
Economic Commission for Europe

Meeting of the Parties to the Convention
on Environmental Impact Assessment
in a Transboundary Context

Meeting of the Parties to the Convention
on Environmental Impact Assessment in
a Transboundary Context serving as the
Meeting of the Parties to the Protocol on
Strategic Environmental Assessment

First annual financial report in the period between seventh/third and eighth/fourth sessions of the Meeting of the Parties to the Convention/Protocol: period to 30 June 2018

Note by the secretariat

Summary

The Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context and the Meeting of the Parties to the Protocol on Strategic Environmental Assessment requested the secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the funds and to prepare a report for the next sessions of the Meetings of the Parties, based on the information contained in the annual reports and giving a clear indication of the significant developments during the period in order that Parties can best meet future demands for resources under the Convention and its Protocol (ECE/MP.EIA/23/Add.1-ECE/MP.EIA/SEA/7/Add.1, para.17).

This first annual report covers the period from after the seventh session of the Meeting of the Parties to the Convention and the third session of the Meeting of the Parties to the Protocol (Minsk, 13–16 June 2017) to the end of June 2018.

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I. Introduction

1. This report sets out income, in-kind contributions and expenditure regarding the Convention on Environmental Impact Assessment in a Transboundary Context (Espoo Convention) and its Protocol on Strategic Environmental Assessment (Protocol on SEA) from the seventh session of the Meeting of the Parties to the Convention and the third session of the Meeting of the Parties to the Protocol (Minsk, 13–16 June 2017), and the balance in the Convention’s trust fund at the end of the period. It also identifies savings and overspend. Details of income and expenditure are provided in the annex to this report.

II. Pledges and fundraising

2. The pledges¹ made at the seventh session of the Meeting of the Parties to the Convention and the third session of the Meeting of the Parties to the Protocol were insufficient to cover the budget of the Convention and the Protocol for the period 2017–2020 agreed by the Meetings of the Parties at these same sessions,² representing only some 60 per cent of that budget. The Meetings of the Parties: (a) urged all Parties to contribute to ensuring sustainable funding of activities and an equitable and proportionate sharing of the financial burden among the Parties and Signatories, and also invite signatories, other interested States, organizations and international financial institutions to contribute; and (b) strongly urged Parties that had so far only committed limited funds or in-kind contributions to raise their contributions during the current and future budget cycles.³

III. Income and expenditure

3. Table 1 summarizes the income and expenditure in United States dollars. Parties made financial contributions to the Convention’s trust fund (annex, tables A.1 and A.2).

4. Activities under the workplan⁴ were assigned as priority 1, 2 or 3 in the core budget.⁵ According to decision VII/4–III/4 (para. 8), Parties agreed that the total of 1183 shares, of 1,000 United States dollars each, budgeted to cover activities not covered by United Nations regular budget would be divided as follows: 625 shares for the core (priority 1) requirements and 558 shares the remaining non-core (priority 2 and 3) requirements. Waitlisted activities⁶ would be implemented upon availability of appropriate funding.

5. At their sessions in June 2017, the Meetings of the Parties decided to convene an additional, “intermediary”, session of the Meetings of the Parties before its next “ordinary” sessions, primarily to continue its deliberations on draft decision on compliance with the Convention. Since this additional session was not originally foreseen, no budget had been allocated for it (with respect to financial support to participants and in terms of resources in

¹ See ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7, annex.

² See ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7/Add.1, decision VII/4–III/4.

³ Decision VII/4–III/4, paras. 4 and 5.

⁴ ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7/Add.1, decision VII/3–III/3, annex I.

⁵ The budget table in annex to decision VII/4–III/4 assigns priorities 1, 2 and 4 for funding activities, but contains no priority 3 activities. This error is due to the deletion by the Meetings of the Parties of the two priority 3 activities (Guidance on SEA and climate change and on SEA and urban planning) and the omission to renumber the priorities in the decision, as adopted.

⁶ Decision VII/3–III/3, annex II.

the secretariat for the preparation of an additional meeting). At its seventh meeting (Geneva, 28–30 May 2018) the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment agreed with the recommendations of the Bureau to allocate up to \$35,000 to support the participation of eligible countries (\$20,000–\$25,000) and non-governmental organizations (\$10,000) in the session; and that these costs should be covered from the Convention trust fund’s operating reserve of savings accumulated from previous intersessional periods.

