



**Convention on the Protection and Use of Transboundary
Watercourses and International Lakes**

Working Group on Integrated Water Resources Management

Twelfth meeting

Geneva, 5 and 6 July 2017

Item 5 (d) of the provisional agenda

Reporting under the Convention and on Sustainable Development Goal Indicator 6.5.2

**Template for Parties for reporting under the Water Convention
and for global Sustainable Development Goal Indicator 6.5.2**

Background

At its seventh session in November 2015, by Decision VII/2, the Meeting of the Parties to the Convention on the Protection and Use of Transboundary Watercourses and International Lakes (Water Convention) introduced a regular reporting mechanism under the Convention. It also decided to carry out a pilot reporting exercise in 2017 to test the template for reporting which had been developed in 2014-2015 through a participatory process involving Parties and non-Parties to the Convention.

As the reporting under the Convention was also intended as a means to review progress towards target 6.5, the reporting template was revised in October 2016 to include questions related to the calculation of Sustainable Development Goal indicator 6.5.2, which had in the meanwhile been adopted by the Inter-agency and Expert Group on SDG Indicators (IAEG-SDGs) and the United Nations Statistical Commission. The development of SDG indicator 6.5.2 was led by UNECE and UNESCO which have been proposed as its custodian agencies.

In early 2017, all Parties were invited to report through a letter by the UNECE Executive Secretary to the ministers responsible for transboundary water cooperation. The letters were sent directly by email and formally through the permanent Missions in Geneva. The deadline for reporting was 15 May 2017.

The present document contains the reporting template and explanatory note sent to all Parties. Information on the status of reports received by 15 June 2017, the next steps and questions for discussions by the Working Group are contained in document ECE/MP.WAT/WG.1/2017/3) Reporting under the Convention and on Sustainable Development Goal 6.5.2 indicator.

I. Explanatory note to the template for reporting under the Water Convention and for global SDG indicator 6.5.2

This reporting template aims to gather information on the implementation of the Convention on the Protection and Use of Transboundary Watercourses and International Lakes (Water Convention) and on the progress on transboundary cooperation under Sustainable Development Goal (SDG) 6, target 6.5, in accordance with the global indicator 6.5.2.

A Background on reporting under the Water Convention and on global SDG indicator 6.5.2

Process under the Water Convention

By Decision VII/2, the Meeting of the Parties to the Water Convention at its seventh session in November 2015 introduced a regular reporting under the Water Convention.

The Decision highlights the main objectives and benefits of reporting. Among others, reporting is key for reviewing and enhancing national implementation of the Convention, supporting accession to it by creating a baseline, enhancing basin-specific cooperation, promoting the accumulation and exchange of lessons learned, good practices and experiences, and helping to identify the specific needs of basins, and thereby supporting the mobilization of resources, for example, for capacity-building and technical assistance activities.

The decision also emphasizes the role of reporting under the Convention as a useful means for reviewing countries' progress towards achieving the Sustainable Development Goal (SDG) target 6.5.

Monitoring global SDG indicator 6.5.2

In 2015, the United Nations General Assembly adopted the 2030 Agenda for Sustainable Development and its SDGs, including SDG 6 to ensure availability and sustainable management of water and sanitation for all.

To review progress towards the SDGs, United Nations Member States, through the Inter-agency and Expert Group on SDG Indicators (IAEG-SDGs), developed in late 2015 and early 2016, a global indicator framework, which was subsequently adopted by the United Nations Statistical Commission in March 2016.

Target 6.5 calls for countries to implement integrated water resources management at all levels, including through transboundary cooperation, as appropriate. To measure progress on transboundary cooperation in accordance to target 6.5, indicator 6.5.2 was adopted. The indicator is defined as the *percentage of transboundary basin area with an operational arrangement for transboundary cooperation*.

