

## **Economic and Social Council**

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## **Economic Commission for Europe**

Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context

Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context serving as the Meeting of the Parties to the Protocol on Strategic Environmental Assessment

**Working Group on Environmental Impact Assessment and Strategic Environmental Assessment** 

**Fourth meeting** 

Geneva, 26-28 May 2015

## Report of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment on its fourth meeting

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### I. Introduction

1. The fourth meeting of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment under the Convention on Environmental Impact Assessment in a Transboundary Context (Espoo Convention) and its Protocol on Strategic Environmental Assessment (Protocol on SEA) was held from 26 to 28 May 2015 in Geneva, Switzerland.

#### A. Attendance

- The meeting was attended by delegations from the following Parties to the Convention and the Protocol and other member States of the United Nations Economic Commission for Europe (ECE): Albania, Armenia, Austria, Azerbaijan, Belarus, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Georgia, Germany, Greece, Hungary, Kyrgyzstan, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Republic of Moldova, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Tajikistan, Ukraine and the United Kingdom of Great Britain and Northern Ireland. The European Union (EU) was represented by the European Commission. Statements on behalf of the EU and its member States were made by both the Commission and Latvia, which held the Presidency of the Council of the EU in the first half of 2015. A representative of the European Investment Bank (EIB) also attended.
- 3. Representatives of the Nuclear Energy Agency of the Organization for Economic Cooperation and Development (OECD), the United Nations Environment Programme and the World Health Organization (WHO) attended parts of the meeting. In addition, the following non-governmental organizations (NGOs) were present: Caucasus Environmental NGO Network (CENN); European ECO Forum; World Wide Fund for Nature Russia (WWF Russia); and Zoï Environment Network. Two independent experts also attended the meeting.

### **B.** Organizational matters

- 4. The Chair of the Working Group, Ms. M. Masaityte (Lithuania), opened the meeting.
- 5. The Working Group adopted its agenda as set out in document ECE/MP.EIA/WG.2/2015/1.1

## II. Status of ratification

6. The secretariat presented a report on the status of ratification of the Convention (informal document ECE/MP.EIA/WG.2/2015/INF.2), its two amendments and the Protocol on SEA, as well as an informal note prepared by the secretariat in consultation with the United Nations Office of Legal Affairs on the implications of the entry into force of the first amendment pending fulfilment of the condition set in article 17, paragraph 3, of the Convention (ECE/MP.EIA/WG.2/2015/INF.3).

Documents for the meeting, as well as presentations made available to the secretariat, are available from http://www.unece.org/index.php?id=38654#/.

- 7. The secretariat also reported that letters had been sent at the Bureau's request from the ECE Executive Secretary to ministers for foreign affairs and environment of States Parties and Signatories in September 2014 and then again to Parties in March 2015 with regard to the ratification process.<sup>2</sup> Bosnia and Herzegovina, Ireland and Italy had responded, providing information about their plans and procedures for the ratification of the two amendments and the Protocol; Denmark had replied that the process for the ratification of the two amendments was ongoing; and Switzerland had announced its intention to join the Protocol, but not earlier than the proposed amendments in national legislation expected in 2018. In addition, the Russian Federation had expressed its intention to join the Convention and the Protocol, as soon as national legislation and procedures on EIA and SEA were in place and harmonized with other national and international legal frameworks.
- 8. The Working Group welcomed Liechtenstein's recent acceptance and Portugal's recent approval of the first amendment, but noted that 13 ratifications<sup>3</sup> were still needed for the amendment to have effect. Delegates then provided information on their plans to ratify the Protocol and the two amendments to the Convention. The Working Group welcomed information from representatives of Azerbaijan, Belgium, Cyprus, Denmark, Greece, Latvia, the Republic of Moldova, Ukraine, the United Kingdom about the intentions of their countries and steps taken or planned towards ratification of the first amendment, and noted Armenia's concerns about the ratification of the first amendment. Representatives of France, Georgia and Greece also reported on their plans to ratify the Protocol.
- 9. The Working Group urged concerned Parties to ratify<sup>4</sup> both amendments and the Protocol as soon as possible. Regarding the first amendment, it agreed that, at its next meeting in April 2016, depending on the number of ratifications still needed for the amendment to have effect it would consider avenues for the acceleration of the entry into effect of that amendment, including the adoption of a separate agreement modifying article 17, paragraph 3, and entering into force through a non-objection procedure once a deadline specified in the agreement had lapsed.

## III. Compliance and implementation

- 10. The Chair of the Implementation Committee under the Convention and the Protocol presented the reports on the Committee's thirty-first and thirty-second sessions (ECE/MP.EIA/IC/2015/4 and ECE/MP.EIA/IC/2015/6, respectively), as well as a summary of the work at the thirty-third session. Among others, the Committee had discussed the use of electronic tools for online meetings of the Committee, the follow-up to decision VI/2 of the Meeting of the Parties (MOP) to the Convention regarding Armenia, Azerbaijan, Belarus and Ukraine, and a Committee initiative on the United Kingdom. Regarding Belarus, the Committee had not managed to meet the deadline set by the MOP, i.e., it had not been able to reflect in the report of its thirty-third session the conclusions of its thorough analysis of the steps undertaken by Belarus after the adoption of the Committee's report on its twenty-seventh session (decision VI/2, para. 63). The Committee hoped to fulfil that mandate at its thirty-fourth session, scheduled to take place in Geneva from 8 to 10 December 2015.
- 11. The Implementation Committee Chair also stressed the importance of reporting under the Convention and the Protocol, and encouraged Parties to respond to the Committee's calls for timely submission of national implementation reports in the next

<sup>&</sup>lt;sup>2</sup> With regard to the process of ratification, accession, approval or acceptance.

<sup>&</sup>lt;sup>3</sup> Ratifications, accessions, approvals or acceptances.

<sup>&</sup>lt;sup>4</sup> Ratify, accede, approve or accept.

reporting round. Addressing issues related to documentation recently received by the Committee on different cases, he recalled that the Committee was neither a scientific body mandated to make a scientific determination of information included in the EIA documentation of a Party, nor a court of law; the Committee's aim was rather to serve Parties and to provide assistance in the proper implementation of the procedural obligations set out the Convention and the Protocol.

- 12. The Working Group noted information provided by Azerbaijan regarding progress in developing a new law on environmental impact assessment (EIA) and strategic environmental assessment (SEA) further to decision VI/2. It also noted the information reported by France, Romania and Ukraine on the prospective appointment of alternate members to the Committee, further to the amendment of the Committee's structure and functions and operating rules (decision VI/2, annexes I and II); and requested Belarus to clarify its representation on the Committee as soon as possible, following the recent departure of the main member nominated at the the most recent session of the MOP.
- 13. The Chair of the Working Group briefed participants about the Bureau discussion at its February meeting (Geneva, 5–6 February 2015) concerning compliance cases pending before the Implementation Committee and the Compliance Committee under the Convention on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters (Aarhus Convention). The Chair of the Implementation Committee briefly presented the differences between the two bodies, the procedures under the two instruments and the informal cooperation of the Committee with the Aarhus Convention Compliance Committee. The Working Group agreed that the Implementation Committee and the Working Group should keep each other informed whenever there was a link between the two Conventions, and invited the Implementation Committee to liaise, as appropriate, with the Compliance Committee under the Aarhus Convention to ensure a coherent and coordinated approach to the interpretation of the two instruments.
- 14. The Working Group then discussed the proposals of the Implementation Committee for modifying the EIA and SEA questionnaires (see informal documents ECE/MP.EIA/WG.2/2015/INF.6 and ECE/MP.EIA/WG.2/2015/INF.7). It approved the two questionnaires with a number of amendments and requested the secretariat to revise the documents accordingly and to arrange for their translation into the other official languages of the ECE, if possible as annexes to the meeting report (see annexes I and II). The Working Group also agreed a timetable for distribution and return of the EIA and SEA questionnaires and for the preparation by the secretariat of the draft fifth review of implementation of the Convention and the draft second review of implementation of the Protocol, as set out in informal document ECE/MP.EIA/WG.2/2015/INF.5. It requested that the EIA and SEA questionnaires be sent to Parties by 31 October 2015 for completion by 31 March 2016.
- 15. The Working Group also took note of information by the secretariat on the possibility of an online reporting mechanism, on the basis of a tool in use under the Aarhus Convention. It agreed that in the upcoming reporting cycle all Parties were to report by means of that online tool. Based on the experience gained, in view of the future reporting rounds, the two secretariats should cooperate for any technical improvements of the online tool, as needed.
- 16. The Working Group reviewed progress in the implementation of technical advice foreseen in or related to the workplan for 2014–2017. It welcomed information from the

