

# Espoo Convention on EIA in a Transboundary Context



## Biannual Financial Report, 30 June 2005 (period between 3<sup>rd</sup> and 4<sup>th</sup> meetings of the Parties)

### Introduction

The Meeting of the Parties to the Espoo Convention requested “the secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the funds and to prepare a report for the next meeting of the Parties, including information on how much Parties and other participating States contributed to the budget of the Convention in cash and in kind, and on how the contributions were spent” (decision III/10, para. 10).

A small group, comprising representatives of Bulgaria, Switzerland and the United Kingdom, was formed by the Convention’s Bureau to prepare an informal paper on financing. That informal paper was presented to the Working Group on EIA at its eighth meeting, 27-29 April 2005. The Working Group welcomed the informal paper and decided, inter alia, that the secretariat would report on income and expenditure on a six-monthly basis to the Bureau.

This document is the first such report.

The details of income and expenditure are presented in Tables 2 to 6. Table 1 provides a summary of income and expenditure and presents some of the consequences of the expected short-fall against the budget.

### Summary

This document also provides a narrative description of Table 1, the summary table, for this first financial report. It is expected that future financial reports will not be accompanied by a narrative description.

The total budget for activities under the workplan, in the period between the third and fourth meetings of the Parties, is US\$ 1,312,000. The activities were assigned priorities of 1, 2 or 3 (see Table 6), with the budgets for the priorities being:

| BUDGET | Priority 1   | Priority 2   | Priority 3   | TOTAL          |
|--------|--------------|--------------|--------------|----------------|
|        | US\$ 520,000 | US\$ 541,000 | US\$ 251,000 | US\$ 1,312,000 |

Parties have made contributions to the Espoo Trust Fund (Table 5), either fulfilling pledges made at the third meeting of the Parties, or in response to a later appeal by the secretariat (Table 2). To date, these contributions, net of the UN programme support charge, total US\$ 325,143. However, some contributors have imposed conditions on their contributions, including US\$ 37,236 ear-marked for priority 2 activities.

| TRUST FUND INCOME | Priority 1   | Priority 2  | Priority 3 | TOTAL        |
|-------------------|--------------|-------------|------------|--------------|
|                   | US\$ 293,562 | US\$ 35,931 | -          | US\$ 329,493 |

To date, US\$ 74,559 has been spent from the Espoo Trust Fund (Table 3). The funds have been spent on three priority 1 activities (the second meeting of the Signatories to the Protocol, a meeting of the Working Group on EIA and two meetings of the Implementation Committee) and on two priority 2 activities (two free-standing meetings of the Bureau, and secretariat travel in relation to the workplan):

| TRUST FUND EXPENDITURE | Priority 1  | Priority 2  | Priority 3 | TOTAL       |
|------------------------|-------------|-------------|------------|-------------|
|                        | US\$ 55,650 | US\$ 18,909 | -          | US\$ 74,559 |

The balance in the Espoo Trust Fund (income less expenditure) is US\$250,584:

| TRUST FUND BALANCE | Priority 1   | Priority 2  | Priority 3 | TOTAL        |
|--------------------|--------------|-------------|------------|--------------|
|                    | US\$ 237,912 | US\$ 17,022 | -          | US\$ 254,934 |

Parties and organizations have also undertaken workplan activities by providing in-kind contributions. These activities have been valued according to the budget indicated by the Meeting of the Parties. The activities were: the exchange of best practice, subregional cooperation, transboundary EIA capacity-building in EECCA<sup>1</sup> countries and others, SEA capacity-building needs analysis, and capacity development in SEA, including creation of a capacity development manual. The in-kind contributions to date have been valued at US\$ 246,000:

| IN-KIND CONTRIBUTIONS | Priority 1 | Priority 2   | Priority 3 | TOTAL        |
|-----------------------|------------|--------------|------------|--------------|
|                       | -          | US\$ 251,000 | -          | US\$ 251,000 |

Deducting from the budget (a) the expenditure from the Espoo Trust Fund, and (b) the budgeted value of the in-kind contributions, provides an indication of the remaining expenditure expected in the period between the third and fourth meetings of the Parties:

| OUTSTANDING EXPENDITURE | Priority 1   | Priority 2   | Priority 3   | TOTAL        |
|-------------------------|--------------|--------------|--------------|--------------|
|                         | US\$ 464,350 | US\$ 271,091 | US\$ 251,000 | US\$ 986,441 |

The current short-fall of funds available against the budget would be expected to be the difference between the outstanding expenditure and the balance in the Espoo Trust Fund. However, this short-fall has to be corrected to take into account the ear-marking of funds for subregional cooperation, which has no budget. The corrected short-fall is thus:

| CURRENT SHORT-FALL | Priority 1   | Priority 2   | Priority 3   | TOTAL        |
|--------------------|--------------|--------------|--------------|--------------|
|                    | US\$ 226,438 | US\$ 254,069 | US\$ 251,000 | US\$ 731,507 |

