

**Economic Commission for Europe**

Meeting of the Parties to the Convention  
on Environmental Impact Assessment  
in a Transboundary Context

Meeting of the Parties to the Convention  
on Environmental Impact Assessment in  
a Transboundary Context serving as the  
Meeting of the Parties to the Protocol on  
Strategic Environmental Assessment

**Working Group on Environmental Impact Assessment  
and Strategic Environmental Assessment****Seventh meeting**

Geneva, 28–30 May 2018

Items 3, 4, 5, 6 and 7 of the provisional agenda

**Compliance and implementation; Application of the Convention to the lifetime  
extension of nuclear power plants; Subregional cooperation and capacity building;**

**Exchange of good practices; Promoting ratification and application of the Protocol and the Convention**

**Workplan implementation status****Note by the secretariat**

This note provides for information of the Working Group on EIA and SEA an informal assessment of the status of the implementation of activities in the 2017–2020 workplan (see annex I) and in the waiting list (see annex II) under the Convention and its Protocol (adopted through decision VII/3–III/3) until mid-April 2018. Once appropriate resources for the waitlisted activities is identified, their implementation is monitored as part of the workplan activities (i.e. the corresponding items are moved from the waitlist (annex II) up to the workplan (annex I)).

In addition, annex III to this note lists additional activities related to but not originally included in the workplan, but which were subsequently requested by the countries and for which funding was identified or not needed. To facilitate the review of the workplan and its annexes, the following colours are used to indicate the degree of implementation.

<i>Degree of implementation</i>	<i>Workplan activities</i>	<i>Activities awaiting funding/confirmation</i>	<i>Additional activities</i>
No arrangements made, or no funding, or cancelled.	2 (6%)		
To be done (in kind by Parties or by the secretariat).	5 (15%)		
Outline arrangements, including funding.	4 (12%)		6 (86%)
Ongoing/Firm arrangements with funding.	15 (48%)		1 (14%)
Completed	6 (19%)		
<b>Total</b>	<b>32</b>	<b>17</b>	<b>7</b>

## Annex I

### Workplan for the implementation of the Convention and its Protocol for the period 2017–2020

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
<b>I. Compliance with and implementation of the Convention and the Protocol</b>			Most items included in Implementation Committee and secretariat costs. Exceptions are identified below.	
I.1. Consideration by the Implementation Committee of compliance submissions and Committee initiatives.	Implementation Committee, with the support of the secretariat.	2017–2020, presented to MOP8 and MOP/MOP4	May require funding for translation of submissions (\$10,000).	Ongoing and will be completed by MOP and MOP/MOP
I.2. Report on the Committee's activities to MOP8 and MOP/MOP4.	Implementation Committee, with the support of the secretariat.	2017–2020, presented to MOP8 and MOP/MOP4	—	Ongoing and will be completed by MOP and MOP/MOP
I.3. If necessary, review of the Committee's structure and functions and operating rules.	Implementation Committee, with the support of the secretariat.	2017–2020, presented to MOP8 and MOP/MOP4	—	Ongoing and will be completed by MOP and MOP/MOP, if necessary
I.4. Examination of the outcome of the fifth review of implementation of the Convention and the second review of implementation of the Protocol.	Implementation Committee, with the support of the secretariat.	By the end of 2017	—	Ongoing by the Implementation Committee

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
I.5. Distribution to Parties of the questionnaires for reporting on implementation of the Convention and the Protocol in 2016–2018.	Secretariat.	Questionnaires issued by end of October 2018  Return of questionnaires by end of March 2019	—	To be done by the end of October 2018
I.6. Preparation of draft reviews of implementation of the Convention and the Protocol.	Secretariat.	Presentation of the draft reviews to the Committee and the Working Group in autumn 2019 and to MOP8 and MOP/MOP4	Requires external consultants and translation of national reports (\$25,000 is required from the core budget). <sup>a</sup>	To be done by WG8 and MOPs