secretariat<sup>5</sup> and the delegations on the technical advice provided to Armenia, Azerbaijan, Belarus and Georgia under the EU-funded Greening Economies in the Eastern Neighbourhood (EaP GREEN) programme, as well as to Kyrgyzstan and the Russian Federation with the support of Switzerland and Sweden, respectively.

- 17. The secretariat then presented a draft outline on the planned subregional overview, based on legislative reviews on EIA and SEA combined with information gathered when developing general guidance on enhancing consistency between the Convention and environmental assessment within the framework of State ecological expertise. Paper copies of the draft were distributed to delegates. Taking into consideration that most activities relating to legislative reviews in countries that previously applied a framework of State ecological expertise were ongoing, the Working Group discussed the relevance and the potential added value of the activity, and reflected on the possibility of revising it. Delegates were invited to provide their views and comments on the draft outline presented and proposals for alternative the best possible use of available resources by 15 June 2015.
- 18. The Working Group took note of the information provided by the secretariat on the launching of the EU-funded project to provide legislative advice to Kazakhstan in the second half of 2015. It also took note of the change of activities, as compared to those in the workplan, due to reduced financing and the focus of the project on the water sector.
- 19. In the absence of any response from Uzbekistan on carrying out a legislative review on EIA with Switzerland's support, at Switzerland's proposal, the Working Group agreed to reallocate those funds to activities on EIA in Kyrgyzstan and Kazakhstan.
- 20. The Working Group welcomed the table of concordance prepared by the editors of the United Nations listing discrepancies between the Protocol's three authentic language versions. At the request of the Bureau, the table had already been sent to France, Belarus and the United Kingdom for their review and comment. The Working Group thanked France for having already reviewed the table, and noted that Belarus and the United Kingdom had only preliminarily considered it. With a view to aligning the language versions of the Protocol, the Working Group invited Belarus, France and the United Kingdom to establish a task force to review the inconsistencies listed and to submit proposals on how to address them, distinguishing between inconsistencies of a technical nature only and those pertaining to substantive issues. Those proposals should be submitted for consideration by the Working Group at its session in April 2016. The Working Group requested the secretariat to arrange for the preparation of a similar table listing discrepancies between the texts of the authentic language versions of the Convention's two amendments. The task force was requested to also review any inconsistences found in that regard and to prepare proposals to bring the three language versions into line for the Working Group's consideration at its next meeting.
- 21. The Working Group welcomed the report by the secretariat and the Chair of the Implementation Committee on the preparation of the guidance on the implementation of the Convention. It thanked EIB for its dedicated support to the implementation of the workplan activities under the Convention and the Protocol.
- 22. The Working Group welcomed the ongoing preparation of a video to promote the benefits of the Protocol in Eastern Europe and the Caucasus.
- 23. The Working Group also welcomed the publication of a number of texts, or their preparation for publication, including: the reprinting of the Convention text, including the

<sup>&</sup>lt;sup>5</sup> See unofficial document "Note on the progress of the implementation workplan activities under the "Greening Economies in the Eastern Neighbourhood" (EaP-GREEN) Programme, as well as activities in Kyrgyzstan and the Russian Federation (Dec 2013–May 2015)".

corrections introduced further to MOP decision VI/6 and the text of the first amendment, in force since 26 August 2014; the electronic publication of the opinions of the Implementation Committee, as well as the amended structure and functions and operating rules of the Committee; and the ongoing preparation of the fourth review of implementation of the Convention and the first review of implementation of the Protocol, which would be published electronically.

## IV. Subregional cooperation and capacity-building

- 24. The Working Group welcomed reports provided by:
- (a) Romania, on its plans to organize a seminar on the multilateral agreement among the countries of South-Eastern Europe for the implementation of the Convention (Bucharest Agreement) in late 2015; and to hold a first meeting of the Parties to the Bucharest Agreement in 2016;
- (b) The secretariat, on the organization of a workshop for the Mediterranean subregion in Rabat on 14 and 15 April 2015;
- (c) Germany, on the organization of the Seventh Seminar on Cooperation on the EIA Convention in the Baltic Sea Region, held in Berlin on 6 and 7 November 2014;
- (d) Lithuania, on the arrangements for the Eighth Seminar in the Baltic Sea Region, to be held in Vilnius on 28 and 29 September 2015;
- (e) Latvia, on the arrangements for the Ninth Seminar in the Baltic Sea Region to be held in 2016.
- 25. The secretariat informed the Working Group about the implementation of several other activities for subregional cooperation and capacity-building foreseen in the workplan, notably the organization of a study tour in the Czech Republic from 1 to 5 December 2015 and the arrangements for the subregional conference to exchange experience in drafting EIA and SEA legislation to be held from 19 to 21 October in Georgia. The secretariat also reported that the joint capacity-building activities with the Aarhus Convention for Armenia, Azerbaijan and Georgia in 2015–2016 had been delayed and might be cancelled.

## V. Exchange of good practices

## A. Good practice recommendations on the application of the Convention to nuclear energy-related activities

- 26. The Working Group discussed the terms of reference for the preparation of good practice recommendations on the application of the Convention to nuclear energy-related activities (ECE/MP.EIA/WG.2/2015/3), prepared by the secretariat in consultation with the Bureau. It considered comments by the EU emphasizing the objective of the recommendations, with reference to decision VI/7 (see ECE/MP.EIA/20/Add.1-ECE/MP.EIA/SEA/4.Add.1) and the Geneva Declaration adopted in 2014 (ECE/MP.EIA/20/Add.3-ECE/MP.EIA/SEA/4/Add.3, Declaration, paras. A.4 and A.10). It also considered interventions by Belarus, Ukraine and the European ECO Forum. It then agreed on the following general observations:
- (a) The good practice recommendations were to serve as guidance for the implementation of the Convention, illustrating good practice through specific examples;