<sup>1</sup> Eastern Europe, the Caucasus and Central Asia

However, there are outstanding pledges – pledges made at the third meeting of the Parties that have yet to be paid into the Espoo Trust Fund. These comprise:

| OUTSTANDING TRUST FUND INCOME | Priority 1   | Priority 2  | Priority 3 | TOTAL        |
|-------------------------------|--------------|-------------|------------|--------------|
|                               | US\$ 158,540 | US\$ 13,183 | -          | US\$ 192,777 |

There are also outstanding in-kind contributions. (These are: (a) the examination of the substantive relationship between the Convention and the Protocol, the costs of which, if any, are expected to be covered by those carrying out the activity; and (b) Ukraine's pledge to host a workshop for transboundary EIA capacity building, though Ukraine is also seeking donor support.)

| OUTSTANDING IN-KIND CONTRIBUTIONS | Priority 1 | Priority 2  | Priority 3  | TOTAL       |
|-----------------------------------|------------|-------------|-------------|-------------|
|                                   | -          | US\$ 30,000 | US\$ 15,000 | US\$ 45,000 |

Finally, an expected final short-fall in the budget may be estimated from the outstanding expenditure less the outstanding pledges to the Espoo Trust Fund and the outstanding in-kind contributions:

| EXPECTED SHORT-FALL | Priority 1  | Priority 2   | Priority 3   | TOTAL        |
|---------------------|-------------|--------------|--------------|--------------|
|                     | US\$ 67,898 | US\$ 210,886 | US\$ 236,000 | US\$ 514,783 |

This expected short-fall has consequences for the different priority activities, as discussed below.

### ***Priority 1 activities***

The short-fall for priority 1 activities should be covered by finding sponsors for the fourth meeting of the Parties, new contributions to the Espoo Trust Fund and by savings in undertaking activities. All priority 1 activities are therefore expected to be funded and completed.

### ***Priority 2 activities***

The short-fall for priority 2 activities may be covered in part by new contributions (in-kind or to the Espoo Trust Fund) and savings in undertaking activities, thus allowing the following priority 2 activities to be covered by the Espoo Trust Fund (with the SEA capacity-building having ear-marked funds):

- Bureau meetings (free-standing);
- Further secretariat support – secretariat travel in relation to the workplan;
- Exchange of best practice in transboundary EIA;
- SEA capacity-building needs analysis – action plans; and
- Capacity development in SEA, including creation of a capacity development manual: finalization of manual.

However, the following priority 2 activities are unlikely to receive funds from the Espoo Trust Fund:

- External expert to provide secretariat support (US\$ 120,000);
- Further secretariat support – promotional materials (US\$ 10,000); and
- Transboundary EIA capacity-building in the EECCA countries, and others – workshops in Armenia and Ukraine (US\$ 30,000 each).

Then again, both Armenia and Ukraine have begun seeking donor funds for direct support of the workshops (and Ukraine has stated that it will carry out the workshop as an in-kind contribution).

### **Priority 3 activities**

The expected short-fall against the budget would probably mean that the following priority 3 activities would not receive funds through the Espoo Trust Fund, though bilateral donors might be identified. These activities are:

- Further secretariat support – consultants, promotional materials and secretariat travel in relation to the workplan (US\$ 100,000);
- Promotion of contacts with countries outside the UNECE region (US\$ 25,000); and
- Transboundary EIA capacity-building in the EECCA countries, and others – (a) Distribution of a relevant Russian-language journal (*Environmental Expertise and EIA*, published by ECOTERRA, US\$ 51,000), and (b) Training course in Tajikistan (US\$ 60,000).

ECOTERRA and Tajikistan have begun seeking donor funds for direct support of the journal and training course. The examination of the substantive relationship between the Convention and the Protocol, another priority 3 activity, is likely to proceed, given that it no longer has any expected costs for the Espoo Trust Fund.

## **Observations on the financial reporting arrangements**

The secretariat has the following observations on the financial reporting arrangements:

1. There are numerous inconsistencies between the table of pledges in the report of the third meeting of the Parties (ECE/MP.EIA/6), the workplan table (annex IX) and the budget table (annex X). These include contributions to the Espoo Trust Fund ear-marked for activities having no budget (subregional cooperation – hence the correction in line (h) of Table 1), ear-marked contributions exceeding the activity budget (compliance with and implementation of the Convention), and ear-marked contributions to priority 2 activities when funds are insufficient for priority 1 activities. These inconsistencies persist in the financial report.
2. If no budget was expressed in the budget table (annex X), the real value of work contributed to an activity has not been reflected in the financial report (see Table 4).
3. Savings or overspend on activities (actual cost against budgeted cost) has not been reflected in the financial report.
4. There is no consideration of fund-raising, only reporting of income and expenditure.

