



**4th Biannual Financial Report: period to 30 June 2010
(between 4th and 5th sessions of the Meeting of the Parties)**

Introduction

The Meeting of the Parties to the Espoo Convention (the Convention on Environmental Impact Assessment (EIA) in a Transboundary Context, adopted in Espoo, Finland) requested, at its fourth session, “the secretariat to continue to prepare and submit to the Bureau biannual reports with the view to assisting in the preparation of the report to the fifth meeting of the Parties” (decision IV/8, para. 9). The Meeting further requested “the secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the funds and to prepare a report for the next meeting of the Parties, based on the information contained in the biannual reports” (decision IV/8, para. 13)

This document is the **fourth** report in the period between the fourth and fifth sessions of the Meetings of the Parties; it covers the period to 30 June 2010. The first part of the report sets out (cash) income, in-kind contributions and (cash) expenditure in the reporting period, as well as the balance in the Espoo Convention’s Trust Fund at the end of the period. The second part of the report sets the above in the context of the budget, indicating expected cash and in-kind contributions and expected expenditure. The report addresses work under the Convention, including its Protocol on Strategic Environmental Assessment (SEA).

As described later, the pledges made at the fourth session of the Meeting of the Parties appear at present to be insufficient to cover the budget. The Parties are invited to come forward with further contributions, noting that the Meeting of the Parties (decision IV/8, paras. 7-8) encouraged:

- (a) Parties that have so far not pledged anything to make contributions during the current and future budget cycles; and
- (b) Parties that have so far only committed limited funds or in-kind contributions to raise their contributions during the current and future budget cycles.

The Chair of the Bureau wrote to a number of Parties early in 2009 for this purpose, as requested by the Meeting of the Parties. Parties contacted have made since unpledged contributions, though these contributions were not necessarily in response to the letter by the Chair.

1. Income and expenditure to date

Table 1 presents the income and expenditure, from the fourth session of the Meeting of the Parties to 30 June 2010.

Parties have made ‘cash’ contributions to the Espoo Convention’s Trust Fund (Tables A.1 and A.2), either fulfilling pledges made at the fourth meeting of the Parties, or in response to later

appeals by the secretariat and the Chair of the Bureau. There are two **outstanding (i.e. yet to be fulfilled) pledges** for 2009 (Portugal—EUR 5,000; Romania—US\$ 6,000).

The activities under the workplan were assigned priorities 1, 2 or 3 in the budget (decision IV/8). The Czech Republic and Slovenia have imposed conditions on their contributions, with US\$ 9,000, less programme support costs, earmarked for priority 2 activities. Germany, Norway and Switzerland have provided additional unpledged contributions, earmarked primarily to support participation by countries with economies in transition.

Table 1. Income and expenditure (US\$)

| | <i>Amount by priority</i> | | | |
|--|---------------------------|----------------|----------|----------------|
| | <i>1</i> | <i>2</i> | <i>3</i> | <i>Total</i> |
| (a) Contributions made to the Trust Fund, after deduction of United Nations programme support costs, with non-earmarked contributions being allocated first to priority 1 activities (from Tables A.1 and A.2) | 523,409 | 7,830 | - | 531,239 |
| (b) Funds for carried-over activities (see text) | - | 53,869 | - | 53,869 |
| (c) Total income to the Trust Fund in the period ((a)+(b)) | 523,409 | 61,699 | - | 585,108 |
| (d) Expenditure from the Trust Fund for budgeted workplan activities (from Tables A.3 and A.4) | 425,158 | 71,011 | - | 496,169 |
| (e) Trust Fund balance: income less expenditure in the period ((c)-(d)) | 98,250 | - 9,312 | - | 88,938 |
| (f) In-kind contributions made, valued according to the budgeted activity cost (from Table A.5) | - | 125,452 | - | 125,452 |

Two priority 2 activities were not completed within the previous intersessional period and were therefore carried forward, together with their budget: a capacity-building workshop for Eastern Europe (US\$ 30,000) and a subregional cooperation workshop for the Mediterranean Sea (US\$ 23,869) (decision IV/8, annex, para. 12). Both of these activities have now been completed.

The cash income together with the funds for the carried-over activities totalled US\$ 585,108.

The secretariat has spent from the Trust Fund in accordance with the budget (Table A.3, with further details in Table A.4). The funds have been spent on nine priority 1 activities (two meetings of the Working Group on EIA, four sessions of the Implementation Committee, the independent review of Ukraine, the technical assistance to Armenia, and the external expert to provide secretariat support), and on five priority 2 activities (the postponed capacity-building workshop for Eastern Europe, two free-standing meetings of the Bureau, a seminar on legislation and procedures for the implementation of the Convention, and secretariat travel in relation to the workplan).

There has not been any overspend on workplan activities beyond the budgeted amount for a budget line. The 16th and 17th sessions of the Implementation Committee cost more than budgeted, but costs are expected to decline because one less country (Azerbaijan) is to receive financial support, in accordance with the criteria adopted by the Committee on Environmental

Policy (ECE/CEP/155, annex I). Though the total costs of financial support for the 12th meeting of the Working Group on EIA were below budget, the costs of participation by representatives of non-governmental organizations were slightly over budget; similarly for the 13th meeting of the Working Group, the costs of participation of non-ECE States was over budget but the overall meeting costs were below budget .