- (b) The scope of the document should be delimited within the scope of the Convention;
- (c) The relevant MOP decisions and the opinions of the Implementation Committee should be considered as the main sources for the preparation of the document, and, as appropriate, existing relevant nuclear safety instruments (such as the International Atomic Energy Agency standards or those set in the Treaty establishing the European Atomic Energy Community (Euratom Treaty));
- (d) The ad hoc editorial group, established by the MOP with a mandate to oversee the development of the draft recommendations should aim for consensus in making recommendations.
- 27. The Working Group also agreed that the selection of the consultant to the secretariat should be done in consultation with the editorial group.
- 28. The Working Group then agreed on specific revisions to the text of the terms of reference, and requested the secretariat to arrange for their translation into French and Russian. Subject to the availability of sufficient funds, the first draft of the recommendations would be discussed by the Working Group at its next meeting in April 2016.
- 29. The Working Group emphasized that it would ultimately be for the MOP to consider and adopt the recommendations. Concerning the budget for the activity, the Working Group thanked Germany for its willingness to contribute US\$ 5,000 in 2016, subject to the availability of funds in the national budget that would have to be adopted by the German parliament later in 2015. It also noted that the EU contribution of US\$ 10,000 from the EaP GREEN Programme funds, which the EU delegation had pledged at the most recent sessions of the Meetings of the Parties, would still be subject to approval by the EaP GREEN Programme Steering Committee at its next meeting (Chisinau, 18 June 2015).
- 30. The representative of the OECD Nuclear Energy Agency provided a brief account of the activities in that forum. The Working Group welcomed his participation and intervention.

#### B. Seminar on post-project analysis

- 31. A representative of Belarus chaired a seminar on post-project analysis in the framework of the current meeting, which had been organized by Belarus with the support of the secretariat and an international expert. The Working Group welcomed the workshop, expressing thanks to the European Commission for moderating the discussions and to the delegations that had contributed with presentations. The Working Group agreed on the following main conclusions:<sup>6</sup>
- (a) There is not much experience in applying post-project analysis, and there was a need to further develop that practice at the national and transboundary levels;
- (b) Best practice had been developed for some individual projects, including the pilot project on post-project analysis between Belarus and Ukraine for the Khotislavskoye deposits;<sup>7</sup>

<sup>&</sup>lt;sup>6</sup> A summary of the presentations and the discussions is available on the web page of the meeting at: http://www.unece.org/index.php?id=38654#/ under "presentations and statements".

<sup>&</sup>lt;sup>7</sup> The project was carried out with financial support from the Environment and Security Initiative.

- (c) The provisions of the Convention and other EU and national legislation did not always address the necessity to carry out post-project analysis and did not provide details on how to carry it out;
- (d) It was important to include post-project analysis also in bilateral agreements between Parties;
- (e) The post-project analysis should already be agreed between States at the stage of the transboundary EIA consultations and be included as one of the conditions in the EIA documentation. Ideally the scoping (i.e., the definition of the scope of the EIA documentation) should cover post-project analysis;
- (f) It would be appropriate to consider reviewing the report on *Post-Project Analysis in Environmental Impact Assessment*<sup>8</sup> published in January 1990 during the next intersessional period with a view to revising the conclusions and recommendations on post-project analysis, taking into account considerations of access to information and public participation.

### C. Other activities foreseen in the workplan

32. A representative of EIB confirmed the plans for the workshop to be held at the next Working Group meeting on the global application of the Convention and the Protocol with a focus on non-ECE countries and international financing institutions.

### D. Proposed activities not included in the workplan

- 33. The secretariat reported on the development of good practice guidance on land-use planning, together with the Convention on Transboundary Effects of Industrial Accidents (Industrial Accidents Convention) and possible inputs from the ECE Committee on Housing and Land Management. The activity had been proposed and would be funded by EIB, with a view to promoting synergies on the application of the Industrial Accidents Convention and the Protocol on SEA. The Working Group took note of the draft terms of reference<sup>9</sup> and noted that a draft of the guidance was expected to be submitted for its consideration at its next meeting in April 2016.
- 34. The secretariat provided further information on the overall budget and the activity's potential impact on the implementation of the other workplan activities. The Working Group thanked EIB for its readiness to support the proposed activity and the related organization of a workshop in the framework of the Working Group meeting in April 2016 to exchange experiences on approaches to land-use planning that took due account of the two instruments. It agreed that the inclusion of that activity in the workplan should not interfere with the implementation of activities included in the workplan adopted by the MOP. In that connection, the secretariat reported that, at its fifth meeting (Geneva, 11–13 May 2015), the Working Group on Development under the Industrial Accidents Convention had established a small group of experts on land-use planning to support the deliberations of the Working Group on Development. The Working Group on EIA and SEA agreed that representatives of the Parties to the Convention and the Protocol and other

United Nations sales publication, No. E.90.II.E.6. Available from http://www.unece.org/environmental-policy/conventions/environmental-assessment/enveiapublications/official-publicationsguidance/1990/post-project-analysis-in-environmental-impact-assessment.html.

<sup>&</sup>lt;sup>9</sup> The draft terms of reference are available on the meeting web page as an unofficial document.

stakeholders should also participate in that small group to follow more closely the work on the activity and support the deliberations of the Working Groups, including on the terms of reference for the international consultant, before their finalization. To that end, it invited Parties to inform the secretariat about their possible representation in the small group by 15 June 2015.

35. Finally, the Working Group considered the organization of a workshop to share experiences on receiving legislative assistance. The activity had been proposed by a member of the Implementation Committee representing Armenia at the Committee's thirty-first session (Geneva, 2–4 September 2014) and discussed by the Bureau at its most recent meeting. The Working Group took into account the expression of interest by countries that had received such assistance, and decided that the workshop would take place in the context of the subregional conference to exchange experience in drafting EIA and SEA legislation in Georgia (see para. 25 above). The outcomes of the workshop would be reported to the Working Group's next meeting.

## VI. Promoting ratification and application of the Protocol

- 36. The Working Group welcomed the information provided by the secretariat and delegations on the development of legislation on SEA and the implementation of training and pilot projects under the EaP GREEN Programme foreseen in the workplan. Some of these activities, such as the planned organization of training and a pilot project in Georgia, diverged from the original workplan activities, due to changes in the demand and needs of the recipient countries and also to avoid overlaps with other donors implementing similar activities.
- 37. The Working Group also welcomed information provided by the secretariat, delegations and the NGOs involved in the development of legislation on SEA, and training and pilot projects in Kazakhstan, Kyrgyzstan and the Russian Federation. In Kazakhstan activities would focus on SEA in the water sector; for Kyrgyzstan, technical assistance would not be limited to EIA, but would also extend to SEA; and a project on the pilot application of SEA in the "Strategy of socioeconomic development of the Zabaikal Region until 2030" had been prepared in cooperation with the Russian Federation, but funding was still not certain.
- 38. The secretariat and a representative of WHO presented two informal pamphlets or "FasTips" from the International Association for Impact Assessment on key issues in impact assessment practice one on "Health Impact Assessment" and another on "Non-Technical Summary". <sup>10</sup> The Working Group took note of the presentation.
- 39. The Working Group finally encouraged Parties to submit case studies to the secretariat on the application of the Protocol on SEA by end of September 2015 for consideration at the Working Group's meeting and for their publishing on the Convention website.

## VII. Budget and financial arrangements and financial assistance

40. The secretariat presented the biannual financial report for the period to 31 December 2015.<sup>11</sup> It was recalled that, further to the implementation of International Public Sector

<sup>&</sup>lt;sup>10</sup> Available from http://www.iaia.org/publications-resources/fastips.aspx.

See biannual financial report under item 7, unofficial documents on the meeting web page.