For SDG 6, UN-Water has been coordinating the technical input to the IAEG-SDGs on the relevant indicators and the methodologies for their measurement. UNECE and UNESCO have led the development of the step-by-step methodology to calculate indicator 6.5.2. For each indicator, the IAEG-SDGs has indicated custodian agencies at the global level. Given their mandate on transboundary water issues, UNECE and UNESCO have been designated as custodian agencies for indicator 6.5.2. Recognizing the importance of integration across SDG 6, the relevant custodian agencies for this goal are collaborating under the Integrated Monitoring of Water and Sanitation Related SDG Targets (GEMI), operating under the UN-Water umbrella.¹

As the reporting under the Convention was also intended as a means to review countries' progress towards target 6.5, the reporting template was revised to include questions related to the calculation of indicator 6.5.2.

Who should report and how?

All Parties to the Water Convention are under a good faith obligation to report in accordance with Decision VII/2.

¹ For more information, see <http://www.unwater.org/gemi/en/>.

In addition, all Parties are encouraged to fill in information in section III in order to establish a baseline for indicator 6.5.2.

You may coordinate your responses with other States with which your country shares the basin or aquifer or even prepare a joint report for shared basins

Please note that all countries sharing transboundary waters have been invited to report in relation to indicator 6.5.2 with a questionnaire similar to the present one, regardless of whether they are Parties to the Water Convention or not.

Use of the reported information

Reporting has primarily a national importance and usefulness to inform decision-making at the national and transboundary level.

At the global level, data collected through the reporting will be elaborated to define:

- the baseline for the implementation and application of the Water Convention
- the baseline for the status of transboundary cooperation in accordance to indicator 6.5.2.

Results, including synthesis reports, will be submitted both to the High Level Political Forum in July 2018 which will focus, among others, on the in depth review of SDG 6, and to the eight session of the Meeting of the Parties to the Water Convention, to be held at the end of 2018.

More information

For more information, including translations of the different documents, please refer to http://www.unece.org/env/water/transboundary_water_cooperation_reporting.html

Deadline for reporting

Countries are requested to submit their filled in template by **15 May 2017** to the Secretariat of the Water Convention

United Nations Economic Commission for Europe

Palais des Nations

1211 Geneva 10

Switzerland

E-mail: transboundary_water_cooperation_reporting@unece.org

With a copy to UNESCO for the monitoring of indicator 6.5.2

United Nations Educational, Scientific and Cultural Organization (UNESCO)

7 Place de Fontenoy

75015 Paris

France

E-mail: transboundary_water_cooperation_reporting@unesco.org

II. Template for reporting under the Water Convention and for global SDG indicator 6.5.2

Country name: [fill in]

This template or reporting form is in the form of a questionnaire to be filled out. Questions can be either “closed”, Yes /No , with appropriate boxes to tick; “open”, requiring further information to be supplied, indicated by the words in square brackets [fill in]; or a combination of both. Depending on the country situation, it will not always be necessary to fill in extra information where space is provided for this.

The questions are divided into four parts: national (section I); by transboundary basin, river, lake or aquifer (section II); list of transboundary waters and information for calculation of the SDG indicator 6.5.2, Proportion of transboundary basin area with an operational arrangement for water cooperation (section III), and final questions (section IV). Please answer open questions very briefly, and in less than 200 words, using bullet points as appropriate. Section II will need to be completed for each of the transboundary basins, rivers, lakes or aquifers (please just copy the template for these questions and fill out again for each additional transboundary basin, river, lake or aquifer).

The template encourages those reporting to refer to the reporting under other multilateral environmental agreements to which their country is a Party.

I. Transboundary water management at the national level

In this first section, you are requested to provide general information on transboundary water management at the national level. Information on specific transboundary basins, rivers, lakes or aquifers and agreements should be presented in section II and not repeated here.

1. (a) Does your country’s national legislation refer to measures to prevent, control and reduce any transboundary impact (art. 2 of the Convention)?

Yes /No

If yes, list the main national legislation: [fill in]

- (b) Do your country’s national policies, action plans and strategies refer to measures to prevent, control and reduce any transboundary impact?

Yes /No

If yes, list the main national policies, action plans and strategies: [fill in]

- (c) Does your country’s legislation provide for the following principles?

Precautionary principle Yes /No

Polluter pays principle Yes /No

Sustainable development Yes /No

- (d) Does your country have a national licensing or permitting system for wastewater discharges and other point source pollution (art. 3, para. 1 (b)) (*e.g., in industry, mining, energy, municipal, wastewater management or other sectors*)?