6. In the reporting period, in addition to their annual contributions, a number of Parties made extra-ordinary contributions earmarking them, partially or entirely, as follows:

(a) In the framework of the third grant from Norway to the five UNECE Multilateral Environmental Agreements for the promotion of environmental protection and cooperation in the UNECE region that covers the period 2018–2020, Norway contributed funds to the Espoo Convention that were partly earmarked for the costs of an external expert and of an administrative staff member staff at 50 per cent basis (until 30 June 2018, \$84,934 were received);

(b) Switzerland made funds available for legislative assistance on transboundary environmental impact assessment to Kazakhstan and Tajikistan (CHF 22,000 or some \$23,250). That legislative assistance has been provided as part of the follow-up activity to the update of the Guidelines on Environmental Impact Assessment in a Transboundary Context for Central Asian Countries;

7. One activity was not completed within the previous intersessional period and was/were therefore carried forward, together with the budgeted funds: the update of the Guidelines on Environmental Impact Assessment in a Transboundary Context for Central Asia. (\$26,739 from Switzerland).

8. The income, together with the funds for the carried-over activities, totalled \$455,971. Outstanding pledged contributions for the intersessional period total \$394,982.

9. In addition, in the reporting period, the following project funding was available for implementation of activities foreseen or related to the workplan:

(a) Funding from the European Union under the Greening Economies in the European Union’s Eastern Partnership (EaP GREEN) programme totalled EUR 163,000 or \$ 195,913.⁷

(b) Funding from the European Union for technical assistance on SEA to Kazakhstan for the period 2016–2018 (“Supporting Kazakhstan’s Transition to a Green Economy Model”)⁸ totalling \$164,000.

⁷ The EaP GREEN programme was implemented between January 2013 and the end of April 2018. The total funding received over the five-year period was €2,108,544 or some \$2,608,378.

⁸ “Supporting Kazakhstan’s Transition to a Green Economy Model”. A European Union-funded multi-stakeholder project for 2015–2018.

Table 1
Income and expenditure
(in United States dollars)

	<i>Amount by priority</i>				
	<i>1</i>	<i>2</i>	<i>3</i>	<i>Earmarked</i>	<i>Total</i>
(a) Contributions made to the trust fund, with non-earmarked contributions being allocated first to priority 1 activities (from tables A.1 and A.2)	383 744	—	—	45 488	429 232
(b) Funds for carried-over activities (see para. 8)	—	—	—	26 739	26 739
(c) Total income to the trust fund in the period ((a)+(b))	383 744	—	—	72 227	455 971
(d) Expenditure from the Espoo Trust Fund for budgeted priority 1 and 2 workplan activities (from table A.3) and unbudgeted priority 3 activities (from table A.4)	209 972	59 888	—	40 285	310 146
(e) 13% United Nations programme support costs	27 296	7 785	—	5 237	40 319
(f) Trust fund balance: income less expenditure in the period ((c)-(d)-(e))	146 475	-67 674	—	26 704	105 506
(g) In-kind contributions made, valued according to the budgeted activity cost (from table A.7)	—	—	—	—	—

10. The secretariat spent from the trust fund in accordance with the budget (table A.3, with further details in tables A.5 and A.6). In the reporting period, the funds were spent on the priority-1 activity, namely on an external expert to provide secretariat support. Funds were also spent on priority-2 activities in the following areas:

- (a) Three sessions of the Implementation Committee;
- (b) One free-standing meetings of the Bureau;
- (c) One meeting of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment;
- (d) Secretariat travel in relation to the workplan;
- (e) Costs of a project assistant at 50 per cent basis to support the secretariat (earmarked funding from Norway);

11. In addition, the secretariat spent from the trust fund to implement workplan activities further to the earmarked contributions by Switzerland, to support the review of the environmental impact assessment legislation of Kazakhstan vis-à-vis the provisions of the Convention.

12. The secretariat also completed the following activity/activities using the carried-over funds from Switzerland from the previous period earmarked for:

- (a) Co-funding a sub-regional workshop to discuss the updated Guidelines on environmental impact assessment in a transboundary context for Central Asian countries (Kyiv, 2–3 November 2017);
- (b) Co-funding a sub-regional seminar to promote the application of transboundary environmental impact assessment as a tool for “greening” economies and

ensuring sustainable investments in the Central Asian countries, including in the context of the recent economic developments in the sub-region (Tashkent, 4 June 2018).⁹

13. The expenditure totalled \$310,146 to which was added 13 per cent United Nations programme support costs of \$40,319, leaving a balance in the trust fund of \$105,506.