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**Table 1: Summary**

|  | US\$             | By activity priority |         |         |     |
|--|------------------|----------------------|---------|---------|-----|
|  |                  | 1                    | 2       | 3       |     |
| <b>Budget for period between 3rd and 4th meetings of the Parties (ECE/MP.EIA/6, annex X) (from Table 6)</b>            | <b>1,312,000</b> | 520,000              | 541,000 | 251,000 | (a) |
| Contributions made to the Espoo Trust Fund, after deduction of UN programme support costs (from Table 2)               | 329,493          | 293,562              | 35,931  | -       | (b) |
| Expenditure from the Espoo Trust Fund (from Table 3)   | 74,559           | 55,650               | 18,909  | -       | (c) |
| <b>Balance in the Espoo Trust Fund ((b)-(c))</b>   | <b>254,934</b>   | 237,912              | 17,022  | -       | (d) |
| In-kind contributions made, valued according to the budgeted activity cost (from Table 4) <sup>1)</sup>                | 251,000          | -                    | 251,000 | -       | (e) |
| Outstanding expenditure ((a)-(c)-(e))  | 986,441          | 464,350              | 271,091 | 251,000 | (f) |
| <b>Current short-fall against budget ((f)-(d))</b>   | <b>731,507</b>   | 226,438              | 254,069 | 251,000 | (g) |
| Outstanding pledged contributions to the Espoo Trust Fund (approximate, from Table 2) <sup>2)</sup>                    | 192,777          | 158,540              | 13,183  | -       | (h) |
| Outstanding pledged in-kind contributions, valued according to the budgeted activity cost (from Table 4) <sup>1)</sup> | 45,000           | -                    | 30,000  | 15,000  | (i) |
| <b>Currently expected short-fall against budget ((h)-(h)-(i)) <sup>3), 4), 5)</sup></b>                                | <b>514,783</b>   | 67,898               | 210,886 | 236,000 | (j) |

Notes

1) Some in-kind contributions were for activities for which no cost was specified in the budget, though it may have been in the workplan (ECE/MP.EIA/6, annex IX).

2) One pledged contribution of €20,000 is ear-marked for priority 2 subregional cooperation, which has no budgeted activity cost, so overall short-falls should be increased by this amount (less UN programme support costs).

(continued overleaf)

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Notes (continued):

3) The currently-expected short-fall for priority 1 activities should be covered by finding sponsors for the 4th meeting of the Parties, new contributions to the Espoo Trust Fund and by savings in undertaking activities. All priority 1 activities should therefore be completed:

| #                               | Activity   | Budgeted costs remaining |  |
|---------------------------------|--|--------------------------|--|
|                                 |  | Priority 1               |  |
| 1                               | 4th meeting of the Parties to the Espoo Convention, Bucharest  | 170,000                  |  |
| 2                               | 1st meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol, Geneva (if to be held before the 4th meeting of the Parties to the Convention) | 40,000                   |  |
| 3                               | Meetings of the Signatories to the Protocol, Geneva (3 meetings in budget, but decision III/12 limits to 2 meetings, 1 of which in April 2005)                                     | 97,043                   |  |
| 4                               | Meetings of Working Group on EIA, Geneva (4 meetings, 1 of which held in April 2005)   | 137,043                  |  |
| 5                               | Bureau meetings (back to back with other meetings)   | 0                        |  |
| 6                               | Meetings of the Implementation Committee, Geneva (6 meetings, of which one held autumn 2004, one in March 2005)  | 20,264                   |  |
| 11                              | Review of the implementation of the Convention   | 0                        |  |
| 17                              | Institutional and procedural activities  | 0                        |  |
| Total (corresponds to line (f)) |  | 464,350                  |  |

4) The short-fall for priority 2 activities may be covered by finding sponsors for the 4th meeting of the Parties, new contributions (in-kind or to the Espoo Trust Fund) and savings in undertaking activities, thus allowing the following priority 2 activities to be covered by the Espoo Trust Fund (with the SEA capacity-building having ear-marked funds):

| #   | Activity   | Budgeted costs remaining |  |
|---|--|--------------------------|--|
|   |  | Priority 2               |  |
| 5a  | Bureau meetings (free-standing)  | 237                      |  |
| 8.2   | Further secretariat support: Secretariat travel in relation to the workplan                              | 25,854                   |  |
| 12  | Exchange of best practice  | 5,000                    |  |
| 13  | Subregional cooperation  | 0                        |  |
| 18.2  | SEA capacity-building needs analysis: Action plans   | 20,000                   |  |
| 19.3  | Capacity development in SEA, including creation of a capacity development manual: Finalization of manual | 30,000                   |  |
| Total (corresponds to line (f), with totals under note 5) |  | 81,091                   |  |

5) However, the following activities in the workplan are now **unlikely to receive funds through the Espoo Trust Fund**, though bilateral donors might be identified, or in-kind contributions made. One priority 3 activity, on the examination of the substantive relationship between the Convention and the Protocol (no. 16, budgeted at US\$15,000), should proceed as there is to be no cost for the Espoo Trust Fund.