The expenditure totalled US\$ 496,169, leaving a balance in the Trust Fund of US\$ 88,938.

Parties, other States and organizations have also undertaken nine priority-2 workplan activities by providing in-kind contributions (Table A.5): a subregional SEA training workshop for countries of South-Eastern Europe; a subregional cooperation meeting in Bulgaria for the countries of South-Eastern Europe; a subregional training workshop on strategic environmental assessment (SEA) for the countries of South-Eastern Europe; a subregional workshop on the outcome of a pilot project in Central Asia; a seminar on large-scale projects; a subregional cooperation meeting in Lithuania for the countries of the Baltic Sea area; a seminar on legislation and procedures; a national SEA awareness-raising workshop held in Slovenia; and a subregional cooperation meeting in Tunis for the countries of the Mediterranean Sea area. These activities have been valued at US\$ 125,452 in total, according to the budget indicated by the Meeting of the Parties. An additional subregional cooperation meeting for the countries of South-Eastern Europe was held in Montenegro in December 2009, following that country's ratification of both the Convention and its Protocol; the only expenditure from the Trust Fund for this workshop was to cover attendance by the secretariat.

Funds through the Environment and Security Initiative have been disbursed to support a pilot project in Belarus, which received Government approval in June 2010. Funds from the same source were available to support the planned subregional workshop for the Caucasus, which has not been held.

In addition, a separate operating reserve of US\$ 262,862 has been created by carrying forward unspent funds from the period between the third and fourth sessions of the Meeting of the Parties, as decided by the Meeting of the Parties (decision IV/8, annex, para. 14). The operating reserve provides contingency funding to ensure continued operations under the Convention in the event of unanticipated problems, for example delayed receipt of contributions.

2. The budgetary setting

Table 2 presents the budgetary requirements for the period between the fourth and fifth sessions of the Meeting of the Parties, identifying how much of the budget has already been covered by expenditure and in-kind contributions. The calculation of the outstanding budgetary requirements also takes into account savings that have been made. The savings to date are from the organization of the postponed capacity-building workshop for Eastern Europe at US\$ 7,169 below budget, and from the organization of an independent review in Ukraine and technical assistance in Armenia, with total savings of US\$ 24,552. A saving of US\$ 3,869 has been identified for the Tunis workshop, as the budget available (US\$ 23,869) was larger than the US\$ 20,000 assigned by default to such workshops. In addition, the secretariat arranged informal translations of necessary documents for the seminar on legislation and procedures without use of the Trust Fund, saving US\$ 20,000.

Further savings of US\$ 70,000 have been foreseen as the Working Group on SEA will not be established before the fifth session of the Meeting of the Parties to the Convention, because of the delayed entry into force of the Protocol. In addition, given the outstanding budgetary requirements, it is clear that priority-3 activities will not be funded and must therefore be cancelled with savings of US\$ 150,000: further secretariat support for the implementation of the Convention and the Protocol (consultants; promotional materials; secretariat travel in relation to the workplan).

Table 2. Budgetary requirements (US\$)

| | <i>Amount by priority</i> | | | |
|---|---------------------------|----------------|----------|----------------|
| | <i>1</i> | <i>2</i> | <i>3</i> | <i>Total</i> |
| (a) Budget for period between 4th and 5th meetings of the Parties (ECE/MP.EIA/10, decision IV/8), plus for carried-over activities (from Table A.6) | 949,000 | 694,869 | 150,000 | 1,793,869 |
| (b) Expenditure from the Trust Fund (from Table 1) | 425,158 | 71,011 | - | 496,169 |
| (c) In-kind contributions made (from Table 1) | - | 125,452 | - | 125,452 |
| (d) Savings against budget for workplan activities (from Table A.6) | 94,552 | 46,011 | 150,000 | 290,552 |
| (e) Outstanding budgetary requirements ((a)-(b)-(c)-(d)) | 429,290 | 452,405 | - | 881,695 |

Table 3 presents the resources already available, or expected to be available, in the remainder of the intersessional period, taking into account remaining pledged contributions, in cash or in-kind.

Table 3. Resources expected to be available (US\$)

| | <i>Amount by priority</i> | | | |
|---|---------------------------|----------------|----------|----------------|
| | <i>1</i> | <i>2</i> | <i>3</i> | <i>Total</i> |
| (a) Trust Fund balance (from Table 1) | 98,250 | - 9,312 | - | 88,938 |
| (b) Outstanding pledged contributions to the Trust Fund, after deduction of United Nations programme support costs (from Table A.1) | 180,062 | - | - | 180,062 |
| (c) Outstanding, pledged in-kind contributions, valued according to the budgeted activity cost (from Table A.7) | - | 260,000 | - | 280,000 |
| (d) Expected resources available ((a)+(b)+(c)) | 278,312 | 250,688 | - | 529,000 |