14. Parties and partner organizations also undertook or financed the following activities in the following areas by providing in-kind contributions (table A.7):

(a) Subregional cooperation meetings: A session on implementation of the Convention, the Protocol and the Bucharest Agreement in the South-Eastern Europe subregion, co-organized by Romania and the secretariat during a regional conference on environmental impact assessment hosted by Croatia (Vodice, Croatia, 14 and 15 September 2017);

(b) Preparation of draft terms of reference for possible guidance for the application of the Convention to the lifetime extension of nuclear power plants by an ad hoc working group co-chaired by Germany and the United Kingdom of Great Britain and Northern Ireland, with participation of Armenia, Austria, Belarus, Bulgaria, Canada, Czechia, France, Finland, Greece, Italy, Luxembourg, the Netherlands, Norway, Poland, Portugal, Slovenia, Slovakia, Spain, Ukraine, and the European Commission, including preparatory meetings hosted by the European Commission Directorate Generals for Energy and for the Environment (Luxembourg, 27–28 November 2017, Brussels, 20–21 February and Berlin, 20–21 June 2018);

(c) Workshop on the application of the Convention to the lifetime extension of nuclear power plants co-organized by Germany and the United Kingdom, with support from the secretariat (Geneva, 28–29 May 2018) during the seventh meeting of the Working Group on EIA and SEA. Additional Russian interpretation was financed in-kind by the Netherlands;

(d) Informal translation by WWF Russia of IAIA FasTips;

15. In the reporting period, the funding from the European Union under the EaP GREEN Programme allowed the secretariat to ensure the implement the following activities foreseen in or related to the workplan in Eastern Europe and the Caucasus: a sub-regional workshop (Kyiv, 31 October–2 November 2017); final dissemination events on SEA/EIA component of the EaP GREEN in Armenia, Azerbaijan, Belarus, the Republic of Moldova, and Ukraine; SEA training workshops in Azerbaijan and Belarus; high-level awareness-raising event on implementation of SEA in Ukraine; finalizing SEA guidelines in Armenia, Azerbaijan, the Republic of Moldova and Ukraine; preparation of awareness raising materials (video and/or a brochure on the Protocol on SEA) in the national languages of Armenia, Azerbaijan, and the Republic of Moldova, and the finalization of a manual for trainers on the application of the Protocol on SEA. That funding covered also additional staff to support the secretariat in the planning and managing of the above activities, as follows: one full time consultant; and an administrative support staff at 50 per cent basis between July 2017 and April 2018. As the secretariat has had to report separately on the use of these funds under the EaP GREEN Programme, these expenditures are not included in the present report. However, the secretariat and the concerned countries regularly reported to the Bureau, the Implementation Committee and the Working Group on the outcomes of the above capacity building activities.

16. With the European Union project funding for Kazakhstan, the secretariat facilitated:

⁹ The seminar took place back to back with the Central Asian Environmental Forum (Tashkent, 5–8 June 2018).

(a) A review of the Kazakh national legislation vis-à-vis the Protocol on SEA, involving the two working group meetings (Astana, 15–16 November and 15–16 February 2018);

(b) The drafting of a chapter on SEA for inclusion into the national legislation (the environmental code), which was presented to the Government of Kazakhstan at a third meeting of the project’s working group on 26 April 2018;

(c) The initiation of the pilot application of SEA to the “Concept for the development of fuel and energy system until 2030” of Kazakhstan, including two training workshops on SEA (Astana, 8–9 November 2017 and 5 March 2018) followed by the preparation of a scoping report that was submitted for consideration of the Government in early April 2018.¹⁰

IV. Overspending and savings

17. The overspending of \$2,022 for the staff costs of the external expert to provide secretariat support will be offset in the next reporting period by savings to be expected through the use of the EU project funds for Kazakhstan to cover staff costs.

18. In the reporting period, savings of \$12,314 were realized regarding meetings of the Implementation Committee and the Bureau, since only two Bureau and Committee members elected by the Meetings of the Parties were entitled to financial support for their participation. At its meeting 7–8 February 2018, the Bureau decided that the expected savings from the Committee meetings could be used as needed to allow the Committee to convene longer and more frequent meetings during the intersessional period to tackle its increasing workload. Secondly, in the reporting period, the project funds from the European Union covered the costs of a project assistant at 50 per cent basis in the reporting period between July 2017 to April 2018, which allowed the secretariat to set aside the corresponding amount earmarked by Norway for this purpose (approximately \$43,340) to cover the staffing costs later during the intersessional period.

19. Table 2 presents the budgetary requirements for the period between the seventh and eighth sessions of the Meeting of the Parties to the Convention (and the third and the fourth sessions of the Meeting of the Parties to the Protocol), identifying how much of the budget has been fulfilled by expenditure and in-kind contributions and taking into account savings and overspend. Table 2 refers to priority-1 and -2 activities as only these had a budgetary allocation.