Accounting Standards (IPSAS) by the United Nations, <sup>12</sup> Parties' contributions to the trust fund were to be classified as "non-conditional" as they were directed towards implementation of the workplan in general. That would be the case for all contributions received, unless there was an agreement signed between the donor and ECE. Moreover, the secretariat clarified that, as long as the contributions aimed to implement activities described in the workplan, there should be no requirement for specific financial or substantive reporting other than the current biannual reporting by the secretariat on the use of resources.

- 41. In the light of the report, the Working Group acknowledged the shortfall between the financial contributions received and the expenditure necessary to fulfil the workplan and the expected functions of the secretariat. It also noted budget losses due to recent currency fluctuations that had mainly affected large contributions. Budget losses had also been experienced under the EaP GREEN Programme for 2015.
- 42. The Chair said that the Bureau had carried out a selection procedure on the basis of several criteria, such as the activities of the NGOs pertaining to the Convention and the Protocol and especially the financial situation. The Bureau had agreed that experts of the following NGOs would receive assistance for their participation in meetings under the Convention and the Protocol: CENN; EcoGlobe (Armenia); the European ECO Forum; Research Centre for Development and Dialogue (Studio D) (Albania); and WWF Russia. The Bureau had decided not to provide financial support to representatives and experts from States outside the ECE region for the present meeting.
- 43. In that regard, the secretariat presented a document prepared by ECE with a view to providing an overview of the key challenges to and opportunities for ensuring the sustainability and quality of the support provided by the ECE multilateral environmental agreements (MEAs) secretariats in the mid to longer term. The EU took note of the document and recalled decision VI/4–II/4 on budget, financial arrangements and financial assistance. Switzerland welcomed the note and emphasized the need to scale up funding to allow for predictable planning in implementing the workplan through the introduction of the mandatory scheme of contributions based on the United Nations scale of assessments, which could be decided at the next MOP. The Working Group took note of the document and the interventions, and requested Parties to submit specific proposals on sustainable financing by the end of September 2015.
- 44. The Working Group noted the staff changes in the secretariat since the most recent sessions of the MOPs (June 2014) and the upcoming changes as of 1 June 2015.

## VIII. Inputs to related international processes

- 45. The Chair presented the outcomes of two meetings of the informal network of the representatives of the governing bodies of the ECE MEAs and the Committee on Environmental Policy in October 2014 and April 2015. The Working Group took note of the presentation and welcomed the ongoing efforts of the MEAs and Committee on Environmental Policy Chairs to find joint activities and synergies. The next meeting of the informal network was scheduled to take place in October 2015.
- 46. The Working Group noted information from WHO on the Environment and Health process, specifically the mid-term review (Haifa, Israel, 28 30 April 2015).

See also information provided by the secretariat at the third meeting of the Working Group (ECE/MP.EIA/WG.2/2013/7, para. 41).

# IX. Preparations for the next sessions of the Meetings of the Parties

47. The secretariat reported that there had been no expression of interest yet by any Party to host the seventh session of the MOP to the Convention and the third session of the MOP to the Convention serving as the MOP to the Protocol. The Working Group invited Parties to consider hosting the MOPs and inform the secretariat as soon as possible, to allow for the timely initiation of the practical arrangements.

# X. Presentation of the main decisions taken and closing of the meeting

48. The Working Group agreed on the main decisions taken at the meeting, as presented by the secretariat. The Chair closed the meeting on Thursday, 28 May 2015.

### Annex I

## **Convention questionnaire**

Questionnaire for the report of [NAME OF COUNTRY] on the implementation of the Convention on Environmental Impact Assessment in a Transboundary Context in the period 2013–2015

### Information on the focal point for the Convention

1. Name and contact information:

#### Information on the point of contact for the Convention

2. Name and contact information (if different from above):

### Information on the person responsible for preparing the report

- 3. Country:
- 4. Surname:
- 5. Forename:
- 6. Institution:
- 7. Postal address:
- 8. E-mail address:
- 9. Telephone number:
- 10. Fax number:
- 11. Date on which report was completed:

### Part one

# **Current legal and administrative framework for the implementation of the Convention**

In this part, please provide the information requested, or revise any information relative to the previous report. Describe the legal, administrative and other measures taken in your country to implement the provisions of the Convention. This part should describe the framework for your country's implementation, and not experience in the application of the Convention.

Please do not reproduce the text of the legislation itself but summarize and explicitly refer to the relevant provisions transposing the Convention text (e.g., EIA Law of the Republic of ..., art. 5, para. 3, of Government Resolution No. ..., para. ... item...)

## Article 1 Definitions

nitions	5		
	definition of impact for the purpose of the Convention the same in your in article 1?		
(a)	Yes		
(b)	Yes, with some differences (please provide details):		
(c)	No (please provide the definition):		
(d)	There are no definitions of impact in the legislation $\square$		
Your	comments:		
Is the definition of transboundary impact for the purpose of the Convention the same ar legislation as in article 1? Please specify each below.			
(a)	Yes		
(b)	Yes, with some differences (please provide details):		
(c)	No (please provide the definition):		
(d)	There are no definitions of transboundary impact in the legislation $\square$		
Your	comments:		
Please	specify how major change is defined in your national legislation:		
	do you identify the public concerned? Please specify (more than one option		
(a)	Based on the geographical location of the proposed project $\square$		
(b) identify	By making the information available to all members of the public and letting themselves as the public concerned $\hfill\Box$		
(c)	By other means (please specify):		
Your o	comments:		
	Is the ation as  (a) (b) (c) (d) Your of Is the ar legislated (a) (b) (c) (d) Your of Please  How of pply): (a) (b) identify (c)		

## Article 2 General provisions

I.5.		le legislative, regulatory, administrative and other measures taken in your
countr	•	plement the provisions of the Convention (art. 2, para. 2):
	(a)	Law on EIA:
	(b)	EIA provisions are transposed into another law(s) (please specify):
	(c)	Regulation (please indicate number/year/name):
	(d)	Administrative (please indicate number/year/name):
	(e)	Other (please specify):
	Your	comments:
I.6. legisla		describe any differences between the list of activities in your national dappendix I to the Convention, if any:
as is [	(a)	There is no difference, all activities are transposed in the national legislation
	(b)	It differs slightly [ (please specify):
	Your	comments:
I.7. proced		fy the competent authority/authorities responsible for carrying out the EIA your country (please specify):
	(a)	There are different authorities at national, regional, local levels
	(b)	They are different for domestic and transboundary procedures
	(c)	Please name the responsible authority/authorities:
	(d)	There is no single authority responsible for the entire EIA procedure:
	Your	comments:
I.8. transb		ere an authority in your country that collects information on all the y EIA cases? If so, please name it:
	(a)	No 🗌
	(b)	Yes [ (please specify):
	Your	comments:
	unity g	does your country, as Party of origin and as affected Party, ensure that the given to the public of the affected Party is equivalent to the one given to the n's public, as required in article 2, paragraph 6 (please explain):

