Regulation No. 37

This document shows all content of Regulation No. 37 up to and including:

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<tr>
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<td>........../ WP.29/2015/18</td>
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Including:

- Paragraphs amended for the simplification of light source regulations.

Some issues are still open.
Regulation No. 37

Uniform provisions concerning the approval of filament lamps for use in approved lamp units of power-driven vehicles and of their trailers

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1. **Scope**

This Regulation applies to filament lamps shown in Annex 1 and intended for use in approved lamp units of power-driven vehicles and of their trailers.

2. **Administrative provisions**

2.1. Definitions

2.1.1. Definition of "category"

The term "category" is used in this Regulation to describe different basic design of standardised filament lamps. Each category has a specific designation, as for example: "H4", "P21W", "T4W", "PY21W" or "RR10W".

2.1.2. Definition of "type"

Filament lamps of different types are filament lamps within the same category which differ in such essential respects as:

2.1.2.1. Trade name or mark (Filament lamps bearing the same trade name or mark but produced by different manufacturers are considered as being of different types. Filament lamps produced by the same manufacturer differing only by the trade name or mark may be considered to be of the same type);

2.1.2.2. Bulb design and/or cap design, in so far as these differences affect the optical results;

2.1.2.3. Rated voltage;

2.1.2.4. Halogen.

2.2. Application for approval

2.2.1. Application for approval shall be submitted by the owner of the trade name or mark, or by his duly accredited representative.

2.2.2. Every application for approval shall be accompanied (see also paragraph 2.4.2.) by:

2.2.2.1. Drawings in triplicate, sufficiently detailed to permit identification of the type;

2.2.2.2. A brief technical description; **this shall include the shape of the filament if the relevant light source sheet specifies that a straight or V-shaped filament is allowed**;

2.2.2.3. Five samples of each colour which has been applied for;

2.2.3. In the case of a type of filament lamp differing only by the trade name or mark from a type that has already been approved it shall be sufficient to submit:

---

1 A selective-yellow bulb or an additional selective-yellow outer bulb, solely intended to change the colour but not the other characteristics of a filament lamp emitting white light, does not constitute a change of type of the filament lamp.
2.2.3.1. A declaration by the manufacturer that the type submitted is identical (except in the trade name or mark) with and has been produced by the same manufacturer as, the type already approved, the latter being identified by its approval code;

2.2.3.2. Two samples bearing the new trade name or mark.

2.2.4. The competent authority shall verify the existence of satisfactory arrangements for ensuring effective control of the conformity of production before type approval is granted.

2.3. Inscriptions

2.3.1. Filament lamps submitted for approval shall bear on the cap or bulb:

2.3.1.1. The trade name or mark of the applicant;

2.3.1.2. The rated voltage. However, for filament lamps for which only a 12 V type is standardised and the maximum allowed bulb diameter of which does not exceed 7.5 mm, the rated voltage need not be marked;

2.3.1.3. The international designation of the relevant category. The wattage character "W" of this designation need not be marked when the maximum allowed bulb diameter of the filament lamp type does not exceed 7.5 mm;

2.3.1.4. The rated wattage (in the sequence, high wattage/low wattage filament for dual-filament lamps); this need not be indicated separately if it is part of the international designation of the relevant filament lamp category;

2.3.1.5. A space of sufficient size to accommodate the approval mark.

2.3.2. The space mentioned in paragraph 2.3.1.5. above shall be indicated in the drawings accompanying the application for approval.

2.3.3. Halogen filament lamps meeting the requirements of paragraph 3.7. below shall be marked with a "U".

2.3.4. Inscriptions other than those covered by paragraphs 2.3.1. and 2.4.3. may be affixed, on the condition that they do not adversely affect the luminous characteristics.

2.4. Approval

2.4.1. If all samples of a type of filament lamp which are submitted in pursuance of paragraphs 2.2.2.3. or 2.2.3.2. above meet the requirements of this Regulation, approval shall be granted.

2.4.2. An approval code shall be assigned to each type approved. Its first character (at present 2, corresponding to the 02 series of amendments which entered into force on 27 October 1983 and to the 03 series of amendments (not requiring changes in the approval number), which entered into force on 1 June 1984) shall indicate the series of amendments incorporating the most recent major technical amendments made to the Regulation at the time of issue of the approval. This will be followed by an identification code comprising not more than three characters. Only the Arabic numerals and capital letters listed in footnote 3 shall be used. The same Contracting Party

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2 In the latter case, the luminous characteristics shall not be adversely affected.

3 0 1 2 3 4 5 6 7 8 9
   A B C D E F G H J K L M N P R S T U V W X Y Z
may not assign the same code to another type of filament lamp. Notice of approval or of extension or refusal or withdrawal of approval or production definitively discontinued of a type of filament lamp pursuant to this Regulation shall be communicated to the Parties of the Agreement which apply this Regulation by means of a form conforming to the model in Annex 2 to this Regulation and of a drawing, supplied by the applicant for approval in a format not exceeding A4 (210 x 297 mm) and on a scale of at least 2: 1. If the applicant so desires, the same approval code may be assigned to the filament lamp emitting white light and to the filament lamp emitting selective-yellow light (see para. 2.1.2.3.).