Table 2
Budgetary requirements

(in United States dollars)

	<i>Amount by priority</i>				Total
	<i>1</i>	<i>2</i>	<i>3</i>	<i>Ear-marked</i>	
(a) Budget for period between seventh and eighth sessions of the Meeting of the Parties (decision	625 000	533 000	25 000	26 739	1 209 739

¹⁰ Non-technical summary is available at:
http://energo.gov.kz/assets/themes/default/images/upload/pdf/Non-technical%20summary_RUS.pdf

	<i>Amount by priority</i>				<i>Total</i>
	<i>1</i>	<i>2</i>	<i>3</i>	<i>Ear- marked</i>	
VII/4-III/4, annex), plus for carried-over activities (from table A.3)					
(b) Expenditure from the trust fund for budgeted workplan activities (from table 1, row (d))	209 972	59 888	—	—	269 861
(c) Expenditure from the trust fund for carried-over activities (from table A.3, item 11)	—	—	—	27 016	27 016
(c) In-kind contributions made (from table 1, row (g))	—	—	—	—	—
(d) Savings against budget for workplan activities (from table A.3), including from cancellation of activities in absence of funds.	—	60 843	—	277	60 566
(e) Overspend against budget for workplan activities (from table A.3)	2 044	—	—	—	2 044
(f) Outstanding budgetary requirements ((a)-(b)-(c)-(d)+(e))	417 072	412 269	25 000	—	854 341
(g) 13 per cent United Nations programme support costs	54 219	53 595	3 250	—	111 064
Total outstanding requirements ((f)+(g))	471 291	465 864	28 250	—	965 405

V. Outstanding requirements and operating reserve

20. Table 2 also presents the outstanding budgetary requirements at the end of the period, based on the agreed budget under decision VII/4–III/4, totalling \$965,405. To these should be added the expected costs of the intermediary session of the Meeting of the Parties (up to \$35,000 for financial support to participants). These requirements may be contrasted with the available funds for such activities, totalling \$105,506, and the outstanding pledged contributions of \$396 982. A substantial shortfall is therefore to be expected.

21. An operating reserve of approximately \$530,000 has been created by carrying forward unspent funds from the periods between the third and fourth, the fourth and fifth, and the fifth and sixth sessions of the Meeting of the Parties to the Convention, as decided by the Meeting of the Parties at the respective sessions. The operating reserve has provided contingency funding to ensure continued operations under the Convention and allowing notably the yearly extension of the contracts of the staff paid from the trust fund, despite the delayed receipt of contributions to the trust fund.

22. The Bureau recommended making use of the operating reserve for covering the costs for the intermediary session of the Meetings of the Parties.

23. The Bureau also requested the secretariat to clarify in that report the minimum level of the operating reserve that would be needed for smooth functioning of the secretariat and support to the workplan. As part of its management of the extrabudgetary resources, in November of each year, the secretariat must make a projection of expected expenditures from the trust fund during the year ahead, covering all activities envisioned as per the budget agreed by the Meetings of the Parties. The actual spending is possible only once sufficient funds (but including also the overheads and the operating cash reserve) are available and allotted to the appropriate expenditure lines (the secretariat must issue allotment advice corresponding to the cost plans to authorize the spending of the funds).

The UN administration retains 13% of overhead (programme support cost) on all voluntary contributions. In addition, for all UN trust funds, an operating cash reserve of 15% of estimated planned expenditure is required to be maintained during the implementation of the trust fund activities in a given year to cover shortfalls. This reserve of 15% is released through an official request at the end of the year/early following year. In the light of the above, the minimum operating reserve for one year can be calculated as follows:

(a) For securing the yearly extension of staff contracts of the secretariat's extrabudgetary staff (one full time professional staff and one administrative staff at 50%), the minimum net cash that needs to be available is approximately \$260,000 to which must be added the 13% programme support cost (i.e. some \$33,800) and the required 15% cash reserve (i.e. some \$39,000). This amounts in total to a minimum reserve of some \$332,800;

(b) To also allow allotting funds for financial support to participation at meetings for a given year (e.g. for two meetings of the Working Group on EIA and SEA, or alternatively for one session of the Meetings of the Parties, and for three meetings of the Implementation Committee and one meeting of the Bureau), the total minimum available cash needed would be \$465,900 (i.e. \$260,000 for staff + \$104,000 for meetings + 13% + 15%).

(c) To allow the secretariat to budget the annual expenditures in accordance with the budget adopted by the Meetings of the Parties for the period 2017–2020 (\$1,180,000/three-year period or \$390,000/year), it should be able to budget for each year a minimum of \$504,320 (\$394,000 + 13% + 15%).