There is a substantial difference between the outstanding budgetary requirements of US\$ 881,695 (Table 2) and the expected resources available of US\$ 529,000 (Table 3), representing a potential shortfall for the budget. This shortfall needs to be addressed through savings and additional contributions if the workplan is to be completed. The secretariat has drawn the attention of the Bureau to this shortfall, to seek guidance on how it might be reduced. Savings may be achieved, for example, through the holding back-to-back of the fifth session of the Meeting of the Parties to the Convention with the Meeting of the Parties to the Convention serving as the first meeting of the Parties to the Protocol on SEA. The final meeting of the Working Group on EIA planned in this intersessional period might also be held back-to-back with the fifth session of the Meeting of the Parties to the Convention. Further savings may be required on translations, consultants, promotional materials and secretariat travel.

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(at 21 May 2008)

Exchange rates for pledges:

US\$/euro 1.56

US\$/CAD\$ 1.01

Table A.1: Income to the Espoo Trust Fund (US\$)

| 1 | 2 | 3 | 4 |
|---------------------|--|--------|---------|
| Party | Description of pledge in ECE/MP.EIA/10, annex, plus additional contributions | Pledge | Receipt |
| Albania | A total of USD 1,000 for the period to the next meeting of the Parties. | 1,000 | 2,000 |
| Armenia | Either a contribution in kind by hosting the forthcoming meeting of the Implementation Committee, or 1 share for the three-year period. | 0 | 0 |
| Austria | USD 6,000 per year from 2009 until the fifth meeting of the Parties. | 18,000 | 12,089 |
| Azerbaijan | A total of USD 1,000 for the period to the next meeting of the Parties. | 1,000 | 1,000 |
| Belarus | | 0 | 0 |
| Belgium | | 0 | 0 |
| Bulgaria | A total of USD 5,000 (5 shares) for the period to the next meeting of the Parties. | 5,000 | 0 |
| Canada | A total of CAD 15,000 for the three-year period. | 15,150 | 8,806 |
| Croatia | A total of 5 shares for the three-year period. | 5,000 | 8,320 |
| Cyprus | An unpledged contribution of EUR 6,000 in 2009. | 0 | 9,036 |
| Czech Republic | USD 5,000 per year until the fifth meeting of the Parties. (3 shares later earmarked to support the implementation of SEA Protocol.) | 15,000 | 14,980 |
| Denmark | | 0 | 0 |
| Estonia | No contribution. | 0 | 0 |
| Finland | A total of 35 shares for the period to the next meeting of the Parties. The contribution is subject to the availability of funds in the national budget. | 35,000 | 22,989 |
| France | No contribution in 2008. EUR 10,000 per year in 2009 and 2010 | 31,200 | 14,045 |
| Germany | A minimum of 30 shares. This sum will be divided into at least two payments and will be earmarked. Any payment depends on the availability of funds in the national budget that will have to be adopted by the Parliament for each year. Additional unpledged contribution of USD15,000 in 2010 to support the travel of participants. | 30,000 | 45,000 |
| Greece | An unpledged contribution of USD 5,000 in 2009. | 0 | 5,000 |
| Hungary | USD 4,000 per year for the period to the next meeting of the Parties. | 12,000 | 13,000 |
| Ireland | Unpledged contributions of EUR 10,000 in 2008 and 2009. | 0 | 27,997 |
| Italy | EUR 20,000 in 2008. | 31,200 | 26,991 |
| Kazakhstan | | 0 | 0 |
| Kyrgyzstan | | 0 | 0 |
| Latvia | EUR 1000 per year, starting from 2009. | 4,680 | 1,406 |
| Liechtenstein | | 0 | 0 |
| Lithuania | | 0 | 0 |
| Luxembourg | | 0 | 0 |
| Montenegro | | 0 | 0 |
| Netherlands | EUR 10,000 per year for three years, subject to approval | 46,800 | 44,313 |
| Norway | 10-15 shares per year for three years. The contribution is subject to approval. In addition, unpledged contributions of NOK 150,000 (USD 23,226) in 2009 and NOK 200,000 (USD 31,008) in 2010 to support developing country participation. | 37,500 | 85,209 |
| Poland | No contribution in 2008. Unpledged contributions of USD 2,000 in 2009 and 2010. | 0 | 4,000 |
| Portugal | EUR 5,000 per year for three years. | 23,400 | 0 |
| Republic of Moldova | | 0 | 0 |
| Romania | USD 6,000 in 2009. In addition, submission of balance of funds from fourth meeting of the Parties (EUR 11,350). | 6,000 | 17,846 |
| Serbia | An unpledged contribution of USD 1,000 in 2009. | 0 | 1,506 |

