

# Practical application of SEA to the waste management sector of Georgia Training workshop: assessment approaches and mitigation measures

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# Scoping in SEA: Identification of the environmental and health issues to be addressed in the assessment, methods and tools

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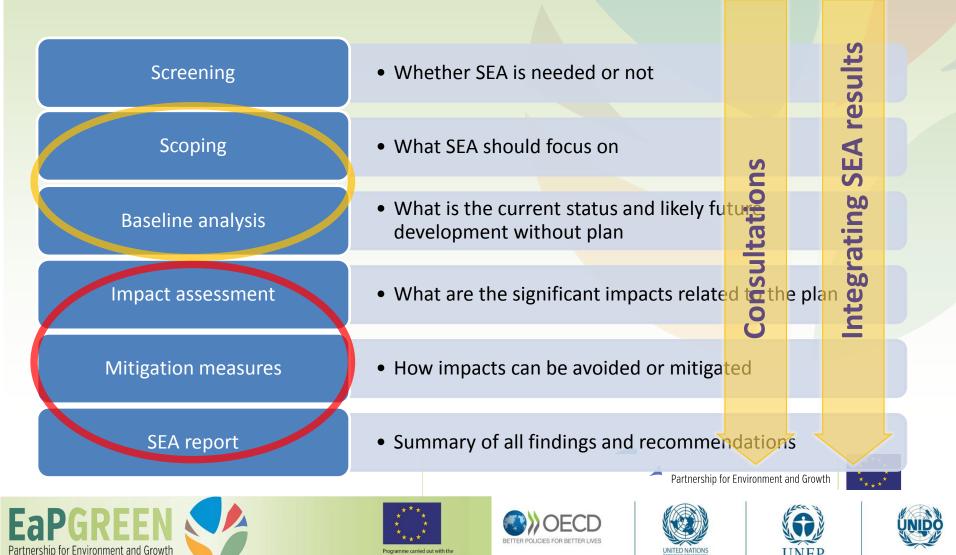








# **Typical SEA stages and analyses**



UNEP

Programme carried out with the

inancial assistance of the Europe

# Scoping

- Simply a structured method for identifying key sustainability risks or issues related to the Plan or Program (PPP) under preparation
- Scoping shall identify relevant environmental and health issues, which should be further considered within the SEA and (as far as possible) also:
  - To define territorial dimension of the assessment
  - To identify stakeholders to be involved
  - To suggest suitable environmental proposals (or specific questions, potential alternatives/options to be further elaborated in SEA and/or planning) that will guide analyses within the SEA process.
- It is important for efficiency of SEA process, since it should ensure the SEA focuses only on the likely significant effects, which are relevant for the proposed plan or program

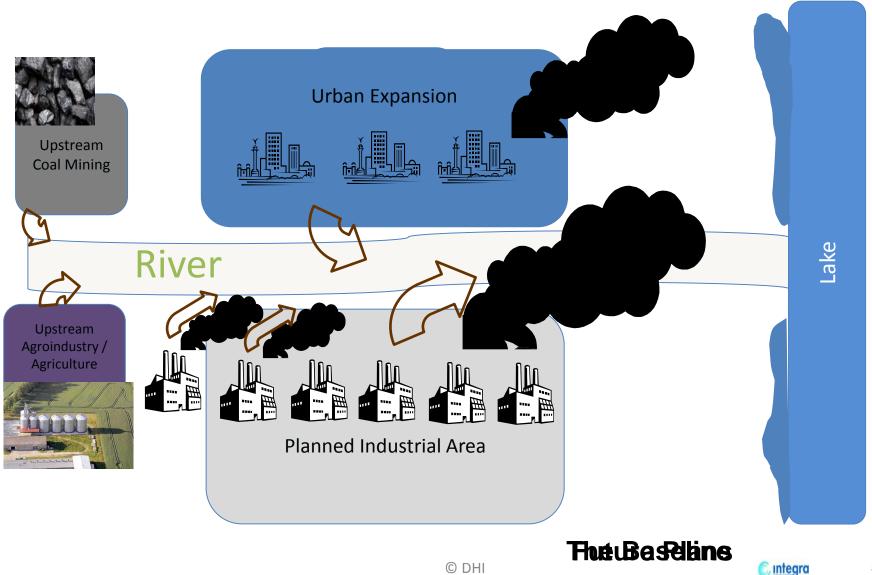


# **Key Scoping Principles**

- Use important baseline data from the PPP (area); and the combination of preliminary analysis and consultation to consider the main priorities elaborated by a PPP
- Identify key issues through appropriate levels of preliminary analyses, case examples, literature review etc.
- Keep the scope of SEA flexible in order to allow additional or new information that could lead to:
  - the discovery of new issues or risks
  - further define the importance or insignificance of an identified issue



#### Illustration: Cumulative Air and Water Quality Strategic risks



### Scoping approach

- SEA experts should, in consultations with relevant environmental authorities, identify key environmental and health issues that are relevant to the planning document and for each issue to define:
  - Geographical areas of concern
  - Stakeholders to be consulted
  - Sources of data and information
- In addition
  - Relevant environmental protection objectives should be identified and described
  - Topics/Guiding questions for further analyses shall be formulated



# Scoping tools and methods

- Many variations of analysis or ranking matrices e.g. Rapid Impact Assessment Matrix
  - Used typically for expert and Delphi approaches to scoping and affective for
    - organizing scoping analyses,
    - and prioritizing issues
- Maps and GIS mapping overlay
  - Used typically for expert and Delphi approaches to scoping and affective for
    - quickly reviewing large amounts of spatial information
    - quickly identifying possible areas of concern
- SWOT
  - Most often applied for policy scoping
- Others:
  - Decision Trees / Networks
  - Decision Support System



# What is Good SEA Scoping?

- Allowing further assessment to focus only on the key sustainability issues which may be significantly affected by the plan or programme
- Providing the input for decisions on the appropriate methods and analytical tools for further analyses of the key sustainability issues of the PPP
- Ensuring that further SEA process reflects opinions of relevant stakeholders (i.e. consultations therefore should be a part of the scoping)
- Limitations of scoping:
  - It is usually based on a limited data / general analysis
  - It does not need (and it is not intended) to be detailed
  - results should be verified through further analysis, especially where PPP mitigation development requires more detailed input



## Questions, comments?

#### Thank you for your attention!