Yes /No

If yes, for which sectors? (please list): [fill in]

If not, please explain why not (giving the most important reasons) or provide information if there are plans to introduce a licensing or permitting system: [fill in]

If your country has a licensing system, does the system provide for setting emission limits based on best available technology?

Yes /No

(e) Are the authorized discharges monitored and controlled (art. 3, para. 1 (b))?

Yes /No

If yes, how? (Please tick the ones applicable):

- Monitoring of discharges
- Monitoring of physical and chemical impacts on water
- Monitoring of ecological impacts on water
- Conditions on permits
- Inspectorate

Other means (*please list*): [fill in]

If your country does not have a discharge monitoring system, please explain why not or provide information if there are plans to introduce a discharge monitoring system: [fill in]

(f) What are the main measures which your country takes to reduce diffuse sources of water pollution on transboundary waters (art. 3, para. 1) (*e.g., from agriculture, transport, forestry or aquaculture*)? *The measures listed below relate to agriculture, but other sectors may be more significant. Please be sure to include these under "others":*

Legislative measures

- Norm for uses of fertilizers
- Norms for uses of manure
- Bans on or norms for use of pesticides
- Others (*please list*): [fill in]

Economic and financial measures

- Monetary incentives
- Environmental taxes (such as fertilizer taxes)
- Others (*please list*): [fill in]

Agricultural extension services

Technical measures

Source control measures

- Crop rotation
- Tillage control
- Winter cover crops
- Others (*please list*): [fill in]

Other measures

- Buffer/filter strips
- Wetland reconstruction
- Sedimentation traps
- Chemical measures
- Others (*please list*): [fill in]

Other types of measures

If yes, please list: [fill in]

(g) What are the main measures which your country takes to enhance water efficiency (art. 3)?

Please tick as appropriate (not all might be relevant)

- A regulatory system regarding water abstraction
- Monitoring and control of abstractions
- Water rights are clearly defined
- Water allocation priorities are listed
- Water-saving technologies
- Advanced irrigation techniques
- Demand management activities
- Other means (please list)

(h) Does your country apply the ecosystems approach (art. 3, para. 1 (i), and art. 2, para. 1 (d))?

Yes /No

If yes, please describe how: [fill in]

(i) Does your country take specific measures to prevent the pollution of groundwaters (art. 3, para. 1 (k))?

Yes /No

If yes, please list the most important measures: [fill in]

2. Does your country require transboundary environmental impact assessment (EIA)?

Yes /No

Does your country have procedures for transboundary EIA?

Yes /No

If yes, please make reference to the legislative basis (please insert the name and section of the relevant laws). (Please note: If your country is a Party to the Convention on Environmental Impact Assessment in a Transboundary Context, you may refer to your country's report under that Convention.): [fill in]

3. Does your country have transboundary agreements or arrangements for the protection and/or management of transboundary waters (i.e., surface waters or aquifers), whether bilateral, multilateral and/or at the basin level?

Yes /No

If yes, list the bilateral, multilateral and basin agreements (listing for each of the countries concerned): [fill in]

II. Questions for each transboundary basin, river, lake or aquifer

Please complete this second section for each transboundary basin, river, lake or aquifer, or for group of basins covered by the same agreement or arrangement and where conditions are similar. It might also be convenient to group basins or sub-basins for which your country's share is very small.¹ In some instances, you may provide information on both a basin and one or more of its sub-basins, for example, where you have agreements² on both the basin and its sub-basin. You may coordinate your responses with other States with which your country shares the basin or aquifer or even prepare a joint report for shared

¹ In principle, section 2 should be submitted for every transboundary basin, river, lake or aquifer, in the country, but States may decide to group basins in which their share is small or leave out basins in which their share is very minor, e.g., below 1 per cent.

² In section II, "agreement" covers all kinds of treaties, conventions and agreements ensuring cooperation in the field of transboundary waters. Section II can also be completed for other types of arrangements, such as memorandums of understanding.

basins. General information on transboundary water management at the national level should be provided in section I and not repeated here.

Please reproduce the whole section II with its questions for each transboundary basin, river, lake or aquifer, or group of basins for which you will provide a reply.