| Party | Description of pledge in ECE/MP.EIA/10, annex, plus additional contributions | Pledge | Receipt |
|--|---|----------------|----------------|
| Slovakia | An unpledged contribution of EUR 2,000 in 2009. | 0 | 2,789 |
| Slovenia | 3 shares per year (USD 3,000 per year), of which 6 shares earmarked for promoting ratification and application of the Protocol. | 9,000 | 5,978 |
| Spain | An unpledged contribution of EUR 15,000 in 2009. | 0 | 21,583 |
| Sweden | 20 shares (USD 20,000) in kind for subregional cooperation in the Baltic Sea | 0 | 0 |
| Switzerland | Likely USD 17,500 (17.5 shares) per year for the period to the next meeting of the Parties. This contribution is subject to approval. Additional unpledged contribution of CHF15,000 in 2009 to support travel of the secretariat and participants. | 52,500 | 49,975 |
| The former Yugoslav Republic of Macedonia | | 0 | 0 |
| Ukraine | EUR 6,000 for the period to the next meeting of the Parties. | 9,360 | 8,086 |
| United Kingdom of Great Britain and Northern Ireland | 30 shares (USD 30,000) for the period to the next meeting of the Parties. | 30,000 | 19,801 |
| European Union | EUR 50,000 per year, 2008-2010, which may be subject to earmarking. | 234,000 | 136,873 |
| Total | | 652,790 | 610,619 |
| | 13% UN programme support costs | | 79,380 |
| | Net Income | | 531,239 |

Notes:

All Parties to the Convention are listed (column 1), whether or not contributing to the Trust Fund.

Shares (in column 2) are US\$ 1,000 in value.

United Nations programme support costs at 13% are deducted at the foot of this table.

Income by date is presented in Table A.2.

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Table A.2: Income to the Espoo Trust Fund by date (US\$)

Income for (a) workplan budget and (b) other

(a) Income for workplan budget

| Date | Donor | Currency | Amount (US\$) |
|--------------|----------------|-----------------|--------------------------|
| 2008 | | | |
| 28 March | Croatia | USD3025.78 | 3,026 |
| 01 April | Czech Republic | USD4980 | 4,980 |
| 06 May | Azerbaijan | USD1000 | 1,000 |
| 15 May | Albania | USD1000 | 1,000 |
| 04 June | Germany | USD15000 | 15,000 |
| 07 July | Romania* | EUR11350 | 17,846 |
| 02 September | Netherlands | EUR30000 | 44,313 |
| 14 October | Ukraine | EUR6000 | 8,086 |
| 20 October | European Union | USD66550 | 66,550 |
| 03 November | Hungary | USD3000 | 3,000 |
| 05 November | Switzerland | USD17475 | 17,475 |
| 27 November | Ireland | EUR10000 | 12,937 |
| 08 December | Norway | EUR10783.65 | 13,968 |
| 09 December | Czech Republic | USD5000 | 5,000 |
| 11 December | Finland | USD12000 | 12,000 |
| 29 December | Canada | USD4091.32 | 4,091 |
| 2009 | | | |
| 06 February | Austria | EUR4647.76 | 6,099 |
| 10 February | Croatia | USD2580 | 2,580 |
| 04 March | Germany | USD15000 | 15,000 |
| 29 April | Albania | USD1000 | 1,000 |
| 26 June | Slovakia | EUR2000 | 2,789 |
| 02 July | Latvia | EUR999.99 | 1,406 |
| 06 July | Norway | USD23226.20 | 23,226 |
| 14 July | Greece | USD5000 | 5,000 |
| 24 July | European Union | EUR50000 | 70,323 |
| 18 August | France | EUR10000 | 14,045 |
| 26 August | Hungary | USD5000 | 5,000 |
| 04 September | Spain | EUR15000 | 21,583 |
| 28 September | Switzerland | USD17500 | 17,500 |
| 19 October | United Kingdom | GBP6270.42 | 9,985 |
| 02 November | Poland | USD4000 | 4,000 |
| 03 November | Slovenia | USD3000 | 3,000 |
| 02 December | Cyprus | EUR 6,000 | 9,036 |
| 02 December | Ireland | EUR10000 | 15,060 |
| 15 December | Austria | EUR3977.20 | 5,990 |
| 16 December | Switzerland | CHF15000 | 15,000 |
| 16 December | Canada | USD4714.31 | 4,714 |
| 17 December | Serbia | EUR1000 | 1,506 |
| 23 December | Norway | USD17006.8 | 17,007 |

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| Date | Donor | Currency | Amount (US\$) |
|--------------|----------------|-----------------|--------------------------|
| 2010 | | | |
| 15 January | United Kingdom | GBP6134.93 | 9,816 |
| 17 February | Germany | USD15000 | 15,000 |
| 22 February | Slovenia | USD2978 | 2,978 |
| 10 March | Italy | EUR20000 | 26,991 |
| 15 April | Croatia | USD2714.4 | 2,714 |
| 29 April | Hungary | USD5000 | 5,000 |
| 20 May | Norway | NOK 200,000 | 31,008 |
| 02 June | Finland | EUR9000 | 10,989 |
| 12 July | Czech Republic | USD5000 | 5,000 |
| TOTAL | | | 610,619 |

(b) Other contributions (not in workplan budget)

| Date | Donor | Currency | Amount (US\$) |
|-------------|--------------|-----------------|--------------------------|
| (None) | | | |

* Unused balance from fourth meeting of the Parties (ECE/MP.EIA/10, decision IV/8, annex), less €50 charges.