2.4.3. To every filament lamp conforming to a type approved under this Regulation there shall be affixed in the space referred to in paragraph 2.3.1.5., in addition to the inscriptions required under paragraph 2.3.1., an international approval mark consisting of:

2.4.3.1. A truncated circle surrounding the letter "E" followed by the distinguishing number of the country which has granted approval;4

2.4.3.2. The approval code, placed close to the truncated circle.

2.4.4. If the applicant has obtained the same approval code for several trade names or marks, one or more of them will suffice to meet the requirements of paragraph 2.3.1.1.

2.4.5. The marks and inscriptions specified in paragraphs 2.3.1. and 2.4.3. shall be clearly legible and be indelible.

2.4.6. Annex 3 to this Regulation gives an example of arrangement of the approval mark.

3. Technical requirements

3.1. Definitions

3.1.1. Rated voltage: voltage (in volts) marked on the filament lamp;

3.1.2. Rated wattage: wattage (in watts) marked on the filament lamp which may be incorporated into the international designation of the relevant category;

3.1.3. Test voltage: voltage, at the filament lamp terminals for which the electrical and photometric characteristics of the filament lamp are intended and are to be tested.

3.1.4. Objective values: values to be achieved, within the specified tolerances, when the filament lamp is supplied with current at its test voltage;

3.1.5. Standard (étalon) filament lamp: a filament lamp emitting white or amber or red light with reduced dimensional tolerances, used for the photometric testing of lighting and light-signalling devices. Standard filament lamps are specified in only one voltage rating for each category;

3.1.6. Reference luminous flux: specified luminous flux of a standard filament lamp to which the optical characteristics of a lighting device shall be referred;

3.1.7. Measuring luminous flux: specified value of the luminous flux for testing a filament lamp in a standard headlamp as specified in paragraph 3.8.;

3.1.8. Reference axis: an axis defined with reference to the cap and to which certain dimensions of the filament lamp are referred;

3.1.9. Reference plane: a plane defined with reference to the cap and to which certain dimensions of the filament lamp are referred.

3.1.10. Filament light source (filament lamp): a light source where the element for visible radiation is one or more heated filaments producing thermal radiation.

3.1.11. Lamp unit: device, using a light source and designed to either illuminate the road, to illuminate the rear registration plate or to emit a light signal to other road users.

3.2. General specifications

3.2.1. Each sample submitted shall conform to the relevant specifications of this Regulation.

3.2.2. Filament lamps shall be so designed as to be and to remain in good working order when in normal use. They shall moreover exhibit no fault in design or manufacture.

3.2.3. The filament(s) as specified in the data sheet of the relevant category in Annex 1 shall be the only element(s) of the filament lamp that generate and emit light when energised.

3.3. Manufacture

3.3.1. Filament lamp bulbs shall exhibit no scores or spots which might impair their efficiency and their optical performance.

3.3.2. Filament lamps shall be equipped with standard caps complying with the cap data sheets of IEC Publication 60061, third edition, as specified on the individual data sheets of Annex 1.

3.3.3. The cap shall be strong and firmly secured to the bulb.

3.3.4. To ascertain whether filament lamps conform to the requirements of paragraphs 3.3.1. to 3.3.3. above, a visual inspection, a dimension check and, where necessary, a trial fitting shall be carried out.

3.4. Tests

3.4.1. Filament lamps shall first be aged at their test voltage for approximately one hour. For dual-filament lamps, each filament shall be aged separately. In the case of filament lamps, for which more than one test voltage is specified, the highest test voltage value shall be used for ageing.

3.4.2. In the case of a filament lamp having a coated bulb, after the ageing period corresponding to paragraph 3.4.1., the surface of the bulb shall be lightly wiped with a cotton cloth soaked in a mixture of 70 vol. per cent of n-heptane and 30 vol. per cent of toluol. After about five minutes, the surface shall be inspected visually. It shall not show any apparent changes.
3.4.3. The position and dimensions of the filament shall be measured with the filament lamps being supplied with current at from 90 per cent to 100 per cent of the test voltage. In the case of filament lamps, for which more than one test voltage is specified, the highest test voltage value shall be used for measurement of the position and dimensions of the filament.

3.4.4. Unless otherwise specified, electrical and photometric measurements shall be carried out at the test voltage(s).

3.4.5. Electrical measurements shall be carried out with instruments of at least class 0.2.

3.4.6. The luminous flux (in lumen) specified on the filament lamp data sheets of Annex 1 is valid for filament lamps emitting white light unless a special colour is stated there.

In the case where the selective-yellow colour is allowed, the luminous flux of the filament lamp with the selective-yellow outer bulb shall be at least 85 per cent of the specified luminous flux of the relevant filament lamp emitting white light.

3.5. Filament position and dimensions

3.5.1. The geometric shapes of the filament shall in principle be as specified on the filament lamp data sheets of Annex 1.

3.5.2. For line filaments the correct position and shape shall be checked as specified in the relevant data sheets.

3.5.3. If the filament is shown on the filament lamp data sheet in at least one view as a point, the position of the luminous centre shall be determined in conformity with Annex 4.

3.5.4. The length of a line filament shall be determined by its ends, defined - unless otherwise specified on the relevant data sheet - as the apices of the first and the last filament turn as seen in projection perpendicular to the reference axis of the filament lamp. Such an apex shall comply with the requirement that the angle formed by the legs shall not exceed 90°. In the case of coiled-coil filaments the apices of the secondary turns shall be taken into account. Apices outside the point of connection to the current lead-in legs shall be disregarded for the determination of the filament length.