Name of the transboundary basin, river, lake or aquifer, or group thereof, list of the riparian States, and country's share of the basin: [fill in]

1. Is there one or more transboundary (bilateral or multilateral) agreement(s) or arrangement(s) on this basin (art. 9)?

One or more agreements or arrangements exist and are in force

Agreement or arrangement developed but not in force

Agreement or arrangement developed, but not in force for all riparians

Please insert the name of the agreement or agreements or arrangements: [fill in]

Agreement or arrangement is under development

No agreement

If there is no agreement or arrangement or it is not in force, please explain briefly why not and provide information on any plans to address the situation: [fill in]

If there is no agreement or arrangement and no joint body for the transboundary basin, river, lake or aquifer then jump to question 4; if there is no agreement, but a joint body then go to question 3.

Questions 2 and 3 to be completed for each bilateral or multilateral agreement or arrangement in force in the transboundary basin, river, lake or aquifer or group of basins or sub-basins

2. (a) Does this agreement or arrangement specify the basin area subject to cooperation?

Yes /No

If yes, does it cover the entire basin, or group of basins, and all riparian States?

Yes /No

If not, what does it cover?: [fill in]

Or, if the agreement or arrangement relates to a sub-basin, does it cover the entire sub-basin?

Yes /No

Which States (including your own) are bound by the agreement or arrangement? *(Please list):* [fill in]

- (b) Are aquifers (or groundwater bodies) covered by the agreement/arrangement?

Yes /No

- (c) What is the sectoral scope of the agreement or arrangement?

All water uses

A single water use or sector

Several water uses or sectors

If one or several water uses or sectors, please list (check as appropriate):

Water uses or sectors

Industry

Agriculture

- Transport (e.g., navigation)
- Households
- Energy: hydropower and other energy types
- Tourism
- Nature protection
- Other (*please list*): [fill in]

(d) What topics or subjects of cooperation are included in the agreement or arrangement (art. 9)?

Procedural and institutional issues

- Dispute and conflict prevention and resolution
- Institutional cooperation (joint bodies)
- Consultation on planned measures
- Mutual assistance (art. 15)

Topics of cooperation

- Joint vision and management objectives
- Joint significant water management issues
- Navigation
- Environmental protection (ecosystem)
- Water quality
- Water quantity or allocation
- Cooperation in addressing floods
- Cooperation in addressing droughts
- Climate change adaptation

Monitoring and exchange

- Joint assessments
- Data collection and exchange (art. 13)
- Joint monitoring (art. 11)
- Maintenance of joint pollution inventories
- Elaboration of joint water quality objectives
- Common early warning and alarm procedures (art. 14)
- Exchange of experience between riparian States
- Exchange of information on planned measures

Joint planning and management

- Development of joint regulations on specific topics
- Development of international or joint river, lake or aquifer basin management or action plans
- Management of shared infrastructure
- Development of shared infrastructure
- Other (*please list*): [fill in]

- (e) What are the main difficulties and challenges that your country faces with the agreement or arrangement and its implementation, if any (*please describe, if applicable*): [fill in]
- (f) What are the main achievements in implementing the agreement or arrangement and what were the keys to achieving such success?: [fill in]
- (g) Please attach a copy of the agreement or arrangement or provide the web address of the document (*please attach document or insert web address, if applicable*): [fill in]
3. Is your country a member of an operational joint body or joint bodies for this agreement/arrangement (art. 9)?
- Yes /No
- If no, why not? (please explain):* [fill in]

Where there is a joint body (or bodies)

- (a) If there is a joint body, which kind of joint body (*please tick one*)?
- Plenipotentiaries
- Bilateral commission
- Basin or similar commission
- Other (*please describe*): [fill in]
- (b) Does the joint body cover the entire transboundary basin or sub-basin, river, lake or aquifer, or group of basins, and all riparian States?
- Yes /No
- (c) Which States (including your own) are member of the joint body? (*Please list*): [fill in]
- (d) Does the joint body have any of the following features (*please tick the ones applicable*)?
- A secretariat
- If the secretariat is a permanent one, is it a joint secretariat or does each country host its own secretariat? (Please describe):* [fill in]
- A subsidiary body or bodies
- Please list (e.g., working groups on specific topics):* [fill in]
- Other features (*please list*): [fill in]
- (e) What are the tasks and activities of this joint body (art. 9, para. 2)?³
- Identification of pollution sources
- Data collection and exchange
- Joint monitoring
- Maintenance of joint pollution inventories
- Setting emission limits
- Elaboration of joint water quality objectives
- Management and prevention of flood or drought risks
- Preparedness for extreme events, e.g., common early warning and alarm procedures