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Table A.3: Expenditure from the Espoo Trust Fund (US\$)

| # | Activity | Priority | Sub-activity | Cost type | Total amount | Total for activity |
|-----|---|----------|--------------------------------------|---|---------------------------|--------------------|
| 1 | 5th meeting of Parties to Convention, probably together with 2nd meeting of Parties to Convention serving as Meeting of Parties to Protocol | 1 | | CIT travel & DSA NGO travel & DSA non-ECE travel & DSA speakers travels, DSA | - - - - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 2 | 1st meeting of Parties to Convention serving as Meeting of | 1 | | CIT travel & DSA NGO travel & DSA non-ECE travel & DSA speakers travels, DSA | - - - - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 3 | 3rd meeting of Signatories to Protocol, Geneva | 1 | | CIT travel & DSA NGO travel & DSA non-ECE travel & DSA | - - - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 4.1 | Meetings of Working Group on EIA, Geneva | 1 | 12th meeting, 11-13 May 2009 | CIT travel & DSA NGO travel & DSA non-ECE travel & DSA | 12,873 10,887 4,392 | |
| 4.2 | | | 13th meeting | CIT travel & DSA NGO travel & DSA non-ECE travel & DSA | 12,898 7,330 6,887 | |
| 4.3 | | | 14th meeting | CIT travel & DSA NGO travel & DSA non-ECE travel & DSA | - - - | |
| 4.4 | | | 15th meeting | CIT travel & DSA NGO travel & DSA non-ECE travel & DSA | - - - | |
| | | | <u>Activity total</u> | | | |
| 5.1 | Meetings of Working Group on SEA | 1 | 1st meeting | CIT travel & DSA NGO travel & DSA non-ECE travel & DSA | - - - | |
| 5.2 | | | 2nd meeting | CIT travel & DSA NGO travel & DSA non-ECE travel & DSA | - - - | |
| | | | <u>Activity total</u> | | | |
| 6.1 | Meetings of the Bureau (free-standing), Geneva | 2 | 1st meeting, 15-16 Jan. 2009 | CIT travel & DSA | 4,199 | |
| 6.2 | | | 2nd meeting, Jan. 2010 | | 1,702 | |
| 6.3 | | | 3rd meeting | | - | |
| 6.4 | | | 4th meeting | | - | |
| | <u>Activity total</u> | | | | | <u>5,901</u> |
| 7.1 | Meetings of the Implementation Committee, Geneva | 1 | 15th session, 28-30 Oct. 2008 | CIT travel & DSA | 4,968 | |
| 7.2 | | | 16th session, 10-12 Mar 2009, Berlin | | 6,007 | |
| 7.3 | | | 17th session, 14-18 Sept. 2009 | | 5,499 | |

| # | Activity | Priority | Sub-activity | Cost type | Total amount | Total for activity |
|-------|---|----------|--|------------------------------------|--------------|--------------------|
| 7.4 | | | 18th session, Feb. 2010 | | 3,735 | |
| 7.5 | | | 19th session, 1 Aug.- 2 Sept. 2010 | | - | |
| 7.6 | | | 20th session | | - | |
| | <u>Activity total</u> | | | | | <u>20,208</u> |
| 8.1 | External expert to | 1 | Extrabudgetary post, Jun-Dec 2008 (pro-rata) | | 86,333 | |
| 8.2 | provide secretariat | | Extrabudgetary post, 2009 | | 148,000 | |
| 8.3 | support for | | Extrabudgetary post, 2010 (pro-rata to date) | | 74,000 | |
| 8.4 | implementation of | | Extrabudgetary post, Jan-May 2011 (pro-rata) | | - | |
| | Convention and Protocol | | | | | |
| | <u>Activity total</u> | | | | | <u>308,333</u> |
| 9 | Informal translations of informal papers for meetings listed above | 2 | (None) | | - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 10.1 | Further secretariat support: Consultants | 2 | (None) | | - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 10.2 | Further secretariat support: Promotional materials | 2 | (None) | | - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 10.3 | Further secretariat support: Secretariat travel in relation to the workplan | 2 | Detailed in Table A.4 | Secretariat travel & DSA | 35,595 | |
| | <u>Activity total</u> | | | | | <u>35,595</u> |
| 10a.1 | Further secretariat support: Consultants | 3 | (None) | | - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 10a.2 | Further secretariat support: Promotional materials | 3 | (None) | | - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 10a.3 | Further secretariat support: Secretariat travel in relation to the workplan | 3 | Detailed in Table A.4 | Secretariat travel & DSA | - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 11 | Promotion of contacts with countries outside the UNECE region | 2 | Detailed in Table A.4 | Secretariat & Chair's travel & DSA | - | |
| | <u>Activity total</u> | | | | | <u>-</u> |