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
I.7. Pre-accession technical legislative advice to a country or countries wishing to join the Protocol and/or the Convention to review national legislation for implementation of the Protocol and/or the Convention and to draft primary or secondary legislation or propose amendments.	Lead/requesting country: (a) Tajikistan: review of national EIA and SEA legislation; support in legal drafting; awareness-raising event to promote adoption of the amended law; (b) Uzbekistan: review of national EIA two to three national seminars on application of the Convention. (c) Kyrgyzstan (tbc): review of national SEA legislation; two awareness-raising events to promote SEA.	To be defined by the requesting countries.	Funding being identified.	Donor funding identified for reviews of national legislation in Kyrgyzstan, Tajikistan and Uzbekistan. Outline arrangements for project implementation in progress.
I.8. Collection of findings and opinions from the Committee regarding the Convention and the Protocol, posted on the website.	Secretariat.	Annual updates	—	Ongoing.
I.9. Draft terms of reference for possible guidance on addressing the applicability of the Convention with regard to decisions on the lifetime extension of nuclear power plants, involving a workshop to discuss and recommend their adoption by the Working Group on EIA and SEA.	Lead countries: Germany and the United Kingdom of Great Britain and Northern Ireland  The terms of reference developed by an ad hoc working group including, among others, the following other States Parties (list updated after MOP7): Armenia, Austria, Belarus, Bulgaria, Czechia, France, Finland, Greece, Italy, Luxembourg, the Netherlands, Norway, Poland, Portugal, Slovenia, Slovakia, Spain, Ukraine, and the European Commission, serving as a secretariat to the group. (The ad	First meeting of the ad hoc group in the fourth quarter of 2017, to be followed by at least one other meeting prior to the seventh meeting of the Working Group on EIA and SEA (date to be specified).  Terms of	—	Ongoing. Draft ToR prepared, involving two meetings (Luxembourg, 27-28 December 2017 and Brussels, 20-21 February 2018). Workshop to be organized by the co-chairs during the Working Group meeting in May 2018 to finalize the ToR. The Netherlands will

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
	<p>hoc group might subsequently be extended to include international organizations and NGOs, as decided by the Working Group on EIA and SEA).</p> <p>The workshop organized by the ad hoc working group, with the participation, among others, of the Implementation Committee, civil society and, possibly, IAEA and OECD/NEA.</p>	<p>reference and the possible extended scope of the ad hoc working group to be agreed by the Working Group on EIA and SEA in May 2018.</p>		<p>fund additional Russian interpretation to allow the workshop to start at 2 pm on 28 May.</p>
I.10 Finalization of the development and update of the Guidelines on EIA in a Transboundary Context for Central Asian Countries:	Central Asian countries with the support of external consultants and the secretariat.	By 2020	Funding from Switzerland.	Ongoing. Draft prepared and submitted to Working Group for possible comments, and endorsement by the intermediary MOP.
(a) One subregional meeting (to be organized back to back with the subregional conference in Ukraine (see item II.C (b)));		October-November 2017	Travel costs funded through savings from the previous period.	Completed. Held in Kyiv, 2 and 3 November 2017.
(b) Reviews of national legislation with regard to compliance with the provisions of the Convention and the development of recommendations (to enhance part III of the draft Guidelines).		By summer 2018	Costs: Approximately \$7,000–\$10,000 per country for consultancy costs.	Ongoing for Kazakhstan (draft prepared in March and submitted for the consideration of the Government in March 2018); Tajikistan and Uzbekistan (preparatory work started, the