3.5.4.1. For axial filaments the extreme position of the apices considered shall be determined by rotating the filament lamp about its reference axis. The length shall then be measured in a direction parallel to the reference axis.

3.5.4.2. For transverse filaments the filament axis shall be placed perpendicular to the direction of projection. The length shall be measured in a direction perpendicular to the reference axis.

3.6. Colour

3.6.1. The colour of the light emitted by the filament lamp shall be white unless otherwise specified on the relevant data sheet.

3.6.2. The definitions of the colour of the light emitted, given in Regulation No. 48 Part B and its series of amendments in force at the time of application for type approval, shall apply to this Regulation.
3.6.3. The colour of the light emitted shall be measured by the method specified in Annex 5. Each measured value shall lie within the required tolerance area. Moreover, in the case of filament lamps emitting white light, the measured values shall not deviate more than 0.020 unit in the x and/or y direction from a point of choice on the Planckian locus (CIE 015:2004, 3rd edition). Filament lamps for use in light signalling devices shall meet the requirements as specified in paragraph 2.4.2. of IEC Publication 60809, Edition 3.

3.7. UV radiation

The UV radiation of a halogen filament lamp shall be such that:

\[
k_1 = \frac{\int_{315\text{ nm}}^{780\text{ nm}} E_e(\lambda) \cdot d\lambda}{k_m \cdot \int_{380\text{ nm}}^{780\text{ nm}} E_e(\lambda) \cdot V(\lambda) \cdot d\lambda} \leq 2 \cdot 10^{-4} \text{ W/lm}
\]

\[
k_2 = \frac{\int_{250\text{ nm}}^{315\text{ nm}} E_e(\lambda) \cdot d\lambda}{k_m \cdot \int_{380\text{ nm}}^{780\text{ nm}} E_e(\lambda) \cdot V(\lambda) \cdot d\lambda} \leq 2 \cdot 10^{-6} \text{ W/lm}
\]

where:

- \(E_e(\lambda)\) (W/nm) is the spectral distribution of the radiant flux;
- \(V(\lambda)\) (1) is the spectral luminous efficiency;
- \(k_m = 683\) (lm/W) is the photometric radiation equivalent;
- \(\lambda\) (nm) is the wavelength.

This value shall be calculated using intervals of five nanometres.

3.8. Observation concerning selective-yellow colour

An approval of a filament lamp type under this Regulation may be granted, pursuant to paragraph 3.6. above, for a filament lamp emitting white light as well as selective-yellow light; Article 3 of the Agreement to which this Regulation is annexed shall not prevent the Contracting Parties from prohibiting, on vehicles registered by them, filament lamps emitting either white or selective-yellow light.

3.9. Check on optical quality

(Appplies only to filament lamps of categories R2, H4, and HS1 with an internal shield to produce the cut-off).

3.9.1. This check on optical quality shall be carried out at a voltage such that the measuring luminous flux is obtained; the specifications of paragraph 3.4.6. are to be observed accordingly.

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5 For Conformity of Production purposes of amber and red colour only, at least 80 per cent of the measuring results shall lie within the required tolerance area.
3.9.2. For 12-Volt filament lamps emitting white light:
The sample which most nearly conforms to the requirements laid down for the standard filament lamp shall be tested in a standard headlamp as specified in paragraph 3.9.5. and it shall be verified whether the assembly comprising the aforesaid headlamp and the filament lamp being tested meets the light-distribution requirements laid down for the passing beam in the relevant Regulation.

3.9.3. For 6-Volt and 24-Volt filament lamps emitting white light:
The sample which most nearly conforms to the nominal dimension values shall be tested in a standard headlamp as specified in paragraph 3.9.5. and it shall be verified whether the assembly comprising the aforesaid headlamp and the filament lamp being tested meets the light-distribution requirements laid down for the passing beam in the relevant Regulation. Deviations not exceeding 10 per cent of the minimum values will be acceptable.

3.9.4. Filament lamps emitting selective-yellow light shall be tested in the same manner as described in paragraphs 3.9.2. and 3.9.3. in a standard headlamp as specified in paragraph 3.9.5. to ensure that the illumination complies with at least 85 per cent for 12-Volt filament lamps, and at least 77 per cent for 6-Volt and 24-Volt filament lamps, with the minimum values of the light-distribution requirements laid down for the passing beam in the relevant Regulation. The maximum illumination limits remain unchanged.

In the case of a filament lamp having a selective-yellow bulb, this test shall be left out if the approval is also given to the same type of filament lamp emitting white light.

3.9.5. A headlamp shall be deemed to be a standard headlamp if:

3.9.5.1. It satisfies the pertinent conditions of approval;

3.9.5.2. It has an effective diameter of not less than 160 mm;

3.9.5.3. With a standard filament lamp it produces at the various points and in the various zones specified for the headlamp type concerned, illumination equal to:

3.9.5.3.1. Not more than 90 per cent of the maximum limits;

3.9.5.3.2. Not less than 120 per cent of the minimum limits prescribed for the headlamp type concerned.

3.10. Standard filament lamps

Additional requirements for standard (étalon) filament lamps are given on the relevant data sheets of Annex 1.

Bulbs of standard (étalon) filament lamps emitting white light shall not alter the CIE trichromatic coordinates of a luminous source having a colour temperature of 2,856 K by more than 0.010 units in the x and/or y direction.