| # | Activity | Priority | Sub-activity | Cost type | Total amount | Total for activity |
|------|--|----------|--|-----------------------------|----------------|--------------------|
| 12.1 | Compliance with and implementation of Convention: Informal translations of submissions | 1 | (None) | | - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 12.2 | Compliance with and implementation of Convention: Drafting of 3rd review of implementation | 1 | (None) | | - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 12.3 | Compliance with and implementation of Convention: Country-specific performance reviews | 1 | 1: Independent review of Ukraine, including consultancy fees and travel, and of expert to Kiev, September 2009 | | 15,450 | |
| | | | 2: Technical assistance to Armenia, including consultancy fees and travel | | 19,999 | |
| | | | 3: (None) | | - | |
| | <u>Activity total</u> | | | | | <u>35,448</u> |
| 14.1 | Exchange of good practices: Informal translations for 1-day seminar | 2 | (None) | | - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 14.2 | Exchange of good practices: 1-day seminars | 2 | 1: Seminar on large-scale activities, 12 May 2009 | | - | |
| | | | 2: Seminar on legislation and procedures, 17 May 2010 | Travel & DSA for 3 speakers | 5,037 | |
| | <u>Activity total</u> | | | | | <u>5,037</u> |
| 15.1 | Promoting ratification and application of Protocol: National awareness-raising workshops | 2 | (None) | | - | |
| | <u>Activity total</u> | | | | | <u>-</u> |
| 16 | Subregional cooperation | 2 | Workshop for Mediterranean subregion, 20-21 April 2010, Tunis | | 7,548 | |
| | <u>Activity total</u> | | | | | <u>7,548</u> |
| 17 | Capacity-building in countries of Eastern Europe, Caucasus and Central Asia, & others | 2 | Subregional workshop in Eastern Europe, 5-7 Nov 2008 - grant | | 22,831 | |
| | <u>Activity total</u> | | | | | <u>22,831</u> |
| | <u>Totals</u> | | | | <u>496,169</u> | <u>488,622</u> |

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Table A.4: Secretariat travel and DSA

| | Travel & DSA (US\$) |
|--|------------------------------------|
| Activity totals are presented in the expenditure Table A.3. | |
| 10.3 Further secretariat support: secretariat travel in relation to the workplan (priority 2) | |
| 10.3.1 Meeting with relevant authorities to review progress in the implementation of Kazakhstan-Kyrgyzstan pilot transboundary EIA, Almaty (Kazakhstan), 3-5 Jun 2008 | 3,528 |
| 10.3.2 Start-up meeting for Belgrade Initiative on SEA, Chisinau, 11-12 Jun 2008 | 1,845 |
| 10.3.3 International Expert Conference on "The Safety of Navigation and Environmental Security in a Transboundary Context in the Black Sea Basin", Odessa (Ukraine) - OSCE and Ministry of Transport and Communications of Ukraine, 24-26 Jun 2008 (costs covered by organizers) | - |
| 10.3.4 Informal meeting between European Commission (DG Environment) and UNECE on "Lessons of application of the Espoo Convention to current and future major transboundary gas pipeline projects", Brussels, 15 Jul 2008 (2 persons) | 1,627 |
| 10.3.5 Mission to Ukraine and Bystroe Canal Project, led by Secretariat of Bern Convention, including secretariats of Espoo and Ramsar Conventions, UNESCO and Council of Europe, 28-31 Jul 2008 (costs covered by United Nations regular budget) | - |
| 10.3.6 Budapest, Energy Efficiency Institute training course, 2 Oct 2008 (cost covered by organizer) | - |
| 10.3.7 Workshop for transboundary EIA capacity-building in Eastern Europe, Chisinau, 5-7 Nov 2008 | 2,079 |
| 10.3.8 Subregional workshop, Bulgaria (most local costs covered by hosts), 15-17 Nov 2008 | 1,026 |
| 10.3.9 Meeting under Belgrade Initiative on SEA, 15-16 Dec 2008, and meeting with Deputy Minister of Environmental Protection, and his staff, 17-18 Dec 2008, Minsk | 1,969 |
| 10.3.10 Meeting with Deputy Minister, and his staff, 17-18 Dec 2008, Minsk | 1,897 |
| 10.3.11 Consultations with Government of Ukraine, 5-6 Feb 2009, Kyiv | 1,311 |
| 10.3.12 First Turkish national workshop on EIA, plus consultations with relevant authorities, 25-27 Feb 2009, Antalya (Turkey) | 579 |
| 10.3.13 Service session of Implementation Committee, 10-12 Mar 2009, Berlin (2 persons) | 3,033 |
| 10.3.14 Subregional workshop, presenting results of Kazakhstan-Kyrgyzstan pilot project(s), 24-27 Mar 2009, Bishkek | 1,729 |
| 10.3.15 Seminar on international water law & negotiations of multilateral agreements, 19-21 Apr 2009, Almaty (Kazakhstan) (cost covered by organizer) | - |
| 10.3.16 WHO/Euro Workshop on Health and Strategic Environmental Assessment, Consultation Meeting, 8-9 Jun 2009, Rome (cost covered by organizer) | - |
| 10.3.17 Workshop on EIA in a transboundary context with the 5 central Asian countries, Ashgabad (postponed - cancellation charge payable) | 210 |
| 10.3.18 OECD/DAC Task Team on SEA meeting, 17-18 Jun 2009, Paris | 1,004 |
| 10.3.19 OSCE-funded start-up meeting for pilot project on the Neman River (Belarus), 22-23 Jun 2009, Minsk (cost covered by organizer) | - |
| 10.3.20 Mission to Ukraine, with national experts, 7-8 Sept 2009, Kyiv (costs covered by United Nations regular budget) | - |
| 10.3.21 Subregional workshop for Baltic Sea subregion, 22-23 Oct 2009, Vilnius (costs covered by United Nations regular budget) | - |