³ This may include tasks according to the agreement or tasks added by the joint body, or its subsidiaries. Both tasks which joint bodies coordinate and tasks which they implement should be included.

- Water allocation and/or flow regulation
- Policy development
- Control of implementation
- Exchange of experience between riparian States
- Exchange of information on existing and planned uses of water and related installations
- Settling of differences and conflicts
- Consultations on planned measures
- Exchange of information on best available technology
- Participation in transboundary EIA
- Development of river, lake or aquifer basin management or action plans
- Management of shared infrastructure
- Addressing hydromorphological alterations
- Climate change adaptation
- Joint communication strategy
- Basin-wide or joint public participation and consultation of, for example, basin management plans
- Joint resources to support transboundary cooperation
- Capacity-building
- Any other tasks (*please list*): [fill in]

(f) What are the main difficulties and challenges that your country faces with the operation of the joint body, if any?

- Governance issues
Please describe, if any: [fill in]
- Unexpected planning delays
Please describe, if any: [fill in]
- Lack of resources
Please describe, if true: [fill in]
- Lack of mechanism for implementing measures
Please describe, if true: [fill in]
- Lack of effective measures
Please describe, if true: [fill in]
- Unexpected extreme events
Please describe, if any: [fill in]
- Lack of information and reliable forecasts
Please describe, if any: [fill in]
- Others (*please list and describe, as appropriate*): [fill in]

(g) If not all riparian States are members of the joint body how does the body cooperate with them?

- No cooperation
- They have observer status
- Other (*please describe*): [fill in]

(h) Does the joint body or its subsidiary bodies meet regularly?

Yes /No

If yes, how frequently does it meet? [fill in]

(i) What are the main achievements with regards to the joint body?: [fill in]

(j) Are representatives of international organizations invited to the meetings of the joint body (or bodies) as observers?

Yes /No

(k) Did the joint body ever invite a coastal State to cooperate (art. 9, paras. 3 and 4)?

Yes /No

If yes, please give details. If no, why not?: [fill in]

4. Is there a joint or coordinated management plan (such as an action plan or a common strategy) or have joint objectives been set specifically on the transboundary waters subject to cooperation (art. 9, para. 2 (f)) ?

Yes /No

If yes, please provide further details: [fill in]

5. How is the transboundary basin, river, lake or aquifer protected, including the protection of ecosystems, in the context of sustainable and rational water use (art. 2, para. 2 (b), and art. 3, para. 1 (i)) ?

Afforestation

Restoration of ecosystems

Environmental flow norms

Groundwater measures (e.g., protection zones)

Other measures (*please list*): [fill in]

6. (a) Does your country exchange information and data with other riparian States in the basin (art. 13)?

Yes /No

(b) If yes, on what subjects are information and data exchanged?

Environmental conditions (art. 13, para. (1) (a))

Research activities and application of best available techniques (arts. 5, 12 and 13, para. 1 (b))

Emission monitoring data (art. 13, para. 1 (c))

Planned measures taken to prevent, control or reduce transboundary impacts (art. 13, para. 1 (d))

Point source pollution sources

Diffuse pollution sources

Existing hydromorphological alterations (dams, etc.)

Discharges

Water abstractions

Future planned measures with transboundary impacts, such as infrastructure development

Other subjects (*please list*): [fill in]

(c) Is there a shared database or information platform?

Yes /No

(d) Is the database publicly available?

Yes /No

If yes, please provide the web address: [fill in]

(e) What are the main difficulties and challenges to data exchange, if applicable?
(*please describe*): [fill in]

(f) What are the main benefits of data exchange on the transboundary waters subject to cooperation? (*please describe*): [fill in]

7. Do the riparian States carry out joint monitoring in the transboundary basin, river, lake or aquifer (art. 11, para. 1)?

