



REPUBLIC OF SLOVENIA

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**Conference of the Parties
to the Convention on the Transboundary Effects of Industrial Accidents
Sixth meeting, the Hague, Nov 2010
Agenda item 7(b)**

**Benchmarks for the implementation of the
Convention on the Transboundary Effects of
Industrial Accidents**

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Development of Indicators and Criteria

Assistance Programme

Strategic Approach

Request of COP 5

- form for data collection
- indicators and criteria for self-evaluation

Bureau – Task force to develop Indicators and Criteria
(Georgia, Italy, Moldova, Netherlands, Slovenia)

Beneficiaries:
COP, Parties, Donors

Testing (initial, final)





Starting points for the selection of indicators

obvious ones defined by the Strategic Approach (overall goal, working areas, cyclic approach, future users)

additional ones defined by the Task force:

- indicators should reflect goals to be achieved
- easy to understand and use
- common approach for indicators for all working areas

Finally:

all suggested indicators are “mechanisms”

“mechanism” is set of procedures, actions and implementation rules





Starting points for the selection of criteria

additional ones:

- the character of criteria should be positive/encouraging
- the context of Assistance Programme and Strategic Approach
- easy to understand and simple for use (self-evaluation)
- common approach for all working areas

Finally:

1. criteria divided into 6 levels of progress: little awareness...initial discussion
...decision taken ...intensive and detailed discussion.. mechanism adopted
...mechanism operational and implemented in practice

2. levels of higher progress defined in detail with set of
tasks to be accomplished



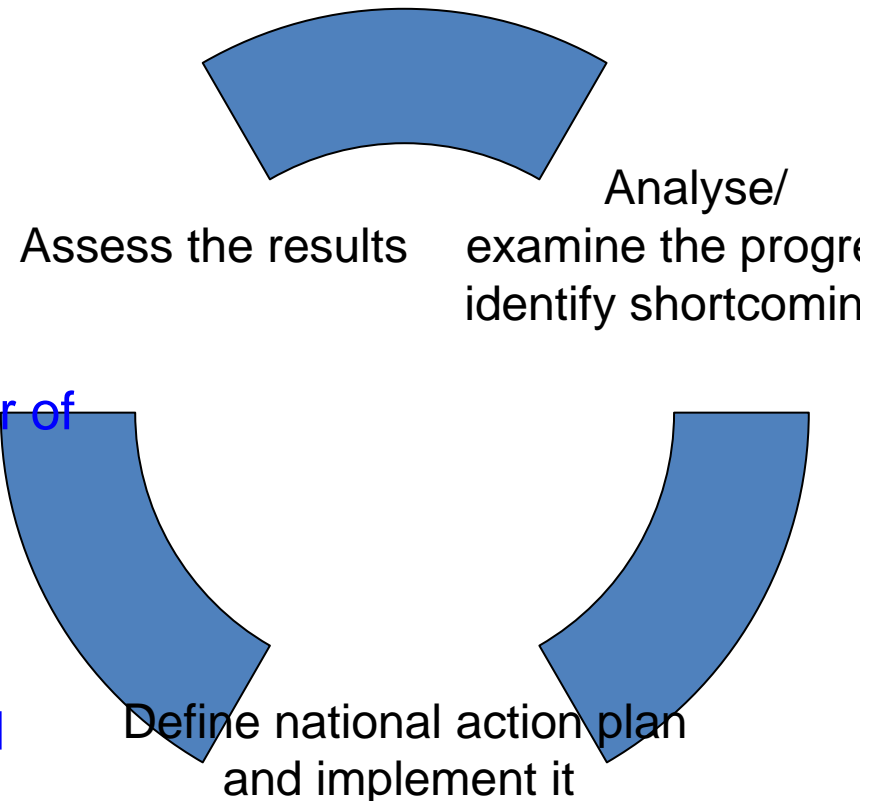


Starting points for the Form for monitoring, analysing, planning and evaluating the participation of a country in the Assistance Programme

Idea: develop a template/form:

- to ensure transparency of actions
- to save data
- to show level of progress at any time,
- to reflect cyclic and step-wise character of Strategic Approach

Finally:
form consisting of three tables prepared





The result

Document ECE/CP/TEIA/2010/6
(Guidance):

- Indicators and criteria for 6 working areas
- The Form for the collection of data
- Rules for applying Indicators and Criteria and the Form





Indicators for working area 1: identification of hazardous activities

- first: Mechanism for the collection of data
- second: Mechanism for the analysis and validation of data
- third: Mechanism for the review/revision of data

Indicators for working area 2: notification of hazardous activities

- first: Mechanism for the transboundary consultation on hazardous activities
- second: Mechanism for the notification of hazardous activities





Indicators for working area 3: prevention

- first: Mechanism giving the responsibility for industrial safety to hazardous activity operators
- second: Mechanism introducing the control regime of the Competent Authorities