| | | |
|---------|--|---------------|
| 10.3.22 | Meeting of the Standing Committee of the Convention on the Conservation of European Wildlife and Natural Habitats (Bern Convention), 24 November 2009, Bern (file: navigable waterway in the Bystroe Estuary) (costs covered by United Nations regular budget) | - |
| 10.3.23 | Subregional workshop for South-eastern Europe, 15-16 December 2009, Podgorica | 1,943 |
| 10.3.24 | Meeting of Government-designated experts on the draft EIA protocol, Framework Convention for the Protection of the Marine Environment of the Caspian Sea, 27-28 Jan 2010, Moscow | 2,062 |
| 10.3.25 | Fifth Ministerial Conference on Environment & Health, 10 Mar 2010, Parma (Italy), including side-event on "Protecting & improving human health through SEA" | 635 |
| 10.3.26 | Workshop on subregional cooperation around the Mediterranean Sea, CITET, 20-21 Apr 2010, Tunis (Mediterranean subregion - Workshop on practical application of the Convention in the subregion) | 2,280 |
| 10.3.27 | Meeting of Government-designated experts on the draft EIA protocol, Framework Convention for the Protection of the Marine Environment of the Caspian Sea, 26-27 Apr 2010, Baku (cost covered by UNEP) | - |
| 10.3.28 | Mekong Environment and Climate Symposium, 26-27 Apr 2010, Ho Chi Minh City (Viet Nam) (costs to be recovered from organizer, Mekong River Commission) | 2,201 |
| 10.3.29 | National awareness workshop and training using the Resource Manual to provide assistance to countries in ratification of Protocol on SEA, 9 Jun 2010, Ljubljana | 1,399 |
| 10.3.30 | Workshop on Capacity Development on EIA in Central Asia and Azerbaijan, 16-18 Jun 2010, Baku & Salyan (Azerbaijan) | 2,047 |
| 10.3.31 | Round table session: regional nuclear energy projects, 9 Jun 2010, Vilnius (most costs covered by organizer, Lithuania) | 357 |
| 10.3.32 | International Symposium Reducing Conflict and Enhancing Security in Water Management: Lessons Learned from Transboundary Impact Assessment, University of Tokyo, 22 Jun 2010, Japan (most costs covered by organizer) | 252 |
| 10.3.33 | Multilateral Environmental Agreements training workshop, 22-24 Jun 2010, Tirana, organized by UNEP/ROE (with costs shared with organizer) | 584 |
| | <u>Activity total</u> | <u>35,595</u> |
| 10a.3 | Further secretariat support: secretariat travel in relation to the workplan (priority 3) | - |
| | <u>Activity total</u> | <u>-</u> |
| 11 | Promotion of contacts with countries outside the UNECE region (priority 2) | - |
| | <u>Activity total</u> | <u>-</u> |

Approximate costs in italics

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Table A.5: In-kind contributions to date

Indicated value (US\$) is that indicated in the current budget (decision IV/8).

| # | Activity | Priority | Sub-activity | Date | Source | Value (US\$) | Notes |
|---------------|--|----------|---|-----------------|-------------------------------------|----------------|--|
| 13.1.2 | Subregional cooperation and capacity-building | 2 | Subregional meetings | 25-27 Mar 2009 | Switzerland | 20,000 | Subregional workshop (Central Asia), presenting results of Kazakhstan-Kyrgyzstan pilot project(s), Bishkek |
| 13.1.6 | | 2 | | 17-19 Nov 2008 | Bulgaria, and workshop participants | 20,000 | South-Eastern Europe subregion - Meeting, on the relationship between EIA and SEA, Koprivshitsa (Bulgaria) |
| 13.1.8 | | 2 | | 22-23 Oct 2009 | Sweden, and workshop participants | 20,000 | Baltic Sea subregion - workshop, Vilnius |
| 14.2.1 | Exchange of good practices | 2 | One-day seminar | 12 May 2009 | European Commission | 20,000 | Large-scale projects |
| 14.2.2 | | 2 | One-day seminar | 17 May 2010 | Armenia | 0 | Legislation and procedures. Organized by secretariat within a half-day. |
| 15.1.1 | Promoting ratification and application of the Protocol | 2 | National awareness-raising workshops | 9 Jun 2010 | Slovenia | 3,000 | Neighbouring States invited but did not participate |
| 15.2 | | 2 | Subregional training workshop for countries of South-Eastern Europe | 22-26 Sept 2008 | UNDP | 30,000 | Held in Prague by UNDP, with support from the Czech Trust Fund |
| 16 | Subregional cooperation | 2 | Workshop in Tunis | 20-21 Apr 2010 | Tunisia | 12,452 | Subregional workshop, Tunis, financing of eligible participants via Trust Fund (Italy), with other arrangements by host government |
| Totals | | | | | | 125,452 | |