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
				implementation is planned for autumn 2018). To be confirmed for Turkmenistan.
(c) National awareness-raising events to support the updating of the Guidelines on EIA in a Transboundary Context for Central Asian Countries and to promote its application. ( <i>Moved from annex II</i> )	Lead/target countries: (a) Kazakhstan, (b) Tajikistan, (c) Uzbekistan. (d) Turkmenistan  Undertaken by external consultant(s), with the support of a national coordinator and the secretariat	2017–2020	Requires donor funding (\$7,000 per event).	(a) Completed for Kazakhstan (Astana, 15-16 February 2018) back to back with the working group meeting on legal drafting.  (b and c) Negotiations on-going with Tajikistan and Uzbekistan.  (d) No funding yet.
<b>II. Subregional cooperation and capacity-building to strengthen contacts between the Parties and others, including States outside the ECE region</b>				
<b>II.A. Baltic Sea subregion</b>				
Two meetings on topics to be identified by the lead countries.	Lead countries: Denmark, Finland and Sweden.	(a) Autumn 2018 (possibly on a ship between Finland and Sweden);  (b) Autumn/	In kind.	(a) To be done in the week of 3-7 September 2018.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
		winter 2019 in Denmark.		
<b>II.B. South-Eastern Europe subregion</b>				
A dedicated session on implementation of the Convention, the Protocol and the Bucharest Agreement in the subregion.	Lead countries/organizations: Croatia, Romania and Slovenia and the secretariat, held under the auspices of a regional conference on EIA hosted by Croatia.	14 September 2017, in Vodice, Croatia	In kind (but funding required for travel of additional experts/participants)	Completed in September 2017.
<b>II.C. Eastern Europe, the Caucasus and Central Asia, and beyond</b>				
Subregional coordination and experience-sharing events to share successes, challenges, solutions and experiences as well as the results of capacity-building activities on SEA and EIA, followed by the dissemination of seminar results in all countries of Eastern Europe, the Caucasus and Central Asia, including to the public and NGOs:	Lead/host countries, with support from the secretariat and from a local counterpart/NGO for logistical arrangements.			
(a) Subregional workshop building on the updated Guidelines on EIA in a Transboundary Context for Central Asian Countries;	Lead/host country: Kyrgyzstan. Participation by all countries in Central Asia. Could be extended to countries outside ECE, e.g., in South-East Asia. (Organized back to back to the subregional coordination and experience-sharing event scheduled to take place in Ukraine in late 2017).	Autumn 2017	Partial funding available (reallocation of funds Switzerland provided in 2011 for legislative support to Uzbekistan); if extended beyond ECE, further donor	Completed. Held in Kyiv, 2 and 3 November 2017. (see item I.10 (a))

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
(b) Seminar on lessons learned from capacity-building activities in 2014–2017, including SEA pilot projects in Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine; and a subregional training-of-trainers event on SEA.	Lead/host country: Ukraine. Participation by all countries in Eastern Europe and the Caucasus.	October–November 2017 (exact dates tbc)	funding required. Funding from the European Union (EaP-GREEN). <sup>d</sup>	Completed. Held in Kyiv, 31 October - 2 November 2017).
II.C.4. Promotion of principles of the Convention and the Protocol in Central Asia and beyond in the context of the recent economic developments in Asia:	Lead/target countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan and other countries.			
Subregional seminar to promote the application of the Guidelines on transboundary EIA for Central Asian countries (among high-level officials) at the Central Asian International Environmental Forum in Uzbekistan, possibly in the context of the “one belt one road initiative”. <i>(Moved from annex II)</i>	To organized by CAREC and Uzbekistan.	Tentatively in summer 2018.	Requires funding: (\$7,000-10,000)	Scheduled to take place on 4 June 2018 with the funding from Switzerland and UN regular programme for technical cooperation.
<b>III. Exchange of good practices</b> Workshops or half-day seminars within meetings of the Working Group or the	To be organized by a lead country(ies) with support from the secretariat.	2017–2020	Costs (e.g., for speakers, provision and translation of materials) to be covered in kind by lead countries, to	



<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
Meetings of the Parties on:			the extent possible (approximately \$10,000 per seminar). (Travel costs for countries eligible for financial support and non-ECE countries should be covered from the budget.)	
(a) Seminar on improving intersectoral cooperation and institutional arrangements for the application of the Protocol on SEA and the Convention;	Secretariat with inputs from volunteering delegates and with the possible participation of WHO. To be held in the framework of a meeting of the Working Group on EIA and SEA.	2019	In kind.	Could be held in 2019, as decided by the Bureau and the Working Group. Could also discuss the draft guidelines on health.
(b) Synergies between transboundary EIA and SEA and UNCLOS, arts. 204-206 <i>(moved from annex II)</i>	Lead organization: European Commission.	2019-2020	In kind.	European Commission confirmed its interest. Could be held in 2019 or in 2020.
(b) Other workshops (tbc)				
<b>IV. Promoting ratification and application of the Protocol on SEA and/or the Convention</b>		2017–2020		
IV.1. Workshops, including training, on the application of the Protocol for countries in the ECE region and other Parties to the Protocol, in	Lead countries with the support of the secretariat and external consultant(s) and national counterparts			