## Article 3 Notification

I.10. specif		arty of origin, when do you notify the affected Party (art. 3, para. 1)? Please
	(a)	During scoping
	(b)	When the EIA report has been prepared and the domestic procedure started
	(c)	After finishing the domestic procedure
	(d)	At other times (please specify):
	Your	comments:
I.11.	Please	e define the format of notification:
(ECE/	(a) /MP.EI	It is the format as decided by the first meeting of the Parties in its decision I/4 A/2, annex IV, appendix) $\square$
	(b)	The country has its own format [ (please attach a copy)
	(c)	No official format used
	Your	comments:
I.12. para.		Party of origin, what information do you include in the notification (art. 3, ase specify (more than one options may apply):
	(a)	The information required by article 3, paragraph 2
	(b)	The information required by article 3, paragraph 5
	(c)	Additional information (please specify):
	Your	comments:
receiv	ing a r	Party of origin, does your national legislation contain any provision on esponse to the notification from the affected Party in a reasonable time frame 3, "within the time specified in the notification")? Please specify:
	(a)	National legislation does not cover the time frame
frame	(b) ):	Yes, it is indicated in the national legislation $\square$ (please indicate the time
begin	_	It is determined and agreed with each affected Party case by case in the the transboundary consultations [ ] (please indicate the average length in
	Your	comments:
	-	by the consequence if a notified affected Party does not comply with the time the possibility of extending a deadline:

I.14. Please		do you inform the public and authorities of the affected Party (art. 3, para 8)? y:
websit	(a) e <sup>13</sup>	By informing the point of contact to the Convention listed on the Convention
	(b)	Other (please specify):
	Your	comments:
I.15. proced		nat basis is the decision made to participate (or not) in the transboundary EIA affected Party (art. 3, para. 3)? Please specify:
on its	(a) own ba	Notified ministry/authority of the affected Party responsible for EIA decides sed on the documentation provided by Party of origin $\square$
	(b)	Based on the opinions of the competent authorities of the affected Party [
affecte	(c) ed Party	Based on the opinions of the competent authorities and that of public of the $\sqrt{\ }$
	(d)	Other (please specify):
	Your	comments:
how as	re the d	affected Party has indicated that it intends to participate in the EIA procedure, letails for such participation agreed, including the time frame for consultations ine for commenting (art. 5)? Please specify:
	(a)	Following the rules and procedures of the Party of origin
	(b)	Following the rules and procedures of the affected Party
	(c)	Other (please specify):
	Your	comments:
Artic Prepa	-	on of the environmental impact assessment documentation
I.17. Please		do you ensure sufficient quality of the EIA documentation as Party of origin? y:
includ	es all	The competent authority checks the information provided and ensures it information required under appendix II as a minimum before making it comments
	(b)	By using quality checklists
	(c)	There are no specific procedures or mechanisms
	(d)	Other (please specify):
	Your	comments:

List available from http://www.unece.org/env/eia/points\_of\_contact.htm.

(a)

		do you determine the relevant information to be included in the EIA on in accordance with article 4, paragraph 1? Please specify (more than one apply):
	(a)	By using appendix II
scopii	(b) ng phas	By using the comments received from the authorities concerned during the se, if applicable $\square$
phase	(c) , if app	By using the comments from members of the public during the scoping licable $\square$
	(d)	As determined by the proponent based on its own expertise
	(e)	By using other means (please specify):
	Your	comments:
I.19. appen		do you determine "reasonable alternatives" in accordance with paragraph (b)?
	(a)	On a case-by-case basis
	(b)	As defined in the national legislation (please specify):
	(c)	Other (please specify):
	Your	comments:
	sultat	ions on the basis of the environmental impact
		t documentation
Publi	<b>c parti</b> How	cipation  can the public concerned express its opinion on the EIA documentation of the oject (art. 5)? Please specify (more than one option may apply):
Publi I.20. propo	<b>c parti</b> How	cipation can the public concerned express its opinion on the EIA documentation of the opicit (art. 5)? Please specify (more than one option may apply):
Publi I.20. propo	<b>c parti</b> How sed pro	cipation can the public concerned express its opinion on the EIA documentation of the opicit (art. 5)? Please specify (more than one option may apply):
Publi I.20. propo	c parti How sed pro	cipation  can the public concerned express its opinion on the EIA documentation of the oject (art. 5)? Please specify (more than one option may apply):  origin
Publi I.20. propo	How sed pro	cipation  can the public concerned express its opinion on the EIA documentation of the oject (art. 5)? Please specify (more than one option may apply):  origin  By sending comments to the competent authority/focal point
Publi I.20. propo As Pa	How sed pro	cipation  can the public concerned express its opinion on the EIA documentation of the oject (art. 5)? Please specify (more than one option may apply):  origin  By sending comments to the competent authority/focal point   By taking part in a public hearing   Other (please specify):
Publi I.20. propo As Pa	How sed proceed (a) (b) (c)	cipation  can the public concerned express its opinion on the EIA documentation of the oject (art. 5)? Please specify (more than one option may apply):  origin  By sending comments to the competent authority/focal point   By taking part in a public hearing   Other (please specify):
Publi I.20. propo As Pa	How sed products of a (a) (b) (c)	cipation  can the public concerned express its opinion on the EIA documentation of the bject (art. 5)? Please specify (more than one option may apply):  origin  By sending comments to the competent authority/focal point   By taking part in a public hearing   Other (please specify):
Publi I.20. propo As Pa	How sed products of a (a) (b) (c) Fected F	can the public concerned express its opinion on the EIA documentation of the bject (art. 5)? Please specify (more than one option may apply):  brigin  By sending comments to the competent authority/focal point   By taking part in a public hearing   Other (please specify):  Party  By sending comments to the competent authority/focal point   Description:
Publi I.20. propo As Pa	How sed product of a (a) (b) (c) (c) (d) (e) (f)	can the public concerned express its opinion on the EIA documentation of the bject (art. 5)? Please specify (more than one option may apply):  brigin  By sending comments to the competent authority/focal point   By taking part in a public hearing   Other (please specify):  Party  By sending comments to the competent authority/focal point   By taking part in a public hearing   By taking part in a public hearing

	T 01	D1	in the same about the comment of the first transfer of the committee of th
	public		e indicate whether your national EIA legislation requires the organization of a ng on the territory of the affected Party in cases where your country is the rigin:
		(a)	Yes
		(b)	No 🗌
		Your	comments:
	I.22. public		e indicate whether your national EIA legislation requires the organization of 1928 in cases where your country is the affected Party:
		(a)	Yes
		(b)	No 🗌
		Your	comments:
<b>(b)</b>	Consi	ultatio	ns
	transb	oundar	your national EIA legislation have any provision on the organization of ry consultations (expert, joint bodies, etc.) between the authorities of the arties? Please specify:
		(a)	Yes, it is obligatory □
		(b)	No, it does not have any provision on that
		(c)	It is optional [ (please specify):
		Your	comments:
	Artic Fina	cle 6 l deci	sion
	I.24. imple		e indicate all points below that are covered in a final decision related to the ion of the planned activity (art. 6, para. 1):
		(a)	Conclusions of the EIA documentation
	parag	(b) raph 2	Comments received in accordance with article 3, paragraph 8, and article 4,
		(c)	Outcome of the consultations as referred to in article 5
		(d)	Outcomes of the transboundary consultations
		(e)	Comments received from the affected Party
		(f)	Mitigation measures
		(g)	Other (please specify):
		me of	the comments of the authorities and the public of the affected Party and the the consultations taken into consideration in the same way as the comments norities and the public in your country (art. 6, para. 1):
		(a)	Yes
		(b)	No 🗌
		Your	comments:

I.26. Is there any regulation in the national legislation of your country that ensures the implementation of the provisions of article 6, paragraph 3?:
(a) No [
(b) Yes ☐ (please specify):
Your comments:
I.27. Do all activities listed in appendix I (items 1-22) require a final decision to authorize or undertake such an activity?:
(a) Yes
(b) No [ (please specify those that do not):
Your comments:
I.28. For each type of activity listed in appendix I that does require a final decision, please indicate the legal requirements in your country that identify what is regarded as the "final decision" to authorize or undertake such an activity (art. 6 in conjunction with art. 2, para. 3), and the term used in the national legislation to indicate the final decision in the original language:
Your comments:
Article 7 Post-project analysis
I.29. Is there any provision regarding post-project analysis in your national EIA legislation (art. 7, para. 1)?:
(a) No 🗌
(b) Yes $\square$ (please specify the main steps to be taken and how the results of it are communicated):
Your comments:
Article 8 Bilateral and multilateral cooperation
Agreements
I.30. Does your country have any bilateral or multilateral agreements based on the Convention (art. 8, appendix VI)?:
(a) No 🗌
(b) Yes Please specify with which countries:
If publicly available, please also attach the texts of such bilateral and multilateral agreements, preferably in English, French or Russian.
I.31. What issues do these bilateral agreements cover (appendix VI)? (more than one option may apply):
(a) Specific conditions of the subregion concerned
(b) Institutional, administrative and other arrangements
(c) Harmonization of the Parties' policies and measures

(a)

(d) Developing, improving, and/or harmonizing methods for the identification, measurement, prediction and assessment of impacts, and for post-project analysis
(e) Developing and/or improving methods and programmes for the collection, analysis, storage and timely dissemination of comparable data regarding environmental quality in order to provide input into the EIA $\square$
(f) Establishment of threshold levels and more specified criteria for defining the significance of transboundary impacts related to the location, nature or size of proposed activities
(g) Undertaking joint EIA, development of joint monitoring programmes, intercalibration of monitoring devices and harmonization of methodologies
(h) Other, please specify:
Your comments:
Procedural steps required by the national legislation
I.32. Please describe the steps required in your national legislation for a transboundary EIA procedure:
(a) When EIA in a transboundary context is part of a domestic EIA procedure:
(b) When EIA in a transboundary context is a separate procedure (please provide of how this procedure links to the domestic procedure and whether the steps are different):
Alternatively, this question can be answered or supported by providing a schematic flowchart showing these steps.
Your comments:
I.33. Does your country have special provisions or informal arrangements concerning transboundary EIA procedures for joint cross-border projects (e.g., roads, pipelines)?:
(a) No [
(b) Yes [ (please specify):
(i) Special provisions:
(ii) Informal arrangements:
Your comments:
I.34. Does your country have special provisions or informal arrangements concerning transboundary EIA procedures for nuclear power plants (NPPs)?:
(a) No 🗌
(b) Yes [ (please specify):
(i) Special provisions:
(ii) Informal arrangements:

**(b)** 

# Part two Practical application during the period 2013–2015

Please report on your country's practical experiences in applying the Convention (not your country's procedures described in part one), whether as Party of origin or affected Party. The focus here is on identifying good practices as well as difficulties Parties have encountered in applying the Convention in practice. The goal is to enable Parties to share solutions. Parties should therefore provide appropriate examples highlighting application of the Convention and innovative approaches to improve its application.

11.1.	Does	your country object to the information on transpoundary EIA procedures that
you	provide	in this section being compiled and made available on the website of the
Con	vention?	Please specify (indicate "yes" if you object):
	(a)	Yes
	(b)	No 🗌
	Your	comments:

## 1. Experience in the transboundary environmental impact assessment procedure during the period 2013–2015

#### Cases during the period 2013-2015

II.2. If your country's national administration has a record of transboundary EIA procedures that were under way during the reporting period, in which your country was Party of origin or affected Party, please list them in the tables II.2 (a) and II.2 (b) below (adding additional rows as needed).

Table II.2 (a) **Transboundary EIA procedures: As Party of origin** 

		Starting date	Length of the main steps in months			Final decision
Nan	Name of case	(date notification sent)	Submission of the environmental report	Transboundary consultations (expert), if any	Public participation, including public hearing, if any	(date of issuing, if information is available)
1.						
2.						
3.						
4.						

Your comments:

## Table II.2 (a) **Transboundary EIA procedures: As affected Party**

		Starting date (date notification une of case sent)		Final decision		
	Name of case		Submission of the environmental report	Transboundary consultations (expert), if any	Public participation, including public hearing, if any	(date of issuing, if information is available)
1.						
2.						
3.						
4.						
•••						

#### Your comments:

Please share with other Parties your country's experience of using the Convention in practice. In response to each of the questions below, either provide one or two practical examples or describe your country's general experience. You might also include examples of lessons learned in order to help others.

- II.3. Translation is not addressed in the Convention. How has your country addressed the question of translation? What difficulties has your country as Party of origin and affected Party experienced relating to translation and interpretation, and what solutions has your country applied? (Please specify, among others, the parts and type of the documentation translated, language, costs, etc.):
  - (a) As Party of origin:
  - (b) As affected Party:
- II.4. Describe any difficulties that your country has encountered during transboundary public participation (expert consultation, public hearing, etc.), including on issues of timing, language and the need for additional information:
- II.5. Does your country have successful examples of organizing transboundary EIA procedures for joint cross-border projects or that of an NPP?:
  - (a) Yes
  - (b) No 🗌
- II.6. If you answered yes to question II.5, please provide information on your country's experiences describing, for example, means of cooperation (e.g., contact points, joint bodies, bilateral agreements, special and common provisions, etc.), institutional arrangements, and how practical matters are dealt with (e.g., translation, interpretation, transmission of documents, etc.):
  - (a) For joint cross-border projects:
  - (b) For NPPs:
- II.7. Name examples of good practice cases, whether complete cases or good practice elements (e.g., notification, consultation or public participation) within cases:

2.

II.8. fact sh	II.8. Would your country like to introduce a case in the form of a Convention "case study fact sheet"?			
	(a)	No 🗌		
	(b)	Yes [ (please indicate which cases):		
II.9.	Has yo	our country carried out post-project analyses in the period 2013–2015:		
	(a)	No 🗌		
impler	(b) nentatio	Yes [ (please indicate which projects, along with the challenges in on and any lessons learned):		
Expe	rience	e in using the guidance in 2013–2015		
		our country used in practice the following guidance, adopted by the Meeting of d available online?:		
Transl	(a) ounda	Guidance on Public Participation in Environmental Impact Assessment in a ry Context (ECE/MP.EIA/7):		
		No 🗌		
		Yes [ (please provide details):		
		Your experience with using this guidance:		
		Your suggestions for improving or supplementing the guidance:		
	(b)	Guidance on subregional cooperation (ECE/MP.EIA/6, annex V, appendix):		
		No 🗌		
		Yes [ (please provide details):		
		Your experience with using this guidance:		
		Your suggestions for improving or supplementing the guidance:		
	(c) (ECE/	Guidance on the Practical Application of the Espoo Convention MP.EIA/8):		
		No 🗌		
		Yes [ (please provide details):		
		Your experience with using this guidance:		
		Your suggestions for improving or supplementing the guidance:		
Clari	ity of	the Convention		
	ntion, e	your country had difficulties implementing the procedures defined in the either as Party of origin or as affected Party, because of a lack of clarity of the		
		No 🗌		
		Yes [ (please indicate which provisions and how they are unclear):		

**3.** 

## 4. Suggested improvements to the report

II.12 Please provide suggestions for how this report may be improved.