For standard (étalon) filament lamps emitting amber or red light, changes of the bulb temperature shall not affect the luminous flux which might impair photometric measurements of signalling devices.
4. Conformity of production

4.1. Filament lamps approved to this Regulation shall be so manufactured as to conform to the type approved by meeting the inscriptions and technical requirements set forth in paragraph 3. above and Annexes 1, 3 and 4 to this Regulation.

4.2. In order to verify that the requirements of paragraph 4.1. are met, suitable controls of the production shall be carried out.

4.3. The holder of the approval shall in particular:

4.3.1. Ensure existence of procedures for the effective control of the quality of products,

4.3.2. Have access to the control equipment necessary for checking the conformity to each approved type,

4.3.3. Ensure that data of test results are recorded and that related documents shall remain available for a period to be determined in accordance with the administrative service,

4.3.4. Analyse the results of each type of test, applying criteria of Annex 7, in order to verify and ensure the stability of the product characteristics making allowance for variation of an industrial production,

4.3.5. Ensure that for each type of filament lamp, at least the tests prescribed in Annex 6 to this Regulation are carried out,

4.3.6. Ensure that any collecting of samples giving evidence of non-conformity with the type of test considered shall give rise to another sampling and another test. All the necessary steps shall be taken to re-establish the conformity of the corresponding production.

4.4. The competent authority which has granted type-approval may at any time verify the conformity control methods applicable to each production unit.

4.4.1. In every inspection, the test books and production survey records shall be presented to the visiting inspector.

4.4.2. The inspector may take samples at random which will be tested in the manufacturer's laboratory. The minimum number of samples may be determined according to the results of the manufacturer's own verification.

4.4.3. When the quality level appears unsatisfactory or when it seems necessary to verify the validity of the tests carried out in application of paragraph 4.4.2. above, the inspector shall select samples, to be sent to the Technical Service which has conducted the type approval tests.

4.4.4. The competent authority may carry out any tests prescribed in this Regulation. Where the competent authority decides to carry out spot checks, criteria of Annexes 8 and 9 to this Regulation shall be applied.

4.4.5. The normal frequency of inspection authorised by the competent authority shall be one every two years. In the case where negative results are recorded during one of these visits, the competent authority shall ensure that all necessary steps are taken to re-establish the conformity of production as rapidly as possible.
5. Penalties for non-conformity of production

5.1. The approval granted in respect of a filament lamp pursuant to this Regulation may be withdrawn if the requirements are not met or if a filament lamp bearing the approval mark does not conform to the type approved.

5.2. If a Contracting Party to the Agreement applying this Regulation withdraws an approval it has previously granted, it shall forthwith so notify the other Contracting Parties applying this Regulation, by means of a communication form conforming to the model in Annex 2 to this Regulation.

6. Production definitively discontinued

If the holder of the approval completely ceases to manufacture a type of filament lamp approved in accordance with this Regulation, he shall so inform the Type Approval Authority which has granted the approval. Upon receiving the relevant communication, that Type Approval Authority shall inform thereof the other Parties to the 1958 Agreement applying this Regulation by means of a communication form conforming to the model in Annex 2 to this Regulation.

7. Names and addresses of the Technical Services responsible for conducting approval tests, and of Type Approval Authorities

The Parties to the 1958 Agreement which apply this Regulation shall communicate to the United Nations secretariat the names and addresses of the Technical Services responsible for conducting approval tests and of the Type Approval Authorities which grant approval and to which forms certifying approval or extension or refusal or withdrawal of approval, or production definitively discontinued issued in other countries, are to be sent.

8. Transitional provisions

8.1. Approvals granted under the preceding series of amendments shall remain valid, except that for conformity of production, current production filament lamps shall comply with the requirements of the latest series of amendments starting 12 months from the date of application of this amendment.\(^6\)

8.2. The correspondence between the former designations and the new ones is indicated in the following table:

<table>
<thead>
<tr>
<th>Old designations</th>
<th>New designations in the 03 series of amendments</th>
</tr>
</thead>
</table>

\(^6\) The amended text of this paragraph was introduced by Supplement 14 to the 03 series of amendments. This supplement entered into force on 3 September 1997 and it also introduced in the text of the Regulation new paragraphs 2.3.3. and 3.7. and in Annex 1 new sheets HIR1 and PY27/7W.
### New designations in the 03 series of amendments

<table>
<thead>
<tr>
<th>Old designations</th>
<th>New designations</th>
</tr>
</thead>
<tbody>
<tr>
<td>P25-1</td>
<td>P21W</td>
</tr>
<tr>
<td>P25-2</td>
<td>P21/5W</td>
</tr>
<tr>
<td>R19/5</td>
<td>R5W</td>
</tr>
<tr>
<td>R19/10</td>
<td>R10W</td>
</tr>
<tr>
<td>C11</td>
<td>C5W</td>
</tr>
<tr>
<td>C15</td>
<td>C21W</td>
</tr>
<tr>
<td>T8/4</td>
<td>T4W</td>
</tr>
<tr>
<td>W10/5</td>
<td>W5W</td>
</tr>
<tr>
<td>W10/3</td>
<td>W3W</td>
</tr>
</tbody>
</table>

8.3. As from the **date** period after the entry into force of the Supplements to the 03 series of amendments, as indicated for each category in the table for group 3 in annex 1, no filament lamps of these categories or of the types within these categories shall be used in lamps **units** submitted for type approval, unless the applicant for type approval of the lamp unit declares that these lamp units are intended as replacement parts for installation on vehicles in use only; this shall be noted in the communication form of the lamp unit.