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
particular countries of Eastern and South-Eastern Europe, the Caucasus and Central Asia:				
One national training event	Kazakhstan.	2015–2017	European Union funding for Kazakhstan. <sup>b</sup> with co-funding from OSCE	On-going. Training workshops in Astana within SEA pilot (see IV.4 below) Part 1: on scoping and baseline analysis (8–9 November 2017); Part 2. on impact assessment and SEA report ( 5 March 2018). Final event planned for September 2018.
IV.2. National guidance documents on SEA.	Lead country: Kazakhstan	2017–2018	Funding from the European Union for Kazakhstan. <sup>b</sup>	Cancelled - funds reallocated for more extensive legal assistance requested by Kazakhstan.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
IV.3. Preparation of two-page informal pamphlets or “FasTips” on key issues in SEA practice on topics to be suggested (e.g., SEA for water management plans or the waste management sector; analytical methods used in SEA; and monitoring) and on the Convention and the Protocol.	Lead organization: IAIA, with the support of WHO, SEA and health experts, and from the secretariat for the dedicated “FasTips” on the Convention and the Protocol.	Ongoing	In kind. Translation of (some five) relevant “FasTips” into Russian funded by WWF Russia.	Ongoing. Draft Convention and Protocol “FasTips” prepared by the secretariat for WG7 comments.  Work by WWF/Russia ongoing to translate several “FasTips”  Completed: Six “FasTips” translated into Russian with EU (EaP GREEN) funding (see: <a href="http://www.iaia.org/translated-documents.php">http://www.iaia.org/translated-documents.php</a> ).

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
<p>IV.4. Pilot SEA in Kazakhstan, involving the following activities:</p> <p>(a) Two workshops for scoping and the analysis of the baseline, impact assessment, development of mitigation measures and development of recommendations;</p> <p>(b) Two public consultation events;</p> <p>(c) Preparation of the SEA report.</p>	<p>Lead country: Kazakhstan as the lead/target country, with the support of an external consultant, national experts and the secretariat, and in collaboration with partner organizations, as relevant.</p>		<p>Likely funding from the European Union<sup>b</sup></p> <p>Costs: for the pilots (\$80,000-\$100,000) and for staff costs of a project manager and support personnel, P-3 (\$15,000 per month) and G-4 (\$8,500 per month).</p>	<p>Ongoing. Pilot launched in November 2017 with training workshop on scoping and baseline analysis. Workshop on impact assessment and SEA report; and first public consultation on the draft scoping report (Astana, 5 and 6 March 2018).</p> <p>The scoping report was finalized at the end of March 2018 and submitted to the Ministry of Energy. The draft SEA report under preparation, consultations on the draft SEA report planned for June 2018</p>
<p>IV.5. Preparation of fact sheets on the application of the Protocol.</p>	<p>Lead countries: Germany and other Parties. (All Parties invited to propose fact sheets. The Party preparing the fact sheet will be responsible for its layout.)</p>	2017–2020	In kind.	<p>Issued by Germany in January 2018, and will be presented in May. Ongoing for other Parties.</p>
<p>IV.6. Development of country- and sector-specific</p>	<p>Undertaken by external consultant(s), with the support of</p>		<p>Likely EIB funding. (Beneficiary</p>	<p>Outline arrangements with</p>

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
guidance for the assessment of potential health impacts of plans and programmes and for the involvement of health authorities, building on the chapter on health in the SEA Resource Manual. (Could be linked with a possible subregional workshop.)  (Moved from annex II)	the secretariat and WHO.		countries to reconfirm their needs.)	and funding from EIB, for draft guidance to be developed by early 2019 in cooperation with WHO.  In addition, preparation of national sector or issue specific guidelines on SEA in Eastern Europe and the Caucasus likely to be funded through EU4Environment. If requested by the beneficiary countries, some of them could address the issue of considering health effects in the national SEA procedures.

*Abbreviations:* Bucharest Agreement = Multilateral Agreement among the Countries of South-Eastern Europe for Implementation of the Espoo Convention; EIA = environmental impact assessment; IAEA = International Atomic Energy Agency; IAIA = International Association for Impact Assessment; MOP8 = the Meeting of the Parties to the Convention at its eighth session; MOP/MOP4 = the Meeting of the Parties to the Protocol at its fourth session; NGOs = non-governmental organizations; OECD/NEA = Organization for Economic Cooperation and Development Nuclear Energy Agency; SDGs = Sustainable Development Goals; SEA = strategic environmental assessment; tbc = to be confirmed; WG7 = the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment at its seventh meeting; WHO = World Health Organization; WWF = World Wide Fund for Nature.

<sup>a</sup> The financing of the activities foreseen in the budget of the Convention and its Protocol for 2017–2020, as set out in the annex to decision VII/4/III4, is contingent on sufficient funds being made available by Parties through their voluntary contributions to the Convention trust fund.