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Table A.6: Budget, after decision IV/8 (US\$)

| # | Activity | Priority | Sub-activity | Budget (US\$) | Savings | Over-spend | Explanation / Justification |
|-------|--|----------|--|---------------|---------|------------|--|
| | <i>Organizational activities</i> | | | | | | |
| 1 | 5th meeting of the Parties to the Convention, probably together with the 2nd meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol | 1 | - | 45,000 | 0 | 0 | |
| 2 | 1st meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol | 1 | - | 45,000 | 0 | 0 | |
| 3 | 3rd meeting of the Signatories to the Protocol, prior to the 1st meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol | 1 | - | 35,000 | 0 | 0 | |
| 4 | Meetings of Working Group on EIA (taking into account likely savings of meetings being held back to back) | 1 | - | 140,000 | 0 | 0 | (Two of four completed.) |
| 5 | Meetings of Working Group on SEA (taking into account likely savings of meetings being held back to back) | 1 | - | 70,000 | 70,000 | 0 | Cancelled as the Working Group on SEA will not be established prior to 5th session of the Meeting of the Parties |
| 6 | Meetings of the Bureau (free-standing) | 1 | - | 20,000 | 0 | 0 | (Two of four completed.) |
| 7 | Meetings of the Implementation Committee | 1 | - | 30,000 | 0 | 0 | (Four of six completed.) |
| 8 | External expert to provide secretariat support for the implementation of the Convention and the Protocol (including coordination of SEA capacity development, development and maintenance of website, operation of clearing house, modification of questionnaire, drafting of third review of implementation and of other documents) | 1 | - | 444,000 | 0 | 0 | (25 of 36 months completed.) |
| 9 | Informal translations of informal papers for meetings listed above | 2 | - | 50,000 | 0 | 0 | |
| 10.1 | Further secretariat support for the implementation of the Convention and the Protocol | 2 | Consultants | 60,000 | 0 | 0 | |
| 10.2 | | 2 | Promotional materials | 30,000 | 0 | 0 | |
| 10.3 | | 2 | Secretariat travel in relation to the workplan | 60,000 | 0 | 0 | |
| 10a.1 | Further secretariat support for the implementation of the Convention and the Protocol | 3 | Consultants | 60,000 | 60,000 | 0 | Cancelled due to insufficient funds |
| 10a.2 | | 3 | Promotional materials | 30,000 | 30,000 | 0 | |
| 10a.3 | | 3 | Secretariat travel in relation to the workplan | 60,000 | 60,000 | 0 | |
| 11 | Promotion of contacts with countries outside the UNECE region | 2 | - | 25,000 | 0 | 0 | |
| | <i>Substantive activities</i> | | | | | | |
| 12.1 | Compliance with and implementation of the Convention | 1 | Informal translations of submissions | 10,000 | 0 | 0 | |
| 12.2 | | 1 | Drafting of third review of the implementation of the Convention | 20,000 | 0 | 0 | |
| 12.3 | | 1 | Country-specific performance reviews | 90,000 | 24,552 | 0 | Consultancy contracts for first two reviews organized at lower cost. |
| 13.1 | Subregional cooperation and capacity-building | 2 | Subregional meetings | 200,000 | 0 | 0 | (Three of ten completed.) |
| 13.2 | | 2 | Pilot projects | 100,000 | 0 | 0 | (One of two initiated.) |

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| # | Activity | Priority | Sub-activity | Budget (US\$) | Savings | Over-spend | Explanation / Justification |
|---------------|---|----------|--|------------------|----------------|------------|--|
| 14.1 | Exchange of good practices | 2 | Informal translations for one-day seminar on "Legislation and procedures for implementation of the Convention" | 20,000 | 20,000 | 0 | Completed. Arranged without use of the Trust Fund. |
| 14.2 | | 2 | One-day seminars | 40,000 | 14,963 | 0 | Completed. |
| 14.3 | | 2 | Half-day seminars during other meetings | 20,000 | 0 | 0 | |
| 15.1 | Promoting ratification and application of the Protocol | 2 | National awareness-raising workshops | 6,000 | 0 | 0 | (One of two completed.) |
| 15.2 | | 2 | Subregional training workshop | 30,000 | 0 | 0 | Completed. |
| | <i>Carried forward activities</i> | | | | | | |
| 16 | Subregional cooperation | 2 | Workshop for Mediterranean subregion | 23,869 | 3,869 | 0 | Completed. Standard cost reduced to US\$20,000 |
| 17 | Capacity-building in countries of Eastern Europe, the Caucasus and Central Asia, and others | 2 | Subregional workshop in Eastern Europe | 30,000 | 7,169 | 0 | Completed. The Republic of Moldova organized the workshop at lower cost. |
| Totals | | | | 1,793,869 | 290,552 | - | |