8.4. However, for the period after entry into force of the Supplements to the 03 series of amendments, as indicated in the table for group 3 in annex 1, Contracting Parties applying this Regulation may continue to grant approvals for lamps in which filament lamps of these categories or of the types within these categories are used, provided that these lamps **units** are intended as replacement parts for installation on vehicles in use.
Annex 1

Sheets* for filament lamps

The sheets of the relevant filament lamp category and the group in which this category is listed with restrictions on the use of this category shall apply as incorporated in the Resolution [No. y] or its subsequent revisions, applicable at the time of application for type approval of the filament lamp.

* From [date] onwards, the sheets for filament lamps, the list and groups of filament lamp categories with their restrictions on the use and their sheet numbers are incorporated in the Resolution [No. y] with symbol ECE/TRANS/WP.29/11XX.
Annex 2

Communication

(Maximum format: A4 (210 x 297 mm))

<table>
<thead>
<tr>
<th>Concerning</th>
<th>Approval granted</th>
<th>Approval extended</th>
<th>Approval refused</th>
<th>Approval withdrawn</th>
<th>Production definitively discontinued</th>
</tr>
</thead>
</table>

of a type of filament lamp pursuant to Regulation No. 37

<table>
<thead>
<tr>
<th>Approval No.</th>
<th>Extension No.</th>
</tr>
</thead>
</table>

1. Trade name or mark of the device: .................................................................
2. Manufacturer's name for the type of device: ..............................................
3. Manufacturer's name and address: .................................................................
4. If applicable, name and address of manufacturer's representative: ..............
5. Submitted for approval on: ..............................................................................
6. Technical Service responsible for conducting approval tests: .......................  
7. Date of report issued by that Service: .............................................................
8. Number of report issued by that Service: ....................................................... 
9. Concise description: .........................................................................................
   Category of filament lamp: ................................................................................
   Rated voltage: ......................................................................................................

---

1 Distinguishing number of the country which has granted/extended/refused/withdrawn approval (see approval provisions in the Regulation).
2 Strike out what does not apply.
Rated wattage: .................................................................

Colour of the light emitted: White/selective-yellow/amber/red

Colour coating on glass bulb: yes/no

Halogen filament lamp: yes/no

10. Position of the approval mark: ...........................................

11. Reason(s) for extension (if applicable): ......................................

12. Approval granted/refused/extended/withdrawn:

13. Place: ................................................................................

14. Date: ................................................................................

15. Signature: ...........................................................................

16. The following documents, bearing the approval mark shown above, are available on request: ............................................................
Annex 3

Example of the arrangement of the approval mark

(see paragraph 2.4.3)

The above approval mark affixed to a filament lamp indicates that the lamp has been approved in the United Kingdom (E11) under the approval code A01.

The first character of the approval code indicates that the approval was granted in accordance with the requirements of Regulation No 37 as amended by the 02 and 03 (*) series of amendments.
Annex 4

Luminous centre and shapes of filament lamps

Save as possibly otherwise stated on the filament lamp data sheets, this standard is applicable to the determination of the luminous centre of different filament shapes.

The position of the luminous centre depends upon the filament shape.

<table>
<thead>
<tr>
<th>No.</th>
<th>Filament shapes</th>
<th>Observations</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td><img src="image1.png" alt="Image 1" /></td>
<td>With $b &gt; 1.5h$, the deviation of the filament axis with respect to a plane normal to the reference axis shall not exceed $15^\circ$</td>
</tr>
<tr>
<td>2</td>
<td><img src="image2.png" alt="Image 2" /></td>
<td>Only applicable to filaments which can be inscribed in a rectangle of $b &gt; 3h$.</td>
</tr>
<tr>
<td>3</td>
<td><img src="image3.png" alt="Image 3" /></td>
<td>Applicable to filaments which can be inscribed in a rectangle of $b \leq 3h$, whereby, however, $k &lt; 2h$.</td>
</tr>
</tbody>
</table>

The side lines of the circumscribed rectangles in Nos. 2 and 3 are parallel and perpendicular, respectively, to the reference axis.

The luminous centre is the intersection of the dash-dot lines.

The drawings are intended only to demonstrate the essential dimensions.
Annex 5

Checking the colour of filament lamps

1. General

1.1. Measurements shall be made on finished lamps. Filament lamps with secondary (outer) bulb acting as colour filter shall be handled as filament lamp with primary bulb.

1.2. Tests shall be made at an ambient temperature of 23 °C ± 5 °C.

1.3. Tests shall be made at test voltage(s) as specified in the relevant filament lamp data sheet.

1.4. Filament lamps shall be measured preferably in the normal operating position. In case of dual filament lamps the high wattage (major or driving beam) filament shall be operated only.

1.5. Before starting a test, the stabilisation of the temperature of the filament lamp shall be obtained by operating at test voltage for 10 minutes. In the case of filament lamps, for which more than one test voltage is specified, the relevant test voltage value shall be used for achieving stabilization.

2. Colour

2.1. Colour tests shall be made with a measuring system that determines CIE trichromatic co-ordinates of the received light with an accuracy of ±0.002.

2.2. The trichromatic coordinates shall be measured with a colourimetric receiver integrating over a right circular cone subtending an angle of minimum 5° and maximum 15°, at the centre of the filament.