Annex

Details of the financial report

Table A.1

Income to the Convention's trust fund

(in United States dollars)

<i>Party^a</i>	<i>Description of pledge in ECE/MP.EIA/23-ECE/MP.EIA/SEA/7 annex, plus additional contributions</i>	<i>Pledge</i>	<i>Amount received</i>
Albania	US\$ 2,000 for the next intersessional period 2017–2020 to be paid by July 2017.	2.000	2.000
Armenia	(None)	0	0
Austria	US\$ 18,000 for the next intersessional period 2017–2020, to be paid in 3 instalments of USD 6,000 each in 2017, 2018 and 2019	18.000	6.000
Azerbaijan	(None)	0	0
Belarus	(None)	0	0
Belgium	(None)	0	0

Table A.1

Bosnia and Herzegovina	(None)	0	0
Bulgaria	US\$ 5,000 to the Convention and US\$ 3,000 to the Protocol for the intersessional period 2017–2020, to be paid in 2017	8.000	8.000
Canada	(None)	0	0
Croatia	US\$ 9,000 for the next intersessional period 2017–2020, to be paid in 3 instalments of US\$ 3,000 each in 2017, 2018 and 2019	9.000	3.000
Cyprus	Unpledged Contribution of US\$ 1,000 in 2017 and US\$ 1,000 in 2018	0	2.000
Czech Republic	US\$ 15,000 for the next intersessional period 2017–2020, to be paid in 3 instalments of US\$ 5,000 each in 2017, 2018 and 2019 and additional unpledged contribution of 500 000 CzK (USD 22,000) for capacity building on SEA and EIA in Georgia 2017-2020.	15.000	27.232
Denmark	US\$ 20,000 for the intersessional period 2017–2020, to be paid by the end of 2017	20.000	19.970
Estonia	€ 3,000 for the intersessional period 2017–2020, to be paid in three instalments of € 1,000 each in 2017, 2018 and 2019.	3.366	1.185
Finland	US\$ 20,000 for the next intersessional period 2017–2020, to be paid in 3 instalments of US\$ 5,000 in 2018, US\$ 5,000 in 2019 and US\$ 10,000 in 2020, of which US\$ 5,000 for the organization of the 2020 sessions of the Meetings of the Parties	20.000	5.000
France	€60,000 for the intersessional period 2017–2020, to be paid in three instalments of € 20,000 each in 2017, 2018 and 2019.	67.325	22.753
Germany	US\$ 45,000 for the intersessional period 2017–2020, to be paid in three instalments of US\$ 15,000 each in 2017, 2018 and 2019, subject to adoption of the budget, and possible additional earmarked funding.	45.000	15.000
Greece	Unpledged Contribution for 2017 of US\$ 1,000	0	1.000
Hungary	Unpledged Contribution in 2017 of US\$ 4,000	0	3.976
Ireland	(None)	0	0
Italy	€30,000 for the intersessional period 2017–2020, to be paid in four instalments of €5,000 for June – December 2017 (already paid); €10,000 in 2018 and in 2019; and €5,000 in January–June 2020.	33.662	17.572
Kazakhstan	(None)	0	0

Table A.1

Kyrgyzstan	(None)	0	0
Latvia	Unpledged Contribution in 2018 of €1,000	0	0
Liechtenstein	(None)	0	0
Lithuania	US\$ 6,000 for the intersessional period 2017–2020, to be paid by the end of 2017.	6.000	6.000
Luxembourg	(None)	0	0
Malta	(None)	0	0
Montenegro	(None)	0	0
Netherlands	€60,000 for the intersessional period 2017-2020 (already paid)	67.325	63.694
Norway	NKr 360,000 for the intersessional period 2017–2020, to be paid in three instalments of NKr 120,000 each in 2018, 2019 and 2020. In addition, unpledged contribution of approximately USD 247,500 in total for 2017-2020 (for priority 1 admin.support to the secretariat), paid in 3 yearly allotments.	42.412	99.833
Poland	US\$ 15,000 for the intersessional period 2017–2020, to be paid in three instalments of US\$ 5,000 each in 2018, 2019, 2020.	15.000	5.000
Portugal	(None)	0	0
Republic of Moldova	(None)	0	0
Romania	US\$ 15,000 for the intersessional period 2017–2020, to be paid in three instalments of US\$ 5,000 each in 2018, 2019 and 2020.	15.000	0
Serbia	US\$ 4,800 for the intersessional period 2017–2020, to be paid in three instalments of US\$ 1,600 each in 2017, 2018 and 2019.	4.800	0
Slovakia	US\$ 2,000 for the intersessional period 2017–2020, to be paid in 2017.	2.000	0
Slovenia	US\$ 9,000 for the intersessional period 2017-2020 to be paid in three instalments of US\$ 3,000 each in May 2018, 2019 and 2020	9.000	0
Spain	(None)	0	0
Sweden	Unpledged Contribution in 2018 of US\$ 8,300	0	8.270
Switzerland	Subject to the annual budgetary approval by the Parliament, SwF 42,000 per year for the intersessional period 2017-2020, to be paid in 2017, 2018 and 2019, including an annual contribution of SwF 20,000 and an additional contribution of SwF 22,000 earmarked for capacity building activities in Central Asia that	130.260	44.397