Indicators for working area 4: preparedness

- first: Mechanism giving the responsibility for emergency preparedness to hazardous activity operators
- second: Mechanism giving the responsibility for emergency preparedness to the Competent Authorities
- third: Mechanism ensuring transboundary compatible emergency plans





Indicators for working area 5: response and mutual assistance

- first: Mechanism giving responsibility to the competent authority to promptly recognize industrial accidents or an immediate threat thereof
- second: Mechanism to ensure the use of IAN System
- third: Mechanism to ensure the use of notification systems at the local level
- fourth: Mechanism giving responsibility to the competent authority to request and to provide assistance

Indicators for working area 6: information to the public and public participation

- first: Mechanism to inform the public
- second: Mechanism to ensure opportunities for public participation in relevant procedures whenever possible and appropriate





Criteria - 6 levels of Progress stage

Progress stage 1: Little awareness

Progress stage 2: Initial discussions

Progress stage 3: Decision taken

Progress stage 4: Intensive and detailed discussion

Progress stage 5: Mechanism adopted

Progress stage 6: Mechanism operational and implemented in practice





Table of indicators and criteria

<i>Indicators / Criteria</i>	First Indicator	Second Indicator	Third Indicator
Progress stage 1	<i>Little awareness</i>	<i>Little awareness</i>	<i>Little awareness</i>	...
Progress stage 2	<i>Initial discussions</i>	<i>Initial discussions</i>	<i>Initial discussions</i>	...
Progress stage 3	<i>Decision taken</i>	<i>Decision taken</i>	<i>Decision taken</i>	...
Progress stage 4	<i>Intensive and detailed discussions</i>	<i>Intensive and detailed discussions</i>	<i>Intensive and detailed discussions</i>	...
Progress stage 5	<i>Mechanism adopted</i>	<i>Mechanism adopted</i>	<i>Mechanism adopted</i>	...
Progress stage 6	<i>Mechanism operational and implemented in practice</i>	<i>Mechanism operational and implemented in practice</i>	<i>Mechanism operational and implemented in practice</i>	...





Three tables of the Form

Table 1

Result of self evaluation with regard to the status of implementation of the Convention

<i>Areas of work with indicators</i>	<i>Progress stage with explanation</i>	<i>Identification of shortcomings and challenges with a list of priority actions to be undertaken</i>
<u>Identification of hazardous activities</u>		
Mechanism for the collection of data		
Mechanism for the analysis and validation of data		
Mechanism for the review/revision of data		
<u>Notification of hazardous activities</u>		
Mechanism for transboundary consultation on hazardous activities		
Mechanism for notification of hazardous activities		
<u>Prevention</u>		
Mechanism giving responsibility for safe operation to HA operators		
Mechanism introducing control regime of the competent authorities		



Three tables of the Form

Table 2

Plan of activities to be undertaken (i.e. national action plan) with regard to the list of priority actions identified in table 1

<i>Activities</i>	<i>Description of the activity with an indication of targeted result</i>	<i>Responsibility</i>	<i>Timing</i>	<i>External assistance needed? If yes, what kind?</i>
1 st activity				
2 nd activity				
...				

Table 3

Report of activity(ies) implemented, results achieved

<i>Activities</i>	<i>Results achieved</i>	<i>Follow-up</i>
1 st activity		
2 nd activity		
...		





Implementation of the cyclic approach with the use of the Form

<i>Steps of the cyclic mechanism</i>	<i>Use of the tables in the Form</i>
Step 1 Analyse and examine the level of implementation of the Convention identifying shortcomings and challenges.	Table 1 Collect data on the results of the self-evaluation, with a detailed and precise explanation of the progress stage assigned to a specific indicator and of the identification of shortcomings and challenges. List priority actions to be undertaken.
Step 2 Define ways forward and time frame to undertake and eliminate shortcomings; i.e., development of a national action plan and its implementation.	Table 2 List planned activities to be carried out (i.e., national action plan). The plan of activities needs to be based on the shortcomings or challenges identified in table 1 and needs to be validated by the relevant authorities/officers according to the rules and practices in each participating country.
Step 3 Assess the results achieved.	Table 3 Record activities implemented within a cycle and the results achieved. Data should be collected for each activity undertaken at the national or international level, independently or with external assistance, regardless of a programme under which activities took place.





Rules for the application of Indicators and Criteria and the Form in practice

Who?

A person / a group of competent persons

When?

- the first time the country uses the Strategic Approach
- when there is a change
- at the end of an activity

How?

- analyse each/relevant working area separately
- discuss the situation in the country
- identify the progress stage
- document the result of the self-evaluation

Deadlines:

- Sept 2011 – self evaluation of the progress
- February 2012 – National Action Plan





Way forward

COP adopts Indicators, Criteria and the Form

Countries participating in the AP:

- self-evaluate the progress by Sept 2011
- draft a National Action Plan and send it to the Secretariat by Febr. 2012
- in case a country presents project proposal before Febr. 2012

Bureau and WGI:

- approve activities based on National Action Plans
- monitor the application of Strategic Approach
- monitor the progress