### **Annex II**

## **Protocol questionnaire**

Questionnaire for the report of [NAME OF COUNTRY] on the implementation of the Protocol on Strategic Environmental Assessment in the period 2013–2015

### Information on the focal point for the Protocol

1. Name and contact information:

#### Information on the point of contact for the Protocol

2. Name and contact information (if different from above):

### Information on the person responsible for preparing the report

- 3. Country:
- 4. Surname:
- 5. Forename:
- 6. Institution:
- 7. Postal address:
- 8. E-mail address:
- 9. Telephone number:
- 10. Fax number:
- 11. Date on which report was completed:

### Part one

# **Current legal and administrative framework for the implementation of the Protocol**

In this part, please describe the legal, administrative and other measures taken in your country to implement the provisions of the Protocol. This part should describe the framework for your country's implementation, and not experience in the application of the Protocol.

## Article 3 General provisions

- I.1. Please provide the main legislative, regulatory and other measures you have adopted in your country to implement the Protocol (art. 3, para. 1) (more than one option may apply):
  - (a) Law on SEA (please indicate number/year/name):
  - (b) SEA provisions are transposed into another law(s) (please specify):
  - (c) Regulation (please indicate number/year/name):
  - (d) Administrative rule (please indicate number/year/name):
  - (e) Other (please specify):

Your comments:

#### Article 4

## Field of application concerning plans and programmes

By using a combination of (a) and (b)  $\square$ 

- I.2. List the types of plans and programmes that require SEA in your legislation:
- I.3. Explain how you define whether a plan or programme "set the framework for future development consent" (art. 4, para. 2):
- I.4. Explain how the terms "plans and programmes ... which determine the use of small areas at local level" (art. 4, para. 4) are interpreted in your legislation:
- I.5. Explain how you identify in your legislation a "minor modification" to a plan or programme (art. 4, para. 4):

## Article 5 Screening

(c)

I.6.	How	do you determine which other plans and programmes should be subject to a
SEA	as set c	out in article 4, paragraphs 3 and 4, in accordance with article 5, paragraph 19
Pleas	se specif	y:
	(a)	On a case-by-case basis
	(b)	By specifying types of plans and programmes

	(d)	Other (please specify):	
	Your comments:		
	Do you provide opportunities for the public concerned to participate in screening for scoping of plans and programmes in your legislation (art. 5, para. 3, and art. 6, a. 3)?:		
	No [		
	Yes [	(please specify (more than one option may apply)):	
	(a)	By sending written comments to the competent authority	
	(b)	By sending written comments to the local municipality	
	(c)	By providing answers to a questionnaire	
	(d)	By taking part in a public hearing	
prepa	(e) aring th	By sending written comments to the consultants/SEA experts or persons e plans and programmes	
	(f)	Other (please specify):	
	Your	comments:	
Arti Scop	cle 6 ping		
I.8.	How	do you determine what is the relevant information to be included in the	
envir	onment	ral report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:	
Arti	cle 7		
Arti Env	cle 7 ironm	al report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:	
Arti Env	cle 7 ironm	al report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:  nental report  do you determine "reasonable alternatives" in the context of the environmental	
Arti Env	cle 7 ironn How t (art. 7	al report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:  nental report  do you determine "reasonable alternatives" in the context of the environmental para. 2)? Please specify:	
Arti Env	cle 7 ironn How t (art. 7 (a)	al report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:  nental report  do you determine "reasonable alternatives" in the context of the environmental para. 2)? Please specify:  On a case-by-case basis	
Arti Env	cle 7 ironm How t (art. 7 (a) (b)	al report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:  nental report  do you determine "reasonable alternatives" in the context of the environmental para. 2)? Please specify:  On a case-by-case basis   As defined in the national legislation (please specify):	
Arti Env	Cle 7 ironm How t (art. 7 (a) (b) (c) (d)	al report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:  nental report  do you determine "reasonable alternatives" in the context of the environmental para. 2)? Please specify:  On a case-by-case basis   As defined in the national legislation (please specify):  By using a combination of (a) and (b)	
Arti Env	How t (art. 7 (a) (b) (c) (d)	al report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:  nental report  do you determine "reasonable alternatives" in the context of the environmental para. 2)? Please specify:  On a case-by-case basis   As defined in the national legislation (please specify):  By using a combination of (a) and (b)   Other (please specify):	
Arti Env. I.9. repor	How t (art. 7 (a) (b) (c) (d) Your How (a)	al report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:  nental report  do you determine "reasonable alternatives" in the context of the environmental para. 2)? Please specify:  On a case-by-case basis   As defined in the national legislation (please specify):  By using a combination of (a) and (b)   Other (please specify):  comments:  do you ensure sufficient quality of the reports? Please specify:  The competent authority checks the information provided and ensures it information required under annex IV as a minimum before making it available	
Arti Env. I.9. repor	How t (art. 7 (a) (b) (c) (d) Your How (a) des all	al report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:  nental report  do you determine "reasonable alternatives" in the context of the environmental para. 2)? Please specify:  On a case-by-case basis   As defined in the national legislation (please specify):  By using a combination of (a) and (b)   Other (please specify):  comments:  do you ensure sufficient quality of the reports? Please specify:  The competent authority checks the information provided and ensures it information required under annex IV as a minimum before making it available	
Arti Env. I.9. repor	How t (art. 7 (a) (b) (c) (d) Your How (a) des all ommen	al report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:  nental report  do you determine "reasonable alternatives" in the context of the environmental para. 2)? Please specify:  On a case-by-case basis   As defined in the national legislation (please specify):  By using a combination of (a) and (b)   Other (please specify):  comments:  do you ensure sufficient quality of the reports? Please specify:  The competent authority checks the information provided and ensures it information required under annex IV as a minimum before making it available is	
Arti Env. I.9. repor	How t (art. 7 (a) (b) (c) (d) Your How (a) des all ommen (b)	al report, in accordance with article 7, paragraph 2 (art. 6, para. 1)?:  nental report  do you determine "reasonable alternatives" in the context of the environmental , para. 2)? Please specify:  On a case-by-case basis   As defined in the national legislation (please specify):  By using a combination of (a) and (b)   Other (please specify):  comments:  do you ensure sufficient quality of the reports? Please specify:  The competent authority checks the information provided and ensures it information required under annex IV as a minimum before making it available is   By using quality checklists   ——————————————————————————————————	

# Article 8 Public participation

	ne envi	do you ensure the "timely public availability" of draft plans and programmes ronmental report (art. 8, para. 2)? Please specify (more than one option may
	(a)	Through public notices
	(b)	Through electronic media
	(c)	Through other means (please specify):
	Your	comments:
I.12. than c		do you identify the public concerned (art. 8, para. 3)? Please specify (more on may apply):
	(a)	Based on the geographical location of the plans and programmes
of the	(b) plans a	Based on the environmental effects (significance, extent, accumulation, etc.) and programmes
them	(c) identify	By making the information available to all members of the public and letting themselves as the public concerned
	(d)	By other means (please specify):
	Your	comments:
progra		can the public concerned express its opinion on the draft plans and and the environmental report (art. 8, para. 4)? Please specify (more than one pply):
	(a)	By sending comments to the relevant authority/focal point
	(b)	By providing answers to a questionnaire
	(c)	Orally
	(d)	By taking part in a public hearing
	(e)	Other (please specify):
	Your	comments:
I.14. frame		ou have a definition in your legislation of the term "within a reasonable time 3, para. 4)? Please specify:
comn	(a) nenting	No, the time frame is determined by the number of days fixed for each period $\square$
	(b)	No, it is defined case by case
	(c)	Yes (please provide the definition):
	(d)	Other (please specify):
	Your	comments:

## Article 9

## Consultation with environmental and health authorities

I.15.	How are the environmental and health authorities identified (art. 9, para. 1):		
	(a)	On a case-by-case basis:	
	(b)	As defined in the national legislation:	
	(c)	Other (please specify)	
	Your	comments:	
I.16. How are the arrangements for informing and consulting the environmenta health authorities determined (art. 9, para. 4):			
	(a)	On a case-by-case basis:	
	(b)	As defined in the national legislation:	
	(c)	Other (please specify)	
	Your	comments:	
I.17. para. 2		can the environmental and health authorities express their opinion (art. 5, para. 2, and art. 9, para. 3):	
	(a)	By sending comments	
	(b)	By providing answers to a questionnaire	
	(c)	In a meeting	
	(d)	By other means (please specify)	
	Your	comments:	
	cle 10 isboui	ndary consultations	
I.18. specif		Party of origin, when do you notify the affected Party (art. 10, para. 1)? Please	
	(a)	During scoping	
prepai	(b)	When the draft plan or programme and the environmental report have been	
	(c)	At other times (please specify):	
	Your	comments:	
I.19. para. 2		Party of origin, what information do you include in the notification (art. 10, ase specify:	
	(a)	The information required by article 10, paragraph 2	
inforn	(b) nation (	The information required by article 10, paragraph 2, plus additional please specify):	
	Your comments:		

		Party of origin, does your legislation indicate a reasonable time frame for the of comments from the affected Party (art. 10, para. 2)? Please specify:
(	(a)	No 🗌
(	(b)	Yes (please indicate how long):
3	Your	comments:
the deta	ailed	affected Party has indicated that it wishes to enter into consultations, how are arrangements, including the time frame for consultations, agreed (art. 10, 4)? Please specify:
(	(a)	Following those of the Party of origin
(	(b)	Following those of the affected Party
(	(c)	Other (please specify):
7	Your	comments:
Articl Decisi		
		a plan or programme is adopted, explain how your country ensures, in with article 11, paragraph 1, that due account is taken of:
(	(a)	The conclusions of the environmental report
(	(b)	Mitigation measures
(	(c)	Comments received in accordance with articles 8 to 10
•	Your	comments:
I.23. I	How a	and when do you inform your own public and authorities (art. 11, para. 2)?:
I.24. I Please s		do you inform the public and authorities of the affected Party (art. 11, para. 2)? y:
(	(a)	By informing the point of contact
	(b) llows	By informing the contact person of the ministry responsible for SEA, who the national procedure and informs his/her own authorities and public
	(c) their (	By informing all the authorities involved in the assessment and letting them own public $\square$
(	(d)	Other (please specify):
7	Your	comments:
Articl Monit	torin	
includir	ng hea	ibe the legal requirements for monitoring the significant environmental, alth, effects of the implementation of the plans and programmes adopted under in 12, paras. 1 and 2):

## Part two Practical application during the period 2013–2015

In this part, please report on your country's practical experiences in applying the Protocol (and not your country's procedures, which were described in part one). The focus of this section should be on identifying good practices as well as difficulties encountered in applying the Protocol in practice. The goal is to enable Parties to share solutions. Please therefore provide appropriate examples highlighting application of the Protocol in your country and innovative approaches to improve its application.

	solutions. P	Please therefore provide appropriate examples highlighting application of the your country and innovative approaches to improve its application.
	section beir	s your country object to the information on SEA procedures provided in this ng compiled and made available on the website of the Protocol? Please specify es" if you object):
	(a)	Yes
	(b)	No 🗌
	You	r comments:
1.	Consider	ation of health effects
	II.2. Does effects? Ple	s your SEA documentation always include specific information on health ase specify:
	(a)	Yes
	(b)	No, only when potential health effects are identified
2.	Domestic	and transboundary implementation in the period 2013–2015
		s your SEA documentation always include specific information on potential ary environmental, including health, effects? Please specify:
	transbounda	ary environmental, including health, effects? Please specify:
3.	transbounda (a) (b)	Yes
3.	(a) (b)  Cases du: II.4. Pleas	Yes \( \subseteq \) No, only when potential transboundary effects are identified \( \subseteq \) ring the period 2013–2015  se provide the (approximate) number of transboundary SEA procedures initiated period 2013–2015 and list them, grouped by the sectors listed in article 4,
<ol> <li>4.</li> </ol>	(a) (b)  Cases du:  II.4. Pleas during the paragraph 2	Yes \ No, only when potential transboundary effects are identified \ ring the period 2013–2015  see provide the (approximate) number of transboundary SEA procedures initiated period 2013–2015 and list them, grouped by the sectors listed in article 4, ::  ce with the strategic impact assessment procedure
	(a) (b)  Cases du:  II.4. Pleaduring the paragraph 2  Experien in 2013–2  II.5. Has	Yes \ No, only when potential transboundary effects are identified \ ring the period 2013–2015  see provide the (approximate) number of transboundary SEA procedures initiated period 2013–2015 and list them, grouped by the sectors listed in article 4, ::  ce with the strategic impact assessment procedure
	(a) (b)  Cases du:  II.4. Pleaduring the paragraph 2  Experien in 2013–2  II.5. Has	Yes \( \sum_{\text{No, only when potential transboundary effects are identified } \sum_{\text{ring the period 2013–2015}} \) See provide the (approximate) number of transboundary SEA procedures initiated period 2013–2015 and list them, grouped by the sectors listed in article 4, :  Ce with the strategic impact assessment procedure 2015  your country experienced substantial difficulties in interpreting particular terms

- How does your country overcome the(se) problem(s), if any, for example by working with other Parties to find solutions? Please provide examples:
- With regard your country's experience with domestic procedures, in response to each of the questions below, either provide one or two practical examples or describe your country's general experience. You might also include examples of lessons learned in order to help others. Please detail:
- (a) Has your country carried out monitoring according to article 12 and if so for

what kinds o	of plans or programmes (cite good practice cases or good practice elements ation or public participation), if available)?:
(b) the Convention	Would your country like to present a case to be published on the website of on and its Protocol as a "case study fact sheet"?:
(i)	No 🗌
(ii)	Yes [ (please indicate which ones):
to each of th	regard your country's experience with transboundary procedures, in response e questions below, either provide one or two practical examples or describe 's general experience. You might also include examples of lessons learned in others. Please detail:
(a) interpretation	What difficulties has your country experienced in relation to translation and a, and what solutions has your country applied?:
(b)	What does your country usually translate as a Party of origin?:
(c) article 10, par	Has your country carried out transboundary public participation according to ragraph 4?:
(i)	No 🗌
(ii)	Yes [ (please indicate how):
(d) participation	What has been your country's experience of the effectiveness of public?:
(e) procedures fo	Does your country have examples of organizing transboundary SEA or joint cross-border plans and programmes?:
(i)	No 🗌
(ii)	Yes [ (please describe):

## 5. Experience regarding guidance in 2013–2015

	Application of the Protocol on Strategic Environmental Assessment (ECE/MP.EIA/17)? <sup>14</sup> :
	(a) No:
	(b) Part of it (Please specify):
	(c) Yes [ (please describe your experience):
	Your comments on how the Guidance might be improved or supplemented:
6.	Awareness of the protocol
	II.10. Does your country see a need to improve the application of the Protocol in your country?:
	(a) No:
	(b) Yes Please describe how your country intends to improve application of the Protocol:
7.	Suggested improvements to the report
	II.11. Please provide suggestions for how this report may be improved:

Available from http://www.unece.org/env/eia/pubs/sea\_manual.html.