Table A.1

are to be specified subsequently.			
The former Yugoslav Republic of Macedonia	(None)	0	0
Ukraine	US\$ 6,000 for the intersessional period 2017–2020	6.000	6.000
United Kingdom of Great Britain and Northern Ireland	(None)	0	0
European Union	Subject to the adoption of the budget, €150,000 for the intersessional period 2017–2020, to be paid in three instalments of €50,000 each in 2018, 2019 and 2020.	168.312	61.350
Total		707.463	429.232
<p>^a All Parties to the Convention are listed, whether or not contributing to the trust fund.</p>			

Table A.2

Income to the Convention's trust fund by date

(in United States dollars)

<i>Date</i>	<i>Party</i>	<i>Amount received in original currency</i>	<i>Income in USD</i>
2016			
6 March	Netherlands	EUR 60,000	63.694
2017			
6 March	Italy	EUR 5,000	5.302
9 May	Croatia	USD 3,000	3.000
20 June	Germany	USD 15,000	15.000
11 July	France	EUR 20,000	22.753
19 September	Albania	USD 2,000	2.000
25 September	Switzerland	CHF 42,000	44.397
9 October	Czech Republic	CZK 500,000	22.232

Table A.2

14 November	Czech Republic	USD 5,000	5.000
21 November	Bulgaria	USD 8,000	8.000
27 November	Lithuania	USD 6,000	6.000
1 December	Austria	USD 6,000	6.000
12 December	Ukraine	USD 6,000	6.000
15 December	Greece	USD 1,000	1.000
18 December	Cyprus	USD 1,000	1.000
20 December	Estonia	EUR 1,000	1.185
27 December	Norway	NOK 706,364	84.934
2018			
5 January	Denmark	USD 19,970	19.970
1 March	European Union	EUR 50,000	61.350
2 March	Poland	USD 5,000	5.000
26 March	Sweden	USD 8,270	8.270
27 March	Italy	EUR 10,000	12.270
20 April	Hungary	USD 3,975.5	3.976
15 May	Cyprus	USD 1,000	1.000
1 June	Norway	NOK 118,837	14.899
19 June	Finland	USD 5,000	5.000
Total			429.232

Table A.3

Expenditure from the Convention's trust fund on budgeted activities

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Type of expenditure</i>	<i>Expenditure^a</i>
1	Eighth session of the Meeting of the	2		Participation of countries with	

	Parties to the Convention and the fourth session of the Meeting of the Parties to the Protocol			economies in transition (CITs)	
				Participation of non-governmental organizations	
				Invited speakers	
				Participation of non-UNECE countries	
(a)	Total for activity				
2.1	Meetings of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment, Geneva	2	Seventh meeting, 28-30 May 2018	Participation of CITs	18.388
				Participation of non-governmental organizations	9.492
				Participation of non-UNECE countries	-
2.2			Eight meeting, 2019	Participation of CITs	
				Participation of non-governmental organizations	
				Participation of non-UNECE countries	
2.3			Ninth meeting, 2020	Participation of CITs	
				Participation of non-governmental organizations	
				Participation of non-UNECE countries	
(b)	Total for activity				27.880
3.1	Bureau meetings (free standing)	2	Fourth meeting, 7-8 February 2018	Participation of CITs (Bureau members)	3.235
3.2			Fifth meeting, 22-23 October 2018		
3.3			Sixth meeting,		

			2019		
3.4			Seventh meeting, 2020		
(c)	Total for activity				3.235
4.1	Meetings of the Implementation Committee	2	39th session, 5-7 Sept 2017	Participation of CITs (Committee members)	2.870
4.2			40th session, 5-7 Dec 2017		1.539
4.3			41th session, 13-15 Mar 2018		3.915
4.4			42th session, 11-13 Sep 2018		
4.5			43th session, 4-6 Dec 2018		
4.6			44th session, 2019		
4.7			45th session, 2019		
4.8			46th session, 2019		
4.9			47th session, 2020		
(d)	Total for activity				8.324
5.1	External expert to provide secretariat support for the implementation of the Convention and the Protocol	1	Year 2017 (Jul - Dec)	External expert (United Nations Standard Salary Cost, including net salary, taxes and common staff costs)	112.056
5.2			Year 2018 (Jan - June)		97.917
			Year 2018 (Jul - Dec)		
5.3			Year 2019 (Jan - Jun)		
			Year 2019 (Jul - Dec)		
5.4			Year 2020 (Jan- Jun)		
(e)	Total for activity				209.972
6.1	Administrative staff to provide secretariat support (half time)	2	Year 2017 (Jul - Dec)	Administrative staff at 50% (United Nations Standard Salary Cost, including net salary, taxes and common	-
6.2			Year 2018 (Jan - June)		8.718
			Year 2018 (Jul - Dec)		