2.3. Measuring directions (see the figure below).

2.3.1. Initially, the receiver shall be positioned perpendicular to the filament lamp axis and to the filament axis (or plane in case of a curved filament). After measurement the receiver shall be moved around the filament lamp in bidirectional steps of about 30° until the area specified in paragraphs 2.3.2. or 2.3.3. is covered. In each position a measurement shall be made. However, no measurement shall be made when:

(a) The centreline of the receiver coincides with the filament axis; or
(b) The line of sight between the receiver and the filament is blocked by opaque (non-transmitting) parts of the light source, such as lead wires or a second filament, if any.

2.3.2. For filament lamps used in headlamps, measurements shall be made in directions around the filament lamp with the centreline of the receiver aperture located within an angle ±30°, from the plane perpendicular to the filament lamp axis with the origin in the centre of the filament. In case of filament lamps with two filaments, the centre of the driving beam filament shall be taken.
2.3.3. For filament lamps used in light signalling devices, measurements shall be made in directions around the filament lamp with exception of:

(a) The area claimed or covered by the cap of the filament lamp; and

(b) The immediate transition area along the cap.

In case of filament lamps with two filaments, the centre of the major filament shall be taken.

In case of filament lamp categories with a defined distortion-free angle, the measurement shall be done only within the defined angle.

Figure illustrating the positions of colourimetric receiver

- Centerline of receiver shall move within angle $\alpha$ and around the filament lamp

- Receiver shall move around filament but aperture shall not overlap any cap or base part and its immediate transition area.

- In case of filament lamp categories with a defined distortion-free angle, the measurement shall be done only within the defined angle.
2.1 Restricted colour boundaries.

The following figure shows the colour tolerance area for the colour white (within the dashed lines) and the restricted tolerance area for the filament light source H20 (shaded area within the solid lines) in the CIE chromaticity coordinate system (x, y).
Annex 6

Minimum requirements for quality control procedures by the manufacturer

1. General

The conformity requirements shall be considered satisfied from a photometric, geometrical, visual and electrical standpoint if the specified tolerances for production filament lamps in the relevant data sheet of Annex 1 and the relevant data sheet for the caps are met.

2. Minimum requirements for verification of conformity by the manufacturer

For each type of filament lamp the manufacturer or the holder of the approval mark shall carry out tests, in accordance with the provisions of this Regulation, at appropriate intervals.

2.1. Nature of tests

Tests of conformity of these specifications shall cover their photometric, geometrical and optical characteristics.

2.2. Methods used in tests

2.2.1. Tests shall generally be carried out in accordance with the methods set out in this Regulation.

2.2.2. The application of paragraph 2.2.1. requires regular calibration of test apparatus and its correlation with measurements made by a competent authority.

2.3. Nature of sampling

Samples of filament lamps shall be selected at random from the production of a uniform batch. A uniform batch means a set of filament lamps of the same type, defined according to the production methods of the manufacturer.

2.4. Inspected and recorded characteristics

The filament lamps shall be inspected and test results recorded following the grouping of characteristics as listed in Annex 7, Table 1.

2.5. Criteria governing acceptability

The manufacturer or the holder of approval is responsible for carrying out a statistical study of the test results in order to meet the specifications laid down for verification of conformity of production in paragraph 4.1. of this Regulation.

Compliance shall be assured if the level of acceptable non-compliance per grouping of characteristics given in Table 1 of Annex 7 is not exceeded. This means that the number of filament lamps not complying with the requirement for any grouping of characteristics of any filament lamp type does not exceed the qualifying limits in the relevant Tables 2, 3 or 4 of Annex 7.

Note: Each individual filament lamp requirement shall be considered as a characteristic.
### Annex 7

**Sampling and compliance levels for manufacturer test records**

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Grouping* of test records between filament lamp types</th>
<th>Minimum 12 monthly sample per grouping*</th>
<th>Acceptable level of non-compliance per grouping of characteristics (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Marking, legibility and durability</td>
<td>All types with the same external dimensions</td>
<td>315</td>
<td>1</td>
</tr>
<tr>
<td>Bulb quality</td>
<td>All types with the same bulb</td>
<td>315</td>
<td>1</td>
</tr>
<tr>
<td>Colour of the bulb</td>
<td>All types (emitting red and amber light) of the same category and colour technology</td>
<td>20</td>
<td>1</td>
</tr>
<tr>
<td>External filament lamp dimensions (excluding cap/base)</td>
<td>All types of the same category</td>
<td>200</td>
<td>1</td>
</tr>
<tr>
<td>Dimensions of caps and bases</td>
<td>All types of the same category</td>
<td>200</td>
<td>6.5</td>
</tr>
<tr>
<td>Dimensions related to internal elements**</td>
<td>All filament lamps of one type</td>
<td>200</td>
<td>6.5</td>
</tr>
<tr>
<td>Initial readings, watts and lumens**</td>
<td>All filament lamps of one type</td>
<td>200</td>
<td>1</td>
</tr>
<tr>
<td>Colour endurance test</td>
<td>All filament lamps (emitting red, amber and white light) of one colour coating technology</td>
<td>20***</td>
<td>1</td>
</tr>
</tbody>
</table>

* The assessment shall in general cover series production filament lamps from individual factories. A manufacturer may group together records concerning the same type from several factories, provided these operate under the same quality system and quality management.

** In case a filament lamp has more than one inner element (filament, shield) the grouping of characteristics (dimensions, watts, lumens) applies to each element separately.