| #   | Activity   | Budgeted costs |         |         |
|---|--|----------------|---------|---------|
|   |  | Priority:      | 2       | 3       |
| 7   | External expert to provide secretariat support             |                | 120,000 |         |
| 8.1   | Further secretariat support: Promotional materials         |                | 10,000  |         |
| 8a  | Further secretariat support                                |                |         | 100,000 |
| 9   | Promotion of contacts with countries outside UNECE region  |                |         | 25,000  |
| <i>Capacity-building in EECCA countries, &amp; others:</i>                |  |                |         |         |
| 14.3  | Workshop in Ukraine (though now pledged / donors sought)   |                | 30,000  |         |
| 14.4  | Workshop in Armenia (donors sought)                        |                | 30,000  |         |
| 15.1  | Distribution of a Russian-language journal (donors sought) |                |         | 51,000  |
| 15.2  | Training course, Tajikistan (donors sought)                |                |         | 60,000  |
| Totals (corresponds to line (f), once activity no. 16 taken into account) |  |                | 190,000 | 236,000 |

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Exchange rates for pledges:

US\$/euro 1.21

US\$/CAD\$ 0.81

**Table 2: Income to the Espoo Trust Fund (US\$)****Notes:**

All Parties to the Convention are listed (column 1), whether or not contributing to the Trust Fund. Shares (in column 2) are US\$ 1,000 in value. UN programme support costs at 13% are deducted at the foot of this table.

The distribution of pledges (columns 3, 5, 7 and 9) across the three-year period is approximate where not specified in the pledge (column 2); the amounts of the annual pledges are approximate if the total pledge is not in US\$.

| 1<br>Party     | 2<br>Description of pledge in ECE/MP.EIA/6, plus additional contributions   | 3<br>2004-2005 |              | 4<br>2005-2006 |              | 5<br>2006-2007 |               |
|----------------|---|----------------|--------------|----------------|--------------|----------------|---------------|
|                |   | 6<br>Pledge    | 7<br>Receipt | 8<br>Pledge    | 9<br>Receipt | 10<br>Pledge   | 11<br>Receipt |
| Albania        | none (Non-pledged contribution expected)  | 0              | 0            | 0              | 0            | 0              |               |
| Armenia        | none  | 0              | 0            | 0              | 0            | 0              |               |
| Austria        | 5 shares per year for three years.  | 5,000          | 5,000        | 5,000          | 0            | 5,000          |               |
| Azerbaijan     | none  | 0              | 0            | 0              | 0            | 0              |               |
| Belgium        | none  | 0              | 0            | 0              | 0            | 0              |               |
| Bulgaria       | An contribution in kind to host a sub-regional cooperation meeting in 2005.   | 0              | 0            | 0              | 0            | 0              |               |
| Canada         | A total of Can\$ 15,000 for the three-year period, ear-marked for activities related to the Espoo Convention only. An initial Can\$ 5,000 will be provided in 2004 to be followed by a Can\$ 5,000 contribution for each of the subsequent 2 years. (This contribution is subject to currency exchanges.) | 4,050          | 8,030        | 4,050          | 0            | 4,050          |               |
| Croatia        | A total of 5 shares for the three-year period.  | 2,000          | 1,989        | 2,000          | 1,940        | 1,000          |               |
| Cyprus         | (Additional contribution, not pledged.)   | 0              | 3,000        | 0              | 0            | 0              |               |
| Czech Republic | 5 shares in 2005 earmarked for the activity "Production of a capacity development manual, & provision of training, to support implementation of the SEA" & 5 shares in 2006 (not earmarked).  | 0              | 0            | 5,000          |              | 5,000          |               |
| Denmark        | none  | 0              | 0            | 0              | 0            | 0              |               |
| Estonia        | none  | 0              | 0            | 0              | 0            | 0              |               |
| Finland        | A total of 30 to 35 shares for the three-year period.   | 10,833         | 8,251        | 10,833         |              | 10,833         |               |
| France         | none  | 0              | 0            | 0              | 0            | 0              |               |
| Germany        | A total of 30 shares for the three-year period, half of which to be paid in 2004. Half of pledge ear-marked for Implementation Committee activities, and half for participation in meetings by NGOs and countries with economies in transition.   | 15,000         | 15,000       | 15,000         | 15,000       | 0              | 0             |
| Greece         | none  | 0              | 0            | 0              | 0            | 0              |               |
| Hungary        | 3 shares per year for three years.  | 3,000          | 2,981        | 3,000          | 2,980        | 3,000          |               |