6.3			Year 2019 (Jan - Jun)	staff costs)	
			Year 2019 (Jul - Dec)		
6.4			Year 2020 (Jan-Jun)		
(f)	Total for activity				8.718
7	Informal translations of informal papers for meetings listed above	2			
(g)	Total for activity				
8.1	Further support for the implementation of the Convention and the Protocol	2	Secretariat travel in relation to the workplan	Secretariat travel and DSA (detailed in Table A.6)	<i>11.731</i>
8.2			Promotional materials	(None)	
(h)	Total for activity				11.731
9	Reporting under the Convention and the Protocol	1	Consultancy costs for drafting reviews of implementation, translation of reports	Consultant	
(i)	Total for activity				
10.1	Promotion of contacts with countries outside the ECE region (with reporting of results to Working Group)	3	Travel of secretariat, experts and Chair	mission	
10.2				mission	
10.3				mission	
10.4				mission	
10.5				mission	
(j)	Total for activity				
(k)	Subtotal (Organizational)				269.861
(l)	Future of the Convention and the Protocol	2	A long-term strategy and an action plan, including regarding the opening of the Convention and the Protocol and	Consultant	

			contribution to the 2030 Agenda for Sustainable Development (support to drafting)	
(m)	Subtotal (substantive activities)			
(n)	Total for all budgeted activities (as in decision VII/4-III/4, annex) (k) + (m)			269.861
11	Carried forward activities	3	update of the Guidelines on Environmental Impact Assessment in a Transboundary Context for Central Asia	27.016
(o)	Total for carried over activities			27.016
(p)	Total for all activities (n) + (o)			296.877

Table A.4

Expenditure from the Convention's trust fund on unbudgeted activities

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Justification</i>	<i>Type of expenditure</i>	<i>Expenditure</i>
1	EIA review in Kazakhstan		Contribution from Switzerland for legislative assistance to Kazakhstan	Grant	4.920
2	Guidelines on EIA in a Transboundary Context for Central Asian Countries (sub-regional)		Contribution from Switzerland for technical cooperation Central Asian Guidelines (co-	Payment for the venue, simultaneous interpretation, coffee break and lunch executed	4.637

Table A.4

	workshop to promote application of transboundary EIA in CA sub-region, Tashkent, 4 June 2018)	funded by UN RPTC and EU-project for Kazakhstan)	through UNDP office in Uzbekistan	
3.	Guidelines on EIA in a Transboundary Context for Central Asian Countries (sub-regional workshop to promote application of transboundary EIA in CA sub-region, Tashkent, 4 June 2018)	Contribution from Switzerland for technical cooperation Central Asian Guidelines (co-funded by UN RPTC and EU-project for Kazakhstan)	Travel and DSA for 2 senior experts to Sub-regional workshop on transboundary EIA as a tool for “greening” economies and sustainable investments in Central Asian countries (Tashkent, June 2018)	3.713
	Total for all activities			13.269

Table A.5

Promotion of contacts with countries outside the ECE region: travel and subsistence allowances

(in United States dollars)

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure</i>
		—
Activity total		—

Table A.6

Secretariat travel in relation to the workplan: travel and subsistence allowances

(in United States dollars)

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure</i>
8.1.1	Third Regional Conference on EIA (13-16 September 2017), with a special session on the Espoo Convention, the Protocol on SEA and the Bucharest Agreement	1.635
8.1.2	Second sub-regional workshop, Ukraine, November 2017	815
8.1.3	Ad hoc working group on life time extension of nuclear power plants (1st meeting)	1.314

<i>Index</i>	<i>Purpose of travel</i>	<i>Expenditure</i>
8.1.4	EaP GREEN project management meeting and final conference	1.105
8.1.5	Ad hoc working group on lifetime extension of nuclear power plants (2nd meeting)	2.167
8.1.6	Subregional Workshop "Feasibility of the application of a transboundary environmental impact assessment procedure as a tool for "greening" economies and ensuring sustainable investments in Central Asian countries" (Tashkent, June 2018)	1.807
8.1.7	EU4Environment, Kiev, June 2018	1.393
8.1.8	Ad hoc working group on lifetime extension of nuclear power plants (3rd meeting)	1.494
Activity total		11.731

Table A.7

In-kind contributions made

(in United States dollars)