*** Representative distribution over categories of filament lamps using the same colour coating technology and finishing, and that comprises filament lamps of the smallest and the largest diameter of the outer bulb, each at the highest rated wattage.
Qualifying limits for acceptance based on different numbers of test results for each grouping of characteristics are listed in Table 2 as maximum number of non-compliance. The limits are based on an acceptable level of 1 per cent of non-compliance, assuming an acceptance probability of at least 0.95.

Table 2*

<table>
<thead>
<tr>
<th>Number of test results of each characteristics</th>
<th>Qualifying limits for acceptance</th>
</tr>
</thead>
<tbody>
<tr>
<td>20</td>
<td>0</td>
</tr>
<tr>
<td>21 - 50</td>
<td>1</td>
</tr>
<tr>
<td>51 - 80</td>
<td>2</td>
</tr>
<tr>
<td>81 - 125</td>
<td>3</td>
</tr>
<tr>
<td>126 - 200</td>
<td>5</td>
</tr>
<tr>
<td>201 - 260</td>
<td>6</td>
</tr>
<tr>
<td>261 - 315</td>
<td>7</td>
</tr>
<tr>
<td>316 - 370</td>
<td>8</td>
</tr>
<tr>
<td>371 - 435</td>
<td>9</td>
</tr>
<tr>
<td>436 - 500</td>
<td>10</td>
</tr>
<tr>
<td>501 - 570</td>
<td>11</td>
</tr>
<tr>
<td>571 - 645</td>
<td>12</td>
</tr>
<tr>
<td>646 - 720</td>
<td>13</td>
</tr>
<tr>
<td>721 - 800</td>
<td>14</td>
</tr>
<tr>
<td>801 - 860</td>
<td>15</td>
</tr>
<tr>
<td>861 - 920</td>
<td>16</td>
</tr>
<tr>
<td>921 - 990</td>
<td>17</td>
</tr>
<tr>
<td>991 - 1,060</td>
<td>18</td>
</tr>
<tr>
<td>1,061 - 1,125</td>
<td>19</td>
</tr>
<tr>
<td>1,126 - 1,190</td>
<td>20</td>
</tr>
<tr>
<td>1,191 - 1,249</td>
<td>21</td>
</tr>
</tbody>
</table>

Qualifying limits for acceptance based on different numbers of test results for each grouping of characteristics are listed in Table 3 given as maximum number of non-compliance. The limits are based on an acceptable level of 6.5 per cent of non-compliance, assuming an acceptance probability of at least 0.95.

Table 3

<table>
<thead>
<tr>
<th>Number of filament lamps in records</th>
<th>Qualifying limit</th>
<th>Number of filament lamps in records</th>
<th>Qualifying limit</th>
<th>Number of filament lamps in records</th>
<th>Qualifying limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>- 200</td>
<td>21</td>
<td>541 - 553</td>
<td>47</td>
<td>894 - 907</td>
<td>73</td>
</tr>
<tr>
<td>201 - 213</td>
<td>22</td>
<td>554 - 567</td>
<td>48</td>
<td>908 - 920</td>
<td>74</td>
</tr>
<tr>
<td>214 - 227</td>
<td>23</td>
<td>568 - 580</td>
<td>49</td>
<td>921 - 934</td>
<td>75</td>
</tr>
<tr>
<td>228 - 240</td>
<td>24</td>
<td>581 - 594</td>
<td>50</td>
<td>935 - 948</td>
<td>76</td>
</tr>
<tr>
<td>241 - 254</td>
<td>25</td>
<td>595 - 608</td>
<td>51</td>
<td>949 - 961</td>
<td>77</td>
</tr>
<tr>
<td>255 - 268</td>
<td>26</td>
<td>609 - 621</td>
<td>52</td>
<td>962 - 975</td>
<td>78</td>
</tr>
<tr>
<td>269 - 281</td>
<td>27</td>
<td>622 - 635</td>
<td>53</td>
<td>976 - 988</td>
<td>79</td>
</tr>
<tr>
<td>282 - 295</td>
<td>28</td>
<td>636 - 648</td>
<td>54</td>
<td>989 - 1,002</td>
<td>80</td>
</tr>
<tr>
<td>296 - 308</td>
<td>29</td>
<td>649 - 662</td>
<td>55</td>
<td>1,003 - 1,016</td>
<td>81</td>
</tr>
<tr>
<td>309 - 322</td>
<td>30</td>
<td>663 - 676</td>
<td>56</td>
<td>1,017 - 1,029</td>
<td>82</td>
</tr>
<tr>
<td>323 - 336</td>
<td>31</td>
<td>677 - 689</td>
<td>57</td>
<td>1,030 - 1,043</td>
<td>83</td>
</tr>
<tr>
<td>337 - 349</td>
<td>32</td>
<td>690 - 703</td>
<td>58</td>
<td>1,044 - 1,056</td>
<td>84</td>
</tr>
<tr>
<td>350 - 363</td>
<td>33</td>
<td>704 - 716</td>
<td>59</td>
<td>1,057 - 1,070</td>
<td>85</td>
</tr>
<tr>
<td>364 - 376</td>
<td>34</td>
<td>717 - 730</td>
<td>60</td>
<td>1,071 - 1,084</td>
<td>86</td>
</tr>
<tr>
<td>377 - 390</td>
<td>35</td>
<td>731 - 744</td>
<td>61</td>
<td>1,085 - 1,097</td>
<td>87</td>
</tr>
<tr>
<td>391 - 404</td>
<td>36</td>
<td>745 - 757</td>
<td>62</td>
<td>1,098 - 1,111</td>
<td>88</td>
</tr>
<tr>
<td>405 - 417</td>
<td>37</td>
<td>758 - 771</td>
<td>63</td>
<td>1,112 - 1,124</td>
<td>89</td>
</tr>
<tr>
<td>418 - 431</td>
<td>38</td>
<td>772 - 784</td>
<td>64</td>
<td>1,125 - 1,138</td>
<td>90</td>
</tr>
<tr>
<td>432 - 444</td>
<td>39</td>
<td>785 - 798</td>
<td>65</td>
<td>1,139 - 1,152</td>
<td>91</td>
</tr>
<tr>
<td>445 - 458</td>
<td>40</td>
<td>799 - 812</td>
<td>66</td>
<td>1,153 - 1,165</td>
<td>92</td>
</tr>
<tr>
<td>459 - 472</td>
<td>41</td>
<td>813 - 825</td>
<td>67</td>
<td>1,166 - 1,179</td>
<td>93</td>
</tr>
<tr>
<td>473 - 485</td>
<td>42</td>
<td>826 - 839</td>
<td>68</td>
<td>1,180 - 1,192</td>
<td>94</td>
</tr>
<tr>
<td>486 - 499</td>
<td>43</td>
<td>840 - 852</td>
<td>69</td>
<td>1,193 - 1,206</td>
<td>95</td>
</tr>
<tr>
<td>500 - 512</td>
<td>44</td>
<td>853 - 866</td>
<td>70</td>
<td>1,207 - 1,220</td>
<td>96</td>
</tr>
<tr>
<td>513 - 526</td>
<td>45</td>
<td>867 - 880</td>
<td>71</td>
<td>1,221 - 1,233</td>
<td>97</td>
</tr>
<tr>
<td>527 - 540</td>
<td>46</td>
<td>881 - 893</td>
<td>72</td>
<td>1,234 - 1,249</td>
<td>98</td>
</tr>
</tbody>
</table>
Qualifying limits for acceptance based on different numbers of test results for each grouping of characteristics are listed in Table 4 given as a percentage of the results, assuming an acceptance probability of at least 0.95.

