January 2019. To be issued as an informal publication in 2020
National training scheme (mainly) for SEA and EIA practitioners	Undertaken by external consultant(s), with the support of the secretariat.	2018 – 2020	Requires funding (\$50,000–\$100,000 per country). Could be funded/partially	If need confirmed by some beneficiary countries (instead of individual training events), could be implemented with EU4Environment

<i>Activity/sub-activities)</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
			funded by EU4Environment	
Pilot SEA	Lead/target country: Belarus Selected sector/plan: draft National Water Strategy in the context of climate change until 2030 Undertaken by external consultant(s), with the support of the secretariat and in cooperation with the UNECE Water Convention Belarus	2019	Funded/co-funded by “European Union Water Initiative plus for Eastern Partnership Countries (EUWI+)”	Funding identified. Implementation initiated in July 2019 (kick-off meeting on 11 July), remote training session on SEA on 26 March 2020, completion expected in 2020.
Web-based SEA/EIA databases or information systems	Undertaken by external consultant(s), with the support of the secretariat.	2020 (or 2021-22)	Requires funding (\$100,000–\$150,000 per country).	Development of a model for a SEA database to be funded for the 6 beneficiary countries through EU4Environment planned to be initiated in 2020.
Promotion of principles of the Convention and the Protocol in Central Asia and beyond in the context of the recent economic developments in Asia:	Lead/target countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan and other countries. To be implemented by OSCE			

<i>Activity/sub-activities)</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
(a) Awareness raising event on SEA	(a)-(c) Lead/target countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan (tbc) and Uzbekistan		USD 260,000	Initiated with funding from Germany, UNECE and Switzerland:
(b) Study-tour to Germany to learn about the German SEA system	(d) Lead/target countries: Kyrgyzstan			(a) Sub-regional (inception) meeting, Vienna 16-17 October 2019 (completed).
(c) Needs assessment exercise for preparation of the capacity-building strategy on SEA				National events planned in September/October 2020 in: - Kazakhstan - Kyrgyzstan - Tajikistan - Turkmenistan - Uzbekistan
(d) Pilot application of SEA				(b) Study Tour, Berlin 2-6 December 2019 (Completed) (c) Needs assessments and national workshops January- June 2020; (*) Final conference Last week of October/First week of November 2020, Tashkent (tbc); (d) Cancelled