<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Date</i>	<i>Source</i>	<i>Value^a</i>	<i>Notes</i>
Sub-regional cooperation	3	Session on implementation of the Convention, the Protocol and the Bucharest Agreement in the South-Eastern Europe subregion	14-15 September 2017, Vodice, Croatia	Croatia	Unknown	Co-organized by Romania and the secretariat during a regional conference on EIA
	3	Preparation of draft terms of reference for possible guidance for the application of the Convention to the lifetime extension of nuclear power plants by an ad hoc working group, including preparatory meetings hosted by the European Commission (both DG Energy and Environment) and Germany	27-28 November 2017, Luxembourg and 20–21 February 2018, Brussels and 20–21 June 2018, Berlin	Germany the UK and the European Commission (DG Energy and Environment)	Unknown	Ad hoc working group co-chaired by Germany and the UK, with participation of Armenia, Austria, Belarus, Bulgaria, Canada, Czechia, France, Finland, Greece, Italy, Luxembourg, the Netherlands, Norway, Poland, Portugal, Slovenia, Slovakia, Spain, Ukraine, and the European

Commission

3	Workshop on the application of the Convention to the lifetime extension of nuclear power plants (+ additional hour of Russian interpretation paid by the Netherlands)	28-29 May 2018, Geneva	Germany, the UK, (+ interpretation costs: Netherlands)	Unknown
3	Informal Translation of IAIA FastTips		WWF Russia	Unknown

Total

-

^a Values for activities not indicated in the current budget (decision VII/4-III/4) are purely indicative.

Table A.8

Budget, after decision VII/4-III/4

(in United States dollars)

<i>Index</i>	<i>Activity</i>	<i>Priority</i>	<i>Sub-activity</i>	<i>Budget</i>	<i>Savings</i>	<i>Overspend</i>	<i>Explanation/Justification</i>
	Organizational activities						
1	Eighth session of the Meeting of the Parties to the Convention and the fourth session of the Meeting of the Parties to the Protocol	2		80,000			Not yet completed
2.1	Meetings of the Working Group on Environmental	2	Seventh meeting, 28-30 May 2018	36,667	7,742		Under preparation
2.2	Impact Assessment and Strategic Environmental		Eight meeting, 2019	36,667			
2.3	Assessment, Geneva		Ninth meeting, 2020	36,667			
3.1	Bureau meetings (free standing)	2	Fourth meeting, 7-8 February 2018	6,000	2,765		Completed
3.2			Fifth meeting, February 2019	6,000			
3.3			Sixth meeting, February 2020	6,000			
3.4			Seventh meeting, June 2020	6,000			
4.1	Meetings of the	2	39th session, 5-7 Sept 2017	6,000	3,130		Completed
4.2	Implementation		40th session, 5-7 Dec 2017	6,000	4,461		Completed
4.3	Committee		41th session, 13-15 Mar 2018	6,000	2,085		Completed
4.4			42th session, 11-13 Sep 2018	6,000			
4.5			43th session, 4-6 Dec 2018	6,000			
4.6			44th session, 2019	6,000			
4.7			45th session, 2019	6,000			
4.8			46th session, 2019	6,000			
4.9			47th session, 2020	6,000			
5.1	External expert to provide	1	Year 2017 (Jul - Dec)	100,000		-12,056	Completed
5.2	secretariat support for the		Year 2018 (Jan - June)	100,000	2,083		Current period
	implementation of the		Year 2018 (Jul - Dec)	100,000			
5.3	Convention and the		Year 2019 (Jan - Jun)	100,000			
	Protocol		Year 2019 (Jul - Dec)	100,000			
5.4			Year 2020 (Jan-Jun)	100,000			

6.1	Administrative staff to	2	Year 2017 (Jul - Dec)	27,500	27,500	Completed
6.2	provide secretariat support (half time)		Year 2018 (Jan - June)	27,500	18,782	Current period
			Year 2018 (Jul - Dec)	27,500		
6.3			Year 2019 (Jan - Jun)	27,500		
			Year 2019 (Jul - Dec)	27,500		
6.4			Year 2020 (Jan-Jun)	27,500		
7	Informal translations of informal papers for meetings listed above	2		25,000		
8.1	Further support for the implementation of the	2	Secretariat travel in relation to the workplan	45,000		
8.2	Convention and the Protocol		Promotional materials	15,000		
9	Reporting under the Convention and the Protocol	1	Consultancy costs for drafting reviews of implementation, translation of reports	25,000		
10	Promotion of contacts with countries outside the ECE region (with reporting of results to Working Group)	3	Travel of secretariat, experts and Chair	25,000		
	substantive activities					
	Future of the Convention and the Protocol	2	A long-term strategy and an action plan, including regarding the opening of the Convention and the Protocol and contribution to the 2030 Agenda for Sustainable Development (support to drafting)	15,000		
	Carried forward activities					
11	Carried forward activities	3	update of the Guidelines on Environmental Impact Assessment in a Transboundary Context for Central Asia	26739	285	
Total				1,183,000	68,833	-12,056
+13% United Nations programme support costs				153,790		
Grand total				1,336,790		