Table 4

<table>
<thead>
<tr>
<th>Number of test results of each characteristic</th>
<th>Qualifying limits shown as a percentage of results. Acceptable level of 1% of non-compliance</th>
<th>Qualifying limits shown as a percentage of results. Acceptable level of 6.5% of non-compliance</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,250</td>
<td>1.68</td>
<td>7.91</td>
</tr>
<tr>
<td>2,000</td>
<td>1.52</td>
<td>7.61</td>
</tr>
<tr>
<td>4,000</td>
<td>1.37</td>
<td>7.29</td>
</tr>
<tr>
<td>6,000</td>
<td>1.30</td>
<td>7.15</td>
</tr>
<tr>
<td>8,000</td>
<td>1.26</td>
<td>7.06</td>
</tr>
<tr>
<td>10,000</td>
<td>1.23</td>
<td>7.00</td>
</tr>
<tr>
<td>20,000</td>
<td>1.16</td>
<td>6.85</td>
</tr>
<tr>
<td>40,000</td>
<td>1.12</td>
<td>6.75</td>
</tr>
<tr>
<td>80,000</td>
<td>1.09</td>
<td>6.68</td>
</tr>
<tr>
<td>100,000</td>
<td>1.08</td>
<td>6.65</td>
</tr>
<tr>
<td>1,000,000</td>
<td>1.02</td>
<td>6.55</td>
</tr>
</tbody>
</table>
Annex 8

Minimum requirements for spot checks by the Type Approval Authority

1. General

   The conformity requirements shall be considered satisfied from a photometric, geometrical, visual and electrical standpoint if the specified tolerances for production filament lamps in the relevant data sheet of Annex 1 and the relevant data sheet for the caps are met.

2. The conformity of mass-produced filament lamps shall not be contested if the results are in agreement with Annex 9 to this Regulation.

3. Conformity shall be contested and the manufacturer requested to make the production meet the requirements if the results are not in agreement with Annex 9 to this Regulation.

4. If paragraph 3 of this annex is applied, a further sample of 250 filament lamps, selected at random from a recent production run, shall be taken within two months.
Annex 9

Compliance approved by spot check

Compliance approved or disapproved shall be decided according to the values in Table 1. For each grouping of characteristics filament lamps shall be either accepted or rejected according to the values in Table 1*.

Table 1

<table>
<thead>
<tr>
<th></th>
<th>1 %**</th>
<th></th>
<th>6.5 %**</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Accept</td>
<td>Reject</td>
<td>Accept</td>
</tr>
<tr>
<td>First sample size: 125</td>
<td>2</td>
<td>5</td>
<td>11</td>
</tr>
<tr>
<td>If the number of non-conforming units is greater than 2 (11) and less than 5 (16) take a second sample size of 125 and assess the 250</td>
<td>6</td>
<td>7</td>
<td>26</td>
</tr>
</tbody>
</table>

* The proposed scheme is designed to assess the compliance of filament lamps to an acceptance level of non-compliance of 1 per cent and 6.5 per cent respectively and is based on the Double Sampling Plan for Normal Inspection in IEC Publication 60410: Sampling Plans and Procedures for Inspection by Attributes.

** The filament lamps shall be inspected and test results recorded following the grouping of characteristics as listed in Annex 7, Table 1.