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|   |   |                |                |                |                |                |          |
|---|---|----------------|----------------|----------------|----------------|----------------|----------|
| Ireland                                   | Approximately 12 shares (€10,000) per year for three years, earmarked for capacity development in SEA, including creation of a capacity development manual; sub-activity: drafting of manual. | 12,100         | 13,210         | 12,100         | 12,937         | 12,100         |          |
| Italy                                     | Approximately 32 shares (€25,000) in 2004, plus approximately €20,000 earmarked for sub-regional cooperation activities (Mediterranean meeting).  | 30,250         | 30,843         | 24,200         |                | 0              |          |
| Kazakhstan                                | none  | 0              | 0              | 0              | 0              | 0              |          |
| Kyrgyzstan                                | none  | 0              | 0              | 0              | 0              | 0              |          |
| Latvia                                    | none  | 0              | 0              | 0              | 0              | 0              |          |
| Liechtenstein                             | none  | 0              | 0              | 0              | 0              | 0              |          |
| Lithuania                                 | none  | 0              | 0              | 0              | 0              | 0              |          |
| Luxembourg                                | (Additional contribution, not pledged.)   | 0              | 8,000          | 0              | 0              | 0              |          |
| Netherlands                               | Approximately 12 shares (€10,000) per year for three years, earmarked for the activity "Compliance with and implementation of the Convention".  | 12,100         | 38,911         | 12,100         | 0              | 12,100         | 0        |
| Norway                                    | 20 to 25 shares per year for three years, subject to approval.  | 22,500         | 10,846         | 22,500         |                | 22,500         |          |
| Poland                                    | 2 shares in 2004.   | 2,000          | 2,000          | 0              | 0              | 0              |          |
| Portugal                                  | none  | 0              | 0              | 0              | 0              | 0              |          |
| Republic of Moldova                       | none  | 0              | 0              | 0              | 0              | 0              |          |
| Romania                                   | 5 shares in 2005 and again in 2006.   | 0              | 0              | 5,000          | 5,000          | 5,000          |          |
| Slovakia                                  | none  | 0              | 0              | 0              | 0              | 0              |          |
| Slovenia                                  | 3 shares per year from 2005.  | 0              | 0              | 3,000          |                | 3,000          |          |
| Spain                                     | none  | 0              | 0              | 0              | 0              | 0              |          |
| Sweden                                    | A total of US\$ 20,000 for the three-year period (equivalent to 20 shares), in cash or in kind, earmarked for sub-regional cooperation around the Baltic Sea.                                 | 0              | 0              | 0              |                | 0              |          |
| Switzerland                               | 15 shares in 2004, plus contributions in kind. 15 to 20 shares per year in subsequent years, subject to approval.   | 15,000         | 14,975         | 17,500         | 17,475         | 17,500         |          |
| The former Yugoslav Republic of Macedonia | none  | 0              | 0              | 0              | 0              | 0              |          |
| Ukraine                                   | none (Expecting to contribute in-kind to subregional capacity-building workshop)  | 0              | 0              | 0              | 0              | 0              |          |
| United Kingdom*                           | 50 shares in 2004, with similar contributions indicated in 2005 & 2006 but subject to approval.   | 50,000         | 50,000         | 50,000         | 50,000         | 50,000         |          |
| European Community                        | Approximately 61 shares (€50,000) in 2004.  | 60,500         | 60,360         | 0              |                | 0              |          |
| <b>Total</b>                              |   | <b>244,333</b> | <b>273,396</b> | <b>191,283</b> | <b>105,332</b> | <b>151,083</b> | <b>0</b> |
| 13% UN programme support costs            |   |                | 35,541         |                | 13,693         |                | 0        |
| <b>Net Income</b>                         |   |                | <b>237,855</b> |                | <b>91,639</b>  |                | <b>0</b> |

\*The United Kingdom has also contributed US\$ 40,000 to the Secretariat's extrabudgetary post in 2005.



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**Table 3: Expenditure from the Espoo Trust Fund**

