

E267
SB-006329

PART I. Planning

(to be filled in before submission to EXCOM)

1. **Project title and project number:** International Centre of Excellence on Public-Private Partnerships (ICoE): development and implementation of PPP standards and recommendations

2. **Expected timing/ duration:** 1 April 2016 - 31 December 2021

3. **Objective and brief summary of the project:**

The objective of the project is to strengthen national capacity to support the SDGs through the delivery of sustainable, affordable and high-quality infrastructure using public-private partnerships (PPP). There is a strong interaction between infrastructure, investment and achievement of the SDGs, and significant investment is needed to improve infrastructure in low and middle-income countries. However, government officials in these countries still lack an understanding of PPPs and how the PPP model could be used to tackle the infrastructure deficit. The lack of national PPP standards is negatively affecting their capacities to identify and prepare bankable projects that will attract investors and private sector concessionaires. The PPP international standards and recommendations that will be developed by the UNECE Team of Specialists on PPPs under this project, will provide clear and comprehensive guidelines to develop national standards including international best practices on applying PPPs in various sectors relevant to the SDGs. At the same time, active implementation and dissemination of the standards through capacity-building activities and policy advisory services with the participation of Business Advisory Board (BAB) experts, will further support and strengthen governments' ability to identify and prepare bankable PPP projects. Support will also be given for the adaptation of the PPP standards into national standards, in particular through PPP training for local experts on how to replicate and strengthen the PPP model at a national level.

The objective of this project will be achieved by conducting the following activities:

- Development of 10 policy-oriented international PPP standards and recommendations for use in national PPP capacity building activities. Annex 2 contains a list of standards to be developed which was adopted at the 18 June 2015 meeting of the UNECE Team of Specialists on PPPs with an indication of those that will be supported by this project.
- Organisation of 5 International PPP Forums to raise awareness on international PPP standards, showcase PPP projects and to share high-level strategic advice from BAB experts to assist in the development of local PPP capabilities of beneficiary countries.
- Organisation of 7 targeted policy advisory activities to assist beneficiary countries to identify and prepare bankable PPP projects.

4. **Expected results of the project:**

EA1. Agreed international PPP standards in a number of sectors and sub-sectors to support the sustainable development goals and provide the basis for national PPP implementation.

EA2. Strengthened national capacity to implement these international standards and identify bankable infrastructure PPP projects that contribute to achieving SDGs.

5. **Target group and beneficiaries of the project:**

The target group of the project will be policy makers, government officials and practitioners in all member States. Beneficiary countries are low and middle-income countries.

6. **Justification of project and its relationship to the programme of work:**

The Committee on Economic Cooperation and Integration (CECI), at its meeting on 12-14 February 2014 (document ECE/CECI/2014/2 dated 27 March 2014) included the development and implementation of international PPP standards and recommendation in the programme of work of the Team of Specialists on PPPs. At its sixth session on 23-24 June 2014, the Team of Specialists on PPPs agreed on the process by which standards are to be developed, and on a list of priority areas for which the standards should be developed and implemented in support of the SDGs (document ECE/CECI/PPP/2014/2). At its ninth session on 3-4 September 2015, the Committee on Innovation, Competitiveness and PPPs (formerly CECI) took note of the list of 28 topics for standards approved by the Team of Specialists on PPPs at its meeting on 18 June 2015. This project will support the following expected accomplishments (a) Improved dialogue on promoting a policy, financial and regulatory environment conducive to sustained economic growth, innovative development and greater competitiveness and (c) Strengthened national capacity of countries in the region to promote good practices and implement ECE recommendations on a policy, financial and regulatory environment conducive to sustained economic growth, innovative development and greater competitiveness of Subprogramme 4: "Economic cooperation and integration" of the UNECE strategic framework for 2016-2017. The project will also contribute to the achievement of SDGs 3, 4, 5, 6, 9, 11 and 17.

7. **Estimated UN regular budget resources (work months of RB staff/level of Staff):**

1 month of P3, 1 month of P4, 1 month of P5 supervision per year

8. **Estimated extra budgetary resources:**

Donors

City University in Hong Kong and Tsinghua University in Beijing, China (five instalments of €160,000 per year starting from 2016)

Amount

€ 800,000* (circa US\$ 870,000)


9. **Project Manager:**

Tony Bonnici  Date: 02.03.2016

10. **Section/Division:**

Economic Cooperation and Trade Division
Cooperation and Partnerships Section

11. **Cleared by Programme Management Unit:**

Zamira Eshmambetova 
Chief of PMU Date: 02.03.2016

12. **Approved by EXCOM¹:**

Date:


14 March 2016
PMD Meeting

¹ See paragraph 31 (a) of Commission decision A(65).