Yes /No

(a) If yes, what does the joint monitoring cover?

	Covered?	Hydrological	Ecological	Chemical
Border surface waters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Surface waters in the entire basin	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Surface waters on the main watercourse	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Connected aquifers (or groundwaters)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Unconnected aquifers (or groundwaters)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(b) If joint monitoring is carried out, how is this done?

National monitoring stations connected through a network or common stations	<input type="checkbox"/>
Joint and agreed methodologies	<input type="checkbox"/>
Joint sampling	<input type="checkbox"/>
Common monitoring network	<input type="checkbox"/>
Common agreed parameters	<input type="checkbox"/>

(c) Please describe the main achievements regarding joint monitoring, if any: [fill in]

(d) Please describe any difficulties experienced with joint monitoring: [fill in]

8. Do the riparian States carry out joint assessment of the transboundary basin, river, lake or aquifer (art. 11)?

Yes /No

If yes, please provide the date of the last or only assessment, the frequency and scope (e.g., surface waters or groundwaters only, pollution sources, etc.) of the assessment: [fill in]

9. Have the riparian States agreed to use joint water quality standards?

Yes /No

If yes, is the basis an international or regional standard (please specify which) or has it been adapted from the national standards of the riparian States?: [fill in]

10. What are the measures implemented to prevent or limit the transboundary impact of accidental pollution (art. 14)?

Notification and communication	<input type="checkbox"/>
Coordinated or joint alarm system for accidental water pollution	<input type="checkbox"/>
Other (<i>please list</i>): [fill in]	
No measures	<input type="checkbox"/>

If not, why not? What difficulties does your country face in putting in place such measures?: [fill in]

11. What are the measures implemented to prevent or limit the transboundary impact of extreme weather events (art. 14)?

- Notification and communication
- Coordinated or joint alarm system for floods
- Coordinated or joint alarm system for droughts
- Joint climate change adaptation strategy
- Joint disaster risk reduction strategy
- Other (*please list*): [fill in]
- No measures

If not, why not? What difficulties does your country face in putting in place such measures?: [fill in]

12. Are procedures in place for mutual assistance in case of a critical situation (art. 15)?

Yes /No

If yes, please provide a brief summary: [fill in]

13. Are the public or relevant stakeholders involved in transboundary water management in the basin, river, lake or aquifer? (art. 16)?

Yes /No

If yes, how? (please tick all applicable) (Please note: If your country is a Party to the Convention on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters (Aarhus Convention), you may refer to your country's report under that Convention.):

- Stakeholders have observer status in a joint body
- If yes, please specify the stakeholders for each joint body: [fill in]*
- Availability of information to the public
- Consultation on planned measures or river basin management plans⁴
- Public involvement
- Other (*please specify*): [fill in]

Please remember to complete section II for each of the transboundary basins, rivers, lakes or aquifers. Please also remember to attach copies of agreements, if any.

⁴ Or, where applicable, aquifer management plans.

III. Calculation of SDG indicator 6.5.2

Methodology

Using the information gathered in section II, the information gathered in this section allows for the calculation of the Sustainable Development Goal global indicator 6.5.2, which is defined as *the proportion of transboundary basins' area with an operational arrangement for water cooperation*.

The Step-by-step monitoring methodology for SDG indicator 6.5.2⁵, developed by UNECE and UNESCO in the framework of UN Water, can be referred to for details on the necessary data, the definitions and the calculation.

The value of the indicator at the national level is derived by **adding up the surface area in a country of those transboundary surface water catchments and transboundary aquifers (i.e. 'transboundary' basins) that are covered by an operational arrangement and dividing the obtained area by the aggregate total area in a country of all transboundary basins (both catchments and aquifers)**.

Transboundary basins are basins of transboundary waters, that is, of any surface waters (notably rivers, lakes) or groundwaters which mark, cross or are located on boundaries between by two or more States. For the purpose of the calculation of this indicator, for a transboundary river or lake, the basin area is determined by the extent of its catchment. For groundwater, the area to be considered is the extent of the aquifer.