| #             | Activity   | Priority | Sub-activity   | Cost type  | Amount<br>US\$           | Totals by priority |               |          |
|---------------|--|----------|--|--|--------------------------|--------------------|---------------|----------|
|               |  |          |  |  |                          | 1                  | 2             | 3        |
| 3.1           | Meetings of the Signatories to the Protocol, Geneva                          | 1        | 2nd meeting (costs halved with 8th meeting of Working Group), 25-26 Apr. 2005, Geneva    | CIT travel & DSA<br>NGO travel & DSA<br>non-ECE travel & DSA | 16,296<br>4,479<br>2,182 |                    |               |          |
|               | <u>Activity total</u>  |          |  |  |                          | <u>22,957</u>      |               |          |
| 4.1           | Meetings of Working Group on EIA, Geneva                                     | 1        | 8th meeting (costs halved with 2nd meeting of Signatories), 27-29 Apr. 2005, Geneva      | CIT travel & DSA<br>NGO travel & DSA<br>non-ECE travel & DSA | 16,296<br>4,479<br>2,182 |                    |               |          |
|               | <u>Activity total</u>  |          |  |  |                          | <u>22,957</u>      |               |          |
| 5a.1          | Meetings of the Bureau (free-standing, only one budgeted)                    | 2        | 1st meeting, 8-10 Nov. 2004, Geneva  | CIT travel & DSA   | 3,310                    |                    |               |          |
| 5a.2          |  |          | 2nd meeting, 21 Feb. 2005, Geneva  | CIT travel & DSA   | 1,453                    |                    |               |          |
|               | <u>Activity total</u>  |          |  |  |                          |                    | <u>4,763</u>  |          |
| 6.1           | Meetings of the Implementation Committee, Geneva                             | 1        | 6th meeting, 3-5 Nov. 2004   | CIT travel & DSA   | 5,657                    |                    |               |          |
| 6.2           |  |          | 7th meeting, 3-4 Mar. 2005, Helsinki   |  | 4,079                    |                    |               |          |
|               | <u>Activity total</u>  |          |  |  |                          | <u>9,736</u>       |               |          |
| 8.2.1         | Further secretariat support: Secretariat travel in relation to the Work Plan | 2        | EIA capacity building (Issyk-Kul, Kyrgyzstan), 5-7 Oct. 2004                             | Secretariat travel & DSA                                     | 3,076                    |                    |               |          |
| 8.2.2         |  |          | SEA Manual (Brussels), 2 Dec. 2004   |  | 1,070                    |                    |               |          |
| 8.2.3         |  |          | Subregional cooperation (Belgrade), 16-17 Dec. 2004                                      | <i>Indicative amounts:</i>                                   | 2,000                    |                    |               |          |
| 8.2.4         |  |          | Implementation Committee meeting (Helsinki), 3-4 Mar. 2005                               |  | 1,000                    |                    |               |          |
| 8.2.5         |  |          | Caspian Protocol (Moscow), 9-11 Mar. 2005  |  | 2,000                    |                    |               |          |
| 8.2.6         |  |          | Caspian Sea training (Baku), 4-5 Apr. 2005   |  | 1,000                    |                    |               |          |
| 8.2.7         |  |          | Transboundary public participation (Mistelbach, Austria), 14 Apr. 2005                   |  | 900                      |                    |               |          |
| 8.2.8         |  |          | SEA Manual (Tbilisi), 21-22 Apr. 2005  |  | 1,100                    |                    |               |          |
| 8.2.9         |  |          | Tajikistan (Subregional cooperation in transboundary river basins), 20 May - 4 June 2005 |  | 2,000                    |                    |               |          |
|               | <u>Activity total</u>  |          |  |  |                          |                    | <u>14,146</u> |          |
| <b>Totals</b> |  |          |  |  | <b>74,559</b>            | <b>55,650</b>      | <b>18,909</b> | <b>-</b> |

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**Table 4: In-kind contributions**

Indicated value (US\$) is that indicated in the current budget (ECE/MP.EIA/6, annex X); the total value according to the budget is presented in the summary Table 1.

In the 'notes' column, 'workplan' refers to ECE/MP.EIA, annex IX.

| #             | Activity   | Priority | Sub-activity                            | Date                   | Source              | Value (US\$)   | Notes   | By priority |                |          |
|---------------|--|----------|---|------------------------|---------------------|----------------|---|-------------|----------------|----------|
|               |  |          |   |                        |                     |                |   | 1           | 2              | 3        |
| 12.1          | Exchange of best practice  | 2        | Workshop on trans-boundary projects     | Apr-05                 | Switzerland         | 1,000          | According to budget   | -           | 1,000          | -        |
| 13.1          | Subregional cooperation  | 2        | Belgrade, for South-East Europe         | Dec-04                 | Serbia & Montenegro | 0              | No budget, but workplan indicates costs of \$20,000             | -           | -              | -        |
|               |  |          |   |                        | Switzerland         | 0              |   | -           | -              | -        |
| 14.1          | Capacity-building in EECCA countries, & others                                   | 2        | Preparation of draft guidance           | 2004                   | OSCE & Switzerland  | 20,000         | According to budget   | -           | 20,000         | -        |
| 14.2          |  | 2        | 1st workshop (Kyrgyzstan)               | Oct-04                 | OSCE & Switzerland  | 30,000         | According to budget   | -           | 30,000         | -        |
| 14.5          |  | 2        | National workshops ...                  |                        |                     | 0              | No budget, but workplan indicates costs of \$5,000 per meeting. | -           | -              | -        |
| 18.1          | SEA capacity-building needs analysis   | 2        | National and subregional needs analyses | 2004                   | UNDP/RBEC           | 20,000         | According to budget   | -           | 20,000         | -        |
| 19.1          | Capacity development in SEA, including creation of a capacity development manual | 2        | Drafting of manual                      | Summer 2004-April 2005 | REC-CEE             | 45,000         | According to budget   | -           | 45,000         | -        |
| 19.2          |  | 2        | Subregional workshops                   | Dec-04 - Apr-05        | REC-CEE             | 130,000        | According to budget   | -           | 130,000        | -        |
| 19.3          |  | 2        | Finalization of manual                  | Spring 2005            | REC-CEE             | 5,000          | Remaining \$30,000 to come from Espoo Trust Fund                | -           | 5,000          | -        |
| <b>Totals</b> |  |          |   |                        |                     | <b>251,000</b> |   | <b>-</b>    | <b>251,000</b> | <b>-</b> |