	<p>to raise awareness on international PPP standards, showcase PPP projects and to share high-level strategic advice from BAB experts to assist in the development of local PPP capabilities of beneficiary countries. At least 3 of these 5 forums will be held in low or middle-income countries and will include one day of capacity-building for local officials (2017-2021)</p> <p>5 consultants (1 for each forum) to support organisation of the forums in 2017-2021 including collection of the PPP project cases to be showcased at the forums (5 consultants x €6,200 x 2 months)</p> <p>2 travel of staff for each forum (€2,000 x 2 x 5 forums)</p> <p>15 travel of participants for each forum (€2,000 x 15 x 5 forums)</p>	62,000			
		20,000			
		150,000			
EA2. Strengthened national capacity to implement these international standards and identify bankable infrastructure PPP projects that contribute to achieving SDGs.	<p>A.2.1. Organisation of 7 targeted policy advisory activities to assist beneficiary countries to identify and prepare bankable PPP projects.</p> <p><u>PPP Workshop in Georgia in 2016</u> 2 travel of UNECE staff (€2,000 x 2) 4 travel of experts/participants (€2,000 x 4)</p> <p><u>PPP Workshop in Ukraine in 2017</u> 2 travel of UNECE staff (€2,000 x 2) 4 travel of experts/participants (€2,000 x 4)</p> <p><u>5 PPP Workshops in selected transition/developing countries in 2018 - 2021</u> 10 travel of UNECE staff (€2,000 x 10) 20 travel of experts/participants (€2,000 x 20)</p>	84,000			
		4,000			
		8,000			
		4,000			
		8,000			
		20,000			
		40,000			
Sub total		694,000			
13% of Programme Support Costs		90,220			
2% of Evaluation		15,684			
Total (including 13% Programme Support Costs):		800,000		Total:	

Annex 2. List of topics for PPP standards¹

Sector	No.	Standards	Status
Empowerment of women	1	Empowering women through PPPs	To be developed under current project
Governance and compliance	2	Zero Tolerance to Corruption in PPP procurement	Development as part of technical cooperation project E249
	3	Implementing the Zero Tolerance to Corruption in PPP: criteria and mechanism for implementation of the standard	Development as part of technical cooperation project E249
	4	Implementing the standard on Zero Tolerance to Corruption in PPP: an evaluation checklist to assess PPP Units's compliance with the standard	Development as part of technical cooperation project E249
	5	PPP Procurement	Development as part of technical cooperation project E249
Health	6	PPP in Health Policy	Development as part of technical cooperation project E249
	7	Developing a 'Last Building' standing facility to coordinate relief in natural disasters	To be developed under current project
	8	Diagnostics via telemedicine	To be developed under current project
	9	Hospitals	To be developed under current project
	10	Primary Care/Polyclinics	To be developed under current project
Renewable Energy and Energy Efficiency	11	Variable on-grid renewable energy (Solar and Wind)	Development as part of technical cooperation project E249
	12	Controllable on-grid renewable energy (Hydroelectricity and Biomass)	Development as part of technical cooperation project E249
	13	Energy Efficiency in Public Buildings	To be developed under current project
	14	Off-grid rural electrification	To be developed under current project
Smart and Sustainable Cities	15	Models for developing smart and sustainable cities	To be developed under current project
	16	Social housing/urban regeneration	To be developed under current project
Transport Infrastructure	17	Airports	Development as part of technical cooperation project E249
	18	Ports	To be developed under current project
	19	Railways	Development as part of technical cooperation project E249
	20	Roads	Development as part of technical cooperation project E249
Water and Sanitation	21	Water and Sanitation	Development as part of technical cooperation project E249
	22	Rural Water	
	23	Water Management	
Others	24	Education	
	25	ICT and broadband	
	26	Increasing food security through PPPs involving the water, energy and agriculture nexus	
	27	Unlocking pension funds to finance infrastructure projects (water, energy, transport, etc.)	
	28	Renovation of public buildings	

¹ The list of standards was adopted by TOS PPP on 18 June 2015 and endorsed by CICPPP on 4 September 2015