An "**arrangement for water cooperation**" is a bilateral or multilateral treaty, convention, agreement or other formal arrangement among riparian countries that provides a framework for cooperation on transboundary water management.

For an arrangement to be considered "**operational**" all the following criteria needs to be fulfilled:

- There is a joint body, joint mechanism or commission (e.g. a river basin organization) for transboundary cooperation,
- There are regular (at least once per year) formal communications between riparian countries in form of meetings (either at the political or technical level),
- There is a joint or coordinated water management plan(s), or joint objectives have been set, and
- There is a regular (at least once per year) exchange of data and information.

Calculation of indicator 6.5.2

Please list in the tables below the transboundary basins (rivers and lakes and aquifers) in your country's territory and provide the following information for each of them:

- the country/ies with which the basin is shared;
- the surface area of these basins (the catchment of rivers or lakes and the aquifer in the case of groundwater) within the territory of your country (in km²); and
- the surface area of these basins within the territory of your country which is covered by a cooperation arrangement that is operational according to the above criteria (please consider the replies to the questions in section II, in particular questions 1, 2, 3, 4 and 6).

In case an operational arrangement is in place only for a sub-basin or portion of a basin, please list this sub-basin just after the transboundary basin it is part of. In case there is an operational arrangement for the whole basin, do not list sub-basins in the table below.

⁵ Available at <http://www.unwater.org/publications/publications-detail/en/c/428764/>.

Transboundary basin (river or lake) [please add rows as needed]

Name of transboundary basin / sub-basin	Countries shared with	Surface area of the basin / sub-basin (in km ²) within the territory of the country	Surface area of the basin / sub-basin (in km ²) covered by an operational arrangement within the territory of the country
Total surface area of transboundary basins / sub-basins of rivers and lakes covered by operational arrangements within the territory of the country (in km²) [A] (do not double count sub-basins)			
Total surface area of transboundary basins of rivers and lakes within the territory of the country (in km²) [B] (do not double count sub-basins)			

Transboundary aquifers [please add rows as needed]

Name of the transboundary aquifer	Countries shared with	Surface area (in km ²) ⁶ within the territory of the country	Covered by an operational arrangement (yes/no)
Sub-total: surface area of transboundary aquifers covered by operational arrangements (in km²) [C]			
Total surface area of transboundary aquifers (in km²) [D]			

Indicator value for the country

$$((A + C)/(B + D)) \times 100\% =$$

⁶ For a transboundary aquifer, the extent is derived from the aquifer system delineation which is commonly done relying on information of the subsurface (notably the extent of geological formations). As a general rule, the delineation of aquifer systems is based on the delineation of the extent of the hydraulically connected water-bearing geological formations. Aquifer systems are three-dimensional objects and the aquifer area taken into account is the projection on the land surface of the system. Ideally, when different aquifer systems not hydraulically connected are vertically superposed, the different relevant projected areas are to be considered separately, unless the different aquifer systems are managed conjunctively.

Additional information

If the respondent has comments that clarify assumptions or interpretations made for the calculation, or the level of certainty of the spatial information, please write them here:

Spatial information

If a map (or maps) of the transboundary surface water catchments and transboundary aquifers (i.e. 'transboundary basins') is available, please attach them. Ideally, shapefiles of the basin and aquifer delineations that can be viewed in Geographical Information Systems should be sent.

IV. Final questions

1. What are the main challenges your country faces in implementing the Convention and cooperating on transboundary waters? (*Please describe*): [fill in]
2. What have been the main achievements in implementing the Convention and cooperating on transboundary waters? What were the keys to achieving that success? (*Please describe concrete examples*): [fill in]
3. Name and contact details of the person(s) who filled out the questionnaire (*please insert*): [fill in]
Date: [fill in] Signature: [fill in]
4. Please include any additional information on the process of preparing the report (e.g., whether there was an exchange or consultation within the joint body or with riparian countries), in particular which institutions have been consulted (*please describe*): [fill in]
5. If you have any other comments please add them here (*insert comments*): [fill in]

Thank you very much for taking the time to complete this report.