**Outstanding pledged in-kind contributions:**

|               |   |   |   |  |  |               |   |          |               |               |
|---------------|---|---|---|--|--|---------------|---|----------|---------------|---------------|
| 13            | Subregional cooperation   | 2 | Workshop in Ohrid, the former Yugoslav Republic of Macedonia, for South-East Europe |  | The former Yugoslav Republic of Macedonia, & Switzerland | 0             | No budget, but workplan indicates costs of \$20,000 | -        | -             | -             |
|               |   | 2 | Workshop in Sofia, for Black Sea & Balkans subregion                                |  | Bulgaria   | 0             | No budget, but workplan indicates costs of \$20,000 | -        | -             | -             |
|               |   | 2 | Workshop for Mediterranean subregion  |  | Italy, Croatia & UNEP/MAP                                | 0             | No budget, but workplan indicates costs of \$20,000 | -        | -             | -             |
|               |   | 2 | Workshop in Stockholm for Baltic Sea subregion                                      |  | Sweden, Denmark, Finland & Estonia                       | 0             | No budget, but workplan indicates costs of \$20,000 | -        | -             | -             |
| 14.4          | Capacity-building in EECCA countries, & others                                      | 2 | Workshop in Ukraine for East European subregion                                     |  | Ukraine and ...  | 30,000        | According to budget                                 | -        | 30,000        | -             |
| 16            | Examination of the substantive relationship between the Convention and the Protocol | 3 |   |  | EC (& Belgium)   | 15,000        | Costs were not pledged, but no costs now expected.  | -        | -             | 15,000        |
| 17            | Institutional and procedural activities for the Protocol                            | 1 |   |  | Germany, Netherlands & UK                                | 0             | No budget   | -        | -             | -             |
| <b>Totals</b> |   |   |   |  |  | <b>45,000</b> |   | <b>-</b> | <b>30,000</b> | <b>15,000</b> |

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**Table 5: Income to the Espoo Trust Fund by date (US\$)**

**Income for (a) workplan budget and (b) extrabudgetary post**

**(a) Income for workplan budget**

| <b>Date</b>       | <b>Donor</b>                 | <b>Currency</b> | <b>Amount (US\$)</b> |
|-------------------|------------------------------|-----------------|----------------------|
| <b>2004</b>       |                              |                 |                      |
| 29 April 2004     | Italy                        | USD30842.5      | 30,843               |
| 25 May 2004       | Norway                       | USD10846.49     | 10,846               |
| 02 July 2004      | European Commission          | USD60360        | 60,360               |
| 09 August 2004    | Germany                      | USD15000        | 15,000               |
| 21 September 2004 | Hungary                      | USD2980.5       | 2,981                |
| 13 October 2004   | Finland                      | EUR6700         | 8,251                |
| 06 December 2004  | United Kingdom               | USD50000        | 50,000               |
| 10 December 2004  | Croatia                      | EUR1500         | 1,989                |
| 21 December 2004  | Poland                       | USD2000         | 2,000                |
| <b>2005</b>       |                              |                 |                      |
| 05 January 2005   | Austria                      | USD5000         | 5,000                |
| 10 January 2005   | Switzerland                  | USD14975        | 14,975               |
| 12 January 2005   | Luxembourg                   | EUR8000         | 8,000                |
| 20 February 2005  | Germany (2nd payment)        | USD15000        | 15,000               |
| 15 March 2005     | Ireland                      | EUR10000        | 13,210               |
| 01 March 2005     | Cyprus                       | USD3000         | 3,000                |
| 08 April 2005     | Netherlands (all payments)   | EUR30000        | 38,911               |
| 15 April 2005     | Canada (main payment)        | USD8029.55      | 8,030                |
| 26 April 2005     | Hungary (2nd payment)        | USD2979.5       | 2,980                |
| 02 May 2005       | Switzerland (2nd payment)    | USD17475        | 17,475               |
| 04 May 2005       | Croatia (2nd payment)        | EUR1500         | 1,940                |
| 10 May 2005       | United Kingdom (2nd payment) | USD50000        | 50,000               |
| 18 May 2005       | Ireland (2nd payment)        | EUR10000        | 12,937               |
| 14 June 2005      | Romania                      | USD5000         | 5,000                |
| <b>TOTAL</b>      |                              |                 | <b>378,728</b>       |

**(b) Contribution for extrabudgetary post**

| <b>Date</b>   | <b>Donor</b>   | <b>Currency</b> | <b>Amount</b> |
|---------------|----------------|-----------------|---------------|
| 23 March 2005 | United Kingdom | USD40000        | 40,000        |