<sup>b</sup> Through “Supporting Kazakhstan’s Transition to a Green Economy Model”, a European Union-funded multi-stakeholder project for 2015–2018. Funding subject to applicable procedures under the project.

<sup>d</sup> “Greening Economies in the European Union’s Eastern Partnership” (EaP-GREEN), a European Union-funded regional multi-stakeholder project (2013-

April 2018). Funding subject to applicable procedures under the project.

<sup>e</sup> “EU4Environment” is a large EU funded Programme to follow up to the EaP GREEN Programme in the EU’s Eastern Partnership countries for the next 4-5 years. The implementing organizations include three UN organizations: UNECE, UN Environment and UNIDO. In November 2017, the European Commission adopted an “Action Document” confirming the overall funding. The project preparations are ongoing. UNECE has prepared a description of action (DoA) and a proposed budget for the component under its responsibility, with demand driven activities on SEA and transboundary EIA included or related to the Convention and the Protocol workplan. The final EU feedback on the activities and the detailed budget is still expected. The project is expected to start in Autumn 2018.

## Annex II

### List of activities awaiting funding and/or identification of lead countries or organizations for the implementation of the Convention and its Protocol for the period 2017–2020

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
<p><b>I. Compliance with and implementation of the Convention and the Protocol</b></p> <p>I.1. Reviews of legislation, procedures and practice and technical assistance in drafting legislation to strengthen Parties’ implementation of and compliance with the Convention and the Protocol. Prompted by the Implementation Committee or requested by Parties themselves, as follows:</p>	<p>Undertaken by external consultant(s), with the support of the secretariat.</p> <p>Including a period in-country examining national legislation and based on earlier reviews, as available.</p>		<p>Costs: approximately \$25,000 per review, plus in-kind contributions from Parties providing experts and from target country for interpretation.</p>	
<p>(a) Technical legislative advice further to possible Committee initiatives (as decided by the Implementation Committee);</p>	<p>Supervised by members of the Committee.</p>		<p>Requires funding.</p>	<p>No requests so far.</p>
<p>(b) Technical legislative advice to Parties to the Convention and/or the Protocol requesting assistance (review of primary or secondary</p>	<p>Lead/requesting country:</p> <p>(a) Azerbaijan; drafting of secondary legislation;</p>		<p>Funding being identified.</p>	<p>(a) Further technical legislative advice to Armenia,</p>

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
legislation; proposals for amendments).	(b) Kyrgyzstan: drafting of secondary legislation for the application of the Convention and an awareness-raising event to promote the adoption of the amended legal framework.			Azerbaijan, Belarus, and the Republic of Moldova (including organizing awareness raising events to promote adoption of the legislation) likely to be funded through EU4Environment (2018-2021)  (b) No funding identified yet
I.3. After the adoption of national law by Georgia, pilot on the application of transboundary EIA between Armenia and Georgia to test their respective laws and improve transboundary procedures.	Lead/requesting country: Armenia, in cooperation with Georgia.  Undertaken by external consultant(s), with the support of the secretariat.		Funding being identified. (Requires around \$100,000).	Likely to be funded through EU4Environment (2018-2021). The focus of the pilot may change to the national SEA (to be further confirmed by Armenia and Georgia).
I.4. Elaborate a long-term strategy and action plan for the future of the Convention and the Protocol (see draft decision VII/7–III/6).	To be carried out by lead countries and organizations, with support from a consultant and the secretariat. To be adopted by the Meetings of the Parties.	2017–2020	Requires in-kind contributions or a consultant for drafting (approximately	No volunteering lead countries/funding identified at this point.

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
			\$15,000).	
<b>II. Subregional cooperation and capacity-building to strengthen contacts between the Parties and others, including States outside the ECE region</b>	To be carried out by lead countries, with support from the secretariat, as needed.		Participants cover their own travel and accommodation costs to the extent possible, while host countries cover organizational and venue costs in kind (approximately \$5,000–\$20 000 per workshop).	
<b>II.A. South-Eastern Europe subregion</b>			In kind contributions/do nor funding required.	
II.A.1. Workshop(s) on implementation of the Convention, the Protocol and the Bucharest Agreement in the subregion: workshop to prepare for the first Meeting of the Parties to the Bucharest Agreement.	Lead country: Romania.	tbc	In kind (tbc).	No Arrangements/ no funding
II.A.2. First Meeting of the Parties to the Bucharest Agreement.	Lead country: Romania.	tbc	No funding confirmed yet.	No Arrangements/ no funding
<b>II.B. Eastern Europe, the Caucasus and Central Asia (and beyond)</b>				
II.B.1. Subregional conference with	To be hosted by a lead country and		Costs:	(a) Organization



<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
training of trainers on the application of SEA to climate change mitigation, to be followed by national training sessions and supplemented by the preparation of guidance.	<p>contributed to by all participants. Could be extended to all Central Asian countries (and beyond).</p> <p>To be carried out by external consultants, with support from a local counterpart and the secretariat.</p>		<p>Subregional conference for up to 50 participants: \$40,000–\$80,000 (depending on the duration).</p> <p>Staff costs for project management: P-3, \$15,000 per month and G-4, \$8,500 per month.</p> <p>Requires donor funding.</p>	<p>of a subregional conference for six Eastern Europe and the Caucasus countries likely to be funded through EU4Environment (2018-2021). The topic of the conference to be confirmed with beneficiary countries after the EU4Environment implementation is launched.</p> <p>(b) Funding for the countries of Central Asia likely. Fundraising ongoing.</p>
II.B.2. Subregional training workshop on the practical application of SEA and quality control of SEA documentation for SEA practitioners and sectoral authorities.	To be hosted by a lead country and contributed to by all participants. Could be extended to all Central Asian countries (and beyond).		<p>Costs: Subregional conference for up to 50 participants: \$40,000–\$80,000 (depending on the duration).</p> <p>Staff costs for project management: P-</p>	<p>Organization of subregional conference for six Eastern Europe and the Caucasus countries likely to be funded through EU4Environment (2018-2021). The topic of the conference to be confirmed with</p>

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
			3, \$15,000 per month and G-4, \$8,500 per month. Requires donor funding.	beneficiary countries after the EU4Environment implementation is launched.
II.B.3. Promotion of principles of the Convention and the Protocol in Central Asia and beyond in the context of the recent economic developments in Asia:	Lead/target countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan and other countries.			
Pilot application of the Guidelines on transboundary EIA for Central Asian countries	Lead organization: CAREC (tbc)	tbc	Requires funding: (\$100,000-\$150,000).	No funding identified
<b>III. Exchange of good practices</b> Workshops or half-day seminars within meetings of the Working Group or the Meetings of the Parties on:	To be organized by a lead country(ies) with support from the secretariat.	2017–2020	Costs (e.g., for speakers, provision and translation of materials) to be covered in kind by lead countries, to the extent possible (approximately \$10,000 per seminar). (Travel costs for countries eligible for financial support and non-ECE countries should	

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
			be covered from the budget.)	
(a) Application of the Protocol on SEA to climate change adaptation and mitigation;	Lead country(ies) or organization(s) to be identified. With possible participation of the UNFCCC secretariat;	(a) Application of the Protocol on SEA to climate change adaptation and mitigation;	Lead country(ies) or organization(s) to be identified. With possible participation of the UNFCCC secretariat;	No funding/lead countries identified at this point.
<b>IV. Promoting ratification and application of the Protocol on SEA and/or the Convention</b>				
IV.1. Development of good practice recommendations for the integration of climate change mitigation and adaptation measures into plans and programmes through SEA (supported by good practice examples).	Undertaken by external consultant(s), with the support of the secretariat, involving a survey to gather good practice.		Requires consultant(s) for drafting and funding for translation of the survey and responses to it (approximately \$25,000).	
IV.2. Development of guidance on the application of the Protocol on SEA to urban planning.	Undertaken by external consultants, with support from the secretariat, possibly in cooperation with THE PEP, the ECE Housing and Land Management Unit, WHO and the ICLEI network.		Requires consultant(s) for drafting and funding for translation (approximately \$25,000).	
IV.3. Workshops for national and local sectoral authorities, as well as environmental and health	To be carried out in cooperation with the lead/target countries, e.g., Armenia, Azerbaijan, Belarus, Georgia, the	2017–2020	Requires donor funding (\$10,000 for	<b>Organizing national awareness</b>