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**Table 6: Budget, after ECE/MP.EIA/6, annex X (US\$)**

| #             | Activity   | Priority | Sub-activity  | Budget (US\$)    | By priority    |                |                |
|---------------|--|----------|---|------------------|----------------|----------------|----------------|
|               |  |          |   |                  | 1              | 2              | 3              |
| 1             | 4th meeting of the Parties to the Espoo Convention, Bucharest  | 1        | -   | 170,000          | 170,000        | -              | -              |
| 2             | 1st meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol, Geneva (if to be held before the 4th meeting of the Parties to the Convention) | 1        | -   | 40,000           | 40,000         | -              | -              |
| 3             | Meetings of the Signatories to the Protocol, Geneva (3 meetings in budget, but decision III/12 limits to 2 meetings)   | 1        | -   | 120,000          | 120,000        | -              | -              |
| 4             | Meetings of Working Group on EIA, Geneva (4 meetings)  | 1        | -   | 160,000          | 160,000        | -              | -              |
| 5             | Bureau meetings (back to back with other meetings)   | 1        |   | 0                | -              | -              | -              |
| 5a            | Meetings of the Bureau (free-standing, only one budgeted)  | 2        |   | 5,000            | -              | 5,000          | -              |
| 6             | Meetings of the Implementation Committee, Geneva (6 meetings)  | 1        | -   | 30,000           | 30,000         | -              | -              |
| 7             | External expert to provide secretariat support for the implementation of the Convention and the Protocol   | 2        |   | 120,000          | -              | 120,000        | -              |
|               |  |          |   |                  | -              | -              | -              |
| 8.1           | Further secretariat support for the implementation of the Convention and the Protocol  | 2        | Promotional materials   | 10,000           | -              | 10,000         | -              |
| 8.2           |  | 2        | Secretariat travel in relation to the Work Plan                                       | 40,000           | -              | 40,000         | -              |
|               |  |          |   |                  | -              | -              | -              |
| 8a.1          | Further secretariat support for the implementation of the Convention and the Protocol  | 3        | Consultants   | 60,000           | -              | -              | 60,000         |
| 8a.2          |  | 3        | Promotional materials   | 20,000           | -              | -              | 20,000         |
| 8a.3          |  | 3        | Secretariat travel in relation to   | 20,000           | -              | -              | 20,000         |
|               |  |          |   |                  | -              | -              | -              |
| 9             | Promotion of contacts with countries outside the UNECE region  | 3        |   | 25,000           | -              | -              | 25,000         |
| 11            | Review of the implementation of the Convention   | 1        |   | 0                | -              | -              | -              |
| 12            | Exchange of best practice (6 meetings)   | 2        |   | 6,000            | -              | 6,000          | -              |
| 13            | Subregional cooperation  | 2        |   | -                | -              | -              | -              |
|               |  |          |   |                  | -              | -              | -              |
| 14.1          | Capacity-building in EECCA countries, & others   | 2        | Preparation of draft guidance   | 20,000           | -              | 20,000         | -              |
| 14.2          |  | 2        | Start-up workshop in Kyrgyzstan   | 30,000           | -              | 30,000         | -              |
| 14.3          |  | 2        | Subregional workshop in Armenia   | 30,000           | -              | 30,000         | -              |
| 14.2          |  | 2        | Subregional workshop in Ukraine   | 30,000           | -              | 30,000         | -              |
| 14.5          |  | 2        | National workshops  | 0                | -              | -              | -              |
|               |  |          |   |                  | -              | -              | -              |
| 15.1          | Capacity-building in the countries of Eastern Europe, the Caucasus and Central Asia, and others  | 3        | Distribution of a relevant Russian-language journal (Environmental Expertise and EIA) | 51,000           | -              | -              | 51,000         |
| 15.2          |  | 3        | Training course, Tajikistan   | 60,000           | -              | -              | 60,000         |
|               |  |          |   |                  | -              | -              | -              |
| 16.1          | Examination of the substantive relationship between the Convention and the Protocol  | 3        | Workshop back to back with other meetings in Geneva                                   | 5,000            | -              | -              | 5,000          |
| 16.2          |  | 3        | Preparation of a report by a consultant   | 10,000           | -              | -              | 10,000         |
|               |  |          |   |                  | -              | -              | -              |
| 17            | Institutional and procedural activities  | 1        |   | 0                | -              | -              | -              |
|               |  |          |   |                  | -              | -              | -              |
| 18.1          | SEA capacity-building needs analysis   | 2        | National and subregional needs analyses   | 20,000           | -              | 20,000         | -              |
| 18.2          |  | 2        | Preparation of action plans   | 20,000           | -              | 20,000         | -              |
|               |  |          |   |                  | -              | -              | -              |
| 19.1          | Capacity development in SEA, including creation of a capacity development manual   | 2        | Drafting of manual  | 45,000           | -              | 45,000         | -              |
| 19.2          |  | 2        | Subregional workshops   | 130,000          | -              | 130,000        | -              |
| 19.3          |  | 2        | Finalization of manual  | 35,000           | -              | 35,000         | -              |
| <b>Totals</b> |  |          |   | <b>1,312,000</b> | <b>520,000</b> | <b>541,000</b> | <b>251,000</b> |