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
authorities, including training, on the application of the Protocol for countries in the ECE region and beyond and the application of SEA for integrating climate change adaptation and mitigation measures into plans or programmes.	Republic of Moldova and Ukraine, with support from an external consultant and the secretariat.		two-day workshop) and in-kind contributions.	raising/capacity building events targeted to environmental and health authorities to increase their capacities to perform their role in SEA and EIA procedures likely to be funded through EU4Environment.
IV.4. Pilot SEAs in selected countries, in selected sectors, involving the following activities: (a) Two to three workshops for scoping and the analysis of the baseline impact assessment and the development of mitigation measures and recommendations; (b) Two to three public consultation events; (c) Preparation of the SEA report and training on its quality control.	Lead/target countries and selected sectors (based on requests by the countries): (a) Armenia; (b) Georgia (urban development, agriculture); (c) Republic of Moldova; (d) Russian Federation; (e) Kazakhstan; (f) Ukraine (waste management and energy sectors); (g) Tajikistan.  In collaboration with the support of an external consultant, national experts and the secretariat, and partner organizations, as relevant.		Requires funding for pilot (\$80,000-\$100,000) and for staff costs of a project manager and support personnel, P-3 (\$15,000 per month) and G-4 (\$8,500 per month).	Five pilot SEAs likely to be funded through EU4Environment (2018-2021).
IV.5. National guidance documents on the implementation of SEA.	Lead/target country to be identified.	2017–2018	Requires funding (\$20,000–	Preparing sector or issue specific guidelines on SEA

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
			\$40,000).	to complement existing general guidelines on SEA procedure is likely to be funded through EU4Environment
IV.6. Preparation of a video to raise awareness about and promote application of the Convention.	Secretariat, consultants	2017–2020	Requires funding: (\$35,000–\$40,000).	Preparation of the video on the application of the Convention likely to be funded through EU4Environment.

*Abbreviations:* Bucharest Agreement = Multilateral Agreement among the Countries of South-Eastern Europe for Implementation of the Convention on Environmental Impact Assessment in a Transboundary Context; CAREC = Regional Environmental Centre for Central Asia; EIA = environmental impact assessment; ICLEI = Local Governments for Sustainability; NGOs = non-governmental organizations; SDGs = Sustainable Development Goals; SEA = strategic environmental assessment; SEA Resource Manual = Resource Manual to Support Application of the Protocol on Strategic Environmental Assessment (ECE/MP.EIA/17); THE PEP = Transport, Health and Environment Pan-European Programme; tbc = to be confirmed; UNCLOS = United Nations Convention on the Law of the Sea; and WHO = World Health Organization.

### **Annex III**

#### **List of additional activities related to the workplan for the implementation of the Convention and its Protocol for the period 2017–2020**

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
Manual on the Protocol on SEA for trainers	Undertaken by external consultant(s), with the support of the secretariat.	2015 – 2018	Funded by EaP GREEN	On-going The draft was prepared in 2015 for the first subregional train-

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
National training scheme (mainly for SEA and EIA practitioners)	Undertaken by external consultant(s), with the support of the secretariat.	2018 – 2020	Requires funding (\$50,000–\$100,000 per country).	<p>the-trainers workshop, the final version will be completed in April 2018.</p> <p>Awareness raising and capacity building activities likely to be funded through EU4Environment. It may be partially used for establishing a national training scheme (instead of organizing individual training events). This needs to be discussed with the beneficiary countries after the EU4Environment implementation is launched.</p>
Web-based SEA/EIA databases or information systems	Undertaken by external consultant(s), with the support of the secretariat.	2018 – 2020	Requires funding (\$100,000–\$150,000 per country).	Development of a model for a SEA database likely to be funded through EU4Environment.
Promotion of principles of the Convention and the Protocol in	Lead/target countries: Kazakhstan, Kyrgyzstan, Tajikistan,			

<i>Activity/sub-activities</i>	<i>Organizational arrangements/lead countries</i>	<i>Time schedule</i>	<i>Budget</i>	<i>Status</i>
Central Asia and beyond in the context of the recent economic developments in Asia:	Turkmenistan and Uzbekistan and other countries.			
(a) Awareness raising event on SEA	(a)-(c) Lead/target countries: Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan (tbc) and Uzbekistan			Potential funding identified
(b) Study-tour to Germany to learn about the German SEA system	(d) Lead/target countries: Kyrgyzstan			
(c) Needs assessment exercise for preparation of the capacity-building strategy on SEA				
(d) Pilot application of SEA				

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